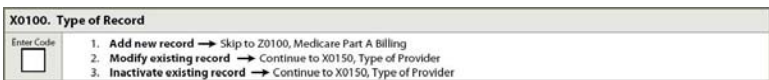


**Track Changes  
from Chapter 3 Section X V1.04  
to Chapter 3 Section X V1.08**

| Chapter | Section | Page      | Change   |
|---------|---------|-----------|--|
| 3       | -       | X-1       | <p><b>Intent:</b> The purpose of Section X is to <del>indicate whether an MDS record is a new record to be added to the QIES ASAP system or a</del> identify an MDS record to be modified or inactivated. The following items identify the existing assessment record that is in error, request to modify or inactivate a record already present in the database. This information is provided in the first item in the section (X0100). If this is a new record, then all items in this section except the first item are skipped. If this is a request to modify or inactivate an existing record, then the other items in this section must be completed. Section X is only completed if Item A0050, Type of Record, is coded a 2 (Modify existing record) or a 3 (Inactivate existing record). In Section X, the facility must reproduce the information EXACTLY as it appeared on the existing erroneous record, even if the information is incorrect. This information is necessary to locate the existing record in the National MDS Database.</p>                                |
| 3       | X0100   | X-2 & X-3 | Page length change.  |
| 3       | X0100   | X-2 & X-3 | <p><b>X0100: Type of Record</b></p>  <p><b>Coding Instructions for X0100, Type of Record</b></p> <ul style="list-style-type: none"> <li>Code 1, Add new record: if this is a new record that has not been previously submitted and accepted in the QIES ASAP system. If this item is coded as 1, then the remainder of Section X is skipped and the assessor should proceed to Section Z, Assessment Administration.<br/>If there is an existing database record for the same resident, the same facility, the same reasons for assessment/tracking, and the same date (assessment reference date, entry date, or discharge date), then the current record is a duplicate and not a new record. In this case, the submitted record will be rejected and not accepted in the QIES ASAP system and a “fatal” error will be reported to the facility on the Final Validation Report.</li> <li>Code 2, Modify existing record: if this is a request to modify the MDS items for a record that</li> </ul> |

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| Chapter | Section | Page | Change   |
|---------|---------|------|--|
|         |         |      | <p>already has been submitted and accepted in the QIES ASAP system.</p> <p>If this item is coded as 2, then the remaining items in Section X and the items in all other MDS sections must be completed.</p> <p>When a modification request is submitted, the QIES ASAP System will take the following steps:</p> <ol style="list-style-type: none"> <li>1. The system will attempt to locate the existing record in the QIES ASAP database for this facility with the resident, reasons for assessment/tracking, and date (assessment reference date, entry date, or discharge date) indicated in subsequent Section X items.</li> <li>2. If the existing record is not found, the submitted modification record will be rejected and not accepted in the QIES ASAP system. A “fatal” error will be reported to the facility on the Final Validation Report.</li> <li>3. If the existing record is found, then the items in all sections of the submitted modification record will be edited. If there are any fatal errors, the modification record will be rejected and not accepted in the QIES ASAP system. The “fatal” error(s) will be reported to the facility on the Final Validation Report.</li> </ol> <p><b>X0100: Type of Record (cont.)</b></p> <ol style="list-style-type: none"> <li>4. If the modification record passes all the edits, it will replace the prior record being modified in the QIES ASAP database. The prior record will be moved to a history file in the QIES ASAP database.</li> </ol> <p>• <b>Code 3, Inactivate existing record:</b> if this is a request to inactivate a record that already has been submitted and accepted in the QIES ASAP system.</p> <p>If this item is coded as 3, then the remaining items in Section X must be completed and all other MDS sections are skipped.</p> <p>When an inactivation request is submitted, the QIES ASAP system will take the following steps:</p> <ol style="list-style-type: none"> <li>1. The system will attempt to locate the existing record in the QIES ASAP system for this facility with the</li> </ol> |

**Track Changes  
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| Chapter | Section | Page | Change   |
|---------|---------|------|--|
|         |         |      | <p>resident, reasons for assessment/tracking, and date (assessment reference date, entry date, or discharge date) indicated in subsequent Section X items.</p> <p>2. If the existing record is not found in the QIES ASAP database, the submitted inactivation request will be rejected and a “fatal” error will be reported to the facility on the Final Validation Report.</p> <p>3. All items in Section X of the submitted record will be edited. If there are any fatal errors, the current inactivation request will be rejected and no record will be inactivated in the QIES ASAP system.</p> <p>4. If the existing record is found, it will be removed from the active records in the QIES ASAP database and moved to a history file.</p> <p><b>Identification of Record to be Modified/Inactivated</b></p> <p>The Section X items from X0200 through X0700 identify the existing QIES ASAP database assessment or tracking record that is in error. In this section, reproduce the information <b>EXACTLY</b> as it appeared on the existing erroneous record, even if the information is incorrect. This information is necessary to locate the existing record in the database.</p> <p>Example: A MDS assessment for Joan L. Smith is submitted and accepted by the QIES ASAP system. A data entry error is then identified on the previously submitted and accepted record. When the encoder “data entered” the prior assessment for Joan L. Smith, he typed “John” by mistake. To correct this data entry error, the facility will modify the erroneous record and complete the items in Section X including items under <u>Identification of Record to be Modified/Inactivated</u>. When completing X0200A, the Resident First Name, “John” will be entered in this item. This will permit the MDS system to locate the previously submitted assessment that is being corrected. If the correct name “Joan” were entered, the QIES ASAP system would not locate the prior assessment.</p> <p>———— The correction to the name from “John” to “Joan” will be made by recording “Joan”</p> |

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|--|---------|------|---|
|  |         |      | in the “normal” A0500A, Resident First Name in the modification record. The modification record must include all items appropriate for that assessment, not just the corrected name. This modification record will then be submitted and accepted to the QIES ASAP system which causes the desired correction to be made. |
| 3  | X0600   | X-4  | Replaced screen shot.   |
| <p><b>OLD</b></p> <div> <div> <div>Enter Code</div> <div> <input type="text"/> <input type="text"/> </div> </div> <div> <div>Enter Code</div> <div> <input type="text"/> <input type="text"/> </div> </div> <div> <div>Enter Code</div> <div> <input type="text"/> </div> </div> <div> <div>Enter Code</div> <div> <input type="text"/> </div> </div> <div> <div>Enter Code</div> <div> <input type="text"/> <input type="text"/> </div> </div> </div> <div> <p><b>X0600. Type of Assessment</b> on existing record to be modified/inactivated</p> <p><b>A. Federal OBRA Reason for Assessment</b></p> <p>01. Admission assessment (required by day 14)</p> <p>02. Quarterly review assessment</p> <p>03. Annual assessment</p> <p>04. Significant change in status assessment</p> <p>05. Significant correction to prior comprehensive assessment</p> <p>06. Significant correction to prior quarterly assessment</p> <p>99. Not OBRA required assessment</p> <p><b>B. PPS Assessment</b></p> <p><u>PPS Scheduled Assessments for a Medicare Part A Stay</u></p> <p>01. 5-day scheduled assessment</p> <p>02. 14-day scheduled assessment</p> <p>03. 30-day scheduled assessment</p> <p>04. 60-day scheduled assessment</p> <p>05. 90-day scheduled assessment</p> <p>06. Readmission/return assessment</p> <p><u>PPS Unscheduled Assessments for a Medicare Part A Stay</u></p> <p>07. Unscheduled assessment used for PPS (OMRA, significant or clinical change, or significant correction assessment)</p> <p><u>Not PPS Assessment</u></p> <p>99. Not PPS assessment</p> <p><b>C. PPS Other Medicare Required Assessment - OMRA</b></p> <p>0. No</p> <p>1. Start of therapy assessment</p> <p>2. End of therapy assessment</p> <p>3. Both Start and End of therapy assessment</p> <p><b>D. Is this a Swing Bed clinical change assessment?</b> Complete only if X0150 = 2</p> <p>0. No</p> <p>1. Yes</p> <p><b>F. Entry/discharge reporting</b></p> <p>01. Entry record</p> <p>10. Discharge assessment-return not anticipated</p> <p>11. Discharge assessment-return anticipated</p> <p>12. Death in facility record</p> <p>99. Not entry/discharge record</p> </div> |         |      |   |

**Track Changes  
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NEW

| X0600. Type of Assessment on existing record to be modified/inactivated |   |   |  |   |  |   |
|---|---|---|--|---|--|---|
| <div>Enter Code</div> <div><div></div><div></div></div>                 | <b>A. Federal OBRA Reason for Assessment</b><br>01. Admission assessment (required by day 14)<br>02. Quarterly review assessment<br>03. Annual assessment<br>04. Significant change in status assessment<br>05. Significant correction to prior comprehensive assessment<br>06. Significant correction to prior quarterly assessment<br>99. None of the above |   |  |   |  |   |
|   | <div>Enter Code</div> <div><div></div><div></div></div>   | <b>B. PPS Assessment</b><br><u>PPS Scheduled Assessments for a Medicare Part A Stay</u><br>01. 5-day scheduled assessment<br>02. 14-day scheduled assessment<br>03. 30-day scheduled assessment<br>04. 60-day scheduled assessment<br>05. 90-day scheduled assessment<br>06. Readmission/return assessment<br><u>PPS Unscheduled Assessments for a Medicare Part A Stay</u><br>07. Unscheduled assessment used for PPS (OMRA, significant or clinical change, or significant correction assessment)<br><u>Not PPS Assessment</u><br>99. None of the above |  |   |  |   |
|   |   | <div>Enter Code</div> <div><div></div></div>  | <b>C. PPS Other Medicare Required Assessment - OMRA</b><br>0. No<br>1. Start of therapy assessment<br>2. End of therapy assessment<br>3. Both Start and End of therapy assessment<br>4. Change of therapy assessment |   |  |   |
|   |   |   | <div>Enter Code</div> <div><div></div></div>   | <b>D. Is this a Swing Bed clinical change assessment? Complete only if X0150 = 2</b><br>0. No<br>1. Yes |  |   |
|   |   |   |  | <div>Enter Code</div> <div><div></div><div></div></div>   | <b>F. Entry/discharge reporting</b><br>01. Entry tracking record<br>10. Discharge assessment-return not anticipated<br>11. Discharge assessment-return anticipated<br>12. Death in facility tracking record<br>99. None of the above |   |
| 3   |   |   |  |   | X0600  | X-5   |
| 3   | X0600   |   |  |   | X-6  | <b>Coding Instructions for X0600F, Entry/discharge reporting</b><br><br>01. Entry tracking record<br>10. Discharge assessment-return not anticipated<br>11. Discharge assessment-return anticipated<br>12. Death in facility tracking record<br>99. None of the above entry/discharge<br><br>• Note that the Entry/discharge code in X0600F does not have to match the current value of A0310F on a modification request. The entries may be different if the modification is correcting the Entry/discharge reason for completing the assessment or tracking record. |
| 3   | X0600   | X-7   |  |   | Page length change.  |   |
| 3   | X0900   | X-8   | <b>X0900: Reasons for Modification</b><br><br>The items in this section indicate the possible reasons for the  |   |  |   |

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|   |       |     |  |
|---|-------|-----|--|
|   |       |     | modification request of the record in the QIES ASAP database. Check all that apply. These items should only be completed when A0050X0100 = 2, indicating a modification request. If A0050X0100 = 3, indicating an inactivation request, these items should be skipped. |
| 3 | X0900 | X-8 | Replaced screen shot.  |

OLD

X0900. Reasons for Modification - Complete only if Type of Record is to modify a record in error (A0050 = 2)

↓ Check all that apply

☐

A. Transcription error

☐

B. Data entry error

☐

C. Software product error

☐

D. Item coding error

☐

E. End of Therapy - Resumption (EOT-R) date

☐

Z. Other error requiring modification

If "Other" checked, please specify:

NEW

X0900. Reasons for Modification - Complete only if Type of Record is to modify a record in error (A0050 = 2)

↓ Check all that apply

☐

A. Transcription error

☐

B. Data entry error

☐

C. Software product error

☐

D. Item coding error

☐

E. End of Therapy - Resumption (EOT-R) date

☐

Z. Other error requiring modification

If "Other" checked, please specify:

|   |       |     |  |
|---|-------|-----|--|
| 3 | X0900 | X-9 | <div>Coding Instructions for X0900E, End of Therapy-Resumption (EOT-R) date</div> <div><div>• Check the box if the error in the prior QIES ASAP record was caused by an erroneous End of Therapy-Resumption (EOT-R) date.</div></div>  |
| 3 | X0900 | X-9 | <div>Coding Instructions for X0900Z, Other Error Requiring Modification</div> <div><div>• Check the box if any errors in the prior QIES ASAP record were caused by other types of errors not included in Items X0900A through X0900DE.</div></div>   |
| 3 | X1050 | X-9 | <div>X1050: Reasons for Inactivation</div> <div>The items in this section indicate the possible reasons for the inactivation request. Check all that apply. These items should only be completed when A0050X0100 = 3, indicating an inactivation request. If A0050X0100 = 2, indicating a modification request, these items should be skipped.</div> |

**Track Changes  
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|  |       |      |  |
|--|-------|------|--|
| 3  | X1050 | X-9  | Replaced screen shot.  |
| <p>OLD</p> <div style="border: 1px solid black; padding: 5px;"> <p><b>X1050. Reasons for Inactivation</b> - Complete only if Type of Record is to inactivate a record in error (X0100 = 3)</p> <p>↓ Check all that apply</p> <div style="display: flex; border-bottom: 1px solid black;"> <div style="width: 40px; text-align: center; border-right: 1px solid black;"><input type="checkbox"/></div> <div>A. Event did not occur</div> </div> <div style="display: flex; border-bottom: 1px solid black;"> <div style="width: 40px; text-align: center; border-right: 1px solid black;"><input type="checkbox"/></div> <div>Z. Other error requiring inactivation</div> </div> <p style="margin-left: 40px;">If "Other" checked, please specify: _____</p> </div> |       |      |  |
| <p>NEW</p> <div style="border: 1px solid black; padding: 5px;"> <p><b>X1050. Reasons for Inactivation</b> - Complete only if Type of Record is to inactivate a record in error (A0050 = 3)</p> <p>↓ Check all that apply</p> <div style="display: flex; border-bottom: 1px solid black;"> <div style="width: 40px; text-align: center; border-right: 1px solid black;"><input type="checkbox"/></div> <div>A. Event did not occur</div> </div> <div style="display: flex; border-bottom: 1px solid black;"> <div style="width: 40px; text-align: center; border-right: 1px solid black;"><input type="checkbox"/></div> <div>Z. Other error requiring inactivation</div> </div> <p style="margin-left: 40px;">If "Other" checked, please specify: _____</p> </div> |       |      |  |
| 3  | X0600 | X-10 | Page length change.  |
| 3  | X1100 | X-11 | <p><b>Coding Tip for X1100, RN Assessment Coordinator Attestation of Completion</b></p> <ul style="list-style-type: none"> <li>If you are completing an inactivation is being completed, you need to complete Z0400 must also be completed.</li> </ul> |