

Non-Taft Hartley Union Plans: Approved Applications for Waiver of the Annual Limits Requirements

January 6, 2012

This is a list of unions that have received waivers of the annual dollar limit requirements for health plans offered to their members. Most of these plans are collectively bargained agreements between a union and employer(s). In some cases, these plans provide supplemental coverage to employer-based health plans.

On [September 3, 2010](#) the Centers for Medicare & Medicaid Services (CMS) announced the initial waiver process, allowing plans to receive a one year waiver for plan or policy years with a start date between September 23, 2010 and September 23, 2011.

On [June 17, 2011](#), the Centers for Medicare & Medicaid Services (CMS) issued subsequent guidance to conclude the annual limits waiver process. This guidance allowed plans that received one year waivers to extend those waivers through 2013, contingent on applicants submitting annual information about their plan and complying with requirements to ensure that their enrollees understand the limits of their coverage. The June 17, 2011 guidance also allowed any plans that had not yet applied for a waiver under the initial waiver policy to apply for one, good through 2013. All applications were due September 22, 2011.

The June 17, 2011 guidance leaves three categories of plans that applied for and received waivers:

1. Plans that received a one year waiver under the initial policy that also received an extension under the June 17, 2011 policy.
2. Plans that received a one year waiver under the initial policy that did not apply for an extension under the June 17, 2011 policy.
3. Plans that did not apply for a one year waiver under the initial policy but did apply for, and receive one, good through 2013, under the June 17, 2011 policy.

To capture these three categories, the list below is separated into two sections.

Section A includes every plan that received a one year waiver under the initial policy. Plans without an asterisk applied for, and received, an extension (category 1 from above). Plans with an asterisk did not apply for an extension (category 2 from above).

Section B lists plans that did not apply for a one year waiver under the initial policy but did apply for, and received, a waiver good through 2013. (category 3 from above).

Section A: Section A includes every plan that received a one year waiver under the initial policy. Plans with an asterisk did not apply for an extension. Plans without an asterisk applied for, and received, an extension. Plans must submit annual information about their plan and comply with requirements to ensure that their enrollees understand the limits of their coverage.

	Applicant	City**	State	Application Date	Plan Effective Date	Number of Enrollees	Application Completed	Waiver Date
1	PMPS-ILA	Moss Point	MS	9/19/2010	10/1/2010	15	9/23/2010	9/24/2010
2	PS-ILA	Moss Point	MS	9/19/2010	10/1/2010	8	9/23/2010	9/24/2010
3	United Federation of Teachers Welfare Fund*			9/16/2010	10/1/2010	351,000	9/27/2010	9/27/2010
4	GSC-ILA	Moss Point	MS	9/15/2010	10/1/2010	298	9/15/2010	9/28/2010
5	Mountaire Corporation on behalf of Mountaire Farms of Delmarva Employees Health and Welfare Plan			9/17/2010	1/1/2011	1,194	9/17/2010	10/12/2010
6	CH Guenther & Son	San Antonio	TX	9/24/2010	1/1/2011	300	10/21/2010	10/26/2010
7	Social Service Employees Union Local 371 Welfare Fund	New York	NY	10/8/2010	1/1/2011	34,000	10/29/2010	11/5/2010
8	Teamsters Local 522 Welfare Fund Roofers Division	White Plains	NY	11/12/2010	1/1/2011	270	11/12/2010	11/23/2010
9	Dole Food Company	Westlake Village	CA	11/10/2010	1/1/2011	1,946	11/19/2010	12/6/2010
10	Local 812 Health Fund	Great Neck	NY	11/5/2010	1/1/2011	9,820	12/13/2010	12/13/2010
11	Health and Welfare Fund of the Detectives' Endowment Association Inc. Police Department City of New York	New York	NY	11/22/2010	1/1/2011	17,924	11/22/2010	12/17/2010
12	1199 SEIU Licensed Practical Nurses Welfare Fund	New York	NY	10/28/2010	1/1/2011	3,533	12/14/2010	12/21/2010
13	SEIU Local 300 Civil Service Forum Employees Welfare Fund	New York	NY	11/22/2010	1/1/2011	2,000	12/9/2010	12/21/2010
14	Superior Officers Council Health and Welfare Fund	New York	NY	12/1/2010	1/1/2011	8,950	12/13/2010	12/23/2010
15	IBEW Local 3 NYC Electrical Division Health & Welfare Fund	Fresh Meadows	NY	12/1/2010	1/1/2011	1,072	12/16/2010	12/30/2010
16	Local No. One IATSE Welfare Fund	New York	NY	11/24/2010	1/1/2011	9,200	12/28/2010	12/30/2010
17	Communications Workers of America Local 1180 Security Benefits Fund	New York	NY	11/24/2010	1/1/2011	19,000	12/8/2010	1/24/2011
18	Correction Officers' Benevolent Association Security Benefits Fund	New York	NY	12/29/2010	1/1/2011	18,429	12/30/2010	1/24/2011

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19	Council of Supervisors and Administrators of the City of new York Welfare Fund*	New York	NY	1/17/2011	1/1/2011	14,486	1/20/2011	1/31/2011
20	Local 30 IUOE Municipal Employees Welfare Trust Fund	Richmond Hill	NY	11/29/2010	1/1/2011	1,510	1/11/2011	1/31/2011
21	Security Benefit Fund of the Uniformed Firefighters Association of New York City*	New York	NY	11/22/2010	7/1/2011	23,809	1/25/2011	1/31/2011
22	Management-Labor Welfare Fund Local 1730, ILA	Staten Island	NY	12/1/2010	1/1/2011	136	1/6/2011	2/1/2011
23	PSC-CUNY Welfare Fund	New York	NY	12/16/2010	7/1/2011	36,800	2/14/2011	2/16/2011
24	New York City SEIU Local 246 Welfare Benefits Fund	New York	NY	12/1/2010	1/1/2011	4,052	2/7/2011	2/17/2011
25	LOCAL 444 Sanitation Officers Association	Brooklyn	NY	12/6/2010	1/1/2011	2,538	3/1/2011	4/1/2011
26	New York State Nurses Welfare Plan for New York City Employed Registered Professional Nurses	Latham	NY	3/17/2011	7/1/2011	19,518	4/7/2011	4/11/2011
27	ASEA/AFSCME Local 52 Health Benefits Trust	Anchorage	AK	3/18/2011	7/1/2011	774	4/6/2011	4/21/2011
28	Uniformed Fire Officers Association Family Protection Plan	New York	NY	4/20/2011	7/1/2011	10,000	5/5/2011	5/16/2011
29	Uniformed Sanitationmen's Association Security Benefit Fund	New York	NY	5/10/2011	7/1/2011	14,764	6/3/2011	6/13/2011
30	Health & Welfare Fund of the Patrolmen's Benevolent Association of the City of New York	New York	NY	5/17/2011	7/1/2011	52,525	6/8/2011	6/14/2011
	Total Enrollment					659,871		

Section B: Section B lists plans that did not apply for a one year waiver under the initial policy but did apply for, and received, a waiver good through 2013, under the June 17, 2011 policy. Plans must submit annual information about their plan and comply with requirements to ensure that their enrollees understand the limits of their coverage.

	Applicant	City**	State	Application Date	Plan Effective Date	Number of Enrollees	Application Completed	Waiver Date
1	Sergeants Benevolent Association Health and Welfare Fund	New York	New York	5/12/2011	7/1/2011	13,100	7/13/2011	7/21/2011
2	District Council 37 Health & Security Plan	New York	NY	5/20/2011	7/1/2011	303,164	7/29/2011	8/26/2011
3	Electrical Repair Service Company Employee Benefit Plan	Birmingham	AL	9/21/2011	12/1/2010	101	9/28/2011	10/17/2011
4	Two Farms, Inc	Baltimore	MD	7/1/2011	8/1/2011	852	10/17/2011	11/2/2011
	Total Enrollment					317,217		

*Waiver not extended; expires one year after plan effective date.

**Please note that the city and state correspond only to the address stated on the application and may not reflect the location of the applicant's enrollees.