



# Centers for Medicare & Medicaid Services (CMS) Center for Consumer Information and Insurance Oversight (CCIIO)

## State-based Exchange Public Use Files (SBE PUF) Data Dictionary for PY 2020 Service Area PUF

### Overview of PY 2020 Service Area PUF

CMS CCIIO is releasing the State-based Exchange (SBE) PUF to improve the transparency and increase access to the SBE data. The SBE PUF includes Qualified Health Plans (QHPs) and stand-alone dental plans (SADPs) offered in states which operate their own Marketplaces that do not rely on the Federal information technology platform for QHP eligibility and enrollment functionality. This includes states which operate their own Small Business Health Options Programs (SHOP).

The Service Area PUF (SA-PUF) is one of the six files that make up the SBE PUF. The SA-PUF contains issuer level data on the geographic coverage or service area (i.e., where the plan is offered) including state, county, and zip code. These data originate from the Service Area template (i.e., template field), an Excel-based form used by issuers to describe their plans in the QHP/SADP application process and were provided by the National Association of Insurance Commissioners (NAIC) by extracting the information from their System for Electronic Rate and Form Filing (SERFF).

This data dictionary describes the variables contained in the SA-PUF file for each SBE. Each record relates to a set of rules for the application of rates for one plan. The SA-PUF is available for SBEs for plan year 2020.

### Variable Attributes

Field	Content
<b>Variable Name (PUF Header):</b>	BusinessYear (BUSINESS YEAR)
<b>Variable Definition:</b>	Year for which plan provides coverage to enrollees
<b>Data Type:</b>	Text
<b>Variable Label:</b>	Business Year
<b>Allowable Values:</b>	2020
<b>Data Source:</b>	System-generated field
<b>Comments:</b>	N/A
<b>Variable Name (PUF Header):</b>	StateCode (STATE CODE)
<b>Variable Definition:</b>	Two-character state abbreviation indicating the state where the plan is offered
<b>Data Type:</b>	Text
<b>Variable Label:</b>	State Code



Field	Content
<b>Allowable Values:</b>	All state abbreviations for those states that operate SBEs
<b>Data Source:</b>	System-generated field
<b>Comments:</b>	N/A
<b>Variable Name (PUF Header):</b>	IssuerID (ISSUER ID)
<b>Variable Definition:</b>	Five-digit numeric code that identifies the issuer organization in the Health Insurance Oversight System (HIOS)
<b>Data Type:</b>	Text
<b>Variable Label:</b>	Issuer ID
<b>Allowable Values:</b>	Free text
<b>Data Source:</b>	Template field
<b>Comments:</b>	N/A
<b>Variable Name (PUF Header):</b>	SourceName (SOURCE NAME)
<b>Variable Definition:</b>	Categorical identifier of source of the data import
<b>Data Type:</b>	Text
<b>Variable Label:</b>	Source Name
<b>Allowable Values:</b>	SERFF
<b>Data Source:</b>	System-generated field
<b>Comments:</b>	SERFF is the only source used data in the SBE PUF
<b>Variable Name (PUF Header):</b>	VersionNum (VERSION NUMBER)
<b>Variable Definition:</b>	Integer Value for version of data import
<b>Data Type:</b>	Text
<b>Variable Label:</b>	Version Number
<b>Allowable Values:</b>	Free text
<b>Data Source:</b>	System-generated field
<b>Comments:</b>	N/A
<b>Variable Name (PUF Header):</b>	ImportDate (IMPORT DATE)
<b>Variable Definition:</b>	Date of the Data Import
<b>Data Type:</b>	Date/Time



Field	Content
<b>Variable Label:</b>	Import Date
<b>Allowable Values:</b>	Free text
<b>Data Source:</b>	System-generated field
<b>Comments:</b>	N/A
<b>Variable Name (PUF Header):</b>	ServiceAreaID (SERVICE AREA ID)
<b>Variable Definition:</b>	Identifier for a service area
<b>Data Type:</b>	Text
<b>Variable Label:</b>	Service Area ID
<b>Allowable Values:</b>	Service Area IDs shown are generated based on number of service areas entered and issuer's state
<b>Data Source:</b>	Template field
<b>Comments:</b>	N/A
<b>Variable Name (PUF Header):</b>	ServiceAreaName (SERVICE AREA NAME)
<b>Variable Definition:</b>	Name of a service area
<b>Data Type:</b>	Text
<b>Variable Label:</b>	Service Area Name
<b>Allowable Values:</b>	Free text
<b>Data Source:</b>	Template field
<b>Comments:</b>	N/A
<b>Variable Name (PUF Header):</b>	CoverEntireState (COVER ENTIRE STATE)
<b>Variable Definition:</b>	Categorical indicator of whether entire state is covered by the service area
<b>Data Type:</b>	Text
<b>Variable Label:</b>	Cover Entire State
<b>Allowable Values:</b>	Yes No
<b>Data Source:</b>	Template field
<b>Comments:</b>	N/A
<b>Variable Name (PUF Header):</b>	County (COUNTY)



Field	Content
<b>Variable Definition:</b>	Federal Information Processing Standards (FIPS) code assigned to the county within a state that is covered by the service area
<b>Data Type:</b>	Text
<b>Variable Label:</b>	County
<b>Allowable Values:</b>	FIPS codes shown are for counties within state selected in template
<b>Data Source:</b>	Template Field
<b>Comments:</b>	This field is only applicable if CoverEntireState field equals No
<b>Variable Name (PUF Header):</b>	CountyName (COUNTY NAME)
<b>Variable Definition:</b>	Name of the County
<b>Data Type:</b>	Text
<b>Variable Label:</b>	County Name
<b>Allowable Values:</b>	Free text
<b>Data Source:</b>	N/A
<b>Comments:</b>	N/A
<b>Variable Name (PUF Header):</b>	PartialCounty (PARTIAL COUNTY)
<b>Variable Definition:</b>	Categorical indicator of whether entire county is covered by the service area
<b>Data Type:</b>	Text
<b>Variable Label:</b>	Partial County
<b>Allowable Values:</b>	<ul style="list-style-type: none"> <li>• Yes</li> <li>• No</li> </ul>
<b>Data Source:</b>	Template Field
<b>Comments:</b>	This field is only applicable if CoverEntireState field is No
<b>Variable Name (PUF Header):</b>	ZipCodes (ZIP CODE)
<b>Variable Definition:</b>	Zip codes within the partial-county region covered by the service area
<b>Data Type:</b>	Text
<b>Variable Label:</b>	Zip Codes
<b>Allowable Values:</b>	List of zip codes separated by commas
<b>Data Source:</b>	Template Field



Field	Content
<b>Comments:</b>	This field is only applicable if PartialCounty field equals Yes; field could be truncated to 256 characters if exported to Excel or Access
<b>Variable Name (PUF Header):</b>	PartialCountyJustification (PARTIAL COUNTY JUSTIFICATION)
<b>Variable Definition:</b>	The justification given for creating a Partial County region for inclusion in a service area
<b>Data Type:</b>	Text
<b>Variable Label:</b>	Partial County Justification
<b>Allowable Values:</b>	Free Text
<b>Data Source:</b>	Template Field
<b>Comments:</b>	This field is only applicable if PartialCounty field equals Yes; field may be truncated to 256 characters if exported to Excel or Access
<b>Variable Name (PUF Header):</b>	MarketCoverage (MARKET COVERAGE)
<b>Variable Definition:</b>	Categorical indicator of market coverage of plans submitted with this template
<b>Data Type:</b>	Text
<b>Variable Label:</b>	Market Coverage
<b>Allowable Values:</b>	<ul style="list-style-type: none"> <li>• Individual</li> <li>• SHOP (Small Group)</li> </ul>
<b>Data Source:</b>	Template Field
<b>Comments:</b>	N/A
<b>Variable Name (PUF Header):</b>	DentalPlanOnly (DENTAL PLAN ONLY)
<b>Variable Definition:</b>	Categorical indicator that the plan is a stand-alone dental plan
<b>Data Type:</b>	Text
<b>Variable Label:</b>	Dental-Only Plan Indicator
<b>Allowable Values:</b>	Yes No
<b>Data Source:</b>	Template field
<b>Comments:</b>	N/A