

DEPARTMENT OF HEALTH & HUMAN SERVICES
Centers for Medicare & Medicaid Services
Center for Medicare
7500 Security Boulevard
Baltimore, Maryland 21244-1850



MEDICARE PLAN PAYMENT GROUP

DATE: October 25, 2017

TO: Medicare Advantage Organizations, Cost Plans, and Prescription Drug Plan Sponsors

FROM: Jennifer Harlow
Deputy Director, Medicare Plan Payment Group

SUBJECT: Medical Loss Ratio (MLR) Report and Attestation Submission Reminder for Contract Year (CY) 2016

This memorandum serves as a reminder that the upload functionality for CY 2016 MLR Reports will be available in HPMS beginning November 3, 2017. The CY 2016 MLR Reports must be submitted in HPMS by **11:59 PM Pacific Time (PT) on Friday, December 1, 2017.**

The electronic Attestation functionality for CY 2016 MLR will be available in HPMS beginning December 4, 2017. The CY 2016 MLR Attestations must be submitted in HPMS by **11:59 PM Pacific Time (PT) on Wednesday, December 13, 2017.**

The MLR Reports and electronic Attestations must be submitted in the Health Plan Management System (HPMS) at: HPMS Home > Plan Bids > Medical Loss Ratio > CY2016.

Each Medicare Advantage Organization (MAO), Cost Plan, and Part D Plan Sponsor is required to submit an MLR Report to CMS for each contract year pursuant to the regulations at 42 CFR §§ 422.2460 and 423.2460. The MLR reporting tool and instructions for CY 2016 may be obtained in HPMS at: HPMS Home > Plan Bids > Medical Loss Ratio > CY2016.

MAOs, Cost Plans, and Part D Plan Sponsors whose contracts were terminated, consolidated or withdrawn in CY 2016 are required to submit an MLR Report that accounts for Part C and Part D revenue, including the risk adjustment reconciliation amounts. CMS will post the Part C and Part D risk adjustment reconciliation amounts by November 3, 2017 for contracts that terminated, consolidated or withdrew in CY 2016 at: HPMS Home > Risk Adjustment > Risk Adjustment Reconciliation Amount. These values were obtained from the October 2017 MMR, for adjustment reason codes (ARCs) 25 and 37. These values are prior to application of sequestration (i.e., “gross” of sequestration). This information may be used in the development of CY 2016 MLR reporting.

Additional guidance regarding the MLR reporting requirements may be found at: <http://www.cms.gov/Medicare/Medicare-Advantage/Plan-Payment/medicallossratio.html>. Questions regarding the Medicare MLR Report may be sent to MLRreport@cms.hhs.gov. Technical questions may be addressed to the HPMS Help Desk at hpms@cms.hhs.gov. MLR guidance for Medicare-Medicaid Plans (MMPs) will be released separately; questions related to MMPs may be sent to MMCOcapsmodel@cms.hhs.gov.