

Calendar Year Complaint Survey Deficiency Files

The Centers for Medicare and Medicaid Services (CMS) provides annual calendar year files describing complaint surveys of long-term care facilities (nursing homes) and the resulting deficiencies cited on these surveys. These file are provided in Microsoft Excel as Complaint_defs_CALENDARYEAR, and provides one record for each deficiency associated cited on a complaint survey during the year.

Complaint_defs_CALENDARYEAR

Variable	Type	Description
PROVNUM	Char	Federal Provider Number
SURVEY	Char	Prior to July 2012, the date of the health or life safety code survey, whichever is later. After July 2012, the date of the health Survey.
DEFPREF	Char	The alphabetic character that is assigned to a series of data tags that apply to a provider or supplier type (F=skilled nursing and nursing facilities health tag)
TAG	Char	numeric code following the alphabetic character which is assigned to each requirement on a survey report form
SCOPE	Char	scope and severity code, B through L
DEFSTAT	Char	Generated code which indicates the current status of a deficiency, see below
STATDATE	Char	The date associated with the current status of a particular deficiency
SURVEY_COMPLETE_DATE	Char	date a survey of a provider was completed, from the statement of deficiencies

Notes:

DEFSTAT Values:

- '3' – Past Noncompliance
- '4' – Fire Safety Evaluation System
- '5' - deficient, provider has no plan of correction
- '6' - deficient, provider has plan of correction
- '7' - deficient, provider has date of correction
- '8' - waiver has been granted
- '9' - deficient, provider refused to correct

SCOPE Grid

	Scope		
	Isolated	Pattern	Widespread
Immediate Jeopardy: immediate jeopardy to resident health or safety	J**	K**	L**
Actual Harm: that is not immediate jeopardy	G	H**	I**
Potential for Actual Harm: no actual harm with potential for more than minimal harm that is not immediate jeopardy	D	E	F**
Minimal Harm: no actual harm with potential for minimal harm	A	B	C

[**Substandard Quality of Care: if tag between 221 and 258 or tag between 309 and 333 and scope is F or H<->L]

DATE OF CORRECTION DISPLAYED ON NHC: A date of correction for a particular deficiency will be displayed on the website ONLY if DEFSTAT is coded to 7 (date of correction); if DEFSTAT is 6 (only plan of correction) then date of correction will be blank.

COMPLAINT DEFICIENCY SUPPRESSION: If tag is the same, scope is the same, and complaint and standard survey dates are within +/-15 days then the complaint deficiency is suppressed on the website display. Suppressed deficiencies are included in this output complaint file.