

# Calendar Year Complaint Survey Deficiency Files

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The Centers for Medicare and Medicaid Services (CMS) provides annual calendar year files describing complaint surveys of long-term care facilities (nursing homes) and the resulting deficiencies cited on these surveys. These files are provided in Microsoft Excel as `Complaint_defs_CALENDARYEAR`, and provides one record for each deficiency associated cited on a complaint survey during the year.

## **Complaint\_defs\_CALENDARYEAR**

<b>Variable</b>	<b>Type</b>	<b>Description</b>
<b>PROVNUM</b>	Char	Federal Provider Number
<b>SURVEY</b>	Char	Prior to July 2012, the date of the health or life safety code survey, whichever is later. After July 2012, the date of the health Survey.
<b>DEFPREF</b>	Char	The alphabetic character that is assigned to a series of data tags that apply to a provider or supplier type (F=skilled nursing and nursing facilities health tag)
<b>TAG</b>	Char	numeric code following the alphabetic character which is assigned to each requirement on a survey report form
<b>SCOPE</b>	Char	scope and severity code, B through L
<b>DEFSTAT</b>	Char	Generated code which indicates the current status of a deficiency, see below
<b>STATDATE</b>	Char	The date associated with the current status of a particular deficiency
<b>SURVEY_COMPLETE_DATE</b>	Char	date a survey of a provider was completed, from the statement of deficiencies

## **Notes:**

DEFSTAT Values:

- '3' – Past Noncompliance
- '4' – Fire Safety Evaluation System
- '5' - deficient, provider has no plan of correction
- '6' - deficient, provider has plan of correction
- '7' - deficient, provider has date of correction
- '8' - waiver has been granted
- '9' - deficient, provider refused to correct

SCOPE Grid

Severity	Scope		
	Isolated	Pattern	Widespread
Immediate Jeopardy: immediate jeopardy to resident health or safety	J**	K**	L**
Actual Harm: that is not immediate jeopardy	G	H**	I**
Potential for Actual Harm: no actual harm with potential for more than minimal harm that is not immediate jeopardy	D	E	F**
Minimal Harm: no actual harm with potential for minimal harm	A	B	C

[\*\*Substandard Quality of Care: if tag between 221 and 258 or tag between 309 and 333 and scope is F or H<->L]

DATE OF CORRECTION DISPLAYED ON NHC: A date of correction for a particular deficiency will be displayed on the website ONLY if DEFSTAT is coded to 7 (date of correction); if DEFSTAT is 6 (only plan of correction) then date of correction will be blank.

COMPLAINT DEFICIENCY SUPPRESSION: If tag is the same, scope is the same, and complaint and standard survey dates are within +-15 days then the complaint deficiency is suppressed on the website display. Suppressed deficiencies are included in this output complaint file.