

Appendices

Appendices for the *2015 National Impact Assessment of the Centers for Medicare & Medicaid Services (CMS) Quality Measures Report* (2015 Impact Report) correspond to the chapters in which they are first referenced and are listed sequentially in the order they are identified in the report. Appendix i-1, for example, is the first appendix referenced in the Introduction. All remaining chapters (1 through 10) use the chapter number to identify the appendix, followed by the reference number within each appendix (e.g., Appendix 1-1 is the first appendix referenced in Chapter 1).

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Appendix i: Introduction

Appendix i-1: National Impact Assessment of CMS Quality Measures— Technical Expert Panel (TEP)

| Name | Organization | Location |
|--|---|------------------|
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| Cheryl Damberg, PhD, MPH TEP Co-Chair | Senior Policy Researcher, RAND | Santa Monica, CA |
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| Allen Leavens, MD, MPH | Senior Director, National Quality Forum | Washington, DC |
| Joanne Lynn, MD, MA, MS | Director, Center on Elder Care and Advanced Illness, Altarum Institute | Chevy Chase, MD |
| David Nau, PhD, RPh, CPHQ | Senior Director, Research & Performance Measurement, Pharmacy Quality Alliance | Lexington, KY |
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Appendix i-2: Federal Assessment Steering Committee (FASC) Member Listing

| Name | Role | Agency |
|-------------------------------|---------------------|---|
| Kate Goodrich, MD, MHS | Project Sponsor | Centers for Medicare and Medicaid Services, Center for Clinical Standards and Quality, Quality Measurements and Health Assessment Group |
| Noni Bodkin, PhD | Project Lead | Centers for Medicare and Medicaid Services, Center for Clinical Standards and Quality, Quality Measurements and Health Assessment Group |
| Melissa Evans, PhD, MSAE | Project Support | Centers for Medicare and Medicaid Services, Center for Clinical Standards and Quality, Quality Measurements and Health Assessment Group |
| Julia Mikulla, BSN, MSc, MBA | Former Project Lead | Centers for Medicare and Medicaid Services, Center for Clinical Standards and Quality, Quality Measurements and Health Assessment Group |
| Robert Kambic, MSH | Member | Centers for Medicare and Medicaid Services, Quality Improvement Group |
| Pam Owens, PhD | Member | Agency for Healthcare Research and Quality |
| Girma Alemu, MD, MPH | Member | Health Resources and Services Administration |
| Lok Wong Samson, MHS, PhD | Member | Office of the Assistant Secretary for Planning and Evaluation |
| Ann Page, RN, MPH | Member | Office of the Assistant Secretary for Planning and Evaluation |
| Elizabeth Flow-Delwiche, PhD | Member | Centers for Medicare and Medicaid Services, Center for Clinical Standards and Quality, Quality Measurements and Health Assessment Group |
| Mindy Hangsleben, BS | Member | Office of the National Coordinator for Health Information Technology |
| Gail Janes, PhD, MS | Member | Centers for Disease Control |
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| Ernest Moy, MD, MPH | Member | Agency for Healthcare Research and Quality |
| Daniel Andersen, PhD, MS, MPH | Member | Centers for Medicare and Medicaid Services, Center for Clinical Standards and Quality, Survey and Certification Group |

Appendix i-3: Programs Addressed by Research Questions

| Programs | Ch. 1 NQS Priorities | Ch. 2 Measures Under Consideration | Ch. 3 Physician Adoption of PQRS Measures | Ch. 4 Measure Alignment | Ch. 5 Populations Reached | Ch. 6 Unintended Consequences | Ch. 7 Trends in Performance and Disparities | Ch. 8 Hospital Process Measures and Patient Outcomes | Ch. 9 Patient Experiences and Predicted Costs |
|---|----------------------------|---|---|-------------------------------|---------------------------------|-------------------------------------|---|---|--|
| Hospital Setting | | | | | | | | | |
| Hospital Inpatient Quality Reporting Program* | ✓ | ✓ | | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| Hospital Value-Based Purchasing Program ¹ * | ✓ | ✓ | | ✓ | ✓ | ✓ | ✓ | ✓ | |
| Hospital Readmissions Reduction Program | ✓ | ✓ | | ✓ | ✓ | ✓ | | | |
| Hospital-Acquired Condition Reduction Program | ✓ | ✓ | | ✓ | ✓ | ✓ | | | |
| Medicare and Medicaid Electronic Health Record (EHR) Incentive Program for Eligible Hospitals and Critical Access Hospitals | ✓ | | | | ✓ | | | | |
| Hospital Outpatient Quality Reporting Program* | ✓ | ✓ | | ✓ | ✓ | ✓ | ✓ | | |
| Ambulatory Surgical Center Quality Reporting Program | ✓ | ✓ | | ✓ | ✓ | | | | |
| Inpatient Psychiatric Facility Quality Reporting Program | ✓ | ✓ | | ✓ | ✓ | | | | |
| Prospective Payment System-Exempt Cancer Hospitals Quality Reporting Program | ✓ | ✓ | | ✓ | ✓ | | | | |

*Measures from these programs with a minimum of three consecutive years of comparable data will be analyzed for trends.

¹ The Hospital Value-Based Purchasing Program (Hospital VBP Program) will not be evaluated for trends because the program has not existed long enough to evaluate trends. However, many measures used in the Hospital VBP Program have been used for over three years. As such, measures from the Hospital VBP Program will be assessed, but it will not be possible to draw conclusions about the program as a whole.

Appendix i-3: Programs Addressed by Research Questions

| Programs | Ch. 1 NQS Priorities | Ch. 2 Measures Under Consideration | Ch. 3 Physician Adoption of PQRS Measures | Ch. 4 Measure Alignment | Ch. 5 Populations Reached | Ch. 6 Unintended Consequences | Ch. 7 Trends in Performance and Disparities | Ch. 8 Hospital Process Measures and Patient Outcomes | Ch. 9 Patient Experiences and Predicted Costs |
|--|----------------------------|---|---|-------------------------------|---------------------------------|-------------------------------------|---|---|--|
| Ambulatory Setting | | | | | | | | | |
| Physician Quality Reporting System ⁱⁱ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | | | |
| Medicare Electronic Prescribing Incentive Program | ✓ | | | | | | | | |
| Physician Feedback Program | ✓ | ✓ | | | ✓ | | | | |
| Medicare and Medicaid Electronic Health Record (EHR) Incentive Program for Eligible Professionals | ✓ | | | | ✓ | | | | |
| Medicare Shared Savings Program | ✓ | ✓ | | ✓ | ✓ | | | | |
| Physician Compare | ✓ | ✓ | | | | ✓ | | | |
| Medicare Part C* (Display and Star Ratings Measures) | ✓ | | | ✓ | ✓ | ✓ | ✓ | | |
| Medicare Part D* (Display and Star Ratings Measures) | ✓ | | | ✓ | ✓ | ✓ | ✓ | | |
| Core Set of Children’s Health Care Quality Measures for Medicaid and CHIP (Child Core Set) | ✓ | | | ✓ | | | | | |
| Core Set of Health Care Quality Measures for Adults Enrolled in Medicaid (Medicaid Adult Core Set) | ✓ | | | ✓ | | | | | |

*Measures from these programs with a minimum of three consecutive years of comparable data will be analyzed for trends.

ⁱⁱ This is a voluntary reporting program that allows physicians to report self-selected measures. For this reason, reporting is inconsistent over time and limits the research team’s ability to draw conclusions from trend data.

Appendix i-3: Programs Addressed by Research Questions

| Programs | Ch. 1 NQS Priorities | Ch. 2 Measures Under Consideration | Ch. 3 Physician Adoption of PQRS Measures | Ch. 4 Measure Alignment | Ch. 5 Populations Reached | Ch. 6 Unintended Consequences | Ch. 7 Trends in Performance and Disparities | Ch. 8 Hospital Process Measures and Patient Outcomes | Ch. 9 Patient Experiences and Predicted Costs |
|---|----------------------------|---|---|-------------------------------|---------------------------------|-------------------------------------|---|---|--|
| PAC/LTC Setting | | | | | | | | | |
| Nursing Home Quality Initiative* | ✓ | | | ✓ | ✓ | ✓ | ✓ | | |
| Home Health Quality Reporting Program* | ✓ | ✓ | | ✓ | ✓ | ✓ | ✓ | | |
| End-Stage Renal Disease Quality Incentive Program* | ✓ | ✓ | | ✓ | ✓ | ✓ | ✓ | | |
| Hospice Quality Reporting Program | ✓ | ✓ | | ✓ | ✓ | | | | |
| Inpatient Rehabilitation Facilities Quality Reporting Program | ✓ | ✓ | | ✓ | ✓ | | | | |
| Long-Term Care Hospitals Quality Reporting Program | ✓ | ✓ | | ✓ | ✓ | | | | |

*Measures from these programs with a minimum of three consecutive years of comparable data will be analyzed for trends.

Appendix i-4: 2015 Impact Report Quality Measure List by Chapter

This document can be accessed at:

<https://www.cms.gov/Medicare/Quality-Initiatives-Patient-Assessment-Instruments/QualityMeasures/Downloads/2015-Impact-Assessment-Measure-List.xlsx>

This Excel file contains a single list of all measures used in the report followed by tabs for measures used within in each chapter. The list includes the NQF endorsement status, NQF number if endorsed, and both the measure title used by the CMS program and the measure title used by NQF.

Appendix i-5: Overlapping Program Measures by Setting, December 31, 2013 (n = 682 Unique Measures)

| CMS Quality Measurement Programs | Overlapping Program Measures by Setting, December 31, 2013 (n = 682 Unique Measures) | | | | | | | | | | | | | | | | | | | | | | | | | |
|----------------------------------|--|----------------------|------|-----------------------|----------------------|--------|---------------|---------------|---------------|------------------|------|----------------------------|--------|------|-------------------|--------|--------|----------------|----------------|------|--------|----------|------|---------------|----------------|--|
| | Hospital IQR Program | Hospital VBP Program | HRRP | HAC Reduction Program | Hospital OQR Program | EHR EH | ASCQR Program | IPFQR Program | PCHQR Program | Hospital Compare | PQRS | Physician Feedback Program | EHR EP | MSSP | Physician Compare | Part C | Part D | Medicaid Adult | Medicaid Child | NHQI | HH QRP | ESRD QIP | HQRP | IRFQR Program | LTCHQR Program | |
| Hospital IQR Program | 65 | | | | | | | | | | | | | | | | | | | | | | | | | |
| Hospital VBP Program | 22 | 22 | | | | | | | | | | | | | | | | | | | | | | | | |
| HRRP | 5 | 0 | 5 | | | | | | | | | | | | | | | | | | | | | | | |
| HAC Reduction Program | 6 | 4 | 0 | 6 | | | | | | | | | | | | | | | | | | | | | | |
| Hospital OQR Program | 2 | 0 | 0 | 0 | 26 | | | | | | | | | | | | | | | | | | | | | |
| EHR EH | 24 | 6 | 0 | 0 | 1 | 29 | | | | | | | | | | | | | | | | | | | | |
| ASCQR Program | 2 | 0 | 0 | 0 | 6 | 0 | 11 | | | | | | | | | | | | | | | | | | | |
| IPFQR Program | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 8 | | | | | | | | | | | | | | | | | | |
| PCHQR Program | 10 | 10 | 0 | 3 | 0 | 3 | 0 | 0 | 18 | | | | | | | | | | | | | | | | | |
| Hospital Compare | 54 | 22 | 3 | 6 | 20 | 25 | 1 | 4 | 12 | 102 | | | | | | | | | | | | | | | | |
| PQRS | 1 | 0 | 0 | 0 | 5 | 1 | 3 | 0 | 5 | 3 | 283 | | | | | | | | | | | | | | | |
| Physician Feedback Program | 2 | 1 | 0 | 0 | 5 | 1 | 3 | 0 | 5 | 4 | 283 | 288 | | | | | | | | | | | | | | |
| EHR EP | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 61 | 61 | 64 | | | | | | | | | | | | | |
| MSSP | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 17 | 17 | 13 | 24 | | | | | | | | | | | | |
| Physician Compare | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 20 | 20 | 14 | 17 | 21 | | | | | | | | | | | |
| Part C | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 10 | 10 | 11 | 7 | 6 | 50 | | | | | | | | | | |
| Part D | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 1 | 0 | 0 | 1 | 28 | | | | | | | | | |
| Medicaid Adult | 1 | 0 | 0 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 7 | 7 | 7 | 5 | 2 | 7 | 0 | 26 | | | | | | | | |
| Medicaid Child | 1 | 1 | 0 | 1 | 0 | 0 | 0 | 1 | 1 | 1 | 4 | 4 | 4 | 0 | 0 | 1 | 0 | 3 | 23 | | | | | | | |
| NHQI | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 26 | | | | | | |
| HH QRP | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 81 | | | | | |
| ESRD QIP | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 18 | | | | |
| HQRP | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 10 | | | |
| IRFQR Program | 2 | 1 | 0 | 1 | 1 | 0 | 1 | 0 | 1 | 2 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 5 | | |
| LTCHQR Program | 5 | 2 | 0 | 4 | 1 | 0 | 1 | 0 | 2 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 1 | 3 | 0 | 0 | 0 | 4 | 9 | |

Appendix 1: Chapter 1—CMS Measures in Relationship to the National Quality Strategy Priorities

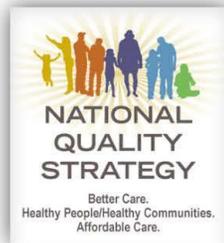
Appendix 1-1: HHS Decision Rules for Categorizing Measures of Health, Health Care Quality, and Health Care Affordability

The *HHS Decision Rules for Categorizing Measures of Health, Health Care Quality, and Health Care Affordability* document follows this page.

HHS Decision Rules

for

Categorizing Measures of Health, Health Care Quality, and Health Care Affordability



1/15/14

HHS Decision Rules for Categorizing Measures of Health, Health Care Quality, and Health Care Affordability

1/15/14

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I. PURPOSE OF MEASURE CATEGORIZATION

The multiple divisions of the Department of Health and Human Services (HHS) currently use thousands of measures to evaluate and improve US health and health care. Efficiently using these measures— and additional measures under development—requires that HHS well understand what these measures represent. Analyzing HHS’ set of measures according to the National Quality Strategy priorities, and setting and level of care is a key step in helping to achieve this understanding. An improved and shared understanding of these measures will facilitate better identification of measure gaps, priorities for new measure development, as well as any instances of a surplus of measures. It will also help improve coordination of new measure development and harmonization of existing measures, and provide insight on how best to move towards achieving a set of highly effective measures that minimizes measurement burden, while providing all stakeholders with useful information on health and healthcare.

II. STANDARDS FOR THE DECISION RULES

A. Logic and transparency.

Decision rules are written, explicit, logic statements that make clear the criteria that must be met in order to assign a measure into a particular measure category. Decision rules shall be available to all stakeholders.

B. Use of standardized definitions.

To the extent possible, rules for categorizing measures shall be consistent with and use standardized definitions of concepts and criteria. Establishing formal links between measure concepts and standardized definitions helps to better link measures and measurement with health services research and databases, and work conducted in the broader national and international arena. To the extent that a standardized definition does not exist, identifying this can provide valuable feedback for health services research and policy makers.

C. Continuous improvement.

Decision rules shall be subject to continuous quality improvement. As decision rules are applied, the need for revision or addition to the rules may become apparent. Measure creators, stewards, or others categorizing measures should document all instances when existing decision rules are insufficient to easily categorize a measure. These instances should be brought to the attention of the HHS Measures Coordination Group, who will analyze the problem and make recommendations for additions or revisions to the decision rules or measure categories, as needed. When such instances are identified internally within HHS, they should be forwarded to the MCG lead who will bring them to the full MCG. When such instances are identified by HHS contractors, the contractor should bring

them to the attention of the Contract Officer's Representative (COR) or Government Task Lead (GTL), who will bring it to the attention of the MCG.

D. Decision rules shall be endorsed by the HHS Measures Policy Council.

The HHS Measures Coordination Group is the operational arm of the HHS Measures Policy Council. Decision rules and subsequent revisions shall take effect upon the date of endorsement by the HHS Measures Policy Council. The HHS Measures Policy Council will take the lead on coordinating HHS decision rules with rules used in the private sector.

III. GENERAL RULES FOR CATEGORIZING MEASURES

A. Timing of categorization.

Newly created measures shall be categorized by their creator when each measure's specifications are developed. Measures already in use shall be reviewed for categorization or re-categorization by the HHS division that is responsible for each measure as part of its annual update and any scheduled comprehensive review. Following the decision rules, measure creators shall document in writing the logic by which the measure is assigned to a specific category. When the logic used to categorize a measure is made explicit, reviewers will have the opportunity to comment on the proposed categorization as part of the measure's creation, endorsement and maintenance processes. This will aid in understanding the validity of the measure, and can help translate measurement results to all stakeholders. A given measure can be re-categorized if there is consensus from the HHS division responsible for the measure or the HHS Measures Policy Council that the measure belongs in a category different than the one initially identified.

B. Person-centered¹ approach.

There are multiple different perspectives through which measures can be understood and categorized. Some measures may relate to more than one aspect of health care. Health care providers, purchasers of health care, measure developers, and others also may all have different views of what a specific measure represents. All of these ways of thinking

¹ Many different words can be used to refer to individuals whose health or healthcare is being measured, including "patient," "client," "consumer," "recipient," "beneficiary," and others. The use of the word, "person," is intended to include these perspectives, while also recognizing the broader life roles of individuals in the communities in which they live. Use of the word "person-centered" also is intended to include families of adults who, with the consent of the individual person, can play an essential role in health and health care. With respect to children and adolescents, we always intend "person-centered" to include families.

about a measure may be valid, but the healthcare system needs consistent categorization by the multiple parties who categorize measures.

In situations of competing views, concerns, and needs, experts remind us that “True north” lies in “the experience of patients, their loved ones, and the communities in which they live.”² For this reason, in situations of multiple, competing views, categorization of measures shall be informed by considering how they would most likely be perceived by the persons whose health or health care is being measured, when that person is informed about what is being measured and the evidence about its significance. Considering this perspective will help to maintain a person-centered approach to health care overall; by focusing not just on how health care is delivered, but how we measure, think about, and communicate to the public about these issues. Using a person-centered approach could also help in efforts to educate the public about the relevance of individual performance measures to themselves.

IV. RULES FOR CATEGORIZING MEASURES ACCORDING TO NATIONAL QUALITY STRATEGY PRIORITIES

A. The National Quality Strategy priorities:

1. Making care safer by reducing harm caused in the delivery of care.
2. Ensuring that each person and family is engaged as partners in their care.
3. Promoting effective communication and coordination of care.
4. Promoting the most effective prevention and treatment practices for the leading causes of mortality, starting with cardiovascular disease.
5. Working with communities to promote wide use of best practices to enable healthy living.
6. Making quality care more affordable for individuals, families, employers, and governments by developing and spreading new health care delivery models.

Adherence to General Rules.

Categorization of measures according to the National Quality Strategy priorities shall follow the General Rules for Categorizing Measures in Section III, above.

Measures of Disparities in Health and Health Care (Inequity in health resources and care).

² D.M. Berwick. A User's Manual for the IOM's 'Quality Chasm' Report. Health Affairs, 21, no.3 (2002):80-90.

The categories below do not include a separate category for measures of health care equity or disparities in health or health care. Although concern with eliminating disparities in care and taking into consideration the different health and health care needs of individuals are explicit principles of the National Quality Strategy, the absence of a separate category for such measures is due to the belief that all measures of health and health care can serve as such measures. Although we recognize that not all measures are specified for stratification according to such concepts as race, ethnicity, or socio-economic status, when measures are implemented across different groups and the results stratified, they can provide reliable information on differences in the health or healthcare across these groups, and thus provide information on disparities or inequities in health and health care.

Number of categorizations.

Some measures may relate to more than one NQS priority. For example, a measure of the delivery of inappropriate care may be categorized as a measure of healthcare waste because it is delivering care that is not needed. If such care also exposes the patient to risk, it can be conceived of as a measure of patient safety. In the future, composite measures might assess the combination of effective care and care coordination along with patient engagement or some other combination of dimensions of care. When a measure meets the decision rules for categorization into more than one NQS priority, the measures shall be mapped to all these NQS priorities. However, when a measure is assigned to more than one NQS priority category, one priority shall be designated as the measure's primary category and all other assignable categories shall be assigned as a secondary categorization. Determination of the measure's primary category shall be made by determining which NQS priority's decision rules the measure most strongly meets.

B. Criteria for categorizing measures.

Each measure shall be categorized under the NQS priority or priorities to which it applies using the decision rules set forth below. When a measure does not meet the decision rules for any of the NQS priorities it shall be designated as "Not Assignable to a National Quality Strategy Priority."

1. Making care safer by reducing harm caused in the delivery of care.

This priority has two components:

- a. "making care safer." This means that the measure must address either an explicit structure or process intended to make care safer, or the outcome of the presence or absence of such a structure or process; and
- b. harm "caused in the delivery of care." This means that the structure, process or outcome described in "a" must occur as a part of or as a result of the delivery of care.

Applicable definition:

“Making care safer” shall be defined according to the National Library of Medicine (NLM) MeSH definition of safety; i.e., increasing “Freedom from exposure to danger and protection from the occurrence or risk of injury or loss including personal safety as well as the safety of property.” This includes “patient safety” which includes “efforts to reduce risk, to address and reduce incidents and accidents that may negatively impact healthcare consumers” and “safety management,” defined as “The development of systems to prevent accidents, injuries, and other adverse occurrences. . .”

Criteria for inclusion:

Include in this category measures that meet criteria “a” or “b” below:

- a. The measure addresses a structure or process designed to reduce risk *in the delivery of health care* to healthcare consumers and employees in all settings in which health care is delivered, including institutional facilities, outpatient and ambulatory care settings, the home, and other locations in which care may be provided such as a place of employment or site of an accident or emergency;

OR

- b. The measure addresses the occurrence of a health or health care outcome that results from the presence or absence of structures or processes identified in item a.

Additional instructions for assigning measures into this category:

- a. **Measure must be linked to the delivery of care.** Measures of health care safety address efforts to reduce the presence of a specific risk to the person receiving health care or health care worker *that is caused by the delivery of health care*. All measures in this category of health care safety must address a structure or process that is part of care delivery or an adverse outcome (i.e., errors, harm, complications, or death) that is the result of care delivery. For example, failure to receive a mammogram may increase the risk for late detection of breast cancer; however, this is not a safety measure as it did not involve risk caused by the delivery of health care. This measure would be a measure of effective treatment practices in category 4, below. However, measures of the incidence of pressure ulcers in a nursing home or measures of processes to prevent these pressure ulcers are examples of a health care safety measure because it addresses processes or outcomes that are concerned with the reduction of risk that takes place during care delivery.
- b. **Determining measures of safety versus affordability.** When there is a question about whether a measure, for example a measure of the provision of inappropriate

care, should be assigned to the category of “Making care safer . . .” because it could result in harm, or the category of “Making quality care more affordable” because the provision of inappropriate care also is a measure of waste, examine the measure from the perspective of the person whose care is being measured. If the provision of inappropriate care; e.g., such as an unnecessary invasive procedure would or should be perceived by a knowledgeable patient as placing the patient at significant risk, categorize the measure as a measure of patient safety. If the measure measures the delivery of inappropriate care that does not place the person’s health at risk, e.g., measures of certain unnecessary radiologic or laboratory studies, categorize the measure as a measure of waste under “Making quality care more affordable.”

- c. **Measures of Safety Culture.** Include in this category measures of organizations’ safety cultures and characteristics that define “high reliability organizations” (HROs).³ Features of cultures of safety include:

- acknowledgment of the high-risk nature of an organization's activities and the determination to achieve consistently safe operations;
- a blame-free environment where individuals are able to report errors or near misses without fear of reprimand or punishment;
- encouragement of collaboration across ranks and disciplines to seek solutions to health care safety problems; and
- organizational commitment of resources to address safety concerns.

Characteristics of HROs similarly include:

- *Sensitivity to Operations* that make every employee and team mindful of the complexities of systems to eliminate errors,
- *Reluctance to Simplify* explanations of difficulties and problems they face,
- *Proactive Preoccupation with Failure* and Near misses,
- *Deference to Expertise* so that staff at every level comfortably share information to report and solve problems, and a
- *Commitment to Resilience* in quickly containing errors and developing the capacity for continuous improvement and learning.

³ HROs can be defined as organizations that consistently minimize adverse events despite carrying out intrinsically complex and hazardous work. (See: <http://psnet.ahrq.gov/primer.aspx?primerID=5>)

- d. **Handling of measures of mortality and complications of health care delivery.** As above, sometimes a measure (particularly measures of mortality or complication of care delivery) may meet the decision rules for both “Making Care Safer” and “Promoting the most effective prevention and treatment practices.” While many measures of patient mortality and complications are expected to be assigned to “Promoting the most effective prevention and treatment practices” because of their relationship to a disease process, measures of mortality or complications of care related to or resulting from the delivery of care would be categorized under “Making care safer...” (e.g., Rate of Complications of Anesthesia; Accidental Puncture or Laceration Rate).

2. Ensuring that each person and family is engaged as partners in their care.

This priority has two components:

- 1) the experience of each person and their family; and
- 2) the extent to which they are “engaged as partners in their care.”

Applicable definitions:

The concept of person/family “engagement” is defined as “a set of behaviors by patients, family members, and health professionals and a set of organizational policies and procedures that foster both the inclusion of patients and family members as active members of the health care team and collaborative partnerships with providers and provider organizations.”⁴

Criteria for inclusion:

Include in this category only measures of either:

- a. Organizational structures or processes that foster both the inclusion of persons and family members as active members of the health care team and collaborative partnerships with providers and provider organizations;

OR

⁴ Guide to Patient and Family Engagement: Environmental Scan Report. May 2012. Agency for Healthcare Research and Quality, Rockville, MD. <http://www.ahrq.gov/research/findings/final-reports/ptfamilyscan/index.html>. Accessed 7/15/13.

- b. Person or family-reported experiences (outcomes) of being engaged as active members of the health care team and in collaborative partnerships with providers and provider organizations.

Additional instructions for assigning measures into this category:

- a. Include in this category measures that address:

- 1) engaging both the person and his/her family in their care;
- 2) engaging only the person in their care, or
- 3) only the engagement of families.

This is because some methods (e.g., CAHPS survey questions) may address these separately but address all dimensions when individual measures are combined.

- b. Include in this category measures that address the “personalization” of health care and personalized risk assessments.
- c. Include in this category measures of cultural sensitivity, patient decision-making support, or care that reflects patient preferences.
- d. Include in this category measures of patient adherence to activities prescribed by a health care provider, such as patient adherence to medication therapy or follow-up appointments.

3. Promoting effective communication and coordination of care.

This priority has two components:

- 1) the promotion of effective communication and coordination of care (emphasis added); and
- 2) “communication and coordination of care.”

For purpose of categorization, assume that all actions to promote effective coordination of care involve efforts to promote effective communication.

Applicable definitions:

This category uses the following definition of care coordination:

“Care coordination is a conscious effort to ensure that all key information needed to make clinical decisions is available to patients and providers. It is defined as the deliberate organization of patient care activities between two or more participants involved in a patient’s care to facilitate appropriate delivery of health care services.”⁵

Criteria for inclusion:

Include in this category measures of:

- a. Structures or processes of the *deliberate organization of health care activities* between two or more participants involved in a person’s care to facilitate the appropriate delivery of health care services, including the marshalling of personnel and other resources needed to facilitate appropriate delivery of health care services. (Include only measures of actions whose purpose is to improve coordination of care *between health care providers*. Actions designed to improve communication between persons receiving care / families and their provider(s) shall be categorized under, “Ensuring that each person and family is engaged as partners in their care.”);

OR

- b. Person-reported experiences of the extent to which their care was deliberately organized between two or more participants involved in a person’s care to facilitate the appropriate delivery of health care services (outcomes). This can include reports by a person receiving care of the extent to which personnel and other resources were marshaled to carry out all required health care activities or information was exchanged among participants responsible for different aspects of the person’s health care.

OR

- c. Outcomes that primarily reflect successful care coordination; e.g., 30-day readmission, avoidable admissions from post-acute care facilities, emergency department visits, and service duplication.

Additional instructions for assigning measures into this category:

⁵ US DHHS. “National Healthcare Quality Report 2012.” AHRQ Publication N: 13-0002. May 2013. Available at: www.ahrq.gov/research/findings/nhqrdr/nhqr12/nhqr12_prov.pdf.

Include in this category measures of the use of electronic health records, and other information technology that facilitates communication between health care providers.

4. Promoting the most effective prevention and treatment practices for the leading causes of mortality, starting with cardiovascular disease.

This priority includes measures of practices to promote effective prevention and treatment of health conditions.

Applicable definitions:

This category uses the definition of “effective” put forth by the Institute of Medicine:

Care that is consistent with systematically acquired evidence to determine whether an intervention, such as a preventive service, diagnostic test, or therapy, produces better outcomes than alternatives – including the alternative of doing nothing.⁶

Criteria for inclusion:

Include in the category measures whose specifications:

- a. include measurement of a specific practice or practices related to treatment, management and prevention of complications/disability among individuals *with an existing health condition or conditions*;

OR

- b. Patient-centered outcomes of a disease state or states.

Additional instructions for assigning measures into this category:

- a. Although the priority addresses, “the most effective” prevention and treatment practices, it is beyond the scope of these decision rules to distinguish “most effective” practices from “lesser effective” practices. Therefore, this portion of the priority is not operationalized in these decision rules.
- b. When categorizing measures of prevention or behavior changes, categorize measures whose specifications address a specific diagnosed condition or conditions

⁶ Institute of Medicine, 2001. Crossing the Quality Chasm: A New Health System for the 21st Century. National Academy Press. Washington DC.

under this category. Measures of prevention should be included in this category when the preventive practice is recommended specifically because of its relationship to an existing condition(s). An example would be screening for retinopathy in patients with diabetes. Similarly a measure of exercise as part of cardiac rehabilitation would be categorized under “Promoting the most effective prevention and treatment practices for the leading causes of mortality. Measures of screening, prevention activities, and health behaviors that do not specify a particular diagnosed condition or conditions, (such as a measure of exercise as it relates to good health generally) are to be classified under, “Working with communities to promote wide use of best practices to enable healthy living.”

5. Working with communities to promote wide use of best practices to enable healthy living.

This priority has two components:

- 1) working with communities; and
- 2) promotion of practices to enable healthy living.

Applicable definitions:

- a. A community is defined as follows:
“Community is a group of people who have common characteristics; communities can be defined by geographic proximity, race, ethnicity, age, occupation, interest in particular problems or outcomes, or other similar common bonds.”⁷
- b. A practice to enable healthy living is defined as any intervention to improve the health behaviors or health of a group of individuals.

Criteria for inclusion:

Include in this category only measures whose specifications explicitly include:

- a. Outcomes and indicators of the health of a community; examples include prevalence of obesity, incidence of dental decay or cavities in children, days of school missed, etc.

OR

⁷ Derived from: Turnock, BJ. Public Health: What It Is and How It Works. Jones and Bartlett, 2009.

- b. Measurement of process(es) – regardless of the environment or setting of the process(es) – focused on primary prevention of disease or general screening for early detection of disease unrelated to a current or prior condition. Examples include immunization of healthy individuals, counseling on smoking cessation, best practices for housing programs, age-based colon cancer screening, etc. Screening done in individuals at increased risk due to a preexisting condition should go under Priority #4).

OR

- c. Structural components deemed necessary to support promotion of health and well-being; examples include establishment and maintenance of electronic public health information systems, capacity for providing preventive and health maintenance services, etc.

Additional instructions for assigning measures to this category:

Include in this category measures of structures or processes designed to prevent accidents and injuries in the community that are not directly related to health care:

6. Making quality care more affordable for individuals, families, employers, and governments by developing and spreading new health care delivery models.

This priority addresses measurement of the affordability of health care.

Applicable definitions:

Affordability is defined as including health care costs, health care expenditures, resource use, and efficiency. This includes measures of unnecessary health services, inefficiencies in health care delivery, high prices, and fraud.

Criteria for inclusion:

Include in this category measures whose specifications explicitly include a measure of affordability of healthcare for individuals, families, employers, or governments.

Include measures of access to care in this category.

7. Measures not able to be categorized.

Measures that do not meet the decision rules for assignment to any National Quality Strategy priority shall be assigned to the category of: “Not assignable to a National Quality Strategy priority.”

V. RULES FOR CATEGORIZING MEASURES ACCORDING TO SETTING OF CARE AND UNIT OF ANALYSIS.

A. *Categorizing measures according to “Settings of Care.”*

People receive health care in many different places – in the office of a clinician or group practice, in a hospital or nursing home, in an urgent care center, or at the site of a traffic accident, for example. This means that efforts to improve health care quality must address care delivered in all these places. Similarly, measures of health care quality will need to address care delivered in all these settings. Categorizing measures according to the setting(s) of care to which they apply will enable HHS to assess the comprehensiveness of its measure set and more easily identify measure gaps.

A “setting of care” is defined as the type of place in which a person receiving healthcare would perceive that they are in, when healthcare is delivered. The “setting of care” measures categories listed below were derived from a review of how “settings of care” is treated in the following categorization and classification approaches used in or related to health care:

1. The Federal Department of Health and Human Service’s Measures Inventory;
2. National Quality Forum’s measures database (NQF’s “Quality Positioning System”);
3. National Quality Measures Clearinghouse, which uses standard terminology (Controlled Vocabulary Concepts) to classify various measure attributes;
4. AHRQ’s Common Formats - definitions and formats providers are required to use to submit information on patient safety events;
5. Census Bureau classification system for all settings that are inpatient and/or residential (i.e., called group quarters);
6. North American Industry Classification System (NAICS) - the standard used by Federal statistical agencies in classifying business establishments for the purpose of collecting, analyzing, and publishing statistical data related to the U.S. business economy;
7. 2010 Standard Occupational Classification (SOC) system used by Federal statistical agencies to classify workers into occupational categories for the purpose of collecting, calculating, or disseminating data;
8. “Places of care” categories used in the Medical Expenditure Panel Survey;
9. “Places of care” categories used in the NHANES Survey;
10. “Place of service” codes used in the UB 04 claim form; and
11. “Place of service” codes used in the CMS 1500 claim form.

The resulting categories specified below reflect the dual goals of

- 1) when appropriate, achieving as much consistency as possible with the above categorization approaches; and

2) ensuring that the resulting categories are logical and useful to the diverse public and private sector programs delivering health care and measuring healthcare quality.

When categorizing a measure according to the setting (or settings) of care to which it applies, assign it to the category(ies) below that are reflected in the measure's specifications. If a measure's specifications do not include any setting of care, categorize the measures as "measure does not specify a setting of care delivery."

Setting of care categories:

1. Adult day care facility
2. Ambulance or site of an emergency that is not a home
3. Ambulatory Surgery Site
4. Behavioral Health / Mental Health / Substance Abuse Treatment Setting
 - a. Inpatient
 - b. Outpatient (including intensive outpatient services)
 - c. Partial Hospitalization
 - d. Residential
5. Birthing Center
6. Community Sites of wellness services or non-medical health services; e.g., senior centers, community centers, places of worship, gyms, other non-medical places offering one or more health related services such as exercise or nutrition classes
7. Correctional Institution (includes prisons and jails)
8. Dialysis Facility
 - a. Inpatient
 - b. Outpatient
9. Employment site
10. Home (a person's personal residence that is not a residential facility or operated as a group home)
11. Hospice facility (inpatient)
12. Hospital/Acute Care Facility – Inpatient
 - a. Critical Access Hospitals
13. Hospital/Acute Care Facility – Outpatient
14. Imaging Facility
15. Laboratory
16. Office or clinic
 - a. Clinician Office
 - b. Urgent Care Office
 - c. School-based clinic
 - d. Community Health Center (e.g. public health clinic, community-based organization (CBO), Federally Qualified Health Center (FQHC) or FQHC "look-alikes.")
 - e. Retail-based clinics located in settings such as drugstores, food stores and other retail settings.
 - f. Mobile Unit

- g. Other (specify: _____)
- 17. Pharmacy
- 18. Post-Acute or Long Term Care Facility
 - a. Long Term Acute Care Hospital
 - b. Skilled Nursing Facility
 - c. Nursing Facility
 - d. Inpatient Rehabilitation Facility
 - e. Intermediate Care Facility/ MR
- 19. Residential Facilities
 - a. Mental health or substance abuse residential care facility/group home
 - b. Residential care facility for people with intellectual disabilities
 - c. Assisted Living Facility
- 20. Other (Specify: _____)
- 21. Measure does not specify a setting of care delivery
- 22. Not Applicable; e.g., a health outcome that is measured for a geopolitical community that is not a reflection of care or service delivered in a particular setting.

B. Categorizing measures according to “Level of analysis.”

All measures target a level of the healthcare system that is held accountable for performance. This level—that also is the focus of measurement and targeted improvement—is called the level of analysis. Categorize each measure according to its level of analysis.

- 1. Individual Health Care Provider
 - a. Physician
 - b. Nurse
 - c. Dentist
 - d. Licensed clinician/therapist
 - e. Other behavioral health practitioner (non-MD, non-RN, e.g., paraprofessional or peer counselor)
 - f. Aide
 - g. Team
 - h. Other (Specify: _____)
- 2. Health care delivery organization (public or private); e.g. group practice, hospital, home health agency, public hospital or health program
- 3. Health Plan, such as a managed care plan or other health insurance plan
- 4. Health Care Delivery System
 - a. Integrated Delivery System (i.e., a network of health care providers and organizations which provides or arranges to provide a coordinated continuum of services to a defined population and is willing to be held clinically and fiscally accountable for the clinical outcomes and health status of the population served. An Integrated Delivery System may own or could be closely aligned with an insurance product.)
 - b. Accountable Care Organization

- c. Medical Home
 - d. Other
5. Geopolitical unit
- a. Community, County or City
 - b. National
 - c. Regional
 - d. State
6. Other (Specify: _____)
- e.g., an internet community or other community that is not a geopolitical unit.
("Community" is defined as a group of people who have common characteristics;
communities can be defined by geographic proximity, race, ethnicity, age, occupation,
interest in particular problems or outcomes, or other similar common bonds. (Turnock,
BJ. Public Health: What It Is and How It Works. Jones and Bartlett, 2009.))

Appendix 2: Chapter 2—Measures Under Consideration: Addressing Measure Needs

Appendix 2-1: MAP Measure Selection Criteriaⁱⁱⁱ

1. NQF-endorsed measures are required for program measure sets, unless no relevant endorsed measures are available to achieve a critical program objective.

Demonstrated by a program measure set that contains measures that meet the NQF endorsement criteria, including: Importance to measure and report, scientific acceptability of measure properties, feasibility, usability and use, and harmonization of competing and related measures.

Sub-criterion 1.1 Measures that are not NQF-endorsed should be submitted for endorsement if selected to meet a specific program need

Sub-criterion 1.2 Measures that have had endorsement removed or have been submitted for endorsement and were not endorsed should be removed from programs

Sub-criterion 1.3 Measures that are in reserve

2. Program measure set adequately addresses each of the National Quality Strategy's three aims.

Demonstrated by a program measure set that addresses each of the National Quality Strategy (NQS) aims and corresponding priorities. The NQS provides a common framework for focusing efforts of diverse stakeholders on:

Sub-criterion 2.1 Better care, demonstrated by patient- and family-centeredness, care coordination, safety, and effective treatment

Sub-criterion 2.2 Healthy people/healthy communities, demonstrated by prevention and well-being

Sub-criterion 2.3 Affordable care

3. Program measure set is responsive to specific program goals and requirements.

Demonstrated by a program measure set that is "fit for purpose" for the particular program.

Sub-criterion 3.1 Program measure set includes measures that are applicable to and appropriately tested for the program's intended care setting(s), level(s) of analysis, and population(s)

ⁱⁱⁱNational Quality Forum. MAP Measure Selection Criteria. MAP Task Forces. http://www.qualityforum.org/MAP_Task_Forces.aspx. Published October 15, 2013. Accessed September 24, 2014.

Sub-criterion 3.2 Measure sets for public reporting programs should be meaningful for consumers and purchasers

Sub-criterion 3.3 Measure sets for payment incentive programs should contain measures for which there is broad experience demonstrating usability and usefulness (Note: For some Medicare payment programs, statute requires that measures must first be implemented in a public reporting program for a designated period)

Sub-criterion 3.4 Avoid selection of measures that are likely to create significant adverse consequences when used in a specific program.

Sub-criterion 3.5 Emphasize inclusion of endorsed measures that have eMeasure specifications available

4. Program measure set includes an appropriate mix of measure types

Demonstrated by a program measure set that includes an appropriate mix of process, outcome, experience of care, cost/resource use/appropriateness, composite, and structural measures necessary for the specific program.

Sub-criterion 4.1 In general, preference should be given to measure types that address specific program needs

Sub-criterion 4.2 Public reporting program measure sets should emphasize outcomes that matter to patients, including patient- and caregiver-reported outcomes

Sub-criterion 4.3 Payment program measure sets should include outcome measures linked to cost measures to capture value

5. Program measure set enables measurement of person- and family-centered care and services.

Demonstrated by a program measure set that addresses access, choice, self-determination, and community integration

Sub-criterion 5.1 Measure set addresses patient/family/caregiver experience, including aspects of communication and care coordination

Sub-criterion 5.2 Measure set addresses shared decision-making, such as for care and service planning and establishing advance directives

Sub-criterion 5.3 Measure set enables assessment of the person's care and services across providers, settings, and time

6. Program measure set includes considerations for healthcare disparities and cultural competency.

Demonstrated by a program measure set that promotes equitable access and treatment by considering healthcare disparities. Factors include addressing race, ethnicity, socioeconomic status, language, gender, sexual orientation, age, or geographical considerations (e.g., urban vs. rural). Program measure set also can address populations at risk for healthcare disparities (e.g., people with behavioral/mental illness).

Sub-criterion 6.1 Program measure set includes measures that directly assess healthcare disparities (e.g., interpreter services)

Sub-criterion 6.2 Program measure set includes measures that are sensitive to disparities measurement (e.g., beta blocker treatment after a heart attack), and that facilitate stratification of results to better understand differences among vulnerable populations

7. Program measure set promotes parsimony and alignment.

Demonstrated by a program measure set that supports efficient use of resources for data collection and reporting, and supports alignment across programs. The program measure set should balance the degree of effort associated with measurement and its opportunity to improve quality.

Sub-criterion 7.1 Program measure set demonstrates efficiency (i.e., minimum number of measures and the least burdensome measures that achieve program goals)

Sub-criterion 7.2 Program measure set places strong emphasis on measures that can be used across multiple programs or applications (e.g., Physician Quality Reporting System [PQRS], Meaningful Use for Eligible Professionals, Physician Compare)

Appendix 2-2: National Quality Strategy Priorities^{iv} (NQS Domains)

1. Making care safer by reducing harm caused in the delivery of care (Safety).
2. Ensuring that each person and family is engaged as partners in their care (Patient Engagement).
3. Promoting effective communication and coordination of care (Care Coordination).
4. Promoting the most effective prevention and treatment practices for the leading causes of mortality, starting with cardiovascular disease (Effective Treatment).
5. Working with communities to promote wide use of best practices to enable healthy living (Healthy Communities).
6. Making quality care more affordable for individuals, families, employers, and governments by developing and spreading new health care delivery models (Affordable Care).

^{iv} U.S. Department of Health and Human Services. *Report to Congress: National Strategy for Quality Improvement in Health Care*. Washington, DC: U.S. Dept of Health and Human Services. 2011

Appendix 2-3: Program Summary of Number of Measures Submitted, MAP Recommendations and Implementation Status of Not Supported Measures, 2011

| CMS Program | Total Number of Measures Submitted | MAP Support- Support Direction n (%) | Not Supported n (%) | Not Supported but Used n (%) |
|--|------------------------------------|---|---------------------------|---------------------------------------|
| Ambulatory Surgical Center Quality Reporting Program | 0 | N/A | 0 | N/A |
| End-Stage Renal Disease Quality Incentive Program | 5 | 4 (80%) | 1 (20%) | 0 |
| Home Health Quality Reporting Program | 0 | N/A | 0 | N/A |
| Hospice Quality Reporting Program | 6 | 6 (100%) | 0 | 0 |
| Hospital-Acquired Condition Reduction Program | 0 | N/A | 0 | N/A |
| Hospital Inpatient Quality Reporting Program | 22 | 19 (86%) | 2 (9%) | 0 |
| Hospital Outpatient Quality Reporting Program | 0 | N/A | 0 | N/A |
| Hospital Readmissions Reduction Program | 0 | N/A | 0 | N/A |
| Hospital Value-Based Purchasing Program | 13 | 4 (31%) | 9 (69%) | 1 (8%) |
| Inpatient Psychiatric Facility Quality Reporting Program | 6 | 6 (100%) | 0 | 0 |
| Inpatient Rehabilitation Facility Quality Reporting Program | 8 | 8 (100%) | 0 | 0 |
| Long-Term Care Hospitals Quality Reporting Program | 8 | 8 (100%) | 0 | 0 |
| Medicare Shared Savings Program | 0 | N/A | 0 | N/A |
| Physician Quality Reporting System | 153 | 41 (27%) | 112 (73%) | 16 (10%) |
| Physician Feedback Program | 7 | 7 (100%) | 0 | 0 |
| Physician Compare | 0 | N/A | 0 | N/A |
| Prospective Payment System-Exempt Cancer Hospitals Quality Reporting Program | 5 | 5 (100%) | 0 | 0 |
| Total | 233 | 108 (43%) | 125 (54%) | 17 (7%) |

Appendix 2-4: Program Summary of Number of Measures Submitted, MAP Recommendations and Implementation Status of Not Supported Measures, 2012

| CMS Program | Total Number of Measures Submitted | MAP Support/Support Direction n (%) | Not Supported n (%) | Not Supported but Used n (%) |
|--|------------------------------------|-------------------------------------|---------------------|------------------------------|
| Ambulatory Surgical Center Quality Reporting Program | 5 | 4 (80%) | 0 | 0 |
| End-Stage Renal Disease Quality Incentive Program | 21 | 20 (95%) | 1 (5%) | 0 |
| Home Health Quality Reporting Program | 2 | 2 (100%) | 0 | 0 |
| Hospice Quality Reporting Program | 7 | 7 (100%) | 0 | 0 |
| Hospital-Acquired Condition Reduction Program | 25 | 16 (64%) | 9 (36%) | 0 |
| Hospital Inpatient Quality Reporting Program | 20 | 17 (85%) | 3 (15%) | 2 (10%) |
| Hospital Outpatient Quality Reporting Program | 7 | 6 (86%) | 0 | 0 |
| Hospital Readmissions Reduction Program | 6 | 5 (83%) | 1 (17%) | 0 |
| Hospital Value-Based Purchasing Program | 17 | 16 (94%) | 1 (6%) | 0 |
| Inpatient Psychiatric Facility Quality Reporting Program | 5 | 4 (80%) | 1 (20%) | 0 |
| Inpatient Rehabilitation Facilities Quality Reporting Program | 10 | 9 (90%) | 1 (10%) | 0 |
| Long-Term Care Hospitals Quality Reporting Program | 29 | 25 (86%) | 4 (14%) | 0 |
| Medicare Shared Savings Program | 0 | N/A | 0 | 0 |
| Physician Quality Reporting System | 281 | 145 (52%) | 136 (48%) | 9 (3%) |
| Physician Feedback Program | 50 ^v | 32 ^{vi} (64%) | 0 | 0 |
| Physician Compare | 50 | 11 (26%) | 0 | 0 |
| Prospective Payment System-Exempt Cancer Hospitals Quality Reporting Program | 19 | 19 (100%) | 0 | 0 |
| Total | 504 | 338 (67%) | 157 (31%) | 11 (2%) |

^v Physician Feedback/Quality and Resource Utilization & Reports, Physician-Value Based Payment Modifier, and Physician Compare were submitted as one program in 2012; as such the 50 measures are only counted once in the total count of measures.

^{vi} The MAP did not provide specific recommendations for 27 of the 50 measures submitted for Physician Feedback Program and Physician Compare, one measure submitted for Ambulatory Surgical Center Quality Reporting, and one measure submitted for Hospital Outpatient Quality Reporting Program. Map recommendations for 20 out of 27 Physician Feedback Program measures were found in 2013 MAP meeting documents.

Appendix 2-5: Program Summary of Number of Measures Submitted, and MAP Measure Recommendations, 2013

| CMS Program | Total Number of Measures Submitted ^{vii} | MAP Support/Support Direction n (%) | Not Supported n (%) |
|--|---|-------------------------------------|---------------------|
| Ambulatory Surgical Center Quality Reporting Program | 1 | 1 (100%) | 0 |
| End-Stage Renal Disease Quality Incentive Program | 20 | 16 (80%) | 4 (20%) |
| Home Health Quality Reporting Program | 4 | 4 (100%) | 0 |
| Hospice Quality Reporting Program | 0 | N/A | 0 |
| Hospital-Acquired Condition Reduction Program | 4 | 2 (50%) | 2 (50%) |
| Hospital Inpatient Quality Reporting Program | 10 | 10 (100%) | 0 |
| Hospital Outpatient Quality Reporting Program | 4 | 1 (25%) | 3 (75%) |
| Hospital Readmissions Reduction Program | 3 | 2 (67%) | 1 (33%) |
| Hospital Value-Based Purchasing Program | 14 | 4 (29%) | 10 (71%) |
| Inpatient Psychiatric Facility Quality Reporting Program | 10 | 3 (30%) | 7 (70%) |
| Inpatient Rehabilitation Facilities Quality Reporting Program | 8 | 8 (100%) | 0 |
| Long-Term Care Hospitals Quality Reporting Program | 3 | 3 (100%) | 0 |
| Medicare Shared Savings Program ^{viii} | 97 | 7 (10%) | 5 (5%) |
| Physician Quality Reporting System ^{ix} | 107 | 70 (69%) | 12 (11%) |
| Physician Feedback Program ^x | 158 | 68 (43%) | 66 (42%) |
| Physician Compare ^{xi} | 107 | 22 (21%) | 66 (62%) |
| Prospective Payment System-Exempt Cancer Hospitals Quality Reporting Program | 6 | 6 (100%) | 0 |
| Total | 556 | 227 (41%) | 176 (32%) |

^{vii} The number of measures that are shown as “submitted” have been adjusted to account for measures that were withdrawn from consideration by CMS subsequent to the pre-rulemaking measure list being posted on the NQF web page.

^{viii} The MAP did not provide for 85 measures submitted for the Medicare Shared Savings Program.

^{ix} The MAP did not provide recommendation for 25 measures submitted for the Physician Quality Reporting System.

^x The MAP did not provide recommendation for 24 measures submitted for the Physician Feedback Program.

^{xi} The MAP did not provide recommendation for 19 measures submitted for Physician Compare.

Appendix 2-6: Physician Quality Reporting System (PQRS) Measures Implemented and Not Supported by MAP

| PQRS # | Measure Title |
|--------|--|
| 336 | Maternity Care: Post-Partum Follow-Up and Care Coordination |
| 343 | Screening Colonoscopy Adenoma Detection Rate |
| 344 | Rate of Carotid Artery Stenting (CAS) for Asymptomatic Patients, Without Major Complications (Discharged to Home by Post-Operative Day #2) |
| 350 | Total Knee Replacement: Shared Decision-Making: Trial of Conservative (Non-surgical) Therapy |
| 354 | Anastomotic Leak Intervention |
| 355 | Unplanned Reoperation within the 30 Day Postoperative Period |
| 356 | Unplanned Hospital Readmission within 30 Days of Principal Procedure |
| 357 | Surgical Site Infection (SSI) |
| 358 | Patient-Centered Surgical Risk Assessment and Communication |

Appendix 3: Chapter 3—Physician Adoption of PQRs Measures

Appendix 3-1: Physician Specialties Considered in Analysis

| Physician Compare Specialty | Final Specialty Category | Specialty Category For Figure 3-2 * = Not included in figure |
|-------------------------------------|--------------------------|---|
| Addiction Medicine | Other | * |
| Allergy/Immunology | Other | * |
| Anesthesiology | Anesthesiology | Anesthesiology |
| Cardiac Electrophysiology | Cardiology | Cardiology |
| Cardiac Surgery | Cardiac Surgery | Cardiac Surgery |
| Cardiovascular Disease (Cardiology) | Cardiology | Cardiology |
| Colorectal Surgery (Proctology) | Other Surgery | * |
| Critical Care (Intensivists) | Pulmonary | Pulmonary |
| Dermatology | Dermatology | Dermatology |
| Diagnostic Radiology | Radiology | Radiology |
| Emergency Medicine | Emergency Medicine | Emergency Medicine |
| Endocrinology | Endocrinology | Endocrinology |
| Family Practice | Primary Care | Primary Care |
| Gastroenterology | Gastroenterology | Gastroenterology |
| General Practice | Primary Care | Primary Care |
| General Surgery | General Surgery | General Surgery |
| Geriatric Medicine | Primary Care | Primary Care |
| Geriatric Psychiatry | Psychiatry | Psychiatry |
| Gynecological Oncology | Obstetrics/Gynecology | * |
| Hand Surgery | Other Surgery | * |
| Hematology | Hematology/Oncology | Hematology/Oncology |
| Hematology/Oncology | Hematology/Oncology | Hematology/Oncology |
| Hospice/Palliative Care | Other | * |
| Infectious Disease | Infectious Diseases | Infectious Diseases |
| Internal Medicine | Primary Care | Primary Care |
| Interventional Pain Management | Other | * |
| Interventional Radiology | Radiology | Radiology |
| Maxillofacial Surgery | Other Surgery | * |
| Medical Oncology | Hematology/Oncology | Hematology/Oncology |
| Nephrology | Nephrology | Nephrology |
| Neurology | Neurology | Neurology |
| Neuropsychiatry | Neurology | Neurology |
| Neurosurgery | Other Surgery | * |
| Nuclear Medicine | Radiology | Radiology |
| Obstetrics/Gynecology | Obstetrics/Gynecology | * |

Appendix 3-1: Physician Specialties Considered in Analysis

| Physician Compare Specialty | Final Specialty Category | Specialty Category For Figure 3-2 * = Not included in figure |
|--------------------------------------|--------------------------|---|
| Ophthalmology | Ophthalmology | Ophthalmology |
| Orthopedic Surgery | Orthopedic Surgery | Orthopedic Surgery |
| Otolaryngology | Other Surgery | * |
| Pain Management | Other | * |
| Pathology | Pathology | Pathology |
| Peripheral Vascular Disease | Other | * |
| Physical Medicine And Rehabilitation | Other | * |
| Plastic And Reconstructive Surgery | Other Surgery | * |
| Podiatry | Podiatry | * |
| Preventative Medicine | Primary Care | Primary Care |
| Psychiatry | Psychiatry | Psychiatry |
| Pulmonary | Pulmonary | Pulmonary |
| Radiation Oncology | Hematology/Oncology | Hematology/Oncology |
| Rheumatology | Rheumatology | Rheumatology |
| Sleep Laboratory/Medicine | Other | * |
| Sports Medicine | Other | * |
| Surgical Oncology | Other Surgery | * |
| Thoracic Surgery | Thoracic Surgery | Thoracic Surgery |
| Urology | Urology | Urology |
| Vascular Surgery | Vascular Surgery | Vascular Surgery |

Appendix 4: Chapter 4—Measure Alignment: CMS, State, and Veterans Health Administration Measures

Appendix 4-1: List of Conditions or Topics Used to Categorize State and VHA Quality Measures

| Topic or Condition |
|--|
| Accountable Care Organizations |
| Blood Products/Transfusion |
| Cancer |
| Cardiovascular |
| Central Nervous System |
| Cerebrovascular |
| Chronic and Elder Care |
| Communicable Diseases |
| Communication |
| Community Care Coordination/Transitions of Care |
| Dental |
| Diabetes |
| Diagnostic Imaging |
| Disability |
| Ears, Nose, and Throat |
| Emergency Care |
| Eyes/Vision |
| Functional Status |
| Gastrointestinal |
| Health Services Administration |
| Health Services Administration-Access |
| Health Services Administration-Cost |
| Health Services Administration-Drug Plans |
| Health Services Administration-Health Information Technology |
| Health Services Administration-Health Plans |
| Health Services Administration-Patient Care Management |
| Health Services Administration-Patient Education |
| Health Services Administration-Patient Experience |
| Health Services Administration-Professional Education |
| Health Services Administration-Quality Improvement |
| Health Services Administration-Research Utilization |
| Health Status |

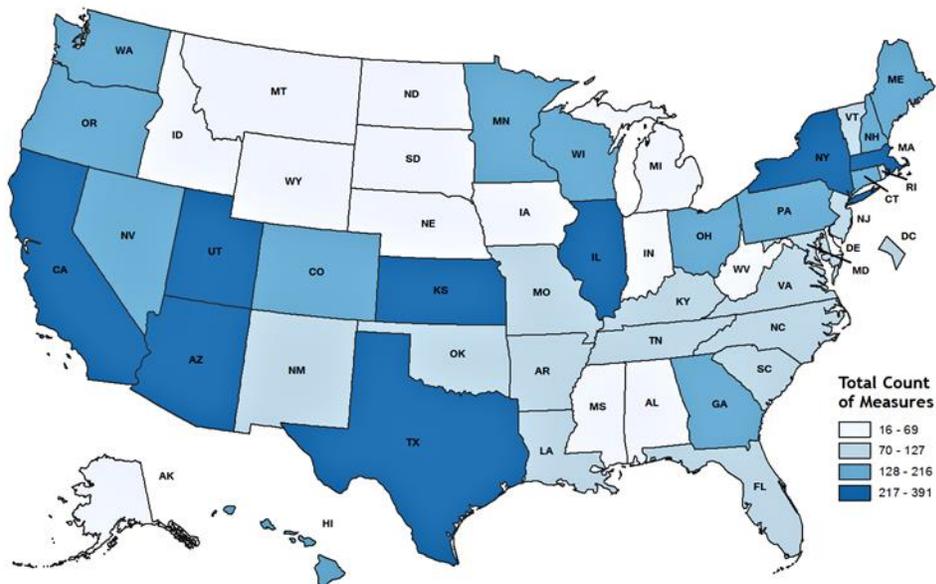
Appendix 4-1: List of Conditions or Topics Used to Categorize State and VHA Quality Measures

| Topic or Condition |
|---|
| Immunizations |
| Infant & Child Health |
| Mental Health Care & Substance-Related Care |
| Mortality |
| Musculoskeletal |
| Nutrition & Exercise |
| Obesity |
| Pain |
| Palliative Care |
| Patient Safety |
| Preventive Care |
| Public Health |
| Readmission |
| Renal & Genitourinary |
| Reproductive Health |
| Respiratory |
| Screening |
| Surgical Procedures |
| Women's Health |

Appendix 4-2: Number of Healthcare Programs per State Using Quality Measures, December 31, 2013

| Number of Programs by State | | | | | | | |
|-----------------------------|----|-----------|---|-----------|----|--------------|------------|
| AK | 2 | ID | 3 | MT | 3 | RI | 5 |
| AL | 3 | IL | 6 | NC | 7 | SC | 4 |
| AR | 9 | IN | 3 | ND | 3 | SD | 2 |
| AZ | 8 | KS | 4 | NE | 2 | TN | 6 |
| CA | 11 | KY | 3 | NH | 4 | TX | 7 |
| CO | 6 | LA | 7 | NJ | 2 | UT | 5 |
| CT | 7 | MA | 9 | NM | 12 | VA | 4 |
| DC | 6 | MD | 6 | NV | 4 | VT | 6 |
| DE | 2 | ME | 6 | NY | 9 | WA | 6 |
| FL | 5 | MI | 4 | OH | 5 | WI | 13 |
| GA | 3 | MN | 5 | OK | 7 | WV | 4 |
| HI | 4 | MO | 4 | OR | 7 | WY | 2 |
| IA | 3 | MS | 2 | PA | 11 | Total | 271 |

Appendix 4-3: Geographical Variation in the Total Number of Quality Measures Across States, December 31, 2013



Appendix 4-4: Total Number of Quality Measures by Program Type (Excludes VHA Measures), December 31, 2013

| Program Type | Total Number of Measures | Percent |
|----------------------------|--------------------------|-------------|
| Medicaid MCO | 1,568 | 22% |
| Report Card | 1,438 | 21% |
| Medicaid FFS | 1,136 | 16% |
| Dual Eligible | 464 | 7% |
| HAI Reporting | 339 | 5% |
| Health Home | 273 | 4% |
| PCMH | 259 | 4% |
| Medicaid BH MCO | 201 | 3% |
| State Alignment Initiative | 154 | 2% |
| Medicaid ACO | 70 | 1% |
| Exchange | 62 | 1% |
| Other | 1,041 | 15% |
| Total | 7,005 | 100% |

Appendix 4-5: Purpose Types Indicated by State Programs and VHA for Using Quality Measures, December 31, 2013

| Purpose Type | Number of Programs |
|--|---------------------------|
| Quality Improvement | 162 |
| Public Reporting | 103 |
| Pay for Performance | 40 |
| External Quality Review Organization Audit | 25 |
| Contract Compliance | 17 |
| Accreditation/Licensing/Certification | 5 |
| Physician Tiering | 1 |
| Other | 48 |
| Total | 401 |

Appendix 4-6: Distribution of Conditions and Topics of State-Used Quality Measures, December 31, 2013

| Condition or Topic | Number of Measures | % ^{xii} |
|--|--------------------|------------------|
| Patient Safety | 750 | 11% |
| Cardiovascular | 680 | 10% |
| Mental Health Care & Substance-related Care | 451 | 6% |
| Respiratory | 423 | 6% |
| Diabetes | 404 | 6% |
| Preventive Care | 395 | 6% |
| Health Services Administration-Patient Experience | 351 | 5% |
| Health Services Administration-Utilization | 342 | 5% |
| Surgical Procedures | 316 | 5% |
| Health Services Administration | 282 | 4% |
| Mortality | 252 | 4% |
| Immunization | 246 | 4% |
| Health Services Administration-Patient Care Management | 183 | 3% |
| Cancer | 179 | 3% |
| Care Coordination | 154 | 2% |
| Health Services Administration-Access | 154 | 2% |
| Infant & Child Health | 153 | 2% |
| Maternal | 141 | 2% |
| Nutrition & Exercise | 127 | 2% |
| Dental | 122 | 2% |
| Readmission | 105 | 1% |
| Health Services Administration-Cost | 93 | 1% |
| Reproductive Health | 93 | 1% |
| Health Services Administration-Health Plan | 71 | 1% |
| Health Services Administration-Quality Improvement | 68 | 1% |
| Musculoskeletal | 57 | 1% |
| Ears, Nose, and Throat | 50 | 1% |
| Health Services Administration-Professional Education | 47 | 1% |
| Functional Status | 38 | 1% |
| Community Care Coordination/Transitions of Care | 35 | 0% |
| Communicable Diseases | 30 | 0% |
| Renal & Genitourinary | 30 | 0% |
| Chronic & Elder Care | 24 | 0% |
| Pain | 23 | 0% |
| Cerebrovascular | 18 | 0% |
| Screening | 15 | 0% |
| Health Services Administration-Drug Plan | 14 | 0% |
| Public Health | 14 | 0% |

^{xii} Percentages were rounded up to the nearest whole number.

Appendix 4-6: Distribution of Conditions and Topics of State-Used Quality Measures, December 31, 2013

| Condition or Topic | Number of Measures | % ^{xii} |
|--|--------------------|------------------|
| Blood Products/Transfusion | 12 | 0% |
| Health Services Administration-Patient Education | 12 | 0% |
| Obesity | 12 | 0% |
| Diagnostic Imaging | 10 | 0% |
| Health Services Administration-Health Information Technology | 9 | 0% |
| Eyes/Vision | 7 | 0% |
| Communication | 4 | 0% |
| Palliative Care | 4 | 0% |
| Central Nervous System | 3 | 0% |
| Gastrointestinal | 2 | 0% |
| Total | 7005 | 100% |

Appendix 4-7: State-Used Quality Measures by Measure Type, December 31, 2013

| Measure Type | Number of Measures | % |
|----------------------|--------------------|-------------|
| Process | 4,624 | 66% |
| Outcome | 1,422 | 20% |
| Patient Perspective | 300 | 4% |
| Intermediate Outcome | 269 | 4% |
| Structure | 118 | 2% |
| Composite | 106 | 2% |
| Efficiency | 97 | 1% |
| Cost/Resource Use | 69 | 1% |
| Total | 7,005 | 100% |

Appendix 4-8: Distribution of Condition or Topic of VHA Quality Measures, December 31, 2013

| Condition or Topic | Number of Measures | % |
|--|--------------------|-------------|
| Cardiovascular | 77 | 15% |
| Health Services Administration-Quality Improvement | 77 | 15% |
| Patient Safety | 61 | 12% |
| Mental Health Care & Substance-related Care | 52 | 10% |
| Health Services Administration-Patient Experience | 47 | 9% |
| Diabetes | 31 | 6% |
| Surgical Procedures | 30 | 6% |
| Health Services Administration | 19 | 4% |
| Mortality | 18 | 4% |
| Respiratory | 18 | 4% |
| Cancer | 13 | 3% |
| Health Services Administration-Access | 13 | 3% |
| Health Services Administration-Patient Care Management | 12 | 2% |
| Immunization | 12 | 2% |
| Central Nervous System | 10 | 2% |
| Health Services Administration-Utilization | 7 | 1% |
| Readmission | 5 | 1% |
| Functional Status | 3 | 1% |
| Obesity | 2 | 0% |
| Pain | 2 | 0% |
| Preventive Care | 2 | 0% |
| Screening | 2 | 0% |
| Renal & Genitourinary | 1 | 0% |
| Total | 514 | 100% |

**Appendix 4-9: VHA Quality Measures by Measure Type,
December 31, 2013**

| Measure Type | Number of Measures | % |
|----------------------|--------------------|-------------|
| Process | 204 | 40% |
| Structure | 108 | 21% |
| Outcome | 68 | 13% |
| Intermediate Outcome | 47 | 9% |
| Patient Perspective | 45 | 9% |
| Composite | 28 | 5% |
| Cost/Resource Use | 7 | 1% |
| Efficiency | 7 | 1% |
| Total | 514 | 100% |

Appendix 5: Chapter 5—CMS Measures: Populations Reached

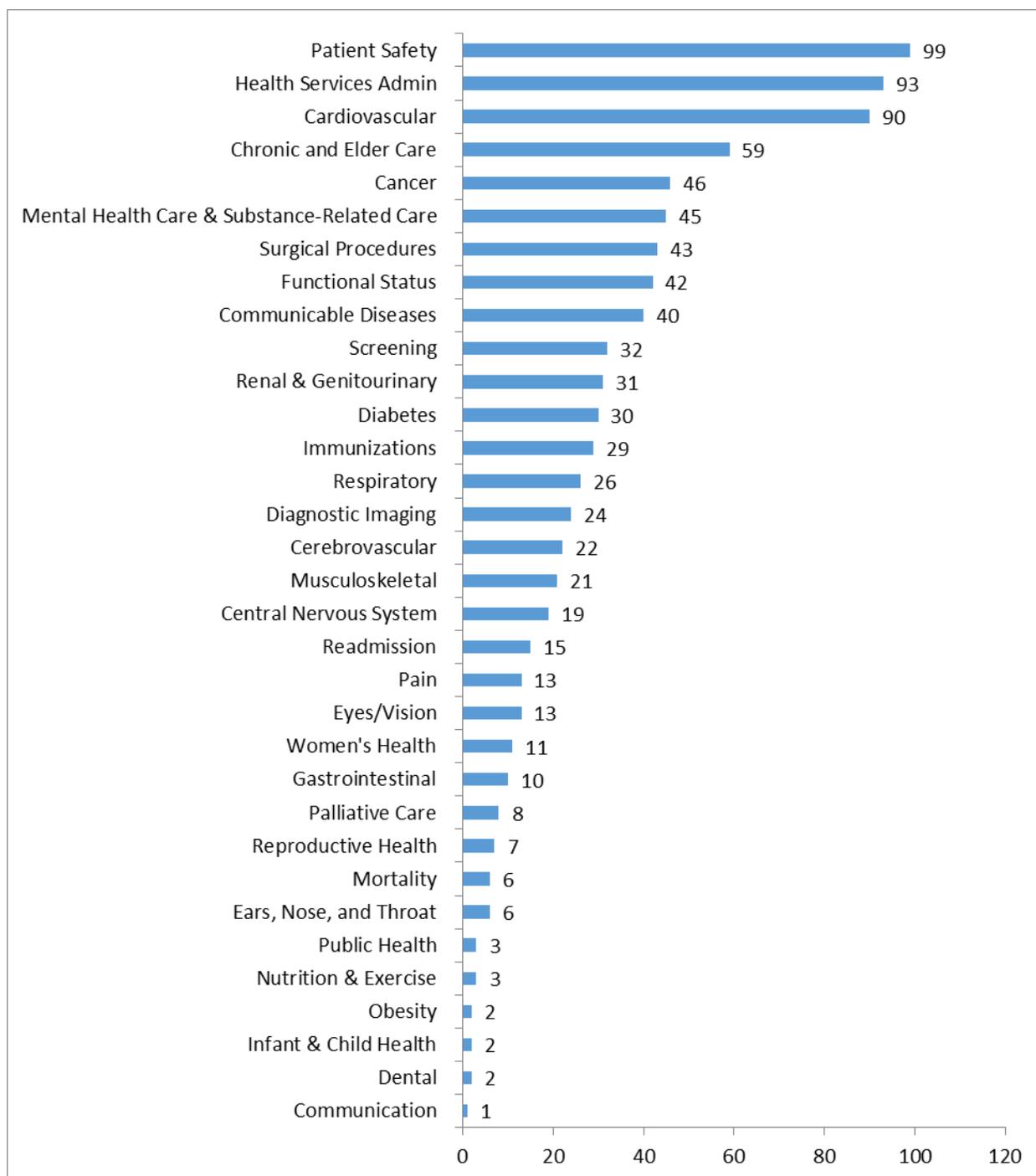
Appendix 5-1: Measure Specification Sources

| Program | Measure Specification Sources |
|---|---|
| Ambulatory Surgical Center Quality Reporting Program | http://www.qualitynet.org |
| Medicare and Medicaid Electronic Health Record (EHR) Incentive Program for Eligible Hospitals and Critical Access Hospitals | http://www.cms.gov/Regulations-and-guidance/Legislation/EHRIncentivePrograms/eCOM_Library.html |
| Medicare and Medicaid Electronic Health Record (EHR) Incentive Program for Eligible Professionals | http://www.cms.gov/Regulations-and-guidance/Legislation/EHRIncentivePrograms/eCOM_Library.html |
| End-Stage Renal Disease Quality Incentive Program | http://www.dialysisreports.org/ESRDMeasures.aspx |
| Home Health Quality Reporting Program | http://www.cms.gov/Medicare/Quality-Initiatives-Patient-Assessment-Instruments/HomeHealthQualityInits/HHQIQualityMeasures.html |
| Hospice Quality Reporting Program | http://www.cms.gov/Medicare/Quality-Initiatives-Patient-Assessment-Instruments/Hospice-Quality-Reporting/Data-Collection.html |
| Hospital-Acquired Condition Reduction Program | http://qualitynet.org/dcs/ContentServer?c=Page&pagename=QnetPublic%2FPage%2FQnetTier4&cid=1228759488899 |
| Hospital Inpatient Quality Reporting Program | http://www.qualitynet.org/dcs/ContentServer?c=Page&pagename=QnetPublic%2FPage%2FQnetTier2&cid=1141662756099 Additional information: Resources for CLABSI, CAUTI, MRSA and CDI available at: http://www.qualitynet.org/dcs/ContentServer?c=Page&pagename=QnetPublic%2FPage%2FQnetTier2&cid=1138115987129 |
| Hospital Outpatient Quality Reporting Program | http://www.qualitynet.org/dcs/ContentServer?c=Page&pagename=QnetPublic%2FPage%2FQnetTier2&cid=1196289981244 . |
| Hospital Readmissions Reduction Program | http://qualitynet.org/dcs/ContentServer?c=Page&pagename=QnetPublic%2FPage%2FQnetTier2&cid=1228772412458 |
| Hospital Value-Based Purchasing Program | http://qualitynet.org/dcs/ContentServer?c=Page&pagename=QnetPublic%2FPage%2FQnetTier2&cid=1228772039937 |
| Inpatient Rehabilitation Facilities Quality Reporting Program | http://www.cms.gov/Medicare/Quality-Initiatives-Patient-Assessment-Instruments/IRF-Quality-Reporting/IRF-Quality-Reporting-Program-Measures-Information-.html |
| Long-Term Care Hospitals Quality Reporting Program | http://www.cms.hhs.gov/Medicare/Quality-Initiatives-Patient-Assessment-Instruments/LTCH-Quality-Reporting/index.html |
| Medicare Part C (Display or Star Ratings) | http://www.cms.gov/Medicare/Prescription-Drug-Coverage/PrescriptionDrugCovGenIn/PerformanceData.html http://www.ncqa.org http://www.hosonline.org http://www.ma-pdpcahps.org |
| Medicare Part D (Display or Star Ratings) | http://www.cms.gov/Medicare/Prescription-Drug-Coverage/PrescriptionDrugCovGenIn/PerformanceData.html http://www.ncqa.org http://www.ma-pdpcahps.org |

Appendix 5-1: Measure Specification Sources

| Program | Measure Specification Sources |
|--|--|
| Medicare Shared Savings Program | http://www.cms.gov/Medicare/Medicare-Fee-for-Service-Payment/sharesavingsprogram/Quality_Measures_Standards.html |
| Nursing Home Quality Initiative | MDS Quality Measures User's Manuals at: http://www.cms.gov/Medicare/Quality-Initiatives-Patient-Assessment-Instruments/NursingHomeQualityInits/NHQIQualityMeasures.html |
| Physician Feedback Program | http://www.cms.gov/Medicare/Medicare-Fee-for-Service-Payment/PhysicianFeedbackProgram/2012-QRUR.html |
| Physician Quality Reporting System | http://www.cms.gov and http://www.cms.gov/Medicare/Quality-Initiatives-Patient-Assessment-Instruments/PQRS/index.html |
| Physician Value-Based Payment Modifier Program | Specifications links available at: http://www.cms.gov/Medicare/Medicare-Fee-for-Service-Payment/PhysicianFeedbackProgram/ValueBasedPaymentModifier.html |
| Prospective Payment System-Exempt Cancer Hospitals Quality Reporting Program | Specifications links available at: http://www.facs.org/cancer/qualitymeasures.html Other Quality Net resources at: http://www.qualitynet.org/dcs/ContentServer?c=Page&pagename=QnetPublic%2FPpage%2FQnetTier3&cid=1228772390161 |
| Inpatient Psychiatric Facility Quality Reporting Program | Specifications links available at: https://manual.jointcommission.org/releases/TJC2013B/ Additional information at: http://www.qualitynet.org/dcs/ContentServer?c=Page&pagename=QnetPublic%2FPpage%2FQnetTier3&cid=1228772390161 |

Appendix 5-2: Distribution of Medicare Quality Measures by Condition, December 31, 2013



Appendix 5-3: Quality Measure Exclusion Categories

| Exclusion # | Exclusion Category | Data Descriptions |
|-------------|--|---|
| 1 | Payer Medicare FFS | 0 = No 1 = Yes 2 = N/A Payer as stated in measure specifications. If more than one payer per program, each payer must be listed separately |
| | Payer Medicare Part C | |
| | Payer Medicare Part D | |
| | Payer Medicaid | |
| | Payer Other | |
| 2 | Continuity of Enrollment | 0 = Not exclusion 1 = Exclusion Examples From Specifications: a. Criteria for continuous enrollment and/or coverage gaps as described in measure specifications b. Exceeds allowable gap for continuous enrollment c. Beneficiaries not enrolled Part A and B 12 months prior d. Medicare as secondary payer |
| 3 | Age = < # Years | Enter lower limit of age parameter in years as described in measure specifications |
| | Age = > # Years | Enter upper limit of age parameter in years as described in measure specifications |
| 4 | Gender | 0 = Not applicable (Gender not identified) 1 = Exclude Male 2 = Exclude Female |
| 5 | Length of Stay < # Days | Enter lower limit of LOS parameter in days as described in measure specifications |
| | Length of Stay > # of Days | Enter upper limit of LOS parameter in days as described in measure specifications |
| 6 | Treatment Timeframe OR Treatment Frequency | 0 = Not exclusion 1 = Exclusion Examples From Specifications: a. Screening within measurement year b. Screening within 12 months c. Screening within 24 months d. Not in facility during measurement period e. Assessment between SOC/ROC f. Discharged alive on same day as admission g. One or greater admissions w/in 30 days h. Planned readmissions/readmissions i. Did not have initial assessment/evaluation j. Less than or greater than number of treatments being measures k. Discharge day of arrival l. Did not receive antibiotics during encounter/within 24 hours of arrival m. Did not have same provider x 30 days |

Appendix 5-3: Quality Measure Exclusion Categories

| Exclusion # | Exclusion Category | Data Descriptions |
|-------------|---|---|
| | | n. DC prior to end of day 2 o. Last known well > 2 hours p. LOS < or = 1 day |
| 7 | Discontinuation of Care OR Other Patient Reason Not Specified | 0 = Not exclusion 1 = Exclusion <u>Examples From Specifications:</u> a. Patient lives in a SNF or LTC facility b. moved out of country c. Patient does not have caregiver d. patient not driving e. patient unable to tolerate |
| 8 | Patient Specific Reasons | 0 = no exclusion 1 = exclusion <u>Examples From Specifications:</u> a. Refusal of care/treatment b. Refusal of follow up care c. Refusal due to religious beliefs d. Refusal due to other patient reasons e. AMA f. Elopement |
| 9 | Missing Data/Problematic Data/Data Issues | 0 = Not exclusion 1 = Exclusion <u>Examples From Specifications:</u> a. Medical record not found b. Missing diagnosis c. Unable to confirm diagnosis d. Missing patient specific information e. Incomplete assessment tool f. Not screened g. Problematic data h. insufficient information |
| 10 | Transfer Between Facilities | 0 = Not exclusion 1 = Exclusion <u>Examples From Specifications:</u> a. Transfer from ED b. Transfer from ASC c. Transfer from OP d. Transfer from SNF, ICF, Rehab e. Transfer to another acute care facility i.e. VA, Children’s Hospital, etc. f. Discharged to another facility |

Appendix 5-3: Quality Measure Exclusion Categories

| Exclusion # | Exclusion Category | Data Descriptions |
|-------------|--|--|
| 11 | Non-Clinical Reasons | 0 = Not exclusion 1 - Exclusion <u>Examples From Specifications:</u> a. Language barrier b. Not included in denominator c. Patients who received a recertification (NH) d. Patient lives in a skilled nursing facility e. Not seen in ED/not admitted through ED f. Not in ICU g. Direct admit to ICU h. Illiterate i. Patient not assigned to inpatient bed j. Well baby nursery/NICU k. observation patient/services |
| 12 | Treatment OR Occurrence Prior to Arrival | 0 = Not exclusion 1 = Exclusion <u>Examples From Specifications:</u> a. Antibiotic prior to arrival b. Beta-blocker prior to arrival c. Fall outside ASC prior to arrival d. Complication occurred prior to arrival OR e. Complication occurred on index admission f. Intermittent catheterization prior to arrival g. VTE present on arrival h. present on admission |
| 13 | Baseline Outside Measurement Parameters OR Not Done During Measurement Timeframe | 0 = Not exclusion 1 = Exclusion <u>Examples From Specifications:</u> a. Greater than or less than measurement range b. Negative findings (i.e. patients who screen "negative for pain" are excluded) c. Normal or baseline condition/performance (i.e. able to walk/dress/perform ADL's or no restraints required) d. Not on a medication regimen (i.e. not on any oral medications) e. treatment not done because patient not on prior |
| 14 | Procedure Specific Exclusion | 0 = Not exclusion 1 = Exclusion <u>Examples From Specifications:</u> a. Designated procedure exclusions (i.e. transplant procedure or previous CABG) b. Measuring one procedure vs. another, such as hemodialysis vs. peritoneal dialysis c. Procedure cancelled d. Post op stay < 2 days e. surgery < 60 minutes |

Appendix 5-3: Quality Measure Exclusion Categories

| Exclusion # | Exclusion Category | Data Descriptions |
|-------------|--|---|
| 15 | Medical Diagnosis or Other Clinical Reasons | 0 = Not exclusion 1 = Exclusion <u>Examples From Specifications:</u> a. Allergy/contraindication/adverse reaction b. Infection c. Urgent/emergent medical condition d. Patient not ambulatory e. Patient has specific condition, for example: 1- Pregnancy or neonatal 2- End-stage organ failure 3 - History of cancer (Preventive measures) f. Totally dependent in locomotion g. Totally dependent in ADLs h. Totally dependent in bed mobility/transfer i. Comatose j. Patient not responsive |
| 16 | Provider Discretion | 0 = Not exclusion 1 = Exclusion <u>Examples From Specifications:</u> a. Physician documented reason |
| 17 | Exclusion Due to Psychiatric Diagnosis or Cognitive Impairment | 0 = Not exclusion 1 = Exclusion <u>Examples From Specifications:</u> a. Patient has specific psychiatric diagnosis b. Tourette's c. On antipsychotic medication prior to arrival d. Cognitive Impairment e. Alzheimer's f. Unable to self-report g. Dementia |
| 18 | Clinical Trials | 0 = Not exclusion 1 = Exclusion <u>Examples From Specifications:</u> a. Patient enrolled in a clinical trial during the measurement period |
| 19 | End-of -Life Care | 0 = Not exclusion 1 = Exclusion <u>Examples From Specifications:</u> a. Hospice b. Palliative Care c. Comfort Measures d. Death/Expired e. Terminal illness |

Appendix 5-3: Quality Measure Exclusion Categories

| Exclusion # | Exclusion Category | Data Descriptions |
|-------------|--------------------|--|
| 20 | System Reasons | <p>0 = Not exclusion 1 = Exclusion</p> <p>Examples From Specifications:</p> <ul style="list-style-type: none"> a. Vaccine not available b. Facility Reporting: Denominator < reportable number (<20, <30, etc.) c. Facilities that do not treat in-center hemodialysis patient's d. Facilities with a CMS certification after a certain date (as noted in specifications) e. Facility exclusions for Structural Measures f. Service from multiple agencies g. non-specified visit |

***Appendix 6: Chapter 6—Measure Use:
Unintended Consequences in Hospitals, Nursing Homes,
and Ambulatory Settings***

NO APPENDIX FOR THIS CHAPTER

Appendix 7: Chapter 7—CMS Measure Trends in Performance and Disparities

Appendix 7-1: Detailed Methodological Discussion

Measuring Effect Size: Rationale and Technical Details

Analysis of change over time will nearly always result in statistical significance for even trivially small differences when datasets are large. For example, if a measure with 100,000 entries (e.g., beneficiaries, hospital admissions) at each of two points in time changed from a rate of 80.00 percent to 80.35 percent, the change would be considered statistically significant at the $p < .05$ level. Yet, given that result, 350 entries out of 100,000 would have been different at time two from what they were at time one. This relative improvement of just 0.4 percent would be highlighted as being important by labeling it as statistically significant.

Even a few data points could show statistical significance for a small change due to nearly linear trends over time. A measure with four data points (e.g., 70.10 percent, 70.14 percent, 70.16 percent, and 70.20 percent) that changes by one-tenth of a percentage point can generate a p value less than .01 for this trivially small change. This time, however, the small p value was generated from the lack of variation (i.e., +.04, +.02, +.04) rather than from large sample sizes. Both issues (i.e., number of units being assessed and the variation, or lack thereof) needed to be accommodated for a policy-relevant impact assessment for the tracked quality measures.

A better approach to analyzing changes in quality measures change over time is to assess how much the entire distribution of scores shifts when the population mean changes. Figure 7-1-1 illustrates this graphically. The degree of dispersion around the mean is crucial. A small shift for the average score in a population with little variation around that score improves the quality of healthcare for more people than the same size shift for the average score in a measure with a wide dispersion around the mean.

Figure 7-1-1: The Contribution of Variation to Population Health Improvement

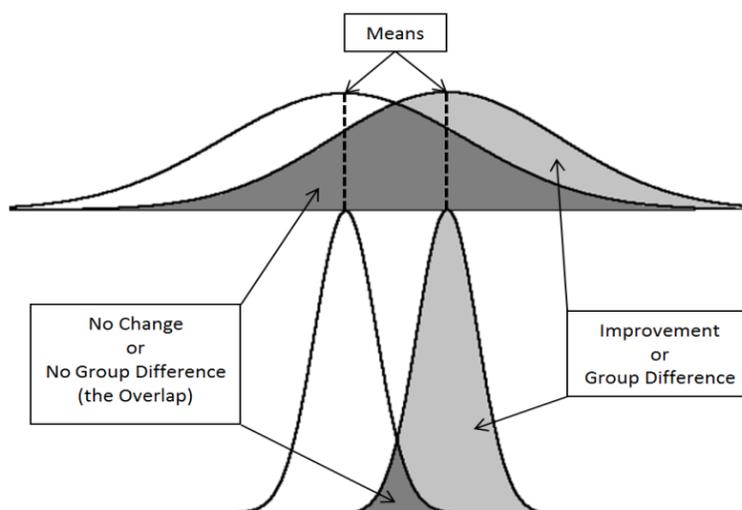


Figure 7-1-1 shows this effect through the difference in the non-overlapping portions of the two scenarios, using the same percentage improvement but for two differently dispersed populations for the measures. The percentage of the population receiving better care is shown by the non-overlapping portions of the curve (i.e., unshaded portions). Clearly, more people receive improved care when there is less variation in care, as indicated in the bottom curve. The policy-relevant implication is that the measure generating the bottom curve improved far more than the measure generating the upper curve because a much larger proportion of the public saw better care with the bottom curve's measure.

The alternative to simply measuring changes in means is to adjust the change in means using a measure of the dispersion of the distribution. That is, an effect size measure should be calculated. A popular measure of effect size is Cohen's *d*. Cohen, based on his own use of the measure, developed a rule of thumb for interpreting Cohen's *d*: A value of 0.8 or larger was considered a large effect; a value of greater than or equal to 0.5 but under 0.8 was considered moderate; a value of greater than or equal to 0.2 but less than 0.5 was considered small, and anything less than 0.2 could be dismissed.

A small effect is the smallest effect that is considered strong enough to be taken seriously. In the current research, an effect that was small or greater (Cohen's $d \geq 0.2$), is considered substantial.

Cohen's *d* takes into account both the mean improvement of a measure and the change in distribution of the measure (i.e., "width" of the curve formed by the results from the providers from across the country). Cohen's *d* assumes the data are normally distributed data and can be interpreted as a z-score.

The formula used for Cohen's *d* was: $d = (\hat{Y}_N - \hat{Y}_{N-1})/\hat{s}_{N-1}$, where \hat{Y}_N is the predicted final measurement for a measure; \hat{Y}_{N-1} is the predicted penultimate measurement for a measure, and \hat{s}_{N-1} is the predicted standard deviation of the penultimate measurement. Predictions were made using ordinary least squares (OLS) regression to fit a straight line through the observed annual measures.

It is possible to use Cohen's *d* as long as the measures being compared over time are not dichotomous. Because of the way standard deviations are calculated for dichotomous measures, Cohen's *d* is not an appropriate measure of effect size for dichotomous data.

However, many of the measures were collected or reported initially as dichotomous "yes or no" variables. Either the patient received the proper standard of care or not; either the patient died or not. Using Cohen's *d* required analyzing the data at the level of the provider rather than the level of the patient. The summary measure for each provider was a rate or percent, and a standard deviation could be calculated for that rate or percent.

Not all analyses can be performed at the provider level, however. Provider data was not available in every dataset and individual level analyses are appropriate where provider level data is not available. The analyses of measure performance and improvement by age, sex, and race and ethnicity, for example, were performed at the individual level.

When Cohen's *d* could not be calculated because available datasets did not include measure variance, an alternative metric was used, Annual Percentage Change (APC). Like Cohen's *d*, represents a metric for the size of improvement over time. Also like Cohen's *d*, APC was calculated using linearized, predicted values. The calculation assumed that the underlying data are normally distributed. The formula for

APC is: $\widehat{APC} = (\widehat{Y}_N - \widehat{Y}_{N-1})$, where \widehat{APC} is the Annual Percent Change, \widehat{Y}_N is the predicted rate or score for the final time period, and \widehat{Y}_{N-1} is the predicted rate or score for the second-to-last time period.

In contrast to Cohen’s d, APC has no established rules of thumb for interpreting effect sizes. Empirical research into the qualities of the distribution of APC across affected measures was conducted by the research team. Based on a comparison of the distribution of Cohen’s d across the measures for which it could be calculated against the distribution of APC across the measures for which Cohen’s d could not be calculated, it was determined that an APC value of 1.4 percent or 0.014 was approximately equivalent to a Cohen’s d of 0.2.

Use of Cohen’s d and Annual Percentage Change

As discussed above, both Cohen’s d and APC were used as effect size metrics. Cohen’s d was used in 2,071 of 4,170 (49.7 percent) of assessments of measure improvement, and APC was used in the remainder. Table 7-1-1 presents effect size measure by level of aggregation for each characteristic. Because data came from many sources and at many levels of aggregation, exceptions to what is presented in Table 7-1-1 exist.

Table 7-1-1: Effect Size Measure Used for Determining Substantial Improvement, by Tabulated Characteristic and Level of Aggregation

| Tabulated Characteristic | Effect Size Measure | Level of Aggregation |
|--------------------------|---------------------|----------------------|
| Affiliation | Cohen’s d | Provider |
| Bed Size | Cohen’s d | Provider |
| Number of episodes | Cohen’s d | Provider |
| Nursing Hours | Cohen’s d | Provider |
| Ownership | Cohen’s d | Provider |
| Safety Net Hospital | Cohen’s d | Provider |
| Teaching Status | Cohen’s d | Provider |
| Urbanicity | Cohen’s d | Provider |
| National Level | APC | National |
| Age | APC | Population |
| Sex | APC | Population |
| Race | APC | Population |
| Race/Ethnicity | APC | Population |
| Ethnicity | APC | Population |

APC was used for all characteristics when reporting on the Median Time measures included in the Hospital OQR Program. In addition, APC was used for all characteristics for HEDIS^{®xiii}, HEDIS+HOS, MA CAHPS, PDP CAHPS, HCAHPS, and HOS in Medicare Part C and D.

Defining “High Performing”

Because systematic benchmarks or a systematic benchmarking process did not exist for each measure, the research team created a system to define “high performing.” The creation of the benchmark ensured that all measures would be compared against the same standard. To establish this benchmark, the research team met with consultants and agreed that when the desired goal for a measure was 100 percent, a provider that had a score of at least 90 percent during each of the most recent three consecutive years was performing very well. However, the consensus regarding performance on measures in which a lower rate was desirable was that a rate of 10 percent (the converse of 90 percent) was unacceptably high. For example, the research team felt that 10 percent of *High-Risk Residents with Pressure Ulcers* and *Residents Who Were Physically Restrained (Long Stay)* would not qualify as “high performing” for this study. For these reasons, the thresholds of 90 percent for positive measures and five percent for negative measures were established.

^{xiii} HEDIS refers to the Healthcare Effectiveness Data and Information Set and is a registered trademark of the National Committee for Quality Assurance (NCQA).

Summary of Performance and Improvement Categories by Program and Measure Type

Appendix 7-2 through Appendix 7-11 illustrate the performance and improvement trends for individual measures by CMS program and measure type.

Appendix 7-2: Performance/Improvement Results for CMS Quality Measures in the Hospital IQR Program With a Minimum of Three Years of Data From 2006–2012

| Measure Name | Measure Type | National Quality Strategy Priority | High Performing | Improvement Type |
|--|--------------|------------------------------------|-----------------|------------------|
| AMI-3: ACE/ARB for LVSD | Process | Effective Treatment | Yes | Substantial |
| AMI-8a: Primary PCI Received Within 90 Minutes of Hospital Arrival | Process | Effective Treatment | Yes | Substantial |
| HF-1: Discharge Instructions | Process | Patient Engagement | Yes | Substantial |
| HF-3: ACE Inhibitor or ARB for Left Ventricular Systolic Dysfunction (LVSD) | Process | Effective Treatment | Yes | Substantial |
| HF-4: Adult Smoking Cessation Advice/Counseling | Process | Patient Engagement | Yes | Substantial |
| PN-2: Pneumococcal Vaccination Status | Process | Effective Treatment | Yes | Substantial |
| PN-4: Adult Smoking Cessation Advice/Counseling | Process | Patient Engagement | Yes | Substantial |
| PN-6: Appropriate Initial Antibiotic Selection | Process | Effective Treatment | Yes | Substantial |
| PN-7: Influenza Vaccination Status | Process | Effective Treatment | Yes | Substantial |
| SCIP-CARD-2: Surgery Patients on Beta Blocker Therapy Prior to Admission who Received a Beta Blocker During Perioperative Period | Process | Effective Treatment | Yes | Substantial |
| SCIP-INF-1: Prophylactic Antibiotic Received Within 1 hour Prior to Surgical Incision | Process | Safety | Yes | Substantial |
| SCIP-INF-3: Prophylactic Antibiotics Discontinued Within 24 Hours After Surgery End Time (48 Hours for Cardiac Surgery) | Process | Safety | Yes | Substantial |
| SCIP-INF -4: Cardiac Surgery Patients with Controlled Postoperative Blood Glucose | Process | Safety | Yes | Substantial |
| SCIP-INF-6: Surgery Patients with Appropriate Hair Removal | Process | Safety | Yes | Substantial |
| SCIP-INF-9: Surgery Patients Whose Urinary Catheters Were Removed on the First or Second Day after Surgery | Process | Safety | Yes | Substantial |
| SCIP-VTE-1: Surgery Patients with Recommended Venous Thromboembolism Prophylaxis Ordered | Process | Safety | Yes | Substantial |

Appendix 7-2: Performance/Improvement Results for CMS Quality Measures in the Hospital IQR Program With a Minimum of Three Years of Data From 2006–2012

| Measure Name | Measure Type | National Quality Strategy Priority | High Performing | Improvement Type |
|---|------------------------------|------------------------------------|-----------------|----------------------|
| SCIP-VTE-2: Surgery Patients Who Received Appropriate Venous Thromboembolism Prophylaxis Within 24 Hours Pre/Post-surgery | Process | Safety | Yes | Substantial |
| AMI-1: Aspirin at Arrival | Process | Effective Treatment | Yes | Not Substantial |
| AMI-2: Aspirin Prescribed at Discharge | Process | Effective Treatment | Yes | Not Substantial |
| AMI-4: Adult Smoking Cessation Advice/Counseling | Process | Patient Engagement | Yes | Not Substantial |
| AMI-5: Beta blocker Prescribed at Discharge | Process | Effective Treatment | Yes | Not Substantial |
| AMI-10: Statin Prescribed at Discharge | Process | Effective Treatment | Yes | Not Substantial |
| HF-2: Evaluation of Left Ventricular Systolic Function | Process | Effective Treatment | Yes | Not Substantial |
| PN-3b: Blood Cultures Performed in the Emergency Department Prior to Inertial Antibiotic Received in Hospital | Process | Effective Treatment | Yes | Not Substantial |
| PN-5c: Initial Antibiotic Received Within 6 Hours of Hospital Arrival | Process | Effective Treatment | Yes | Not Substantial |
| SCIP-INF-10: Surgery Patients Preoperative Temperature Management | Process | Safety | Yes | Not Substantial |
| SCIP-INF-2: Prophylactic Antibiotic Selection for Surgical Patients | Process | Safety | Yes | Not Substantial |
| Cardiac Surgery Registry | Structural | Effective Treatment | Yes | Not Substantial |
| Hospital Rating | Outcome: Patient Perspective | Patient Engagement | No | Substantial Increase |
| AMI-7a: Fibrinolytic Therapy Received Within 30 Minutes of Hospital Arrival | Process | Effective Treatment | No | Substantial Increase |
| Communication with Nurses | Outcome: Patient Perspective | Patient Engagement | No | Slight Increase |
| Communication with Doctors | Outcome: Patient Perspective | Patient Engagement | No | Slight Increase |
| Responsiveness of Hospital Staff | Outcome: Patient Perspective | Patient Engagement | No | Slight Increase |

Appendix 7-2: Performance/Improvement Results for CMS Quality Measures in the Hospital IQR Program With a Minimum of Three Years of Data From 2006–2012

| Measure Name | Measure Type | National Quality Strategy Priority | High Performing | Improvement Type |
|--|---------------------------------|------------------------------------|-----------------|------------------|
| Pain Control | Outcome: Patient Perspective | Patient Engagement | No | Slight Increase |
| Communication about Medicines | Outcome: Patient Perspective | Patient Engagement | No | Slight Increase |
| Cleanliness of the Hospital Environment | Outcome: Patient Perspective | Patient Engagement | No | Slight Increase |
| Quietness of the Hospital Environment | Outcome: Patient Perspective | Patient Engagement | No | Slight Increase |
| Discharge Information | Outcome: Patient Perspective | Patient Engagement | No | Slight Increase |
| Recommend Hospital | Outcome: Patient Perspective | Patient Engagement | No | Slight Increase |
| 30-Day Risk-Standardized Mortality – AMI | Outcome: Clinical | Effective Treatment | No | Slight Increase |
| 30-Day Risk-Standardized Mortality – PN | Outcome: Clinical | Effective Treatment | No | Slight Increase |
| 30-day Risk-Standardized Readmission – AMI | Outcome: Clinical | Care Coordination | No | Slight Increase |
| 30-day Risk-Standardized Readmission – HF | Outcome: Clinical | Care Coordination | No | Slight Increase |
| 30-day Risk-Standardized Readmission – PN | Outcome: Clinical | Care Coordination | No | Slight Increase |
| Stroke Care Registry | Structural | Effective Treatment | No | Slight Increase |
| 30-Day Risk-Standardized Mortality – HF | Outcome: Clinical | Effective Treatment | No | Slight Decrease |
| Registry for Nursing Sensitive Care | Structural | Effective Treatment | No | Slight Decrease |

Appendix 7-3: Performance/Improvement Results for CMS Quality Measures in the Hospital OQR Program With a Minimum of Three Years of Data From 2006–2012

| Measure Name | Measure Type | National Quality Strategy Priority | High Performing | Improvement Type |
|---|--------------|------------------------------------|-----------------|------------------|
| OP-6: Perioperative Care: Timing of Prophylactic Parenteral Antibiotics-Ordering Physician | Process | Safety | Yes | Substantial |
| OP-7: Perioperative Care: Selection of Prophylactic Antibiotics: First or Second Generation Cephalosporin | Process | Safety | Yes | Substantial |
| OP-4: Aspirin at Arrival | Process | Effective Treatment | Yes | Not Substantial |
| OP-5: Median Time to ECG | Process | Effective Treatment | No | Slight Increase |
| OP-1: Median Time to Fibrinolysis | Process | Effective Treatment | No | Slight Increase |
| OP-2: Fibrinolytic Therapy Received Within 30 Minutes of ED Arrival | Process | Effective Treatment | No | Slight Increase |
| OP-3: Median Time to Transfer to Another Facility for Acute Coronary Intervention | Process | Effective Treatment | No | Slight Increase |

Appendix 7-4: Performance/Improvement Results for CMS Quality Measures in HH QRP With a Minimum of Three Years of Data From 2006–2012

| Measure Name | Measure Type | National Quality Strategy Priority | High Performing | Improvement Type |
|---|-------------------|------------------------------------|-----------------|----------------------|
| Improvement in Ambulation/Locomotion | Outcome: Clinical | Effective Treatment | No | Substantial Increase |
| Acute Care Hospitalization | Outcome: Clinical | Care Coordination | No | Slight Increase |
| ED Use Without Hospitalization | Outcome: Clinical | Care Coordination | No | Slight Increase |
| Improvement in Bathing | Outcome: Clinical | Effective Treatment | No | Slight Increase |
| Improvement in Dyspnea | Outcome: Clinical | Effective Treatment | No | Slight Decrease |
| Improvement in Management of Oral Medications | Outcome: Clinical | Effective Treatment | No | Slight Increase |
| Improvement in Pain Interfering with Activity | Outcome: Clinical | Effective Treatment | No | Slight Increase |
| Improvement in Bed Transferring | Outcome: Clinical | Effective Treatment | No | Slight Increase |
| Patients Able to Live in the Community at Discharge | Outcome: Clinical | Effective Treatment | No | Slight Decrease |

Appendix 7-5: Performance/Improvement Results for CMS Quality Measures in Nursing Home Quality Initiative With a Minimum of Three Years of Data From 2006–2012

| Measure Name | Measure Type | National Quality Strategy Priority | High Performing | Improvement Type |
|---|-----------------------|------------------------------------|-----------------|----------------------|
| Residents Who Have Moderate to Severe Pain (Long Stay) | Outcome: Intermediate | Patient Engagement | Yes | Not Substantial |
| Residents Who Spend Most of Their Time in a Bed or in a Chair (Long Stay) | Outcome: Intermediate | Effective Treatment | Yes | Not Substantial |
| Residents Who Were Physically Restrained (Long Stay) | Process | Safety | Yes | Not Substantial |
| Low-Risk Residents with Pressure Ulcers (Long Stay) | Outcome: Clinical | Safety | Yes | Not Substantial |
| Residents with Delirium (Short Stay) | Outcome: Clinical | Effective Treatment | Yes | Not Substantial |
| Influenza Vaccination (Short Stay) | Process | Healthy Living | No | Substantial Increase |
| Pneumococcal Vaccination (Short Stay) | Process | Healthy Living | No | Substantial Increase |
| Residents with Pressure Ulcers (Short Stay) | Outcome: Clinical | Safety | No | Substantial Increase |
| Pneumococcal Vaccination (Long stay) | Process | Healthy Living | No | Substantial Increase |
| Residents Who Have/Had a Catheter Inserted and Left in Their Bladder(Long Stay) | Process | Safety | No | Slight Increase |
| Residents with a Urinary Tract Infection (Long Stay) | Outcome: Clinical | Safety | No | Slight Increase |
| Residents Who Lose Too Much Weight (Long Stay) | Outcome: Clinical | Safety | No | Slight Increase |
| Residents Whose Need for Help with Daily Activities Has Increased (Long Stay) | Outcome: Intermediate | Effective Treatment | No | Slight Increase |
| Residents Whose Ability to Move in and Around Their Room Got Worse (Long Stay) | Outcome: Intermediate | Effective Treatment | No | Slight Increase |
| High-risk Residents with Pressure Ulcers (Long Stay) | Outcome: Clinical | Effective Treatment | No | Slight Increase |
| Residents with Moderate/Severe Pain (Short Stay) | Outcome: Clinical | Effective Treatment | No | Slight Increase |
| Influenza Vaccination (long stay) | Process | Healthy Living | No | Slight Increase |
| Residents Who Have Become More Depressed or Anxious(Long Stay) | Outcome: Clinical | Effective Treatment | No | Slight Decrease |
| Low-Risk Residents Who Lost Control of Their Bowels or Bladder (Long Stay) | Outcome: Intermediate | Effective Treatment | No | Slight Decrease |

Appendix 7-6: Performance/Improvement Results for CMS Quality Measures in Medicare Part C With a Minimum of Three Years of Data From 2007–2013

| Measure Name | Measure Type | National Quality Strategy Priority | High Performing | Improvement Type |
|---|------------------------------|------------------------------------|-----------------|----------------------|
| Cholesterol Screening for Patients with Heart Disease | Outcome: Intermediate | Effective Treatment | Yes | Not Substantial |
| Cholesterol Screening for Patients with Diabetes | Process | Effective Treatment | Yes | Not Substantial |
| Kidney Function Testing for Members with Diabetes | Process | Effective Treatment | Yes | Not Substantial |
| Adults' Access to Prevent/Ambulatory Health Services (65+) | Outcome: Access | Affordable Care | Yes | Not Substantial |
| Adult BMI Assessment | Process | Healthy Living | No | Substantial Increase |
| Colorectal Cancer Screening | Process | Healthy Living | No | Substantial Increase |
| Plan Members with Diabetes Whose Blood Sugar Is under Control | Outcome: Intermediate | Effective Treatment | No | Substantial Increase |
| Rheumatoid Arthritis Management | Process | Effective Treatment | No | Substantial Increase |
| Glaucoma Testing | Process | Healthy Living | No | Substantial Increase |
| Osteoporosis Testing in Older Women | Process | Effective Treatment | No | Substantial Increase |
| Reducing the Risk of Falling | Process | Effective Treatment | No | Slight Increase |
| Improving or Maintaining Mental Health | Outcome: Clinical | Patient Engagement | No | Slight Increase |
| Improving or Maintaining Physical Health | Outcome: Clinical | Patient Engagement | No | Slight Increase |
| Pneumonia Vaccine | Process | Healthy Living | No | Slight Increase |
| Monitoring Physical Activity | Process | Healthy Living | No | Slight Increase |
| Breast Cancer Screening, Women 52-69 | Process | Healthy Living | No | Slight Increase |
| Eye Exam to Check for Damage from Diabetes | Process | Effective Treatment | No | Slight Increase |
| Plan Members with Diabetes Whose Cholesterol Is under Control | Outcome: Intermediate | Effective Treatment | No | Slight Increase |
| Controlling Blood Pressure | Outcome: Intermediate | Effective Treatment | No | Slight Increase |
| Annual Flu Vaccine | Process | Healthy Living | No | Slight Increase |
| Osteoporosis Management in Women Who Had a Fracture | Process | Effective Treatment | No | Slight Increase |
| Overall Rating of Health Care Quality | Outcome: Patient Perspective | Patient Engagement | No | Slight Increase |
| Ease of Getting Needed Care and Seeing Specialists | Outcome: Patient Perspective | Patient Engagement | No | Slight Increase |
| Getting Appointments and Care Quickly | Outcome: Patient Perspective | Patient Engagement | No | Slight Increase |
| Customer Service | Outcome: Patient Perspective | Patient Engagement | No | Slight Increase |
| Members' Overall Rating of Health Plan | Outcome: Patient Perspective | Patient Engagement | No | Slight Increase |
| Improving Bladder Control | Process | Effective Treatment | No | Slight Decrease |

Appendix 7-7: Performance/Improvement Results for CMS Quality Measures in Medicare Part D With a Minimum of Three Years of Data From 2007–2013

| Measure Name | Measure Type | National Quality Strategy Priority | High Performing | Improvement Type |
|---|------------------------------|------------------------------------|-----------------|----------------------|
| Use of High Risk Medications in the Elderly | Process | Safety | No | Substantial Increase |
| Taking Blood Pressure Medication | Outcome: Intermediate | Patient Engagement | No | Substantial Increase |
| Getting Information from Drug Plan | Outcome: Patient Perspective | Patient Engagement | No | Slight Increase |
| Getting Needed Prescriptions | Outcome: Patient Perspective | Patient Engagement | No | Slight Increase |
| Appropriate Treatment of Hypertension for Diabetics | Process | Effective Treatment | No | Slight Increase |
| Members' Overall Rating of Drug Plan | Outcome: Patient Perspective | Patient Engagement | No | Slight Increase |
| Taking Cholesterol Medication | Outcome: Intermediate | Patient Engagement | No | Slight Increase |
| Taking Oral Diabetes Medication | Outcome: Intermediate | Patient Engagement | No | Slight Increase |

Appendix 7-8: Performance/Improvement Results for CMS Quality Measures in End-Stage Renal Disease Quality Incentive Program With a Minimum of Three Years of Data From 2006–2012

| Measure Name | Measure Type | National Quality Strategy Priority | High Performing | Measure Type |
|---|--------------|------------------------------------|-----------------|----------------------|
| Facility Percentage of Patients with Hgb \geq 12 g/dL | Process | Effective Treatment | Yes | Not Substantial |
| Facility Percentage of Patients with URR \geq 65% | Process | Effective Treatment | No | Substantial Increase |

Appendix 7-9: Performance/Improvement Results for CMS Outcome Measures With a Minimum of Three Years of Data From 2006–2012

| Measure Name | Program | National Quality Strategy Priority | High Performing | Measure Type |
|--|---------|------------------------------------|-----------------|----------------------|
| Outcome: Access | | | | |
| Adults' Access to Prevent/Ambulatory Health Services (65+) | Part C | Affordable Care | Yes | Not Substantial |
| Outcome: Intermediate | | | | |
| Residents Who Have Moderate to Severe Pain (Long Stay) | NHQI | Patient Engagement | Yes | Not Substantial |
| Residents Who Spend Most of Their Time in a Bed or in a Chair (Long Stay) | NHQI | Effective Treatment | Yes | Not Substantial |
| Cholesterol Screening for Patients with Heart Disease | Part C | Effective Treatment | Yes | Not Substantial |
| Plan Members with Diabetes Whose Blood Sugar Is under Control | Part C | Effective Treatment | No | Substantial Increase |
| Taking Blood Pressure Medication | Part D | Patient Engagement | No | Substantial Increase |
| Residents Whose Need for Help with Daily Activities Has Increased (Long Stay) | NHQI | Effective Treatment | No | Slight Increase |
| Residents Whose Ability to Move in and Around Their Room Got Worse (Long Stay) | NHQI | Effective Treatment | No | Slight Increase |
| Plan Members with Diabetes Whose Cholesterol Is under Control | Part C | Effective Treatment | No | Slight Increase |
| Controlling Blood Pressure | Part C | Effective Treatment | No | Slight Increase |
| Taking Cholesterol Medication | Part D | Patient Engagement | No | Slight Increase |
| Taking Oral Diabetes Medication | Part D | Patient Engagement | No | Slight Increase |
| Low-Risk Residents Who Lost Control of Their Bowels or Bladder (Long Stay) | NHQI | Effective Treatment | No | Slight Decline |
| Outcome: Clinical | | | | |
| Low-Risk Residents with Pressure Ulcers (Long Stay) | NHQI | Safety | Yes | Not Substantial |
| Residents with Delirium (Short Stay) | NHQI | Effective Treatment | Yes | Not Substantial |
| Improvement in Ambulation/Locomotion | HH QRP | Effective Treatment | No | Substantial Increase |
| Residents with Pressure Ulcers (Short Stay) | NHQI | Safety | No | Substantial Increase |
| Acute Care Hospitalization | HH QRP | Care Coordination | No | Slight Increase |
| ED Use Without Hospitalization | HH QRP | Care Coordination | No | Slight Increase |
| Improvement in Bathing | HH QRP | Effective Treatment | No | Slight Increase |
| Improvement in Dyspnea | HH QRP | Effective Treatment | No | Slight Decrease |

Appendix 7-9: Performance/Improvement Results for CMS Outcome Measures With a Minimum of Three Years of Data From 2006–2012

| Measure Name | Program | National Quality Strategy Priority | High Performing | Measure Type |
|---|----------------------|------------------------------------|-----------------|----------------------|
| Improvement in Management of Oral Medications | HH QRP | Effective Treatment | No | Slight Increase |
| Improvement in Pain Interfering with Activity | HH QRP | Effective Treatment | No | Slight Increase |
| Improvement in Bed Transferring | HH QRP | Effective Treatment | No | Slight Increase |
| Acute Myocardial Infarction – Mortality | Hospital IQR Program | Effective Treatment | No | Slight Increase |
| 30-Day Risk-Standardized Mortality – PN | Hospital IQR Program | Effective Treatment | No | Slight Increase |
| 30-day Risk-Standardized Readmission – AMI | Hospital IQR Program | Care Coordination | No | Slight Increase |
| 30-day Risk-Standardized Readmission – HF | Hospital IQR Program | Care Coordination | No | Slight Increase |
| 30-day Risk-Standardized Readmission – PN | Hospital IQR Program | Care Coordination | No | Slight Increase |
| Residents with a Urinary Tract Infection (Long Stay) | NHQI | Safety | No | Slight Increase |
| Residents Who Lose Too Much Weight (Long Stay) | NHQI | Safety | No | Slight Increase |
| High-risk Residents with Pressure Ulcers (Long Stay) | NHQI | Effective Treatment | No | Slight Increase |
| Residents with Moderate to Severe Pain (Short Stay) | NHQI | Effective Treatment | No | Slight Increase |
| Improving or Maintaining Mental Health | Part C | Patient Engagement | No | Slight Increase |
| Improving or Maintaining Physical Health | Part C | Patient Engagement | No | Slight Increase |
| Patients Able to Live in the Community at Discharge | HH QRP | Effective Treatment | No | Slight Decrease |
| 30-Day Risk-Standardized Mortality – HF | Hospital IQR Program | Effective Treatment | No | Slight Decrease |
| Residents Who Have Become More Depressed or Anxious (Long Stay) | NHQI | Effective Treatment | No | Slight Decrease |
| Outcome: Patient Perspective | | | | |
| Hospital Rating | Hospital IQR Program | Patient Engagement | No | Substantial Increase |

Appendix 7-9: Performance/Improvement Results for CMS Outcome Measures With a Minimum of Three Years of Data From 2006–2012

| Measure Name | Program | National Quality Strategy Priority | High Performing | Measure Type |
|--|----------------------|------------------------------------|-----------------|-----------------|
| Communication with Nurses | Hospital IQR Program | Patient Engagement | No | Slight Increase |
| Communication with Doctors | Hospital IQR Program | Patient Engagement | No | Slight Increase |
| Responsiveness of Hospital Staff | Hospital IQR Program | Patient Engagement | No | Slight Increase |
| Pain Control | Hospital IQR Program | Patient Engagement | No | Slight Increase |
| Communication about Medicines | Hospital IQR Program | Patient Engagement | No | Slight Increase |
| Cleanliness of the Hospital Environment | Hospital IQR Program | Patient Engagement | No | Slight Increase |
| Quietness of the Hospital Environment | Hospital IQR Program | Patient Engagement | No | Slight Increase |
| Discharge Information | Hospital IQR Program | Patient Engagement | No | Slight Increase |
| Recommend Hospital | Hospital IQR Program | Patient Engagement | No | Slight Increase |
| Overall Rating of Health Care Quality | Part C | Patient Engagement | No | Slight Increase |
| Ease of Getting Needed Care and Seeing Specialists | Part C | Patient Engagement | No | Slight Increase |
| Getting Appointments and Care Quickly | Part C | Patient Engagement | No | Slight Increase |
| Customer Service | Part C | Patient Engagement | No | Slight Increase |
| Members' Overall Rating of Health Plan | Part C | Patient Engagement | No | Slight Increase |
| Getting Information from Drug Plan | Part D | Patient Engagement | No | Slight Increase |
| Getting Needed Prescriptions | Part D | Patient Engagement | No | Slight Increase |
| Members' Overall Rating of Drug Plan | Part D | Patient Engagement | No | Slight Increase |

Appendix 7-10: Performance/Improvement Results for CMS Process Measures With a Minimum of Three Years of Data From 2006–2012

| Measure Name | Program | National Quality Strategy Priority | High Performing | Improvement Type |
|--|----------------------|------------------------------------|-----------------|------------------|
| AMI-3: ACE/ARB for LVSD | Hospital IQR Program | Effective Treatment | Yes | Substantial |
| AMI-8a: Primary PCI Received Within 90 Minutes of Hospital Arrival | Hospital IQR Program | Effective Treatment | Yes | Substantial |
| HF-1: Discharge Instructions | Hospital IQR Program | Patient Engagement | Yes | Substantial |
| HF-3: ACE Inhibitor or ARB for Left Ventricular Systolic Dysfunction (LVSD) | Hospital IQR Program | Effective Treatment | Yes | Substantial |
| HF-4: Adult Smoking Cessation Advice/Counseling | Hospital IQR Program | Patient Engagement | Yes | Substantial |
| PN-2: Pneumococcal Vaccination Status | Hospital IQR Program | Effective Treatment | Yes | Substantial |
| PN-4: Adult Smoking Cessation Advice/Counseling | Hospital IQR Program | Patient Engagement | Yes | Substantial |
| PN-6: Appropriate Initial Antibiotic Selection | Hospital IQR Program | Effective Treatment | Yes | Substantial |
| PN-7: Influenza Vaccination Status | Hospital IQR Program | Effective Treatment | Yes | Substantial |
| SCIP-CARD-2: Surgery Patients on Beta Blocker Therapy Prior to Admission who Received a Beta Blocker During Perioperative Period | Hospital IQR Program | Effective Treatment | Yes | Substantial |
| SCIP-INF-1: Prophylactic Antibiotic Received Within 1 hour Prior to Surgical Incision | Hospital IQR Program | Safety | Yes | Substantial |
| SCIP-INF-3: Prophylactic Antibiotics Discontinued Within 24 Hours After Surgery End Time (48 Hours for Cardiac Surgery) | Hospital IQR Program | Safety | Yes | Substantial |
| SCIP-INF-4: Cardiac Surgery Patients with Controlled Postoperative Blood Glucose | Hospital IQR Program | Safety | Yes | Substantial |
| SCIP-INF-6: Surgery Patients with Appropriate Hair Removal | Hospital IQR Program | Safety | Yes | Substantial |
| SCIP-INF-9: Surgery Patients Whose Urinary Catheters Were Removed on the First or Second Day after Surgery | Hospital IQR Program | Safety | Yes | Substantial |
| SCIP-VTE-1: Surgery Patients with Recommended Venous Thromboembolism Prophylaxis Ordered | Hospital IQR Program | Safety | Yes | Substantial |
| SCIP-VTE-2: Surgery Patients Who Received Appropriate Venous Thromboembolism Prophylaxis Within 24 Hours Pre/Post-surgery | Hospital IQR Program | Safety | Yes | Substantial |
| OP-6: Perioperative Care: Timing of Prophylactic Parenteral Antibiotics-Ordering Physician | Hospital OQR Program | Safety | Yes | Substantial |
| OP-7: Perioperative Care: Selection of Prophylactic Antibiotics: First or Second Generation Cephalosporin | Hospital OQR Program | Safety | Yes | Substantial |
| Facility Percentage of Patients with URR \geq 65% by Subpopulation, 2006-2011 | ESRD QIP | Effective Treatment | Yes | Not Substantial |
| AMI-1: Aspirin at Arrival | Hospital IQR Program | Effective Treatment | Yes | Not Substantial |

Appendix 7-10: Performance/Improvement Results for CMS Process Measures With a Minimum of Three Years of Data From 2006–2012

| Measure Name | Program | National Quality Strategy Priority | High Performing | Improvement Type |
|---|----------------------|------------------------------------|-----------------|----------------------|
| AMI-2: Aspirin Prescribed at Discharge | Hospital IQR Program | Effective Treatment | Yes | Not Substantial |
| AMI-4: Adult Smoking Cessation Advice/Counseling | Hospital IQR Program | Patient Engagement | Yes | Not Substantial |
| AMI-5: Beta blocker Prescribed at Discharge | Hospital IQR Program | Effective Treatment | Yes | Not Substantial |
| AMI-10: Statin Prescribed at Discharge | Hospital IQR Program | Effective Treatment | Yes | Not Substantial |
| HF-2: Evaluation of Left Ventricular Systolic Function | Hospital IQR Program | Effective Treatment | Yes | Not Substantial |
| PN-3b: Blood Cultures Performed in the Emergency Department Prior to Inertial Antibiotic Received in Hospital | Hospital IQR Program | Effective Treatment | Yes | Not Substantial |
| PN-5c: Initial Antibiotic Received Within 6 Hours of Hospital Arrival | Hospital IQR Program | Effective Treatment | Yes | Not Substantial |
| SCIP-INF-10: Surgery Patients Preoperative Temperature Management | Hospital IQR Program | Safety | Yes | Not Substantial |
| SCIP-INF-2: Prophylactic Antibiotic Selection for Surgical Patients | Hospital IQR Program | Safety | Yes | Not Substantial |
| Residents Who Were Physically Restrained (Long Stay) | NHQI | Safety | Yes | Not Substantial |
| OP-4: Aspirin at Arrival | Hospital OQR Program | Effective Treatment | Yes | Not Substantial |
| Cholesterol Screening for Patients with Diabetes | Part C | Effective Treatment | Yes | Not Substantial |
| Kidney Function Testing for Members with Diabetes | Part C | Effective Treatment | Yes | Not Substantial |
| Facility Percentage of patients with Hgb \geq 12 g/dL | ESRD | Effective Treatment | No | Substantial Increase |
| AMI-7a: Fibrinolytic Therapy Received Within 30 Minutes of Hospital Arrival | Hospital IQR Program | Effective Treatment | No | Substantial Increase |
| Influenza Vaccination (Short Stay) | NHQI | Healthy Living | No | Substantial Increase |
| Pneumococcal Vaccination (Short Stay) | NHQI | Healthy Living | No | Substantial Increase |
| Pneumococcal Vaccination (Long Stay) | NHQI | Healthy Living | No | Substantial Increase |
| Adult BMI Assessment | Part C | Healthy Living | No | Substantial Increase |
| Colorectal Cancer Screening | Part C | Healthy Living | No | Substantial Increase |
| Rheumatoid Arthritis Management | Part C | Effective Treatment | No | Substantial Increase |
| Glaucoma Testing | Part C | Healthy Living | No | Substantial Increase |
| Osteoporosis Testing in Older Women | Part C | Effective Treatment | No | Substantial Increase |

Appendix 7-10: Performance/Improvement Results for CMS Process Measures With a Minimum of Three Years of Data From 2006–2012

| Measure Name | Program | National Quality Strategy Priority | High Performing | Improvement Type |
|---|----------------------|------------------------------------|-----------------|----------------------|
| Use of High Risk Medications in the Elderly | Part D | Safety | No | Substantial Increase |
| OP-5: Median Time to ECG | Hospital OQR Program | Effective Treatment | No | Slight Increase |
| OP-1: Median Time to Fibrinolysis | Hospital OQR Program | Effective Treatment | No | Slight Increase |
| OP-2: Fibrinolytic Therapy Received Within 30 Minutes of ED Arrival | Hospital OQR Program | Effective Treatment | No | Slight Increase |
| OP-3: Median Time to Transfer to Another Facility for Acute Coronary Intervention | Hospital OQR Program | Effective Treatment | No | Slight Increase |
| Reducing the Risk of Falling | Part C | Effective Treatment | No | Slight Increase |
| Pneumonia Vaccine | Part C | Healthy Living | No | Slight Increase |
| Monitoring Physical Activity | Part C | Healthy Living | No | Slight Increase |
| Breast Cancer Screening, Women 52-69 | Part C | Healthy Living | No | Slight Increase |
| Eye Exam to Check for Damage from Diabetes | Part C | Effective Treatment | No | Slight Increase |
| Annual Flu Vaccine | Part C | Healthy Living | No | Slight Increase |
| Osteoporosis Management in Women Who Had a Fracture | Part C | Effective Treatment | No | Slight Increase |
| Appropriate Treatment of Hypertension for Diabetics | Part D | Effective Treatment | No | Slight Increase |
| Influenza Vaccination (Long Stay) | NHQI | Healthy Living | No | Slight Increase |
| Residents Who Have/Had a Catheter Inserted and Left in Their Bladder (Long Stay) | NHQI | Safety | No | Slight Increase |
| Improving Bladder Control | Part C | Effective Treatment | No | Slight Decrease |

Appendix 7-11: Performance/Improvement Results for CMS Structural Measures With a Minimum of Three Years of Data From 2006–2012

| Measure Name | Program | National Quality Strategy Priority | High Performing | Improvement Type |
|-------------------------------------|----------------------|------------------------------------|-----------------|------------------|
| Cardiac Surgery Registry | Hospital IQR Program | Effective Treatment | Yes | Not Substantial |
| Stroke Care Registry | Hospital IQR Program | Effective Treatment | No | Slight Increase |
| Registry for Nursing Sensitive Care | Hospital IQR Program | Effective Treatment | No | Slight Decrease |

Appendix 7-12 through Appendix 7-21 illustrate the disparities for individual measures by CMS program.

Appendix 7-12: Disparities Improvement by Measure for Nursing Home Quality Initiative (NHQI) for Age, Sex, Race/Ethnicity, or Race and Ethnicity From 2006–2012^{xivxv}

| Program | Measure | Age | | Sex | | Race or Race/Ethnicity | | Ethnicity | |
|---------|--|-----------|-----------|-----------|-----------|------------------------|-----------|-----------|-----------|
| | | Disparity | Improving | Disparity | Improving | Disparity | Improving | Disparity | Improving |
| NHQI | Influenza Vaccination (Short Stay) | Yes | Yes | No | N/A | Yes | Yes | Yes | No |
| NHQI | Pneumococcal Vaccination (Short Stay) | Yes | Yes | No | N/A | Yes | Yes | Yes | No |
| NHQI | Low-Risk Residents Who Lost Control of Their Bowels or Bladder (Long Stay) | No | N/A | No | N/A | No | N/A | No | N/A |
| NHQI | Low-Risk Residents Who Lost Control of Their Bowels or Bladder (Long Stay) | No | N/A | Yes | No | No | N/A | No | N/A |
| NHQI | Residents Who Have/Had a Catheter Inserted and Left in Their Bladder (Long Stay) | No | N/A | No | N/A | No | N/A | No | N/A |
| NHQI | Residents with a Urinary Tract Infection (Long Stay) | No | N/A | No | N/A | No | N/A | No | N/A |
| NHQI | Residents Who Lose Too Much Weight (Long Stay) | No | N/A | No | N/A | No | N/A | No | N/A |
| NHQI | Residents Who Have Moderate to Severe Pain (Long Stay) | No | N/A | No | N/A | No | N/A | No | N/A |

^{xiv} N/A = Not Applicable. For measures where no initial disparity was identified, no assessment of improvement is possible.

^{xv} The research team evaluated each measure for each pair of comparison and reference groups. For example, for the ambulatory care process measure *Colorectal Cancer Screening* (NQF #0034), the 85+ age group and the 18–64 age group were compared to the reference group (the 65–84 age group). If a disparity was detected in one or both of these comparisons, an age disparity would be reported for this measure, but the number of disparities found by age would not be reported. If the particular identified disparity improved, then disparities were said to have improved for that quality measure.

Appendix 7-12: Disparities Improvement by Measure for Nursing Home Quality Initiative (NHQI) for Age, Sex, Race/Ethnicity, or Race and Ethnicity From 2006–2012^{xivxv}

| Program | Measure | Age | | Sex | | Race or Race/Ethnicity | | Ethnicity | |
|---------|--|-----------|-----------|-----------|-----------|------------------------|-----------|-----------|-----------|
| | | Disparity | Improving | Disparity | Improving | Disparity | Improving | Disparity | Improving |
| NHQI | Residents Whose Need for Help with Daily Activities Has Increased (Long Stay) | No | N/A | No | N/A | No | N/A | No | N/A |
| NHQI | Residents Who Spend Most of Their Time in a Bed or in a Chair (Long Stay) | No | N/A | No | N/A | No | N/A | No | N/A |
| NHQI | Residents Whose Ability to Move in and Around Their Room Got Worse (Long Stay) | No | N/A | No | N/A | No | N/A | No | N/A |
| NHQI | Residents Who Were Physically Restrained (Long Stay) | Yes | Yes | No | N/A | No | N/A | No | N/A |
| NHQI | High-Risk Residents with Pressure Ulcers (Long Stay) | No | N/A | No | N/A | No | N/A | No | N/A |
| NHQI | Low-Risk Residents with Pressure Ulcers (Long Stay) | No | N/A | No | N/A | No | N/A | No | N/A |
| NHQI | Residents with Delirium (Short Stay) | No | N/A | No | N/A | No | N/A | No | N/A |
| NHQI | Residents with Moderate/Severe Pain (Short Stay) | Yes | No | Yes | No | No | N/A | No | N/A |
| NHQI | Residents with Pressure Ulcers (Short Stay) | No | N/A | No | N/A | No | N/A | No | N/A |
| NHQI | Influenza Vaccination (Long Stay) | Yes | Yes | No | N/A | Yes | Yes | No | N/A |
| NHQI | Pneumococcal Vaccination (Long Stay) | Yes | Yes | Yes | No | Yes | Yes | Yes | No |

Appendix 7-13: Disparities Improvement by Measure for Hospital Inpatient Quality Reporting Program (Hospital IQR Program) for Age, Sex, Race/Ethnicity, or Race and Ethnicity From 2006–2012^{xvi}

| Program | Measure | Age | | Sex | | Race or Race/Ethnicity | | Ethnicity | |
|----------------------|---|-----------|-----------|-----------|-----------|------------------------|-----------|-----------|-----------|
| | | Disparity | Improving | Disparity | Improving | Disparity | Improving | Disparity | Improving |
| Hospital IQR Program | AMI-1: Aspirin at Arrival | No | N/A | No | N/A | No | N/A | No | N/A |
| Hospital IQR Program | AMI-10: Statin Prescribed at Discharge | No | N/A | No | N/A | No | N/A | No | N/A |
| Hospital IQR Program | AMI-2: Aspirin Prescribed at Discharge | No | N/A | No | N/A | No | N/A | No | N/A |
| Hospital IQR Program | AMI-3: ACE/ARB for LVSD | No | N/A | No | N/A | No | N/A | No | N/A |
| Hospital IQR Program | AMI-4: Adult Smoking Cessation Advice/ Counseling | No | N/A | No | N/A | Yes | Yes | No | N/A |
| Hospital IQR Program | AMI-5: Beta blocker Prescribed at Discharge | No | N/A | No | N/A | No | N/A | No | N/A |
| Hospital IQR Program | AMI-7a: Fibrinolytic Therapy Received Within 30 Minutes of Hospital Arrival | No | N/A | No | N/A | No | N/A | No | N/A |
| Hospital IQR Program | AMI-8a: Primary PCI Received Within 90 Minutes of Hospital Arrival | No | N/A | Yes | No | Yes | Yes | Yes | Yes |
| Hospital IQR Program | HF-1: Discharge Instructions | No | N/A | No | N/A | Yes | Yes | No | N/A |

^{xvi} N/A = Not Applicable. For measures where no initial disparity was identified, no assessment of improvement is possible.

Appendix 7-13: Disparities Improvement by Measure for Hospital Inpatient Quality Reporting Program (Hospital IQR Program) for Age, Sex, Race/Ethnicity, or Race and Ethnicity From 2006–2012^{xvi}

| Program | Measure | Age | | Sex | | Race or Race/Ethnicity | | Ethnicity | |
|----------------------|---|-----------|-----------|-----------|-----------|------------------------|-----------|-----------|-----------|
| | | Disparity | Improving | Disparity | Improving | Disparity | Improving | Disparity | Improving |
| Hospital IQR Program | HF-2: Evaluation of Left Ventricular Systolic Function | No | N/A | No | N/A | Yes | Yes | No | N/A |
| Hospital IQR Program | HF-3: ACE Inhibitor or ARB for Left Ventricular Systolic Dysfunction (LVSD) | No | N/A | No | N/A | No | N/A | No | N/A |
| Hospital IQR Program | HF-4: Adult Smoking Cessation Advice/ Counseling | No | N/A | No | N/A | Yes | Yes | No | N/A |
| Hospital IQR Program | PN-2: Pneumococcal Vaccination Status | No | N/A | No | N/A | Yes | Yes | Yes | Yes |
| Hospital IQR Program | PN-3b: Blood Cultures Performed in the Emergency Department Prior to Inertial Antibiotic Received in Hospital | No | N/A | No | N/A | No | N/A | No | N/A |
| Hospital IQR Program | PN-4: Adult Smoking Cessation Advice/ Counseling | No | N/A | No | N/A | Yes | Yes | No | N/A |
| Hospital IQR Program | PN-5c: Initial Antibiotic Received Within 6 Hours of Hospital Arrival | No | N/A | No | N/A | No | N/A | Yes | Yes |
| Hospital IQR Program | PN-6: Appropriate Initial Antibiotic Selection | No | N/A | No | N/A | No | N/A | No | N/A |

Appendix 7-13: Disparities Improvement by Measure for Hospital Inpatient Quality Reporting Program (Hospital IQR Program) for Age, Sex, Race/Ethnicity, or Race and Ethnicity From 2006–2012^{xvi}

| Program | Measure | Age | | Sex | | Race or Race/Ethnicity | | Ethnicity | |
|----------------------|--|-----------|-----------|-----------|-----------|------------------------|-----------|-----------|-----------|
| | | Disparity | Improving | Disparity | Improving | Disparity | Improving | Disparity | Improving |
| Hospital IQR Program | PN-7: Influenza Vaccination Status | No | N/A | No | N/A | Yes | Yes | Yes | Yes |
| Hospital IQR Program | SCIP-CARD-2: Surgery Patients on Beta Blocker Therapy Prior to Admission who Received a Beta Blocker During Perioperative Period | No | N/A | No | N/A | Yes | Yes | Yes | Yes |
| Hospital IQR Program | SCIP-INF-1: Prophylactic Antibiotic Received Within 1 hour Prior to Surgical Incision | No | N/A | No | N/A | No | N/A | Yes | Yes |
| Hospital IQR Program | SCIP-INF-10: Surgery Patients Preoperative Temperature Management | No | N/A | No | N/A | No | N/A | No | N/A |
| Hospital IQR Program | SCIP-INF-2: Prophylactic Antibiotic Selection for Surgical Patients | No | N/A | No | N/A | No | N/A | No | N/A |
| Hospital IQR Program | SCIP-INF-3: Prophylactic Antibiotics Discontinued Within 24 Hours After Surgery End Time (48 Hours for Cardiac Surgery) | No | N/A | No | N/A | No | N/A | No | N/A |

Appendix 7-13: Disparities Improvement by Measure for Hospital Inpatient Quality Reporting Program (Hospital IQR Program) for Age, Sex, Race/Ethnicity, or Race and Ethnicity From 2006–2012^{xvi}

| Program | Measure | Age | | Sex | | Race or Race/Ethnicity | | Ethnicity | |
|----------------------|---|-----------|-----------|-----------|-----------|------------------------|-----------|-----------|-----------|
| | | Disparity | Improving | Disparity | Improving | Disparity | Improving | Disparity | Improving |
| Hospital IQR Program | SCIP-INF-4: Cardiac Surgery Patients with Controlled Postoperative Blood Glucose | No | N/A | No | N/A | No | N/A | Yes | Yes |
| Hospital IQR Program | SCIP-INF-6: Surgery Patients with Appropriate Hair Removal | No | N/A | No | N/A | No | N/A | Yes | Yes |
| Hospital IQR Program | SCIP-INF-9: Surgery Patients Whose Urinary Catheters Were Removed on the First or Second Day after Surgery | No | N/A | No | N/A | Yes | No | No | N/A |
| Hospital IQR Program | SCIP-VTE-1: Surgery Patients with Recommended Venous Thromboembolism Prophylaxis Ordered | No | N/A | No | N/A | Yes | Yes | Yes | Yes |
| Hospital IQR Program | SCIP-VTE-2: Surgery Patients Who Received Appropriate Venous Thromboembolism Prophylaxis Within 24 Hours Pre/Post-surgery | No | N/A | No | N/A | Yes | Yes | Yes | Yes |

Appendix 7-14: Disparities Improvement by Measure for Home Health Quality Reporting Program (HH QRP) for Age, Sex, Race/Ethnicity, or Race and Ethnicity From 2006–2012^{xvii}

| Program | Measure | Age | | Sex | | Race or Race/Ethnicity | | Ethnicity | |
|---------|---|-----------|-----------|-----------|-----------|------------------------|-----------|-----------|-----------|
| | | Disparity | Improving | Disparity | Improving | Disparity | Improving | Disparity | Improving |
| HH QRP | Acute Care Hospitalization | Yes | No | No | N/A | Yes | No | No | N/A |
| HH QRP | Improvement in Dyspnea | No | N/A | No | N/A | No | N/A | No | N/A |
| HH QRP | ED Use Without Hospitalization | Yes | No | No | N/A | No | N/A | No | N/A |
| HH QRP | Improvement in Ambulation/ Locomotion | Yes | No | No | N/A | No | N/A | No | N/A |
| HH QRP | Improvement in Bathing | Yes | No | No | N/A | Yes | No | No | N/A |
| HH QRP | Improvement in Bed Transferring | Yes | No | No | N/A | Yes | No | No | N/A |
| HH QRP | Improvement in Management of Oral Medications | Yes | No | No | N/A | No | N/A | No | N/A |
| HH QRP | Improvement in Pain Interfering with Activity | No | N/A | No | N/A | No | N/A | No | N/A |
| HH QRP | Patients Able to Live in the Community at Discharge | Yes | No | No | N/A | Yes | No | No | N/A |

^{xvii} N/A = Not Applicable. For measures where no initial disparity was identified, no assessment of improvement is possible.

Appendix 7-15: Disparities Improvement by Measure for Part C Healthcare Effectiveness Data & Information Set (HEDIS) for Age, Sex, Race/Ethnicity, or Race and Ethnicity From 2006–2012^{xviii,xix}

| Program | Measure | Age | | Sex | | Race or Race/Ethnicity | | Ethnicity | |
|--------------|---|-----------|-----------|-----------|-----------|------------------------|-----------|-----------|-----------|
| | | Disparity | Improving | Disparity | Improving | Disparity | Improving | Disparity | Improving |
| Part C HEDIS | Breast Cancer Screening, Women 52–69 | Yes | No | No | N/A | Yes | No | --- | --- |
| Part C HEDIS | Colorectal Cancer Screening | Yes | No | No | N/A | Yes | No | --- | --- |
| Part C HEDIS | Cholesterol Screening for Patients with Heart Disease | No | N/A | No | N/A | No | N/A | --- | --- |
| Part C HEDIS | Cholesterol Screening for Patients with Diabetes | Yes | No | No | N/A | No | N/A | --- | --- |
| Part C HEDIS | Glaucoma Testing | Yes | No | No | N/A | Yes | No | --- | --- |
| Part C HEDIS | Adults' Access to Prevent/Ambulatory Health Services (65+) | No | N/A | No | N/A | Yes | No | --- | --- |
| Part C HEDIS | Adult BMI Assessment | Yes | No | No | N/A | No | N/A | --- | --- |
| Part C HEDIS | Osteoporosis Management in Women Who Had a Fracture | Yes | No | No | N/A | Yes | No | --- | --- |
| Part C HEDIS | Eye Exam to Check for Damage from Diabetes | Yes | No | No | N/A | Yes | No | --- | --- |
| Part C HEDIS | Kidney Function Testing for Members with Diabetes | Yes | No | No | N/A | Yes | No | --- | --- |
| Part C HEDIS | Plan Members with Diabetes Whose Blood Sugar Is under Control | Yes | No | No | N/A | Yes | No | --- | --- |

^{xviii} N/A = Not Applicable. For measures where no initial disparity was identified, no assessment of improvement is possible.

^{xix} “---” indicates that the variable, Ethnicity, was not available within the data. As such, no results are presented.

Appendix 7-15: Disparities Improvement by Measure for Part C Healthcare Effectiveness Data & Information Set (HEDIS) for Age, Sex, Race/Ethnicity, or Race and Ethnicity From 2006–2012^{xviii,xix}

| Program | Measure | Age | | Sex | | Race or Race/Ethnicity | | Ethnicity | |
|--------------|---|-----------|-----------|-----------|-----------|------------------------|-----------|-----------|-----------|
| | | Disparity | Improving | Disparity | Improving | Disparity | Improving | Disparity | Improving |
| Part C HEDIS | Plan Members with Diabetes Whose Cholesterol Is under Control | Yes | No | Yes | No | Yes | No | --- | --- |
| Part C HEDIS | Controlling Blood Pressure | No | N/A | Yes | No | Yes | No | --- | --- |
| Part C HEDIS | Rheumatoid Arthritis Management | Yes | No | No | N/A | Yes | No | --- | --- |

Appendix 7-16: Disparities Improvement by Measure for Part C Health Outcome Survey (HOS) for Age, Sex, Race/Ethnicity, or Race and Ethnicity From 2006–2012^{xx, xxi}

| Program | Measure | Age | | Sex | | Race or Race/Ethnicity | | Ethnicity | |
|------------|--|-----------|-----------|-----------|-----------|------------------------|-----------|-----------|-----------|
| | | Disparity | Improving | Disparity | Improving | Disparity | Improving | Disparity | Improving |
| Part C HOS | Improving Bladder Control | No | N/A | No | N/A | Yes | No | --- | --- |
| Part C HOS | Improving or Maintaining Mental Health | Yes | No | No | N/A | No | N/A | --- | --- |
| Part C HOS | Monitoring Physical Activity | Yes | No | No | N/A | No | N/A | --- | --- |
| Part C HOS | Osteoporosis Testing in Older Women | Yes | No | No | N/A | Yes | No | --- | --- |
| Part C HOS | Improving or Maintaining Physical Health | Yes | No | No | N/A | Yes | No | --- | --- |
| Part C HOS | Reducing the Risk of Falling | No | N/A | No | N/A | No | N/A | --- | --- |

^{xx} N/A = Not Applicable. For measures where no initial disparity was identified, no assessment of improvement is possible.

^{xxi} “---” indicates that the variable, Ethnicity, was not available within the data. As such, no results are presented.

Appendix 7-17: Disparities Improvement by Measure for Prescription Drug Plan Consumer Assessment of Healthcare Providers and Systems (PDP CAHPS) for Age, Sex, Race/Ethnicity, or Race and Ethnicity From 2006–2012^{xxii, xxiii}

| Program | Measure | Age | | Sex | | Race or Race/Ethnicity | | Ethnicity | |
|-----------|--------------------------------------|-----------|-----------|-----------|-----------|------------------------|-----------|-----------|-----------|
| | | Disparity | Improving | Disparity | Improving | Disparity | Improving | Disparity | Improving |
| PDP CAHPS | Getting Information from Drug Plan | No | N/A | No | N/A | Yes | No | --- | --- |
| PDP CAHPS | Getting Needed Prescriptions | No | N/A | No | N/A | Yes | No | --- | --- |
| PDP CAHPS | Members' Overall Rating of Drug Plan | No | N/A | No | N/A | Yes | No | --- | --- |

^{xxii} N/A = Not Applicable. For measures where no initial disparity was identified, no assessment of improvement is possible.

^{xxiii} “---” indicates that the variable, Ethnicity, was not available within the data. As such, no results are presented.

Appendix 7-18: Disparities Improvement by Measure for Medicare Advantage Consumer Assessment of Healthcare Providers and Systems (MA CAHPS) for Age, Sex, Race/Ethnicity, or Race and Ethnicity From 2006–2012

| Program | Measure | Age | | Sex | | Race or Race/Ethnicity | | Ethnicity | |
|----------|--|-----------|-----------|-----------|-----------|------------------------|-----------|-----------|-----------|
| | | Disparity | Improving | Disparity | Improving | Disparity | Improving | Disparity | Improving |
| MA CAHPS | Annual Flu Vaccine | Yes | No | No | N/A | Yes | No | --- | --- |
| MA CAHPS | Customer Service | No | N/A | No | N/A | Yes | No | --- | --- |
| MA CAHPS | Ease of Getting Needed Care and Seeing Specialists | No | N/A | No | N/A | Yes | No | --- | --- |
| MA CAHPS | Getting Appointments and Care Quickly | No | N/A | No | N/A | Yes | No | --- | --- |
| MA CAHPS | Members' Overall Rating of Health Plan | No | N/A | No | N/A | No | N/A | --- | --- |
| MA CAHPS | Overall Rating of Health Care Quality | No | N/A | No | N/A | No | N/A | --- | --- |
| MA CAHPS | Pneumonia Vaccine | Yes | No | No | N/A | Yes | No | --- | --- |

Appendix 7-19: Disparities Improvement by Measure for Hospital Inpatient Quality Reporting Program (Hospital IQR Program) HCAHPS for Age, Sex, Race/Ethnicity, or Race and Ethnicity From 2006–2012^{xxiv, xxv}

| Program | Measure | Age | | Sex | | Race or Race/Ethnicity | | Ethnicity | |
|-----------------------------|---|-----------|-----------|-----------|-----------|------------------------|-----------|-----------|-----------|
| | | Disparity | Improving | Disparity | Improving | Disparity | Improving | Disparity | Improving |
| Hospital IQR Program HCAHPS | Communication with Nurses | No | N/A | No | N/A | Yes | No | --- | --- |
| Hospital IQR Program HCAHPS | Communication with Doctors | No | N/A | No | N/A | No | N/A | --- | --- |
| Hospital IQR Program HCAHPS | Responsiveness of Hospital Staff | Yes | No | No | N/A | Yes | No | --- | --- |
| Hospital IQR Program HCAHPS | Pain Control | Yes | No | No | N/A | Yes | No | --- | --- |
| Hospital IQR Program HCAHPS | Communication about Medicines | Yes | No | No | N/A | No | N/A | --- | --- |
| Hospital IQR Program HCAHPS | Cleanliness of the Hospital Environment | No | N/A | Yes | No | Yes | No | --- | --- |
| Hospital IQR Program HCAHPS | Quietness of the Hospital Environment | No | N/A | No | N/A | No | N/A | --- | --- |
| Hospital IQR Program HCAHPS | Discharge Information | No | N/A | No | N/A | No | N/A | --- | --- |
| Hospital IQR Program HCAHPS | Hospital Rating | Yes | No | No | N/A | No | N/A | --- | --- |
| Hospital IQR Program HCAHPS | Recommend Hospital | No | N/A | No | N/A | No | N/A | --- | --- |

^{xxiv} N/A = Not Applicable. For measures where no initial disparity was identified, no assessment of improvement is possible.

^{xxv} “---” indicates that the variable, Ethnicity, was not available within the data. As such, no results are presented.

Appendix 7-20: Disparities Improvement by Measure and Hospital Outpatient Quality Reporting Program (Hospital OQR Program) for Age, Sex, Race/Ethnicity, or Race and Ethnicity From 2006–2012^{xxvi, xxvii}

| Program | Measure | Age | | Sex | | Race or Race/Ethnicity | | Ethnicity | |
|----------------------|---|-----------|-----------|-----------|-----------|------------------------|-----------|-----------|-----------|
| | | Disparity | Improving | Disparity | Improving | Disparity | Improving | Disparity | Improving |
| Hospital OQR Program | OP-4: Aspirin at Arrival | No | N/A | No | N/A | No | N/A | No | N/A |
| Hospital OQR Program | OP-2: Fibrinolytic Therapy Received Within 30 Minutes of ED Arrival | No | N/A | Yes | No | Yes | No | No | N/A |
| Hospital OQR Program | OP-7: Perioperative Care: Selection of Prophylactic Antibiotics: First or Second Generation Cephalosporin | No | N/A | No | N/A | No | N/A | No | N/A |
| Hospital OQR Program | OP-6: Perioperative Care: Timing of Prophylactic Parenteral Antibiotics- Ordering Physician | No | N/A | No | N/A | No | N/A | No | N/A |

^{xxvi} N/A = Not Applicable. For measures where no initial disparity was identified, no assessment of improvement is possible.

^{xxvii} “---” indicates that the variable, Ethnicity, was not available within the data. As such, no results are presented.

Appendix 7-21: Disparities Improvement by Measure for Medicare Part D Program for Age, Sex, Race/Ethnicity, or Race and Ethnicity From 2006–2012^{xxviii, xxix}

| Program | Measure | Age | | Sex | | Race or Race/Ethnicity | | Ethnicity | |
|---------|--|-----------|-----------|-----------|-----------|------------------------|-----------|-----------|-----------|
| | | Disparity | Improving | Disparity | Improving | Disparity | Improving | Disparity | Improving |
| Part D | Use of High Risk Medications in the Elderly | No | N/A | Yes | No | No | N/A | --- | --- |
| Part D | Part D Medication Adherence for Hypertension (Ras Antagonists) | Yes | No | No | N/A | Yes | No | --- | --- |
| Part D | Appropriate Treatment of Hypertension for Diabetics | Yes | No | No | N/A | No | N/A | --- | --- |
| Part D | Part D Medication Adherence for Cholesterol (Statins) | Yes | No | No | N/A | Yes | No | --- | --- |
| Part D | Taking Oral Diabetes Medication | Yes | No | No | N/A | Yes | No | --- | --- |

^{xxviii} N/A = Not Applicable. For measures where no initial disparity was identified, no assessment of improvement is possible.

^{xxix} “---” indicates that the variable, Ethnicity, was not available within the data. As such, no results are presented.

***Appendix 8: Chapter 8—Measure Relationships:
Hospital Process Measures and Patient Outcomes***

NO APPENDIX FOR THIS CHAPTER

Appendix 9: Chapter 9—Measure Relationships: Patient-Reported Hospital Experiences and Predicted Medicare Costs

Appendix 9-1: Potential Covariates for Experience or Costs From the Literature

| Variable | Measure | Data Source | Year | Citations |
|----------------------------|--|-------------|------|---|
| Region | Nine categorical regions | AHA | N/A | Girotra et al. 2012 ¹ |
| Age | Mean and distribution | CART | 2012 | Borghans et al. 2012 ² ; Fenton et al. 2012 ³ ; Peikes et al. 2009 ⁴ ; Zuckerman et al. 2010 ⁵ |
| Bed Size | Either count of bed size or total admissions | AHA | 2011 | Girotra et al. 2012 ¹ |
| Race | Percent Black | CART | 2012 | Fenton et al. 2012 ³ ; Peikes et al. 2009 ⁴ ; Zuckerman et al. 2010 ⁵ |
| Ethnic Group | Percent Hispanic | CART | 2012 | Fenton et al. 2012 ³ ; Peikes et al. 2009 ⁴ ; Zuckerman et al. 2010 ⁵ |
| Safety Net Hospital Status | Categorical | AHA | 2011 | Chatterjee et al. 2012 ⁶ ; Girotra et al. 2012 ¹ ; (Fenton et al. 2012 ³ ; Peikes et al. 2009 ⁴ ; Zuckerman et al. 2010 ⁵ —used payer) |
| Sex | Percent female | CART | 2012 | Fenton et al. 2012 ³ ; Peikes et al. 2009 ⁴ ; Zuckerman et al. 2010 ⁵ |
| Urban/rural | Categorical | HCQIS/PRS | 2011 | Fenton et al. 2012 ³ ; Peikes et al. 2009 ⁴ ; Girotra et al. 2012 ¹ ; Zuckerman et al. 2010 ⁵ |

Appendix 9-1: Reference List

- (1) Girotra S, Cram P, Popescu I. Patient satisfaction at America's lowest performing hospitals. *Circ Cardiovasc Qual Outcomes*. 2012.
- (2) Borghans I, Kleefstra SM, Kool RB, Westert GP. Is the length of stay in hospital correlated with patient satisfaction? *Int J Qual Health Care*. 2012;24(5):443-451.
- (3) Fenton JJ, Jerant AF, Bertakis KD, Franks P. The cost of satisfaction: a national study of patient satisfaction, health care utilization, expenditures, and mortality. *Arch Intern Med*. 2012;172(5):405-411.
- (4) Peikes D, Chen A, Shore J, Brown R. Effects of care coordination on hospitalization, quality of care, and health care expenditures among Medicare beneficiaries: 15 randomized trials. *JAMA*. 2009;301(6):603-618.
- (5) Zuckerman S, Waidmann T, Berenson R, Hadley J. Clarifying sources of geographic differences in Medicare spending. *N Engl J Med*. 2010;363(1):54-62.
- (6) Chatterjee P, Joynt KE, Orav EJ, Jha AK. Patient experience in safety-net hospitals: implications for improving care and value-based purchasing. *Arch Intern Med*. 2012;172(16):1204-1210.

Appendix 9-2: Hospital Compare HCAHPS Reported Items and Correlation Matrix

HCAHPS Items^{xxx}

1. How often did nurses communicate well with patients?

During this hospital stay...

- ◆ How often did nurses treat you with courtesy and respect? (Q1)
- ◆ How often did nurses listen carefully to you? (Q2)
- ◆ How often did nurses explain things in a way you could understand? (Q3)

2. How often did doctors communicate well with patients?

During this hospital stay...

- ◆ How often did doctors treat you with courtesy and respect? (Q5)
- ◆ How often did doctors listen carefully to you? (Q6)
- ◆ How often did doctors explain things in a way you could understand? (Q7)

3. How often did patients receive help quickly from hospital staff?

During this hospital stay...

- ◆ After you pressed the call button, how often did you get help as soon as you wanted it? (Q4)
- ◆ How often did you get help in getting to the bathroom or in using a bedpan as soon as you wanted? (Q11)

4. How often was patients' pain well controlled?

During this hospital stay...

- ◆ How often was your pain well controlled? (Q13)
- ◆ How often did the hospital staff do everything they could to help you with your pain? (Q14)

^{xxx} The HCAHPS survey instrument can be accessed at: <http://hcahpsonline.org/surveyinstrument.aspx>.

5. How often did staff explain about medicines before giving them to patients?

Before giving you any new medicine...

- ◆ How often did hospital staff tell you what the medicine was for? (Q16)
- ◆ How often did hospital staff describe possible side effects in a way you could understand? (Q17)

6. How often were patients' rooms and bathrooms kept clean?

During this hospital stay...

- ◆ How often were your room and bathroom kept clean? (Q8)

7. How often was the area around patients' rooms quiet at night?

During this hospital stay...

- ◆ How often was the area around your room quiet at night? (Q9)

8. Were patients given information about what to do during their recovery at home?

During this hospital stay...

- ◆ Did hospital staff talk with you about whether you would have the help you needed when you left the hospital? (Q19)
- ◆ Did you get information in writing about what symptoms or health problems to look out for after you left the hospital? (Q20)

9. How do patients rate the hospital?

- ◆ Using any number from 0 to 10, where 0 is the worst hospital possible and 10 is the best hospital possible, what number would you use to rate this hospital during your stay? (Q21)

10. Would patients recommend the hospital to friends and family?

- ◆ Would you recommend this hospital to your friends and family? (Q22)

Table 9-2-1: HCAHPS Correlation Matrix

| | | Medicare Spending per Beneficiary (2012) | Percent of Patients who reported ... | | | | | | | | | |
|--|---|--|---|--|---|---|--|---|--|---|---|---|
| | | | their nurses "Always" communicated well | their doctors "Always" communicated well | they "Always" received help as soon as wanted | their pain was "Always" well controlled | that staff "Always" explained about medicines before giving it to them | their room and bathroom were "Always" clean | the area around their room was "Always" quiet at night | that "Yes" they were given information about what to do during their recovery at home | their hospital a 9 or 10 on a scale from 0 (lowest) to 10 (highest) | "Yes", they would definitely recommend the hospital |
| Medicare Spending per Beneficiary (2012) | | 1.00 | | | | | | | | | | |
| Percent of Patients who reported ... | their nurses "Always" communicated well | -0.17** | 1.00 | | | | | | | | | |
| | their doctors "Always" communicated well | -0.20** | 0.74** | 1.00 | | | | | | | | |
| | they "Always" received help as soon as wanted | -0.22** | 0.85** | 0.68** | 1.00 | | | | | | | |
| | their pain was "Always" well controlled | -0.13** | 0.84** | 0.69** | 0.77** | 1.00 | | | | | | |
| | that staff "Always" explained about medicines before giving it to them | -0.23** | 0.80** | 0.69** | 0.75** | 0.72** | 1.00 | | | | | |
| | their room and bathroom were "Always" clean | -0.12** | 0.68** | 0.50** | 0.70** | 0.59** | 0.60** | 1.00 | | | | |
| | the area around their room was "Always" quiet at night | -0.08** | 0.60** | 0.66** | 0.62** | 0.85** | 0.58** | 0.51** | 1.00 | | | |
| | that "Yes" they were given information about what to do during their recovery at home | -0.12** | 0.55** | 0.37** | 0.49** | 0.51** | 0.46** | 0.39** | 0.24** | 1.00 | | |
| | their hospital a 9 or 10 on a scale from 0 (lowest) to 10 (highest) | -0.12** | 0.77** | 0.59** | 0.69** | 0.73** | 0.68** | 0.60** | 0.55** | 0.59** | 1.00 | |
| | "Yes", they would definitely recommend the hospital | -0.04* | 0.64** | 0.45** | 0.53** | 0.61** | 0.54** | 0.48** | 0.39** | 0.58** | 0.91** | 1.00 |

* p < 0.05
** p < 0.01

Appendix 9-3: Full Ordinary Least Squares (OLS) Regression Model

- ◆ General forms:
 - $MSPB_i = \alpha + \beta_0 HCAHPS(3 \text{ items})_i + \beta_j X_{ij} + e_i$
 - $HCAHPS_PCA_i = \alpha + \beta_0 MSPB_i + \beta_j X_{ij} + e_i$
- ◆ i indexes the hospitals,
- ◆ j indexes each controlling variable by hospital,
- ◆ $MSPB_i$ is the average risk-adjusted Medicare Spending Per Beneficiary for hospital i ,
- ◆ $HCAHPS_PCA_i$ is the HCAHPS first principal component score for hospital i ,
- ◆ α is a constant,
- ◆ β_0 is the coefficient for HCAHPS score,
- ◆ $HCAHPS_i$ is the hospital-specific first principal component from reported HCAHPS scores,
- ◆ β_j is a group of coefficients capturing the effects of the hospital's characteristics,
- ◆ X_{ij} represents a hospital characteristic such as bed size, region, and urbanicity, identified in
- ◆ Appendix 9-2: Potential Covariates for Experience or Costs from the Literature
- ◆ e_i is the error term that captures the amount not predicted by the model, the residual.

Table 9-3-1: Model Summary for MSPB as the Dependent Variable^{xxx1}

| Model | R | R Square | Adjusted R Square | Std. Error of the Estimate | Change Statistics | | | | |
|-------|------------------------|----------|-------------------|----------------------------|-------------------|----------|-----|------|---------------|
| | | | | | R Square Change | F Change | df1 | df2 | Sig. F Change |
| 1 | 0.24 ^{xxxii} | 0.06 | 0.06 | 0.08 | 0.06 | 66.48 | 3 | 3143 | <0.01 |
| 2 | 0.47 ^{xxxiii} | 0.22 | 0.21 | 0.08 | 0.16 | 39.00 | 16 | 3127 | < 0.01 |

^{xxx1} Dependent Variable: Medicare Spending Per Beneficiary 2012.

^{xxxii} Predictors: (Constant), Percent of patients who reported that staff "Always" explained about medicines before giving it to them, Percent of patients who reported that their doctors "Always" communicated well, Percent of patients who reported that they "Always" received help as soon as they wanted.

^{xxxiii} Predictors: (Constant), Percent of patients who reported that staff "Always" explained about medicines before giving it to them, Percent of patients who reported that their doctors "Always" communicated well, Percent of patients who reported that they "Always" received help as soon as they wanted, South Atlantic, West North Central, Standard Deviation of Mean of Patients in Hospital, Mountain, Safety Net Hospital Status, Percent Ethnic of Patients in Hospital, Rural Hospital Designation, Mid Atlantic, East South Central, Percent Black in Hospital, Percent female patients in Hospital, Pacific, Mean age of Patients in Hospital, East North Central, Total Hospital Beds, West South Central

Table 9-3-2: Full Model for MSPB as the Dependent Variable

| Coefficients ^a | | Unstandardized Coefficients | | Standardized Coefficients | t | Sig. | Correlations | | |
|---------------------------|--|-----------------------------|------------|---------------------------|-------|--------|--------------|---------|-------|
| | | B | Std. Error | Beta | | | Zero-order | Partial | Part |
| 1 | (Constant) | 1.24 | 0.03 | | 47.68 | < 0.01 | | | |
| | Percent of patients who reported that they "Always" received help as soon as they wanted. | > -0.01 | < 0.01 | -0.11 | -3.98 | < 0.01 | -0.23 | -0.07 | -0.07 |
| | Percent of patients who reported that their doctors "Always" communicated well. | > -0.01 | < 0.01 | -0.06 | -2.40 | 0.02 | -0.21 | -0.04 | -0.04 |
| | Percent of patients who reported that staff "Always" explained about medicines before giving it to them. | > -0.01 | < 0.01 | -0.10 | -3.40 | < 0.01 | -0.22 | -0.06 | -0.06 |
| 2 | (Constant) | 1.35 | 0.05 | | 29.64 | < 0.01 | | | |
| | Percent of patients who reported that they "Always" received help as soon as they wanted. | > -0.01 | < 0.01 | -0.07 | -2.55 | 0.11 | -0.23 | -0.05 | -0.04 |
| | Percent of patients who reported that their doctors "Always" communicated well. | > -0.01 | < 0.01 | -0.16 | -5.92 | < 0.01 | -0.21 | -0.11 | -0.09 |
| | Percent of patients who reported that staff "Always" explained about medicines before giving it to them. | > -0.01 | < 0.01 | -0.08 | -3.00 | < 0.01 | -0.22 | -0.05 | -0.05 |

Table 9-3-2: Full Model for MSPB as the Dependent Variable

| Coefficients ^a | | Unstandardized Coefficients | | Standardized Coefficients | t | Sig. | Correlations | | |
|---------------------------|--|-----------------------------|------------|---------------------------|--------|--------|--------------|---------|-------|
| | | B | Std. Error | Beta | | | Zero-order | Partial | Part |
| | Mid Atlantic | -0.06 | 0.01 | -0.21 | -6.47 | < 0.01 | 0.08 | -0.12 | -0.10 |
| | South Atlantic | -0.07 | 0.01 | -0.29 | -7.67 | < 0.01 | 0.01 | -0.14 | -0.12 |
| | East North Central | -0.05 | 0.01 | -0.22 | -5.93 | < 0.01 | 0.04 | -0.11 | -0.09 |
| | East South Central | -0.04 | 0.01 | -0.13 | -4.15 | < 0.01 | > -0.01 | -0.07 | -0.07 |
| | West North Central | -0.10 | 0.01 | -0.30 | -9.99 | < 0.01 | -0.17 | -0.18 | -0.16 |
| | West South Central | -0.02 | 0.01 | -0.08 | -2.20 | 0.03 | 0.13 | -0.04 | -0.04 |
| | Mountain | -0.10 | 0.01 | -0.28 | -9.86 | < 0.01 | -0.12 | -0.17 | -0.16 |
| | Pacific | -0.10 | 0.01 | -0.38 | -10.71 | < 0.01 | -0.10 | -0.19 | -0.17 |
| | Mean age of Patients in Hospital | < 0.02 | < 0.01 | 0.08 | 3.91 | < 0.01 | 0.01 | 0.07 | 0.06 |
| | Standard Deviation of Mean of Patients in Hospital | > -0.01 | < 0.01 | -0.15 | -8.16 | < 0.01 | -0.13 | -0.14 | -0.13 |
| | Total Hospital Beds | < 0.01 | < 0.01 | 0.07 | 2.96 | < 0.01 | 0.15 | 0.05 | 0.05 |
| | Percent Black in Hospital | < 0.01 | < 0.01 | 0.13 | 6.73 | < 0.01 | 0.16 | 0.12 | 0.11 |
| | Percent Ethnic of Patients in Hospital | < 0.01 | < 0.01 | 0.12 | 6.76 | < 0.01 | 0.12 | 0.12 | 0.11 |
| | Safety Net Hospital Status | -0.01 | 0.01 | -0.06 | -2.88 | < 0.01 | 0.04 | -0.05 | -0.05 |
| | Percent female patients in Hospital | < 0.01 | < 0.01 | 0.03 | 1.75 | 0.08 | -0.08 | 0.03 | 0.03 |
| | Rural Hospital Designation | -0.02 | < 0.01 | -0.09 | -4.55 | < 0.01 | -0.09 | -0.08 | -0.07 |

Table 9-3-3: Model Summary for HCAHPS_PCA as the Dependent Variable^{xxxiv}

| Model | R | R Square | Adjusted R Square | Std. Error of the Estimate | Change Statistics | | | | |
|-------|-----------------------|----------|-------------------|----------------------------|-------------------|----------|-----|------|---------------|
| | | | | | R Square Change | F Change | df1 | df2 | Sig. F Change |
| 1 | 0.18 ^{xxxv} | 0.03 | 0.03 | 0.92 | 0.03 | 107.91 | 1 | 3145 | < 0.01 |
| 2 | 0.56 ^{xxxvi} | 0.31 | 0.30 | 0.78 | 0.27 | 77.53 | 16 | 3129 | < 0.01 |

Table 9-3-4: Full Model for HCAHPS_PCA as the Dependent Variable

| Coefficients | | Unstandardized Coefficients | | Standardized Coefficients | t | Sig. | Correlations | | |
|-------------------------------------|--|-----------------------------|------------|---------------------------|--------|--------|--------------|---------|-------|
| | | B | Std. Error | Beta | | | Zero-order | Partial | Part |
| 1 | (Constant) | 1.76 | 0.19 | | 9.51 | < 0.01 | | | |
| | Medicare Spending Per Beneficiary 2012 | -1.95 | 0.19 | -0.18 | -10.39 | < 0.01 | -0.18 | -0.18 | -0.18 |
| 2 | (Constant) | 4.14 | .415 | | 9.98 | < 0.01 | | | |
| | Medicare Spending Per Beneficiary 2012 | -1.87 | 0.17 | -0.17 | -10.72 | < 0.01 | -0.18 | -0.19 | -0.16 |
| | Mid Atlantic | -0.44 | 0.09 | -0.15 | -4.92 | < 0.01 | -0.22 | -0.09 | -0.07 |
| | South Atlantic | -0.04 | 0.09 | -0.02 | -0.45 | 0.66 | -0.07 | -0.01 | -0.01 |
| | East North Central | 0.11 | 0.09 | 0.04 | 1.25 | 0.21 | 0.06 | 0.02 | 0.02 |
| | East South Central | 0.39 | 0.09 | 0.12 | 4.18 | < 0.01 | 0.12 | 0.07 | 0.06 |
| | West North Central | 0.17 | 0.10 | 0.05 | 1.71 | 0.09 | 0.10 | 0.03 | 0.03 |
| | West South Central | 0.54 | 0.09 | 0.21 | 6.04 | < 0.01 | 0.21 | 0.11 | 0.09 |
| | Mountain | -0.20 | 0.10 | -0.05 | -1.97 | 0.05 | -0.03 | -0.04 | -0.03 |
| | Pacific | -0.33 | 0.10 | -0.12 | -3.46 | < 0.01 | -0.18 | -0.06 | -0.05 |
| | Mean age of Patients in Hospital | -0.02 | < 0.01 | -0.07 | -3.92 | < 0.01 | 0.06 | -0.07 | -0.06 |
| | Standard Deviation of Mean of Patients in Hospital | -0.13 | 0.01 | -0.28 | -16.40 | < 0.01 | -0.19 | -0.28 | -0.24 |
| | Total Hospital Beds | > -0.01 | < 0.01 | -0.12 | -5.48 | < 0.01 | -0.25 | -0.10 | -0.08 |
| | Percent Black in Hospital | -0.01 | < 0.01 | -0.15 | -8.53 | < 0.01 | -0.17 | -0.15 | -0.13 |
| | Percent Ethnic of Patients in Hospital | -0.01 | < 0.01 | -0.12 | -7.10 | < 0.01 | -0.22 | -0.13 | -0.11 |
| | Safety Net Hospital Status | -0.04 | 0.05 | -0.02 | -0.84 | 0.40 | -0.14 | -0.02 | -0.01 |
| Percent female patients in Hospital | 0.03 | < 0.01 | 0.11 | 5.89 | < 0.01 | 0.15 | 0.11 | 0.09 | |
| Rural Hospital Designation | 0.16 | 0.04 | 0.07 | 3.65 | < 0.01 | 0.08 | 0.07 | 0.05 | |

^{xxxiv} Dependent Variable: HCAHPS factor score 2012

^{xxxv} Predictors: (Constant), Medicare Spending Per Beneficiary 2012

^{xxxvi} Predictors: (Constant), Medicare Spending Per Beneficiary 2012, East South Central, Mean age of Patients in Hospital, South Atlantic, Percent female patients in Hospital, Mountain, Percent Ethnic of Patients in Hospital, Mid Atlantic, Rural Hospital Designation, West North Central, Safety Net Hospital Status, Standard Deviation of Mean of Patients in Hospital, West South Central, Percent Black in Hospital, Pacific, Total Hospital Beds, East North Central

Appendix 10: Chapter 10—Future Directions

NO APPENDIX FOR THIS CHAPTER