

# MINIMUM DATA SET (MDS) - Version 3.0

## RESIDENT ASSESSMENT AND CARE SCREENING

### Nursing Home Quarterly (NQ) Item Set

| Section A  |   | Identification Information |
|--|---|----------------------------|
| <b>A0050. Type of Record</b>   |   |                            |
| Enter Code<br><div style="border: 1px solid black; width: 30px; height: 20px; margin: 5px;"></div> | <ol style="list-style-type: none"> <li>1. <b>Add new record</b> → Continue to A0100, Facility Provider Numbers</li> <li>2. <b>Modify existing record</b> → Continue to A0100, Facility Provider Numbers</li> <li>3. <b>Inactivate existing record</b> → Skip to X0150, Type of Provider</li> </ol>  |                            |
| <b>A0100. Facility Provider Numbers</b>  |   |                            |
|  | <p><b>A. National Provider Identifier (NPI):</b></p><br><p><b>B. CMS Certification Number (CCN):</b></p><br><p><b>C. State Provider Number:</b></p>   |                            |
| <b>A0200. Type of Provider</b>   |   |                            |
| Enter Code<br><div style="border: 1px solid black; width: 30px; height: 20px; margin: 5px;"></div> | <b>Type of provider</b> <ol style="list-style-type: none"> <li>1. <b>Nursing home (SNF/NF)</b></li> <li>2. <b>Swing Bed</b></li> </ol>  |                            |
| <b>A0300. Optional State Assessment</b>  |   |                            |
| Complete only if A0200 = 1   |   |                            |
| Enter Code<br><div style="border: 1px solid black; width: 30px; height: 20px; margin: 5px;"></div> | <b>A. Is this assessment for state payment purposes only?</b> <ol style="list-style-type: none"> <li>0. <b>No</b> → Skip to and complete A0310, Type of Assessment</li> <li>1. <b>Yes</b></li> </ol>  |                            |
| Enter Code<br><div style="border: 1px solid black; width: 30px; height: 20px; margin: 5px;"></div> | <b>B. Assessment type</b> <ol style="list-style-type: none"> <li>1. <b>Start of therapy</b> assessment</li> <li>2. <b>End of therapy</b> assessment</li> <li>3. <b>Both Start and End of therapy</b> assessment</li> <li>4. <b>Change of therapy</b> assessment</li> <li>5. <b>Other payment</b> assessment</li> </ol>  |                            |
| <b>A0310. Type of Assessment</b>   |   |                            |
| Enter Code<br><div style="border: 1px solid black; width: 30px; height: 20px; margin: 5px;"></div> | <b>A. Federal OBRA Reason for Assessment</b> <ol style="list-style-type: none"> <li>01. <b>Admission</b> assessment (required by day 14)</li> <li>02. <b>Quarterly</b> review assessment</li> <li>03. <b>Annual</b> assessment</li> <li>04. <b>Significant change in status</b> assessment</li> <li>05. <b>Significant correction to prior comprehensive</b> assessment</li> <li>06. <b>Significant correction to prior quarterly</b> assessment</li> <li>99. <b>None of the above</b></li> </ol> |                            |
| Enter Code<br><div style="border: 1px solid black; width: 30px; height: 20px; margin: 5px;"></div> | <b>B. PPS Assessment</b> <p><b><u>PPS Scheduled Assessment for a Medicare Part A Stay</u></b></p> <ol style="list-style-type: none"> <li>01. <b>5-day</b> scheduled assessment</li> </ol> <p><b><u>PPS Unscheduled Assessment for a Medicare Part A Stay</u></b></p> <ol style="list-style-type: none"> <li>08. <b>IPA</b> - Interim Payment Assessment</li> </ol> <p><b><u>Not PPS Assessment</u></b></p> <ol style="list-style-type: none"> <li>99. <b>None of the above</b></li> </ol>         |                            |
| <b>A0310 continued on next page</b>  |   |                            |

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|------------------|-----------------------------------|
| <b>Section A</b> | <b>Identification Information</b> |
|------------------|-----------------------------------|

**A0310. Type of Assessment - Continued**

|   |   |
|---|---|
| Enter Code<br><input style="width: 40px; height: 20px;" type="text"/> | <b>E. Is this assessment the first assessment</b> (OBRA, Scheduled PPS, or Discharge) <b>since the most recent admission/entry or reentry?</b><br>0. <b>No</b><br>1. <b>Yes</b>   |
| Enter Code<br><input style="width: 40px; height: 20px;" type="text"/> | <b>F. Entry/discharge reporting</b><br>01. <b>Entry</b> tracking record<br>10. <b>Discharge</b> assessment- <b>return not anticipated</b><br>11. <b>Discharge</b> assessment- <b>return anticipated</b><br>12. <b>Death in facility</b> tracking record<br>99. <b>None of the above</b> |
| Enter Code<br><input style="width: 40px; height: 20px;" type="text"/> | <b>G. Type of discharge</b> - Complete only if A0310F = 10 or 11<br>1. <b>Planned</b><br>2. <b>Unplanned</b>  |
| Enter Code<br><input style="width: 40px; height: 20px;" type="text"/> | <b>G1. Is this a SNF Part A Interrupted Stay?</b><br>0. <b>No</b><br>1. <b>Yes</b>  |
| Enter Code<br><input style="width: 40px; height: 20px;" type="text"/> | <b>H. Is this a SNF Part A PPS Discharge Assessment?</b><br>0. <b>No</b><br>1. <b>Yes</b>   |

**A0410. Unit Certification or Licensure Designation**

|   |  |
|---|--|
| Enter Code<br><input style="width: 40px; height: 20px;" type="text"/> | 1. <b>Unit is neither Medicare nor Medicaid certified and MDS data is not required by the State</b><br>2. <b>Unit is neither Medicare nor Medicaid certified but MDS data is required by the State</b><br>3. <b>Unit is Medicare and/or Medicaid certified</b> |
|---|--|

**A0500. Legal Name of Resident**

|  |                             |                                 |
|--|-----------------------------|---------------------------------|
|  | <b>A. First name:</b> _____ | <b>B. Middle initial:</b> _____ |
|  | <b>C. Last name:</b> _____  | <b>D. Suffix:</b> _____         |

**A0600. Social Security and Medicare Numbers**

|  |  |
|--|--|
|  | <b>A. Social Security Number:</b><br>_____ - _____ - _____ |
|  | <b>B. Medicare number:</b><br>_____                        |

**A0700. Medicaid Number** - Enter "+" if pending, "N" if not a Medicaid recipient

|  |  |
|--|--|
|  |  |
|--|--|

**A0800. Gender**

|   |                                    |
|---|------------------------------------|
| Enter Code<br><input style="width: 40px; height: 20px;" type="text"/> | 1. <b>Male</b><br>2. <b>Female</b> |
|---|------------------------------------|

**A0900. Birth Date**

|  |  |
|--|--|
|  | _____ - _____ - _____<br><div style="display: flex; justify-content: space-around; width: 100%;"> <span>Month</span> <span>Day</span> <span>Year</span> </div> |
|--|--|

|                  |                                   |
|------------------|-----------------------------------|
| <b>Section A</b> | <b>Identification Information</b> |
|------------------|-----------------------------------|

**A1005. Ethnicity**

Are you of Hispanic, Latino/a, or Spanish origin?

↓ Check all that apply

- |                          |  |
|--------------------------|--|
| <input type="checkbox"/> | <b>A.</b> No, not of Hispanic, Latino/a, or Spanish origin |
| <input type="checkbox"/> | <b>B.</b> Yes, Mexican, Mexican American, Chicano/a        |
| <input type="checkbox"/> | <b>C.</b> Yes, Puerto Rican                                |
| <input type="checkbox"/> | <b>D.</b> Yes, Cuban                                       |
| <input type="checkbox"/> | <b>E.</b> Yes, another Hispanic, Latino, or Spanish origin |
| <input type="checkbox"/> | <b>X.</b> Resident unable to respond                       |

**A1010. Race**

What is your race?

↓ Check all that apply

- |                          |  |
|--------------------------|--|
| <input type="checkbox"/> | <b>A.</b> White                            |
| <input type="checkbox"/> | <b>B.</b> Black or African American        |
| <input type="checkbox"/> | <b>C.</b> American Indian or Alaska Native |
| <input type="checkbox"/> | <b>D.</b> Asian Indian                     |
| <input type="checkbox"/> | <b>E.</b> Chinese                          |
| <input type="checkbox"/> | <b>F.</b> Filipino                         |
| <input type="checkbox"/> | <b>G.</b> Japanese                         |
| <input type="checkbox"/> | <b>H.</b> Korean                           |
| <input type="checkbox"/> | <b>I.</b> Vietnamese                       |
| <input type="checkbox"/> | <b>J.</b> Other Asian                      |
| <input type="checkbox"/> | <b>K.</b> Native Hawaiian                  |
| <input type="checkbox"/> | <b>L.</b> Guamanian or Chamorro            |
| <input type="checkbox"/> | <b>M.</b> Samoan                           |
| <input type="checkbox"/> | <b>N.</b> Other Pacific Islander           |
| <input type="checkbox"/> | <b>X.</b> Resident unable to respond       |

**A1110. Language**
**A. What is your preferred language?**

Enter Code

**B. Do you need or want an interpreter to communicate with a doctor or health care staff?**

- |                          |                               |
|--------------------------|-------------------------------|
| <input type="checkbox"/> | 0. <b>No</b>                  |
| <input type="checkbox"/> | 1. <b>Yes</b>                 |
| <input type="checkbox"/> | 9. <b>Unable to determine</b> |

**A1200. Marital Status**

Enter Code

- |                          |                         |
|--------------------------|-------------------------|
| <input type="checkbox"/> | 1. <b>Never married</b> |
| <input type="checkbox"/> | 2. <b>Married</b>       |
| <input type="checkbox"/> | 3. <b>Widowed</b>       |
| <input type="checkbox"/> | 4. <b>Separated</b>     |
| <input type="checkbox"/> | 5. <b>Divorced</b>      |

| Section A   |  | Identification Information |  |
|---|--|----------------------------|--|
| <b>A1250. Transportation</b><br>Has lack of transportation kept you from medical appointments, meetings, work, or from getting things needed for daily living?<br>Complete only if A0310B = 01  |  |                            |  |
| ↓ <b>Check all that apply</b>   |  |                            |  |
| <input type="checkbox"/>  | A. Yes, it has kept me from medical appointments or from getting my medications  |                            |  |
| <input type="checkbox"/>  | B. Yes, it has kept me from non-medical meetings, appointments, work, or from getting things that I need   |                            |  |
| <input type="checkbox"/>  | C. No  |                            |  |
| <input type="checkbox"/>  | X. Resident unable to respond  |                            |  |
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| <b>A1270. Transportation (Discharge)</b><br>Has lack of transportation kept you from medical appointments, meetings, work, or from getting things needed for daily living?<br>Complete only if A0310H = 1   |  |                            |  |
| ↓ <b>Check all that apply</b>   |  |                            |  |
| <input type="checkbox"/>  | A. Yes, it has kept me from medical appointments or from getting my medications  |                            |  |
| <input type="checkbox"/>  | B. Yes, it has kept me from non-medical meetings, appointments, work, or from getting things that I need   |                            |  |
| <input type="checkbox"/>  | C. No  |                            |  |
| <input type="checkbox"/>  | X. Resident unable to respond  |                            |  |
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| <b>A1300. Optional Resident Items</b>   |  |                            |  |
|   | <b>A. Medical record number:</b><br><br><b>B. Room number:</b><br><br><b>C. Name by which resident prefers to be addressed:</b><br><br><b>D. Lifetime occupation(s)</b> - put "/" between two occupations: |                            |  |

## Section A Identification Information

### Most Recent Admission/Entry or Reentry into this Facility

#### A1600. Entry Date

|       |     |      |  |
|-------|-----|------|--|
|       | —   | —    |  |
| Month | Day | Year |  |

#### A1700. Type of Entry

|   |  |
|---|--|
| Enter Code<br><input style="width: 40px; height: 20px;" type="text"/> | 1. <b>Admission</b><br>2. <b>Reentry</b> |
|---|--|

#### A1805. Entered From

|   |  |
|---|--|
| Enter Code<br><input style="width: 40px; height: 20px;" type="text"/> | 01. <b>Home/Community</b> (e.g., private home/apt., board/care, assisted living, group home, transitional living, other residential care arrangements)<br>02. <b>Nursing Home</b> (long-term care facility)<br>03. <b>Skilled Nursing Facility</b> (SNF, swing beds)<br>04. <b>Short-Term General Hospital</b> (acute hospital, IPPS)<br>05. <b>Long-Term Care Hospital</b> (LTCH)<br>06. <b>Inpatient Rehabilitation Facility</b> (IRF, free standing facility or unit)<br>07. <b>Inpatient Psychiatric Facility</b> (psychiatric hospital or unit)<br>08. <b>Intermediate Care Facility</b> (ID/DD facility)<br>09. <b>Hospice</b> (home/non-institutional)<br>10. <b>Hospice</b> (institutional facility)<br>11. <b>Critical Access Hospital</b> (CAH)<br>12. <b>Home under care of organized home health service organization</b><br>99. <b>Not listed</b> |
|---|--|

#### A1900. Admission Date (Date this episode of care in this facility began)

|       |     |      |  |
|-------|-----|------|--|
|       | —   | —    |  |
| Month | Day | Year |  |

#### A2000. Discharge Date

Complete only if A0310F = 10, 11, or 12

|       |     |      |  |
|-------|-----|------|--|
|       | —   | —    |  |
| Month | Day | Year |  |

#### A2105. Discharge Status

Complete only if A0310F = 10, 11, or 12

|   |   |
|---|---|
| Enter Code<br><input style="width: 40px; height: 20px;" type="text"/> | 01. <b>Home/Community</b> (e.g., private home/apt., board/care, assisted living, group home, transitional living, other residential care arrangements)<br>02. <b>Nursing Home</b> (long-term care facility)<br>03. <b>Skilled Nursing Facility</b> (SNF, swing beds)<br>04. <b>Short-Term General Hospital</b> (acute hospital, IPPS)<br>05. <b>Long-Term Care Hospital</b> (LTCH)<br>06. <b>Inpatient Rehabilitation Facility</b> (IRF, free standing facility or unit)<br>07. <b>Inpatient Psychiatric Facility</b> (psychiatric hospital or unit)<br>08. <b>Intermediate Care Facility</b> (ID/DD facility)<br>09. <b>Hospice</b> (home/non-institutional)<br>10. <b>Hospice</b> (institutional facility)<br>11. <b>Critical Access Hospital</b> (CAH)<br>12. <b>Home under care of organized home health service organization</b><br>13. <b>Deceased</b><br>99. <b>Not listed</b> |
|---|---|

|   |   |                                   |                              |
|---|---|-----------------------------------|------------------------------|
| <b>Section A</b>  |   | <b>Identification Information</b> |                              |
| <b>A2121. Provision of Current Reconciled Medication List to Subsequent Provider at Discharge</b>                 |   |                                   |                              |
| Complete only if A0310H = 1   |   |                                   |                              |
| Enter Code<br><div></div>   | At the time of discharge to another provider, did your facility provide the resident's current reconciled medication list to the subsequent provider?<br>0. <b>No</b> - Current reconciled medication list not provided to the subsequent provider → Skip to A2123, Provision of Current Reconciled Medication List to Resident at Discharge<br>1. <b>Yes</b> - Current reconciled medication list provided to the subsequent provider            |                                   |                              |
| <b>A2122. Route of Current Reconciled Medication List Transmission to Subsequent Provider</b>                     |   |                                   |                              |
| Indicate the route(s) of transmission of the current reconciled medication list to the subsequent provider.       |   |                                   |                              |
| Check all that apply  |   | Route of Transmission             |                              |
| ↓   |   |                                   |                              |
| <input type="checkbox"/>  | <b>A. Electronic Health Record</b>  |                                   |                              |
| <input type="checkbox"/>  | <b>B. Health Information Exchange Organization</b>  |                                   |                              |
| <input type="checkbox"/>  | <b>C. Verbal</b> (e.g., in-person, telephone, video conferencing)   |                                   |                              |
| <input type="checkbox"/>  | <b>D. Paper-based</b> (e.g., fax, copies, printouts)  |                                   |                              |
| <input type="checkbox"/>  | <b>E. Other methods</b> (e.g., texting, email, CDs)   |                                   |                              |
| <b>A2123. Provision of Current Reconciled Medication List to Resident at Discharge</b>                            |   |                                   |                              |
| Complete only if A0310H = 1   |   |                                   |                              |
| Enter Code<br><div></div>   | At the time of discharge, did your facility provide the resident's current reconciled medication list to the resident, family and/or caregiver?<br>0. <b>No</b> - Current reconciled medication list not provided to the resident, family and/or caregiver → Skip to A2200, Previous Assessment Reference Date for Significant Correction<br>1. <b>Yes</b> - Current reconciled medication list provided to the resident, family and/or caregiver |                                   |                              |
| <b>A2124. Route of Current Reconciled Medication List Transmission to Resident</b>                                |   |                                   |                              |
| Indicate the route(s) of transmission of the current reconciled medication list to the resident/family/caregiver. |   |                                   |                              |
| Check all that apply  |   | Route of Transmission             |                              |
| ↓   |   |                                   |                              |
| <input type="checkbox"/>  | <b>A. Electronic Health Record</b> (e.g., electronic access to patient portal)  |                                   |                              |
| <input type="checkbox"/>  | <b>B. Health Information Exchange Organization</b>  |                                   |                              |
| <input type="checkbox"/>  | <b>C. Verbal</b> (e.g., in-person, telephone, video conferencing)   |                                   |                              |
| <input type="checkbox"/>  | <b>D. Paper-based</b> (e.g., fax, copies, printouts)  |                                   |                              |
| <input type="checkbox"/>  | <b>E. Other methods</b> (e.g., texting, email, CDs)   |                                   |                              |
| <b>A2200. Previous Assessment Reference Date for Significant Correction</b>                                       |   |                                   |                              |
| Complete only if A0310A = 05 or 06  |   |                                   |                              |
|   | <div>—</div> <div>Month</div>   | <div>—</div> <div>Day</div>       | <div>—</div> <div>Year</div> |
| <b>A2300. Assessment Reference Date</b>   |   |                                   |                              |
|   | <b>Observation end date:</b>  |                                   |                              |
|   | <div>—</div> <div>Month</div>   | <div>—</div> <div>Day</div>       | <div>—</div> <div>Year</div> |

|                  |                                   |
|------------------|-----------------------------------|
| <b>Section A</b> | <b>Identification Information</b> |
|------------------|-----------------------------------|

**A2400. Medicare Stay**

|  |  |
|--|--|
| Enter Code<br><div style="border: 1px solid black; width: 40px; height: 20px; margin: 5px 0;"></div> | <b>A. Has the resident had a Medicare-covered stay since the most recent entry?</b><br>0. <b>No</b> → Skip to B0100, Comatose<br>1. <b>Yes</b> → Continue to A2400B, Start date of most recent Medicare stay   |
|  | <b>B. Start date of most recent Medicare stay:</b><br><br><div style="display: flex; justify-content: space-around; width: 100%;"> <span>—</span> <span>—</span> <span>—</span> </div> <div style="display: flex; justify-content: space-around; width: 100%;"> <span>Month</span> <span>Day</span> <span>Year</span> </div>                                 |
|  | <b>C. End date of most recent Medicare stay</b> - Enter dashes if stay is ongoing:<br><br><div style="display: flex; justify-content: space-around; width: 100%;"> <span>—</span> <span>—</span> <span>—</span> </div> <div style="display: flex; justify-content: space-around; width: 100%;"> <span>Month</span> <span>Day</span> <span>Year</span> </div> |

Look back period for all items is 7 days unless another time frame is indicated

|                  |                                    |
|------------------|------------------------------------|
| <b>Section B</b> | <b>Hearing, Speech, and Vision</b> |
|------------------|------------------------------------|

**B0100. Comatose**

|  |  |
|--|--|
| Enter Code<br><div style="border: 1px solid black; width: 40px; height: 20px; margin: 5px 0;"></div> | <b>Persistent vegetative state/no discernible consciousness</b><br>0. <b>No</b> → Continue to B0200, Hearing<br>1. <b>Yes</b> → Skip to GG0100, Prior Functioning: Everyday Activities |
|--|--|

**B0200. Hearing**

|  |  |
|--|--|
| Enter Code<br><div style="border: 1px solid black; width: 40px; height: 20px; margin: 5px 0;"></div> | <b>Ability to hear</b> (with hearing aid or hearing appliances if normally used)<br>0. <b>Adequate</b> - no difficulty in normal conversation, social interaction, listening to TV<br>1. <b>Minimal difficulty</b> - difficulty in some environments (e.g., when person speaks softly or setting is noisy)<br>2. <b>Moderate difficulty</b> - speaker has to increase volume and speak distinctly<br>3. <b>Highly impaired</b> - absence of useful hearing |
|--|--|

**B0300. Hearing Aid**

|  |  |
|--|--|
| Enter Code<br><div style="border: 1px solid black; width: 40px; height: 20px; margin: 5px 0;"></div> | <b>Hearing aid or other hearing appliance used</b> in completing B0200, Hearing<br>0. <b>No</b><br>1. <b>Yes</b> |
|--|--|

**B0600. Speech Clarity**

|  |  |
|--|--|
| Enter Code<br><div style="border: 1px solid black; width: 40px; height: 20px; margin: 5px 0;"></div> | <b>Select best description of speech pattern</b><br>0. <b>Clear speech</b> - distinct intelligible words<br>1. <b>Unclear speech</b> - slurred or mumbled words<br>2. <b>No speech</b> - absence of spoken words |
|--|--|

**B0700. Makes Self Understood**

|  |   |
|--|---|
| Enter Code<br><div style="border: 1px solid black; width: 40px; height: 20px; margin: 5px 0;"></div> | <b>Ability to express ideas and wants</b> , consider both verbal and non-verbal expression<br>0. <b>Understood</b><br>1. <b>Usually understood</b> - difficulty communicating some words or finishing thoughts <b>but</b> is able if prompted or given time<br>2. <b>Sometimes understood</b> - ability is limited to making concrete requests<br>3. <b>Rarely/never understood</b> |
|--|---|

## Section B Hearing, Speech, and Vision

### B0800. Ability To Understand Others

|                                    |  |
|------------------------------------|--|
| Enter Code<br><input type="text"/> | <b>Understanding verbal content, however able</b> (with hearing aid or device if used)<br>0. <b>Understands</b> - clear comprehension<br>1. <b>Usually understands</b> - misses some part/intent of message <b>but</b> comprehends most conversation<br>2. <b>Sometimes understands</b> - responds adequately to simple, direct communication only<br>3. <b>Rarely/never understands</b> |
|------------------------------------|--|

### B1000. Vision

|                                    |   |
|------------------------------------|---|
| Enter Code<br><input type="text"/> | <b>Ability to see in adequate light</b> (with glasses or other visual appliances)<br>0. <b>Adequate</b> - sees fine detail, such as regular print in newspapers/books<br>1. <b>Impaired</b> - sees large print, but not regular print in newspapers/books<br>2. <b>Moderately impaired</b> - limited vision; not able to see newspaper headlines but can identify objects<br>3. <b>Highly impaired</b> - object identification in question, but eyes appear to follow objects<br>4. <b>Severely impaired</b> - no vision or sees only light, colors or shapes; eyes do not appear to follow objects |
|------------------------------------|---|

### B1200. Corrective Lenses

|                                    |   |
|------------------------------------|---|
| Enter Code<br><input type="text"/> | <b>Corrective lenses (contacts, glasses, or magnifying glass) used</b> in completing B1000, Vision<br>0. <b>No</b><br>1. <b>Yes</b> |
|------------------------------------|---|

### B1300. Health Literacy

Complete only if A0310B = 01

|                                    |  |
|------------------------------------|--|
| Enter Code<br><input type="text"/> | How often do you need to have someone help you when you read instructions, pamphlets, or other written material from your doctor or pharmacy?<br>0. <b>Never</b><br>1. <b>Rarely</b><br>2. <b>Sometimes</b><br>3. <b>Often</b><br>4. <b>Always</b><br>9. <b>Resident unable to respond</b> |
|------------------------------------|--|

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### B1320. Health Literacy (Discharge)

Complete only if A0310H = 1

|                                    |  |
|------------------------------------|--|
| Enter Code<br><input type="text"/> | How often do you need to have someone help you when you read instructions, pamphlets, or other written material from your doctor or pharmacy?<br>0. <b>Never</b><br>1. <b>Rarely</b><br>2. <b>Sometimes</b><br>3. <b>Often</b><br>4. <b>Always</b><br>9. <b>Resident unable to respond</b> |
|------------------------------------|--|

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**Section C****Cognitive Patterns****C0100. Should Brief Interview for Mental Status (C0200-C0500) be Conducted?**

Attempt to conduct interview with all residents

Enter Code

0. **No** (resident is rarely/never understood) → Skip to C0600, Should the Staff Assessment for Mental Status be Conducted?
1. **Yes** → Continue to C0200, Repetition of Three Words

**Brief Interview for Mental Status (BIMS)****C0200. Repetition of Three Words**

Enter Code

Ask resident: *"I am going to say three words for you to remember. Please repeat the words after I have said all three. The words are: **sock, blue, and bed.** Now tell me the three words."*

**Number of words repeated after first attempt**

0. **None**
1. **One**
2. **Two**
3. **Three**

After the resident's first attempt, repeat the words using cues (*"sock, something to wear; blue, a color; bed, a piece of furniture"*). You may repeat the words up to two more times.

**C0300. Temporal Orientation (orientation to year, month, and day)**

Enter Code

Ask resident: *"Please tell me what year it is right now."*

**A. Able to report correct year**

0. **Missed by > 5 years** or no answer
1. **Missed by 2-5 years**
2. **Missed by 1 year**
3. **Correct**

Enter Code

Ask resident: *"What month are we in right now?"*

**B. Able to report correct month**

0. **Missed by > 1 month** or no answer
1. **Missed by 6 days to 1 month**
2. **Accurate within 5 days**

Enter Code

Ask resident: *"What day of the week is today?"*

**C. Able to report correct day of the week**

0. **Incorrect** or no answer
1. **Correct**

**C0400. Recall**

Enter Code

Ask resident: *"Let's go back to an earlier question. What were those three words that I asked you to repeat?"*  
If unable to remember a word, give cue (something to wear; a color; a piece of furniture) for that word.

**A. Able to recall "sock"**

0. **No** - could not recall
1. **Yes, after cueing** ("something to wear")
2. **Yes, no cue required**

Enter Code

**B. Able to recall "blue"**

0. **No** - could not recall
1. **Yes, after cueing** ("a color")
2. **Yes, no cue required**

Enter Code

**C. Able to recall "bed"**

0. **No** - could not recall
1. **Yes, after cueing** ("a piece of furniture")
2. **Yes, no cue required**

**C0500. BIMS Summary Score**

Enter Score

**Add scores** for questions C0200-C0400 and fill in total score (00-15)**Enter 99 if the resident was unable to complete the interview**

## Section C

## Cognitive Patterns

### C0600. Should the Staff Assessment for Mental Status (C0700 - C1000) be Conducted?

Enter Code

0. **No** (resident was able to complete Brief Interview for Mental Status) → Skip to C1310, Signs and Symptoms of Delirium  
1. **Yes** (resident was unable to complete Brief Interview for Mental Status) → Continue to C0700, Short-term Memory OK

### Staff Assessment for Mental Status

Do not conduct if Brief Interview for Mental Status was completed

### C0700. Short-term Memory OK

Enter Code

**Seems or appears to recall after 5 minutes**

- 0.
- Memory OK**
- 
- 1.
- Memory problem**

### C0800. Long-term Memory OK

Enter Code

**Seems or appears to recall long past**

- 0.
- Memory OK**
- 
- 1.
- Memory problem**

### C0900. Memory/Recall Ability

↓ Check all that the resident was normally able to recall

- ☐
- A. Current season**
- 
- ☐
- B. Location of own room**
- 
- ☐
- C. Staff names and faces**
- 
- ☐
- D. That he or she is in a nursing home/hospital swing bed**
- 
- ☐
- Z. None of the above**
- were recalled

### C1000. Cognitive Skills for Daily Decision Making

Enter Code

**Made decisions regarding tasks of daily life**

- 0.
- Independent**
- decisions consistent/reasonable
- 
- 1.
- Modified independence**
- some difficulty in new situations only
- 
- 2.
- Moderately impaired**
- decisions poor; cues/supervision required
- 
- 3.
- Severely impaired**
- never/rarely made decisions

## Delirium

### C1310. Signs and Symptoms of Delirium (from CAM©)

Code **after completing** Brief Interview for Mental Status or Staff Assessment, and reviewing medical record

#### A. Acute Onset Mental Status Change

Enter Code

**Is there evidence of an acute change in mental status** from the resident's baseline?

- 0.
- No**
- 
- 1.
- Yes**

#### Coding:

- 0.
- Behavior not present**
- 
- 1.
- Behavior continuously present, does not fluctuate**
- 
- 2.
- Behavior present, fluctuates**
- (comes and goes, changes in severity)

↓ Enter Codes in Boxes



**B. Inattention** - Did the resident have difficulty focusing attention, for example, being easily distractible or having difficulty keeping track of what was being said?

**C. Disorganized Thinking** - Was the resident's thinking disorganized or incoherent (rambling or irrelevant conversation, unclear or illogical flow of ideas, or unpredictable switching from subject to subject)?

**D. Altered Level of Consciousness** - Did the resident have altered level of consciousness, as indicated by any of the following criteria?

- **vigilant** - startled easily to any sound or touch
- **lethargic** - repeatedly dozed off when being asked questions, but responded to voice or touch
- **stuporous** - very difficult to arouse and keep aroused for the interview
- **comatose** - could not be aroused

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**Section C****Cognitive Patterns****C0120. Should Brief Interview for Mental Status (C0220-C0520) be Conducted?** (Discharge)Attempt to conduct interview with all residents. Complete only if (A0310F = 10 or 11 **and** A0310G = 1) or (A0310H = 1)

Enter Code

0. **No** (resident is rarely/never understood) → Skip to C1320, Signs and Symptoms of Delirium (Discharge)
1. **Yes** → Continue to C0220, Repetition of Three Words (Discharge)

**Brief Interview for Mental Status (BIMS)** (Discharge)**C0220. Repetition of Three Words** (Discharge)

Enter Code

Ask resident: *"I am going to say three words for you to remember. Please repeat the words after I have said all three. The words are: **sock, blue, and bed.** Now tell me the three words."***Number of words repeated after first attempt**

0. **None**
1. **One**
2. **Two**
3. **Three**

After the resident's first attempt, repeat the words using cues (*"sock, something to wear; blue, a color; bed, a piece of furniture"*). You may repeat the words up to two more times.**C0320. Temporal Orientation** (Discharge) (orientation to year, month, and day)

Enter Code

Ask resident: *"Please tell me what year it is right now."***A. Able to report correct year**

0. **Missed by > 5 years** or no answer
1. **Missed by 2-5 years**
2. **Missed by 1 year**
3. **Correct**

Enter Code

Ask resident: *"What month are we in right now?"***B. Able to report correct month**

0. **Missed by > 1 month** or no answer
1. **Missed by 6 days to 1 month**
2. **Accurate within 5 days**

Enter Code

Ask resident: *"What day of the week is today?"***C. Able to report correct day of the week**

0. **Incorrect** or no answer
1. **Correct**

**C0420. Recall** (Discharge)

Enter Code

Ask resident: *"Let's go back to an earlier question. What were those three words that I asked you to repeat?"*  
If unable to remember a word, give cue (something to wear; a color; a piece of furniture) for that word.**A. Able to recall "sock"**

0. **No** - could not recall
1. **Yes, after cueing** ("something to wear")
2. **Yes, no cue required**

Enter Code

**B. Able to recall "blue"**

0. **No** - could not recall
1. **Yes, after cueing** ("a color")
2. **Yes, no cue required**

Enter Code

**C. Able to recall "bed"**

0. **No** - could not recall
1. **Yes, after cueing** ("a piece of furniture")
2. **Yes, no cue required**

**C0520. BIMS Summary Score** (Discharge)

Enter Score

**Add scores** for questions C0220-C0420 and fill in total score (00-15)**Enter 99 if the resident was unable to complete the interview**

|   |   |   |
|---|---|---|
| Resident _____  | Identifier _____  | Date _____  |
| <b>Section C</b>  | <b>Cognitive Patterns</b>   |   |
| <b>Delirium</b>   |   |   |
| <b>C1320. Signs and Symptoms of Delirium (from CAM©) (Discharge)</b>  |   |   |
| Complete only if A0310F = 10 or 11 or if A0310H = 1   |   |   |
| Code <b>after completing</b> Brief Interview for Mental Status or Staff Assessment, and reviewing medical record  |   |   |
| <b>A. Acute Onset Mental Status Change</b>  |   |   |
| Enter Code  | Is there evidence of an acute change in mental status from the resident's baseline? |   |
| <input style="width: 30px; height: 20px; border: 1px solid black;" type="text"/><br><input style="width: 30px; height: 20px; border: 1px solid black;" type="text"/>                            | 0. <b>No</b><br>1. <b>Yes</b>   |   |
| <b>Coding:</b><br>0. <b>Behavior not present</b><br>1. <b>Behavior continuously present, does not fluctuate</b><br>2. <b>Behavior present, fluctuates</b> (comes and goes, changes in severity) | ↓ Enter Codes in Boxes  |   |
|   | <input style="width: 30px; height: 20px; border: 1px solid black;" type="text"/>    | <b>B. Inattention</b> - Did the resident have difficulty focusing attention, for example, being easily distractible or having difficulty keeping track of what was being said?  |
|   | <input style="width: 30px; height: 20px; border: 1px solid black;" type="text"/>    | <b>C. Disorganized Thinking</b> - Was the resident's thinking disorganized or incoherent (rambling or irrelevant conversation, unclear or illogical flow of ideas, or unpredictable switching from subject to subject)?   |
|   | <input style="width: 30px; height: 20px; border: 1px solid black;" type="text"/>    | <b>D. Altered Level of Consciousness</b> - Did the resident have altered level of consciousness, as indicated by any of the following criteria?<br><div style="margin-left: 20px;"> <input type="checkbox"/> <b>vigilant</b> - startled easily to any sound or touch<br/> <input type="checkbox"/> <b>lethargic</b> - repeatedly dozed off when being asked questions, but responded to voice or touch<br/> <input type="checkbox"/> <b>stuporous</b> - very difficult to arouse and keep aroused for the interview<br/> <input type="checkbox"/> <b>comatose</b> - could not be aroused         </div> |
| Adapted from: Inouye SK, et al. Ann Intern Med. 1990; 113: 941-948. Confusion Assessment Method. Copyright 2003, Hospital Elder Life Program, LLC. Not to be reproduced without permission.     |   |   |

**Section D****Mood****D0100. Should Resident Mood Interview be Conducted?** - Attempt to conduct interview with all residents

Enter Code

0. **No** (resident is rarely/never understood) → Skip to and complete D0500-D0600, Staff Assessment of Resident Mood (PHQ-9-OV)
1. **Yes** → Continue to D0150, Resident Mood Interview (PHQ-2 to 9©)

**D0150. Resident Mood Interview (PHQ-2 to 9©)****Say to resident: "Over the last 2 weeks, have you been bothered by any of the following problems?"**

If symptom is present, enter 1 (yes) in column 1, Symptom Presence.

If yes in column 1, then ask the resident: "About **how often** have you been bothered by this?"

Read and show the resident a card with the symptom frequency choices. Indicate response in column 2, Symptom Frequency.

**1. Symptom Presence**

0. **No** (enter 0 in column 2)
1. **Yes** (enter 0-3 in column 2)
9. **No response** (leave column 2 blank)

**2. Symptom Frequency**

0. **Never or 1 day**
1. **2-6 days** (several days)
2. **7-11 days** (half or more of the days)
3. **12-14 days** (nearly every day)

**1.  
Symptom  
Presence****2.  
Symptom  
Frequency**

↓ Enter Scores in Boxes ↓

**A. Little interest or pleasure in doing things****B. Feeling down, depressed, or hopeless**

If either D0150A2 or D0150B2 is coded 2 or 3, CONTINUE asking the questions below. If not, END the PHQ interview.

**C. Trouble falling or staying asleep, or sleeping too much****D. Feeling tired or having little energy****E. Poor appetite or overeating****F. Feeling bad about yourself - or that you are a failure or have let yourself or your family down****G. Trouble concentrating on things, such as reading the newspaper or watching television****H. Moving or speaking so slowly that other people could have noticed. Or the opposite - being so fidgety or restless that you have been moving around a lot more than usual****I. Thoughts that you would be better off dead, or of hurting yourself in some way****D0160. Total Severity Score**

Enter Score

**Add scores for all frequency responses in Column 2, Symptom Frequency.** Total score must be between 02 and 27.

Enter 99 if unable to complete interview (i.e., Symptom Frequency is blank for 3 or more required items).



## Section D

## Mood

### D0500. Staff Assessment of Resident Mood (PHQ-9-OV\*)

Do not conduct if Resident Mood Interview was completed

**Over the last 2 weeks, did the resident have any of the following problems or behaviors?**

If symptom is present, enter 1 (yes) in column 1, Symptom Presence.

Then move to column 2, Symptom Frequency, and indicate symptom frequency.

#### 1. Symptom Presence

- 0. **No** (enter 0 in column 2)
- 1. **Yes** (enter 0-3 in column 2)

#### 2. Symptom Frequency

- 0. **Never or 1 day**
- 1. **2-6 days** (several days)
- 2. **7-11 days** (half or more of the days)
- 3. **12-14 days** (nearly every day)

**1.  
Symptom  
Presence**

**2.  
Symptom  
Frequency**

↓ Enter Scores in Boxes ↓

**A. Little interest or pleasure in doing things**



**B. Feeling or appearing down, depressed, or hopeless**



**C. Trouble falling or staying asleep, or sleeping too much**



**D. Feeling tired or having little energy**



**E. Poor appetite or overeating**



**F. Indicating that s/he feels bad about self, is a failure, or has let self or family down**



**G. Trouble concentrating on things, such as reading the newspaper or watching television**



**H. Moving or speaking so slowly that other people have noticed. Or the opposite - being so fidgety or restless that s/he has been moving around a lot more than usual**



**I. States that life isn't worth living, wishes for death, or attempts to harm self**



**J. Being short-tempered, easily annoyed**



### D0600. Total Severity Score

Enter Score

**Add scores for all frequency responses in Column 2, Symptom Frequency. Total score must be between 00 and 30.**

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### D0700. Social Isolation

Enter Code

How often do you feel lonely or isolated from those around you?

- 0. **Never**
- 1. **Rarely**
- 2. **Sometimes**
- 3. **Often**
- 4. **Always**
- 9. **Resident unable to respond**

## Section D

## Mood

### D0120. Should Resident Mood Interview be Conducted? (Discharge) - Attempt to conduct interview with all residents

Complete only if (A0310F = 10 or 11 **and** A0310G = 1) or (A0310H = 1)

Enter Code

0. **No** (resident is rarely/never understood) → Skip to D0720, Social Isolation (Discharge)  
 1. **Yes** → Continue to D0170, Resident Mood Interview (PHQ-2 to 9©) (Discharge)

### D0170. Resident Mood Interview (PHQ-2 to 9©) (Discharge)

**Say to resident: "Over the last 2 weeks, have you been bothered by any of the following problems?"**

If symptom is present, enter 1 (yes) in column 1, Symptom Presence.

If yes in column 1, then ask the resident: "About **how often** have you been bothered by this?"

Read and show the resident a card with the symptom frequency choices. Indicate response in column 2, Symptom Frequency.

#### 1. Symptom Presence

0. **No** (enter 0 in column 2)  
 1. **Yes** (enter 0-3 in column 2)  
 9. **No response** (leave column 2 blank)

#### 2. Symptom Frequency

0. **Never or 1 day**  
 1. **2-6 days** (several days)  
 2. **7-11 days** (half or more of the days)  
 3. **12-14 days** (nearly every day)

**1.  
Symptom  
Presence**

**2.  
Symptom  
Frequency**

↓ Enter Scores in Boxes ↓

**A. Little interest or pleasure in doing things**

**B. Feeling down, depressed, or hopeless**

If either D0170A2 or D0170B2 is coded 2 or 3, CONTINUE asking the questions below. If not, END the PHQ interview.

**C. Trouble falling or staying asleep, or sleeping too much**

**D. Feeling tired or having little energy**

**E. Poor appetite or overeating**

**F. Feeling bad about yourself - or that you are a failure or have let yourself or your family down**

**G. Trouble concentrating on things, such as reading the newspaper or watching television**

**H. Moving or speaking so slowly that other people could have noticed. Or the opposite - being so fidgety or restless that you have been moving around a lot more than usual**

**I. Thoughts that you would be better off dead, or of hurting yourself in some way**

### D0180. Total Severity Score (Discharge)

Enter Score

**Add scores for all frequency responses in Column 2, Symptom Frequency.** Total score must be between 02 and 27.  
 Enter 99 if unable to complete interview (i.e., Symptom Frequency is blank for 3 or more required items).

### D0720. Social Isolation (Discharge)

Do not complete if A0310G = 2

Enter Code

How often do you feel lonely or isolated from those around you?

0. **Never**  
 1. **Rarely**  
 2. **Sometimes**  
 3. **Often**  
 4. **Always**  
 9. **Resident unable to respond**



## Section E

## Behavior

### E0100. Potential Indicators of Psychosis

↓ Check all that apply

- ☐ **A. Hallucinations** (perceptual experiences in the absence of real external sensory stimuli)
- ☐ **B. Delusions** (misconceptions or beliefs that are firmly held, contrary to reality)
- ☐ **Z. None of the above**

### Behavioral Symptoms

### E0200. Behavioral Symptom - Presence & Frequency

Note presence of symptoms and their frequency

|   |                          |   |
|---|--------------------------|---|
| <b>Coding:</b><br>0. Behavior not exhibited<br>1. Behavior of this type occurred 1 to 3 days<br>2. Behavior of this type occurred 4 to 6 days, but less than daily<br>3. Behavior of this type occurred daily | ↓ Enter Codes in Boxes   |   |
|   | <input type="checkbox"/> | <b>A. Physical behavioral symptoms directed toward others</b> (e.g., hitting, kicking, pushing, scratching, grabbing, abusing others sexually)  |
|   | <input type="checkbox"/> | <b>B. Verbal behavioral symptoms directed toward others</b> (e.g., threatening others, screaming at others, cursing at others)  |
|   | <input type="checkbox"/> | <b>C. Other behavioral symptoms not directed toward others</b> (e.g., physical symptoms such as hitting or scratching self, pacing, rummaging, public sexual acts, disrobing in public, throwing or smearing food or bodily wastes, or verbal/vocal symptoms like screaming, disruptive sounds) |

### E0800. Rejection of Care - Presence & Frequency

|  |  |
|--|--|
| Enter Code<br><input type="checkbox"/> | <b>Did the resident reject evaluation or care</b> (e.g., bloodwork, taking medications, ADL assistance) <b>that is necessary to achieve the resident's goals for health and well-being?</b> Do not include behaviors that have already been addressed (e.g., by discussion or care planning with the resident or family), and determined to be consistent with resident values, preferences, or goals. |
|  | 0. Behavior not exhibited  |
|  | 1. Behavior of this type occurred 1 to 3 days  |
|  | 2. Behavior of this type occurred 4 to 6 days, but less than daily   |
|  | 3. Behavior of this type occurred daily  |

### E0900. Wandering - Presence & Frequency

|  |  |
|--|--|
| Enter Code<br><input type="checkbox"/> | <b>Has the resident wandered?</b>                                  |
|  | 0. Behavior not exhibited  |
|  | 1. Behavior of this type occurred 1 to 3 days                      |
|  | 2. Behavior of this type occurred 4 to 6 days, but less than daily |
|  | 3. Behavior of this type occurred daily                            |



**Section GG****Functional Abilities and Goals**

**GG0100. Prior Functioning: Everyday Activities.** Indicate the resident's usual ability with everyday activities prior to the current illness, exacerbation, or injury  
Complete only if A0310B = 01

|   |                          |  |
|---|--------------------------|--|
| <b>Coding:</b><br>3. <b>Independent</b> - Resident completed all the activities by him/herself, with or without an assistive device, with no assistance from a helper.<br>2. <b>Needed Some Help</b> - Resident needed partial assistance from another person to complete any activities.<br>1. <b>Dependent</b> - A helper completed all the activities for the resident.<br>8. <b>Unknown.</b><br>9. <b>Not Applicable.</b> | ↓                        | <b>Enter Codes in Boxes</b>  |
|   | <input type="checkbox"/> | <b>A. Self-Care:</b> Code the resident's need for assistance with bathing, dressing, using the toilet, and eating prior to the current illness, exacerbation, or injury.   |
|   | <input type="checkbox"/> | <b>B. Indoor Mobility (Ambulation):</b> Code the resident's need for assistance with walking from room to room (with or without a device such as cane, crutch, or walker) prior to the current illness, exacerbation, or injury. |
|   | <input type="checkbox"/> | <b>C. Stairs:</b> Code the resident's need for assistance with internal or external stairs (with or without a device such as cane, crutch, or walker) prior to the current illness, exacerbation, or injury.                     |
|   | <input type="checkbox"/> | <b>D. Functional Cognition:</b> Code the resident's need for assistance with planning regular tasks, such as shopping or remembering to take medication prior to the current illness, exacerbation, or injury.                   |

**GG0110. Prior Device Use.** Indicate devices and aids used by the resident prior to the current illness, exacerbation, or injury  
Complete only if A0310B = 01

|                          |   |
|--------------------------|---|
| ↓                        | <b>Check all that apply</b>                   |
| <input type="checkbox"/> | <b>A. Manual wheelchair</b>                   |
| <input type="checkbox"/> | <b>B. Motorized wheelchair and/or scooter</b> |
| <input type="checkbox"/> | <b>C. Mechanical lift</b>                     |
| <input type="checkbox"/> | <b>D. Walker</b>                              |
| <input type="checkbox"/> | <b>E. Orthotics/Prosthetics</b>               |
| <input type="checkbox"/> | <b>Z. None of the above</b>                   |

**GG0115. Functional Limitation in Range of Motion**

**Code for limitation** that interfered with daily functions or placed resident at risk of injury in the last 7 days

|   |                          |  |
|---|--------------------------|--|
| <b>Coding:</b><br>0. <b>No impairment</b><br>1. <b>Impairment on one side</b><br>2. <b>Impairment on both sides</b> | ↓                        | <b>Enter Codes in Boxes</b>                              |
|   | <input type="checkbox"/> | <b>A. Upper extremity</b> (shoulder, elbow, wrist, hand) |
|   | <input type="checkbox"/> | <b>B. Lower extremity</b> (hip, knee, ankle, foot)       |

**GG0120. Mobility Devices**

|                          |   |
|--------------------------|---|
| ↓                        | <b>Check all that were normally used in the last 7 days</b> |
| <input type="checkbox"/> | <b>A. Cane/crutch</b>                                       |
| <input type="checkbox"/> | <b>B. Walker</b>  |
| <input type="checkbox"/> | <b>C. Wheelchair</b> (manual or electric)                   |
| <input type="checkbox"/> | <b>D. Limb prosthesis</b>                                   |
| <input type="checkbox"/> | <b>Z. None of the above</b> were used                       |

**Section GG****Functional Abilities and Goals - Admission (Start of SNF PPS Stay)****GG0130. Self-Care** (Assessment period is days 1 through 3 of the SNF PPS Stay starting with A2400B)

**Code the resident's usual performance at the start of the SNF PPS stay (admission) for each activity using the 6-point scale. If activity was not attempted at the start of the SNF PPS stay (admission), code the reason. Code the resident's end of SNF PPS stay (discharge) goal(s) using the 6-point scale. Use of codes 07, 09, 10, or 88 is permissible to code end of SNF PPS stay (discharge) goal(s).**

**Coding:**

**Safety and Quality of Performance** - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.

*Activities may be completed with or without assistive devices.*

- 06. **Independent** - Resident completes the activity by him/herself with no assistance from a helper.
- 05. **Setup or clean-up assistance** - Helper sets up or cleans up; resident completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** - Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as resident completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** - Helper does LESS THAN HALF the effort. Helper lifts, holds, or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** - Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** - Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.

**If activity was not attempted, code reason:**

- 07. **Resident refused**
- 09. **Not applicable** - Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. **Not attempted due to environmental limitations** (e.g., lack of equipment, weather constraints)
- 88. **Not attempted due to medical condition or safety concerns**

| 1.<br>Admission<br>Performance | 2.<br>Discharge<br>Goal |  |
|--------------------------------|-------------------------|--|
| ↓ Enter Codes in Boxes ↓       |                         |  |
| <input type="text"/>           | <input type="text"/>    | <b>A. Eating:</b> The ability to use suitable utensils to bring food and/or liquid to the mouth and swallow food and/or liquid once the meal is placed before the resident.  |
| <input type="text"/>           | <input type="text"/>    | <b>B. Oral hygiene:</b> The ability to use suitable items to clean teeth. Dentures (if applicable): The ability to insert and remove dentures into and from the mouth, and manage denture soaking and rinsing with use of equipment. |
| <input type="text"/>           | <input type="text"/>    | <b>C. Toileting hygiene:</b> The ability to maintain perineal hygiene, adjust clothes before and after voiding or having a bowel movement. If managing an ostomy, include wiping the opening but not managing equipment.             |
| <input type="text"/>           | <input type="text"/>    | <b>E. Shower/bathe self:</b> The ability to bathe self, including washing, rinsing, and drying self (excludes washing of back and hair). Does not include transferring in/out of tub/shower.   |
| <input type="text"/>           | <input type="text"/>    | <b>F. Upper body dressing:</b> The ability to dress and undress above the waist; including fasteners, if applicable.   |
| <input type="text"/>           | <input type="text"/>    | <b>G. Lower body dressing:</b> The ability to dress and undress below the waist, including fasteners; does not include footwear.   |
| <input type="text"/>           | <input type="text"/>    | <b>H. Putting on/taking off footwear:</b> The ability to put on and take off socks and shoes or other footwear that is appropriate for safe mobility; including fasteners, if applicable.  |

|                   |   |
|-------------------|---|
| <b>Section GG</b> | <b>Functional Abilities and Goals - Admission (Start of SNF PPS Stay)</b> |
|-------------------|---|

**GG0170. Mobility** (Assessment period is days 1 through 3 of the SNF PPS Stay starting with A2400B)  
 Complete only if A0310B = 01

**Code the resident's usual performance at the start of the SNF PPS stay (admission) for each activity using the 6-point scale. If activity was not attempted at the start of the SNF PPS stay (admission), code the reason. Code the resident's end of SNF PPS stay (discharge) goal(s) using the 6-point scale. Use of codes 07, 09, 10, or 88 is permissible to code end of SNF PPS stay (discharge) goal(s).**

**Coding:**  
**Safety and Quality of Performance** - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.  
*Activities may be completed with or without assistive devices.*

- 06. **Independent** - Resident completes the activity by him/herself with no assistance from a helper.
- 05. **Setup or clean-up assistance** - Helper sets up or cleans up; resident completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** - Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as resident completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** - Helper does LESS THAN HALF the effort. Helper lifts, holds, or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** - Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** - Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.

**If activity was not attempted, code reason:**

- 07. **Resident refused**
- 09. **Not applicable** - Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. **Not attempted due to environmental limitations** (e.g., lack of equipment, weather constraints)
- 88. **Not attempted due to medical condition or safety concerns**

| 1.<br>Admission<br>Performance                          | 2.<br>Discharge<br>Goal                                 |  |
|---|---|--|
| ↓ Enter Codes in Boxes ↓                                |   |  |
| <input style="width: 100%; height: 100%;" type="text"/> | <input style="width: 100%; height: 100%;" type="text"/> | <b>A. Roll left and right:</b> The ability to roll from lying on back to left and right side, and return to lying on back on the bed.  |
| <input style="width: 100%; height: 100%;" type="text"/> | <input style="width: 100%; height: 100%;" type="text"/> | <b>B. Sit to lying:</b> The ability to move from sitting on side of bed to lying flat on the bed.  |
| <input style="width: 100%; height: 100%;" type="text"/> | <input style="width: 100%; height: 100%;" type="text"/> | <b>C. Lying to sitting on side of bed:</b> The ability to move from lying on the back to sitting on the side of the bed with no back support.  |
| <input style="width: 100%; height: 100%;" type="text"/> | <input style="width: 100%; height: 100%;" type="text"/> | <b>D. Sit to stand:</b> The ability to come to a standing position from sitting in a chair, wheelchair, or on the side of the bed.   |
| <input style="width: 100%; height: 100%;" type="text"/> | <input style="width: 100%; height: 100%;" type="text"/> | <b>E. Chair/bed-to-chair transfer:</b> The ability to transfer to and from a bed to a chair (or wheelchair).   |
| <input style="width: 100%; height: 100%;" type="text"/> | <input style="width: 100%; height: 100%;" type="text"/> | <b>F. Toilet transfer:</b> The ability to get on and off a toilet or commode.  |
| <input style="width: 100%; height: 100%;" type="text"/> | <input style="width: 100%; height: 100%;" type="text"/> | <b>G. Car transfer:</b> The ability to transfer in and out of a car or van on the passenger side. Does not include the ability to open/close door or fasten seat belt.                                     |
| <input style="width: 100%; height: 100%;" type="text"/> | <input style="width: 100%; height: 100%;" type="text"/> | <b>I. Walk 10 feet:</b> Once standing, the ability to walk at least 10 feet in a room, corridor, or similar space.<br>If admission performance is coded 07, 09, 10, or 88 → Skip to GG0170M, 1 step (curb) |
| <input style="width: 100%; height: 100%;" type="text"/> | <input style="width: 100%; height: 100%;" type="text"/> | <b>J. Walk 50 feet with two turns:</b> Once standing, the ability to walk at least 50 feet and make two turns.   |
| <input style="width: 100%; height: 100%;" type="text"/> | <input style="width: 100%; height: 100%;" type="text"/> | <b>K. Walk 150 feet:</b> Once standing, the ability to walk at least 150 feet in a corridor or similar space.  |

**Section GG****Functional Abilities and Goals - Admission (Start of SNF PPS Stay)****GG0170. Mobility** (Assessment period is days 1 through 3 of the SNF PPS Stay starting with A2400B) - Continued

Complete only if A0310B = 01

**Code the resident's usual performance at the start of the SNF PPS stay (admission) for each activity using the 6-point scale. If activity was not attempted at the start of the SNF PPS stay (admission), code the reason. Code the resident's end of SNF PPS stay (discharge) goal(s) using the 6-point scale. Use of codes 07, 09, 10, or 88 is permissible to code end of SNF PPS stay (discharge) goal(s).**

**Coding:**

**Safety and Quality of Performance** - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.

*Activities may be completed with or without assistive devices.*

- 06. **Independent** - Resident completes the activity by him/herself with no assistance from a helper.
- 05. **Setup or clean-up assistance** - Helper sets up or cleans up; resident completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** - Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as resident completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** - Helper does LESS THAN HALF the effort. Helper lifts, holds, or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** - Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** - Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.

**If activity was not attempted, code reason:**

- 07. **Resident refused**
- 09. **Not applicable** - Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. **Not attempted due to environmental limitations** (e.g., lack of equipment, weather constraints)
- 88. **Not attempted due to medical condition or safety concerns**

| 1.<br>Admission<br>Performance | 2.<br>Discharge<br>Goal |  |
|--------------------------------|-------------------------|--|
| ↓ Enter Codes in Boxes ↓       |                         |  |
|                                |                         | <b>L. Walking 10 feet on uneven surfaces:</b> The ability to walk 10 feet on uneven or sloping surfaces (indoor or outdoor), such as turf or gravel.                                       |
|                                |                         | <b>M. 1 step (curb):</b> The ability to go up and down a curb or up and down one step.<br>If admission performance is coded 07, 09, 10, or 88 → Skip to GG0170P, Picking up object         |
|                                |                         | <b>N. 4 steps:</b> The ability to go up and down four steps with or without a rail.<br>If admission performance is coded 07, 09, 10, or 88 → Skip to GG0170P, Picking up object            |
|                                |                         | <b>O. 12 steps:</b> The ability to go up and down 12 steps with or without a rail.   |
|                                |                         | <b>P. Picking up object:</b> The ability to bend/stoop from a standing position to pick up a small object, such as a spoon, from the floor.  |
|                                |                         | <b>Q1. Does the resident use a wheelchair and/or scooter?</b><br>0. <b>No</b> → Skip to GG0130, Self Care (Discharge)<br>1. <b>Yes</b> → Continue to GG0170R, Wheel 50 feet with two turns |
|                                |                         | <b>R. Wheel 50 feet with two turns:</b> Once seated in wheelchair/scooter, the ability to wheel at least 50 feet and make two turns.   |
|                                |                         | <b>RR1. Indicate the type of wheelchair or scooter used.</b><br>1. <b>Manual</b><br>2. <b>Motorized</b>  |
|                                |                         | <b>S. Wheel 150 feet:</b> Once seated in wheelchair/scooter, the ability to wheel at least 150 feet in a corridor or similar space.  |
|                                |                         | <b>SS1. Indicate the type of wheelchair or scooter used.</b><br>1. <b>Manual</b><br>2. <b>Motorized</b>  |

**Section GG****Functional Abilities and Goals - Discharge (End of SNF PPS Stay)****GG0130. Self-Care** (Assessment period is the last 3 days of the SNF PPS Stay ending on A2400C)Complete only if A0310G is not = 2 **and** A0310H = 1 **and** A2400C minus A2400B is greater than 2 **and** A2105 is not = 04**Code the resident's usual performance at the end of the SNF PPS stay for each activity using the 6-point scale. If an activity was not attempted at the end of the SNF PPS stay, code the reason.****Coding:****Safety and Quality of Performance** - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.*Activities may be completed with or without assistive devices.*

- 06. **Independent** - Resident completes the activity by him/herself with no assistance from a helper.
- 05. **Setup or clean-up assistance** - Helper sets up or cleans up; resident completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** - Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as resident completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** - Helper does LESS THAN HALF the effort. Helper lifts, holds, or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** - Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** - Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.

**If activity was not attempted, code reason:**

- 07. **Resident refused**
- 09. **Not applicable** - Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. **Not attempted due to environmental limitations** (e.g., lack of equipment, weather constraints)
- 88. **Not attempted due to medical condition or safety concerns**


| 3.<br>Discharge<br>Performance |  |
|--------------------------------|--|
| Enter Codes in Boxes<br>↓      |  |
| <input type="text"/>           | <b>A. Eating:</b> The ability to use suitable utensils to bring food and/or liquid to the mouth and swallow food and/or liquid once the meal is placed before the resident.  |
| <input type="text"/>           | <b>B. Oral hygiene:</b> The ability to use suitable items to clean teeth. Dentures (if applicable): The ability to insert and remove dentures into and from the mouth, and manage denture soaking and rinsing with use of equipment. |
| <input type="text"/>           | <b>C. Toileting hygiene:</b> The ability to maintain perineal hygiene, adjust clothes before and after voiding or having a bowel movement. If managing an ostomy, include wiping the opening but not managing equipment.             |
| <input type="text"/>           | <b>E. Shower/bathe self:</b> The ability to bathe self, including washing, rinsing, and drying self (excludes washing of back and hair). Does not include transferring in/out of tub/shower.   |
| <input type="text"/>           | <b>F. Upper body dressing:</b> The ability to dress and undress above the waist; including fasteners, if applicable.   |
| <input type="text"/>           | <b>G. Lower body dressing:</b> The ability to dress and undress below the waist, including fasteners; does not include footwear.   |
| <input type="text"/>           | <b>H. Putting on/taking off footwear:</b> The ability to put on and take off socks and shoes or other footwear that is appropriate for safe mobility; including fasteners, if applicable.  |

**Section GG****Functional Abilities and Goals - Discharge (End of SNF PPS Stay)****GG0170. Mobility** (Assessment period is the last 3 days of the SNF PPS Stay ending on A2400C)Complete only if A0310G is not = 2 **and** A0310H = 1 **and** A2400C minus A2400B is greater than 2 **and** A2105 is not = 04**Code the resident's usual performance at the end of the SNF PPS stay for each activity using the 6-point scale. If an activity was not attempted at the end of the SNF PPS stay, code the reason.****Coding:****Safety and Quality of Performance** - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.*Activities may be completed with or without assistive devices.*

- 06. **Independent** - Resident completes the activity by him/herself with no assistance from a helper.
- 05. **Setup or clean-up assistance** - Helper sets up or cleans up; resident completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** - Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as resident completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** - Helper does LESS THAN HALF the effort. Helper lifts, holds, or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** - Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** - Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.

**If activity was not attempted, code reason:**

- 07. **Resident refused**
- 09. **Not applicable** - Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. **Not attempted due to environmental limitations** (e.g., lack of equipment, weather constraints)
- 88. **Not attempted due to medical condition or safety concerns**

|  |  |
|--|--|
| <b>3.</b><br><b>Discharge</b><br><b>Performance</b>  |  |
| <b>Enter Codes in Boxes</b><br> |  |
| <input type="text"/>   | <b>A. Roll left and right:</b> The ability to roll from lying on back to left and right side, and return to lying on back on the bed.  |
| <input type="text"/>   | <b>B. Sit to lying:</b> The ability to move from sitting on side of bed to lying flat on the bed.  |
| <input type="text"/>   | <b>C. Lying to sitting on side of bed:</b> The ability to move from lying on the back to sitting on the side of the bed with no back support.  |
| <input type="text"/>   | <b>D. Sit to stand:</b> The ability to come to a standing position from sitting in a chair, wheelchair, or on the side of the bed.   |
| <input type="text"/>   | <b>E. Chair/bed-to-chair transfer:</b> The ability to transfer to and from a bed to a chair (or wheelchair).   |
| <input type="text"/>   | <b>F. Toilet transfer:</b> The ability to get on and off a toilet or commode.  |
| <input type="text"/>   | <b>G. Car transfer:</b> The ability to transfer in and out of a car or van on the passenger side. Does not include the ability to open/close door or fasten seat belt.                                     |
| <input type="text"/>   | <b>I. Walk 10 feet:</b> Once standing, the ability to walk at least 10 feet in a room, corridor, or similar space.<br>If discharge performance is coded 07, 09, 10, or 88 → Skip to GG0170M, 1 step (curb) |
| <input type="text"/>   | <b>J. Walk 50 feet with two turns:</b> Once standing, the ability to walk at least 50 feet and make two turns.   |
| <input type="text"/>   | <b>K. Walk 150 feet:</b> Once standing, the ability to walk at least 150 feet in a corridor or similar space.  |

**Section GG****Functional Abilities and Goals - Discharge (End of SNF PPS Stay)****GG0170. Mobility** (Assessment period is the last 3 days of the SNF PPS Stay ending on A2400C) - ContinuedComplete only if A0310G is not = 2 **and** A0310H = 1 **and** A2400C minus A2400B is greater than 2 **and** A2105 is not = 04**Code the resident's usual performance at the end of the SNF PPS stay for each activity using the 6-point scale. If an activity was not attempted at the end of the SNF PPS stay, code the reason.****Coding:****Safety and Quality of Performance** - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.*Activities may be completed with or without assistive devices.*

- 06. **Independent** - Resident completes the activity by him/herself with no assistance from a helper.
- 05. **Setup or clean-up assistance** - Helper sets up or cleans up; resident completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** - Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as resident completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** - Helper does LESS THAN HALF the effort. Helper lifts, holds, or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** - Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** - Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.

**If activity was not attempted, code reason:**

- 07. **Resident refused**
- 09. **Not applicable** - Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. **Not attempted due to environmental limitations** (e.g., lack of equipment, weather constraints)
- 88. **Not attempted due to medical condition or safety concerns**

|                                |   |
|--------------------------------|---|
| 3.<br>Discharge<br>Performance |   |
| Enter Codes in Boxes<br>↓      |   |
| <input type="text"/>           | <b>L. Walking 10 feet on uneven surfaces:</b> The ability to walk 10 feet on uneven or sloping surfaces (indoor or outdoor), such as turf or gravel.  |
| <input type="text"/>           | <b>M. 1 step (curb):</b> The ability to go up and down a curb or up and down one step.<br>If discharge performance is coded 07, 09, 10, or 88 → Skip to GG0170P, Picking up object                                    |
| <input type="text"/>           | <b>N. 4 steps:</b> The ability to go up and down four steps with or without a rail.<br>If discharge performance is coded 07, 09, 10, or 88 → Skip to GG0170P, Picking up object                                       |
| <input type="text"/>           | <b>O. 12 steps:</b> The ability to go up and down 12 steps with or without a rail.  |
| <input type="text"/>           | <b>P. Picking up object:</b> The ability to bend/stoop from a standing position to pick up a small object, such as a spoon, from the floor.   |
| <input type="text"/>           | <b>Q3. Does the resident use a wheelchair and/or scooter?</b><br>0. <b>No</b> → Skip to GG0130, Self-Care (Assessment period is the last 7 days)<br>1. <b>Yes</b> → Continue to GG0170R, Wheel 50 feet with two turns |
| <input type="text"/>           | <b>R. Wheel 50 feet with two turns:</b> Once seated in wheelchair/scooter, the ability to wheel at least 50 feet and make two turns.  |
| <input type="text"/>           | <b>RR3. Indicate the type of wheelchair or scooter used.</b><br>1. <b>Manual</b><br>2. <b>Motorized</b>   |
| <input type="text"/>           | <b>S. Wheel 150 feet:</b> Once seated in wheelchair/scooter, the ability to wheel at least 150 feet in a corridor or similar space.   |
| <input type="text"/>           | <b>SS3. Indicate the type of wheelchair or scooter used.</b><br>1. <b>Manual</b><br>2. <b>Motorized</b>   |




**Section GG****Functional Abilities and Goals - Performance in the Last 7 Days****GG0130. Self-Care** (Assessment period is the last 7 days)**Code the resident's usual performance for each activity using the 6-point scale. If an activity was not attempted, code the reason.****Coding:****Safety and Quality of Performance** - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.*Activities may be completed with or without assistive devices.*

- 06. **Independent** - Resident completes the activity by him/herself with no assistance from a helper.
- 05. **Setup or clean-up assistance** - Helper sets up or cleans up; resident completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** - Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as resident completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** - Helper does LESS THAN HALF the effort. Helper lifts, holds, or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** - Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** - Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.

**If activity was not attempted, code reason:**

- 07. **Resident refused**
- 09. **Not applicable** - Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. **Not attempted due to environmental limitations** (e.g., lack of equipment, weather constraints)
- 88. **Not attempted due to medical condition or safety concerns**

|  |  |
|--|--|
| <b>6.</b><br><b>Performance</b><br><b>in the Last 7 Days</b>   |  |
| <b>Enter Codes in Boxes</b><br> |  |
| <input style="width: 50px; height: 20px;" type="text"/>  | <b>A. Eating:</b> The ability to use suitable utensils to bring food and/or liquid to the mouth and swallow food and/or liquid once the meal is placed before the resident.  |
| <input style="width: 50px; height: 20px;" type="text"/>  | <b>B. Oral hygiene:</b> The ability to use suitable items to clean teeth. Dentures (if applicable): The ability to insert and remove dentures into and from the mouth, and manage denture soaking and rinsing with use of equipment. |
| <input style="width: 50px; height: 20px;" type="text"/>  | <b>C. Toileting hygiene:</b> The ability to maintain perineal hygiene, adjust clothes before and after voiding or having a bowel movement. If managing an ostomy, include wiping the opening but not managing equipment.             |
| <input style="width: 50px; height: 20px;" type="text"/>  | <b>E. Shower/bathe self:</b> The ability to bathe self, including washing, rinsing, and drying self (excludes washing of back and hair). Does not include transferring in/out of tub/shower.   |
| <input style="width: 50px; height: 20px;" type="text"/>  | <b>F. Upper body dressing:</b> The ability to dress and undress above the waist; including fasteners, if applicable.   |
| <input style="width: 50px; height: 20px;" type="text"/>  | <b>G. Lower body dressing:</b> The ability to dress and undress below the waist, including fasteners; does not include footwear.   |
| <input style="width: 50px; height: 20px;" type="text"/>  | <b>H. Putting on/taking off footwear:</b> The ability to put on and take off socks and shoes or other footwear that is appropriate for safe mobility; including fasteners, if applicable.  |
| <input style="width: 50px; height: 20px;" type="text"/>  | <b>I. Personal Hygiene:</b> The ability to maintain personal hygiene, including combing hair, shaving, applying makeup, washing/drying face and hands (excludes baths, showers, and oral hygiene).                                   |




**Section GG****Functional Abilities and Goals - Performance in the Last 7 Days****GG0170. Mobility** (Assessment period is the last 7 days)**Code the resident's usual performance for each activity using the 6-point scale. If an activity was not attempted, code the reason.****Coding:****Safety and Quality of Performance** - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.*Activities may be completed with or without assistive devices.*

- 06. **Independent** - Resident completes the activity by him/herself with no assistance from a helper.
- 05. **Setup or clean-up assistance** - Helper sets up or cleans up; resident completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** - Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as resident completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** - Helper does LESS THAN HALF the effort. Helper lifts, holds, or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** - Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** - Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.

**If activity was not attempted, code reason:**

- 07. **Resident refused**
- 09. **Not applicable** - Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. **Not attempted due to environmental limitations** (e.g., lack of equipment, weather constraints)
- 88. **Not attempted due to medical condition or safety concerns**

|  |   |
|--|---|
| <b>6.</b><br><b>Performance</b><br><b>in the Last 7 Days</b>   |   |
| <b>Enter Codes in Boxes</b><br> |   |
| <input type="text"/>   | <b>A. Roll left and right:</b> The ability to roll from lying on back to left and right side, and return to lying on back on the bed.   |
| <input type="text"/>   | <b>B. Sit to lying:</b> The ability to move from sitting on side of bed to lying flat on the bed.   |
| <input type="text"/>   | <b>C. Lying to sitting on side of bed:</b> The ability to move from lying on the back to sitting on the side of the bed with no back support.   |
| <input type="text"/>   | <b>D. Sit to stand:</b> The ability to come to a standing position from sitting in a chair, wheelchair, or on the side of the bed.  |
| <input type="text"/>   | <b>E. Chair/bed-to-chair transfer:</b> The ability to transfer to and from a bed to a chair (or wheelchair).  |
| <input type="text"/>   | <b>F. Toilet transfer:</b> The ability to get on and off a toilet or commode.   |
| <input type="text"/>   | <b>FF. Tub/Shower transfer:</b> The ability to get in and out of a tub/shower.  |
| <input type="text"/>   | <b>I. Walk 10 feet:</b> Once standing, the ability to walk at least 10 feet in a room, corridor, or similar space.<br>If performance in the last 7 days is coded 07, 09, 10, or 88 → Skip to GG0170Q6, Does the resident use a wheelchair and/or scooter? |
| <input type="text"/>   | <b>J. Walk 50 feet with two turns:</b> Once standing, the ability to walk at least 50 feet and make two turns.  |
| <input type="text"/>   | <b>K. Walk 150 feet:</b> Once standing, the ability to walk at least 150 feet in a corridor or similar space.   |

**Section GG****Functional Abilities and Goals - Performance in the Last 7 Days****GG0170. Mobility** (Assessment period is the last 7 days)

**Code the resident's usual performance for each activity using the 6-point scale. If an activity was not attempted, code the reason.**

**Coding:**


**Safety and Quality of Performance** - If helper assistance is required because resident's performance is unsafe or of poor quality, score according to amount of assistance provided.

*Activities may be completed with or without assistive devices.*

- 06. **Independent** - Resident completes the activity by him/herself with no assistance from a helper.
- 05. **Setup or clean-up assistance** - Helper sets up or cleans up; resident completes activity. Helper assists only prior to or following the activity.
- 04. **Supervision or touching assistance** - Helper provides verbal cues and/or touching/steadying and/or contact guard assistance as resident completes activity. Assistance may be provided throughout the activity or intermittently.
- 03. **Partial/moderate assistance** - Helper does LESS THAN HALF the effort. Helper lifts, holds, or supports trunk or limbs, but provides less than half the effort.
- 02. **Substantial/maximal assistance** - Helper does MORE THAN HALF the effort. Helper lifts or holds trunk or limbs and provides more than half the effort.
- 01. **Dependent** - Helper does ALL of the effort. Resident does none of the effort to complete the activity. Or, the assistance of 2 or more helpers is required for the resident to complete the activity.

**If activity was not attempted, code reason:**

- 07. **Resident refused**
- 09. **Not applicable** - Not attempted and the resident did not perform this activity prior to the current illness, exacerbation, or injury.
- 10. **Not attempted due to environmental limitations** (e.g., lack of equipment, weather constraints)
- 88. **Not attempted due to medical condition or safety concerns**

|   |  |  |
|---|--|--|
| <b>6.</b><br><b>Performance</b><br><b>in the Last 7 Days</b>  |  |  |
| <b>Enter Codes in Boxes</b><br>  |  |  |
| <div style="border: 1px solid black; width: 40px; height: 20px; margin: 5px auto;"></div> <div style="border: 1px solid black; width: 40px; height: 20px; margin: 5px auto;"></div> <div style="border: 1px solid black; width: 40px; height: 20px; margin: 5px auto;"></div> | <input style="width: 30px; height: 20px;" type="text"/>  | <b>Q6. Does the resident use a wheelchair and/or scooter?</b><br>0. <b>No</b> → Skip to H0100, Appliances<br>1. <b>Yes</b> → Continue to GG0170R, Wheel 50 feet with two turns |
|   | <b>R. Wheel 50 feet with two turns:</b> Once seated in wheelchair/scooter, the ability to wheel at least 50 feet and make two turns. |  |
|   | <input style="width: 30px; height: 20px;" type="text"/>  | <b>RR6. Indicate the type of wheelchair or scooter used.</b><br>1. <b>Manual</b><br>2. <b>Motorized</b>  |
|   | <b>S. Wheel 150 feet:</b> Once seated in wheelchair/scooter, the ability to wheel at least 150 feet in a corridor or similar space.  |  |
|   | <input style="width: 30px; height: 20px;" type="text"/>  | <b>SS6. Indicate the type of wheelchair or scooter used.</b><br>1. <b>Manual</b><br>2. <b>Motorized</b>  |

**Section H****Bladder and Bowel****H0100. Appliances**

↓ Check all that apply

- ☐ **A. Indwelling catheter** (including suprapubic catheter and nephrostomy tube)
- ☐ **B. External catheter**
- ☐ **C. Ostomy** (including urostomy, ileostomy, and colostomy)
- ☐ **D. Intermittent catheterization**
- ☐ **Z. None of the above**

**H0200. Urinary Toileting Program**

|                                    |  |
|------------------------------------|--|
| Enter Code<br><input type="text"/> | <b>A. Has a trial of a toileting program (e.g., scheduled toileting, prompted voiding, or bladder training)</b> been attempted on admission/entry or reentry or since urinary incontinence was noted in this facility?<br>0. <b>No</b> → Skip to H0300, Urinary Continence<br>1. <b>Yes</b> → Continue to H0200C, Current toileting program or trial<br>9. <b>Unable to determine</b> → Continue to H0200C, Current toileting program or trial |
| Enter Code<br><input type="text"/> | <b>C. Current toileting program or trial</b> - Is a toileting program (e.g., scheduled toileting, prompted voiding, or bladder training) currently being used to manage the resident's urinary continence?<br>0. <b>No</b><br>1. <b>Yes</b>  |

**H0300. Urinary Continence**

|                                    |  |
|------------------------------------|--|
| Enter Code<br><input type="text"/> | <b>Urinary continence</b> - Select the one category that best describes the resident<br>0. <b>Always continent</b><br>1. <b>Occasionally incontinent</b> (less than 7 episodes of incontinence)<br>2. <b>Frequently incontinent</b> (7 or more episodes of urinary incontinence, but at least one episode of continent voiding)<br>3. <b>Always incontinent</b> (no episodes of continent voiding)<br>9. <b>Not rated</b> , resident had a catheter (indwelling, condom), urinary ostomy, or no urine output for the entire 7 days |
|------------------------------------|--|

**H0400. Bowel Continence**

|                                    |  |
|------------------------------------|--|
| Enter Code<br><input type="text"/> | <b>Bowel continence</b> - Select the one category that best describes the resident<br>0. <b>Always continent</b><br>1. <b>Occasionally incontinent</b> (one episode of bowel incontinence)<br>2. <b>Frequently incontinent</b> (2 or more episodes of bowel incontinence, but at least one continent bowel movement)<br>3. <b>Always incontinent</b> (no episodes of continent bowel movements)<br>9. <b>Not rated</b> , resident had an ostomy or did not have a bowel movement for the entire 7 days |
|------------------------------------|--|

**H0500. Bowel Toileting Program**

|                                    |  |
|------------------------------------|--|
| Enter Code<br><input type="text"/> | <b>Is a toileting program currently being used to manage the resident's bowel continence?</b><br>0. <b>No</b><br>1. <b>Yes</b> |
|------------------------------------|--|

| Section I  |  | Active Diagnoses |  |
|--|--|------------------|--|
| <b>I0020. Indicate the resident's primary medical condition category</b><br>Complete only if A0310B = 01 |  |                  |  |
| Enter Code<br><div></div>  | <b>Indicate the resident's primary medical condition category that best describes the primary reason for admission</b>   |                  |  |
|  | 01. <b>Stroke</b><br>02. <b>Non-Traumatic Brain Dysfunction</b><br>03. <b>Traumatic Brain Dysfunction</b><br>04. <b>Non-Traumatic Spinal Cord Dysfunction</b><br>05. <b>Traumatic Spinal Cord Dysfunction</b><br>06. <b>Progressive Neurological Conditions</b><br>07. <b>Other Neurological Conditions</b><br>08. <b>Amputation</b><br>09. <b>Hip and Knee Replacement</b><br>10. <b>Fractures and Other Multiple Trauma</b><br>11. <b>Other Orthopedic Conditions</b><br>12. <b>Debility, Cardiorespiratory Conditions</b><br>13. <b>Medically Complex Conditions</b><br><br><b>I0020B. ICD Code</b> |                  |  |

**Section I****Active Diagnoses****Active Diagnoses in the last 7 days - Check all that apply**

Diagnoses listed in parentheses are provided as examples and should not be considered as all-inclusive lists

**Cancer**

- ☐ **I0100. Cancer** (with or without metastasis)

**Heart/Circulation**

- ☐ **I0200. Anemia** (e.g., aplastic, iron deficiency, pernicious, and sickle cell)
- ☐ **I0400. Coronary Artery Disease (CAD)** (e.g., angina, myocardial infarction, and atherosclerotic heart disease (ASHD))
- ☐ **I0600. Heart Failure** (e.g., congestive heart failure (CHF) and pulmonary edema)
- ☐ **I0700. Hypertension**
- ☐ **I0800. Orthostatic Hypotension**
- ☐ **I0900. Peripheral Vascular Disease (PVD) or Peripheral Arterial Disease (PAD)**

**Gastrointestinal**

- ☐ **I1300. Ulcerative Colitis, Crohn's Disease, or Inflammatory Bowel Disease**

**Genitourinary**

- ☐ **I1500. Renal Insufficiency, Renal Failure, or End-Stage Renal Disease (ESRD)**
- ☐ **I1550. Neurogenic Bladder**
- ☐ **I1650. Obstructive Uropathy**

**Infections**

- ☐ **I1700. Multidrug-Resistant Organism (MDRO)**
- ☐ **I2000. Pneumonia**
- ☐ **I2100. Septicemia**
- ☐ **I2200. Tuberculosis**
- ☐ **I2300. Urinary Tract Infection (UTI) (LAST 30 DAYS)**
- ☐ **I2400. Viral Hepatitis** (e.g., Hepatitis A, B, C, D, and E)
- ☐ **I2500. Wound Infection** (other than foot)

**Metabolic**

- ☐ **I2900. Diabetes Mellitus (DM)** (e.g., diabetic retinopathy, nephropathy, and neuropathy)
- ☐ **I3100. Hyponatremia**
- ☐ **I3200. Hyperkalemia**
- ☐ **I3300. Hyperlipidemia** (e.g., hypercholesterolemia)

**Musculoskeletal**

- ☐ **I3900. Hip Fracture** - any hip fracture that has a relationship to current status, treatments, monitoring (e.g., sub-capital fractures, and fractures of the trochanter and femoral neck)
- ☐ **I4000. Other Fracture**

**Neurological**

- ☐ **I4200. Alzheimer's Disease**
- ☐ **I4300. Aphasia**
- ☐ **I4400. Cerebral Palsy**
- ☐ **I4500. Cerebrovascular Accident (CVA), Transient Ischemic Attack (TIA), or Stroke**
- ☐ **I4800. Non-Alzheimer's Dementia** (e.g. Lewy body dementia, vascular or multi-infarct dementia; mixed dementia; frontotemporal dementia such as Pick's disease; and dementia related to stroke, Parkinson's or Creutzfeldt-Jakob diseases)
- ☐ **I4900. Hemiplegia or Hemiparesis**
- ☐ **I5000. Paraplegia**
- ☐ **I5100. Quadriplegia**
- ☐ **I5200. Multiple Sclerosis (MS)**
- ☐ **I5250. Huntington's Disease**
- ☐ **I5300. Parkinson's Disease**
- ☐ **I5350. Tourette's Syndrome**
- ☐ **I5400. Seizure Disorder or Epilepsy**
- ☐ **I5500. Traumatic Brain Injury (TBI)**

## Section I

## Active Diagnoses

### Active Diagnoses in the last 7 days - Check all that apply

Diagnoses listed in parentheses are provided as examples and should not be considered as all-inclusive lists

|                          |   |
|--------------------------|---|
| <input type="checkbox"/> | <b>Nutritional</b>  |
| <input type="checkbox"/> | <b>I5600. Malnutrition</b> (protein or calorie) or at risk for malnutrition   |
| <input type="checkbox"/> | <b>Psychiatric/Mood Disorder</b>  |
| <input type="checkbox"/> | <b>I5700. Anxiety Disorder</b>  |
| <input type="checkbox"/> | <b>I5800. Depression</b> (other than bipolar)   |
| <input type="checkbox"/> | <b>I5900. Bipolar Disorder</b>  |
| <input type="checkbox"/> | <b>I5950. Psychotic Disorder</b> (other than schizophrenia)   |
| <input type="checkbox"/> | <b>I6000. Schizophrenia</b> (e.g., schizoaffective and schizophreniform disorders)  |
| <input type="checkbox"/> | <b>I6100. Post Traumatic Stress Disorder (PTSD)</b>   |
| <input type="checkbox"/> | <b>Pulmonary</b>  |
| <input type="checkbox"/> | <b>I6200. Asthma, Chronic Obstructive Pulmonary Disease (COPD), or Chronic Lung Disease</b> (e.g., chronic bronchitis and restrictive lung diseases such as asbestosis) |
| <input type="checkbox"/> | <b>I6300. Respiratory Failure</b>   |
| <input type="checkbox"/> | <b>None of Above</b>  |
| <input type="checkbox"/> | <b>I7900. None of the above active diagnoses</b> within the last 7 days   |
| <input type="checkbox"/> | <b>Other</b>  |
|                          | <b>I8000. Additional active diagnoses</b>   |
|                          | Enter diagnosis on line and ICD code in boxes. Include the decimal for the code in the appropriate box.   |
|                          | A. _____  |
|                          | B. _____  |
|                          | C. _____  |
|                          | D. _____  |
|                          | E. _____  |
|                          | F. _____  |
|                          | G. _____  |
|                          | H. _____  |
|                          | I. _____  |
|                          | J. _____  |

## Section J Health Conditions

### J0100. Pain Management - Complete for all residents, regardless of current pain level

At any time in the last **5** days, has the resident:

|                                    |   |
|------------------------------------|---|
| Enter Code<br><input type="text"/> | <b>A. Received scheduled pain medication regimen?</b><br>0. <b>No</b><br>1. <b>Yes</b>                |
| Enter Code<br><input type="text"/> | <b>B. Received PRN pain medications OR was offered and declined?</b><br>0. <b>No</b><br>1. <b>Yes</b> |
| Enter Code<br><input type="text"/> | <b>C. Received non-medication intervention for pain?</b><br>0. <b>No</b><br>1. <b>Yes</b>             |

### J0200. Should Pain Assessment Interview be Conducted?

Attempt to conduct interview with all residents. If resident is comatose, skip to J1100, Shortness of Breath (dyspnea)

|                                    |  |
|------------------------------------|--|
| Enter Code<br><input type="text"/> | 0. <b>No</b> (resident is rarely/never understood) → Skip to and complete J0800, Indicators of Pain or Possible Pain<br>1. <b>Yes</b> → Continue to J0300, Pain Presence |
|------------------------------------|--|

## Pain Assessment Interview

### J0300. Pain Presence

|                                    |  |
|------------------------------------|--|
| Enter Code<br><input type="text"/> | Ask resident: <b>"Have you had pain or hurting at any time in the last 5 days?"</b><br>0. <b>No</b> → Skip to J1100, Shortness of Breath<br>1. <b>Yes</b> → Continue to J0410, Pain Frequency<br>9. <b>Unable to answer</b> → Skip to J0800, Indicators of Pain or Possible Pain |
|------------------------------------|--|

### J0410. Pain Frequency

|                                    |   |
|------------------------------------|---|
| Enter Code<br><input type="text"/> | Ask resident: <b>"How much of the time have you experienced pain or hurting over the last 5 days?"</b><br>1. <b>Rarely or not at all</b><br>2. <b>Occasionally</b><br>3. <b>Frequently</b><br>4. <b>Almost constantly</b><br>9. <b>Unable to answer</b> |
|------------------------------------|---|

### J0510. Pain Effect on Sleep

|                                    |   |
|------------------------------------|---|
| Enter Code<br><input type="text"/> | Ask resident: <b>"Over the past 5 days, how much of the time has pain made it hard for you to sleep at night?"</b><br>1. <b>Rarely or not at all</b><br>2. <b>Occasionally</b><br>3. <b>Frequently</b><br>4. <b>Almost constantly</b><br>9. <b>Unable to answer</b> |
|------------------------------------|---|

### J0520. Pain Interference with Therapy Activities

|                                    |   |
|------------------------------------|---|
| Enter Code<br><input type="text"/> | Ask resident: <b>"Over the past 5 days, how often have you limited your participation in rehabilitation therapy sessions due to pain?"</b><br>0. <b>Does not apply - I have not received rehabilitation therapy in the past 5 days</b><br>1. <b>Rarely or not at all</b><br>2. <b>Occasionally</b><br>3. <b>Frequently</b><br>4. <b>Almost constantly</b><br>9. <b>Unable to answer</b> |
|------------------------------------|---|

## Section J Health Conditions

### Pain Assessment Interview - Continued

#### J0530. Pain Interference with Day-to-Day Activities

|                                    |   |
|------------------------------------|---|
| Enter Code<br><input type="text"/> | <p>Ask resident: <i>"Over the past 5 days, how often have you limited your day-to-day activities (excluding rehabilitation therapy sessions) because of pain?"</i></p> <ol style="list-style-type: none"> <li>1. Rarely or not at all</li> <li>2. Occasionally</li> <li>3. Frequently</li> <li>4. Almost constantly</li> <li>9. Unable to answer</li> </ol> |
|------------------------------------|---|

#### J0600. Pain Intensity - Administer **ONLY ONE** of the following pain intensity questions (A or B)

|                                      |   |
|--------------------------------------|---|
| Enter Rating<br><input type="text"/> | <p><b>A. Numeric Rating Scale (00-10)</b></p> <p>Ask resident: <i>"Please rate your worst pain over the last 5 days on a zero to ten scale, with zero being no pain and ten as the worst pain you can imagine."</i> (Show resident 00 -10 pain scale)</p> <p><b>Enter two-digit response. Enter 99 if unable to answer.</b></p>         |
| Enter Code<br><input type="text"/>   | <p><b>B. Verbal Descriptor Scale</b></p> <p>Ask resident: <i>"Please rate the intensity of your worst pain over the last 5 days."</i> (Show resident verbal scale)</p> <ol style="list-style-type: none"> <li>1. Mild</li> <li>2. Moderate</li> <li>3. Severe</li> <li>4. Very severe, horrible</li> <li>9. Unable to answer</li> </ol> |

#### J0700. Should the Staff Assessment for Pain be Conducted?

|                                    |  |
|------------------------------------|--|
| Enter Code<br><input type="text"/> | <ol style="list-style-type: none"> <li>0. <b>No</b> (J0410 = 1 thru 4) → Skip to J1100, Shortness of Breath (dyspnea)</li> <li>1. <b>Yes</b> (J0410 = 9) → Continue to J0800, Indicators of Pain or Possible Pain</li> </ol> |
|------------------------------------|--|

### Staff Assessment for Pain

#### J0800. Indicators of Pain or Possible Pain in the last 5 days

↓ Check all that apply

- |                          |  |
|--------------------------|--|
| <input type="checkbox"/> | <b>A. Non-verbal sounds</b> (e.g., crying, whining, gasping, moaning, or groaning)   |
| <input type="checkbox"/> | <b>B. Vocal complaints of pain</b> (e.g., that hurts, ouch, stop)  |
| <input type="checkbox"/> | <b>C. Facial expressions</b> (e.g., grimaces, wincing, wrinkled forehead, furrowed brow, clenched teeth or jaw)  |
| <input type="checkbox"/> | <b>D. Protective body movements or postures</b> (e.g., bracing, guarding, rubbing or massaging a body part/area, clutching or holding a body part during movement) |
| <input type="checkbox"/> | <b>Z. None of these signs observed or documented</b> → If checked, skip to J1100, Shortness of Breath (dyspnea)  |

#### J0850. Frequency of Indicator of Pain or Possible Pain in the last 5 days

|                                    |   |
|------------------------------------|---|
| Enter Code<br><input type="text"/> | <p>Frequency with which resident complains or shows evidence of pain or possible pain</p> <ol style="list-style-type: none"> <li>1. <b>Indicators of pain</b> or possible pain observed <b>1 to 2 days</b></li> <li>2. <b>Indicators of pain</b> or possible pain observed <b>3 to 4 days</b></li> <li>3. <b>Indicators of pain</b> or possible pain observed <b>daily</b></li> </ol> |
|------------------------------------|---|



**Section J****Health Conditions****J0220. Should Pain Assessment Interview be Conducted?** (Discharge)

Attempt to conduct interview with all residents. If resident is comatose, skip to J1100, Shortness of Breath (dyspnea)

Do not complete if A0310G = 2

Enter Code

0. **No** (resident is rarely/never understood) → Skip to J1100, Shortness of Breath (dyspnea)
1. **Yes** → Continue to J0320, Pain Presence (Discharge)

**Pain Assessment Interview** (Discharge)

Do not complete if A0310G = 2

**J0320. Pain Presence** (Discharge)

Enter Code

Ask resident: "***Have you had pain or hurting at any time in the last 5 days?***"

0. **No** → Skip to J1100, Shortness of Breath (dyspnea)
1. **Yes** → Continue to J0550, Pain Effect on Sleep (Discharge)
9. **Unable to answer** → Skip to J1100, Shortness of Breath (dyspnea)

**J0550. Pain Effect on Sleep** (Discharge)

Enter Code

Ask resident: "***Over the past 5 days, how much of the time has pain made it hard for you to sleep at night?***"

1. **Rarely or not at all**
2. **Occasionally**
3. **Frequently**
4. **Almost constantly**
9. **Unable to answer**

**J0560. Pain Interference with Therapy Activities** (Discharge)

Enter Code

Ask resident: "***Over the past 5 days, how often have you limited your participation in rehabilitation therapy sessions due to pain?***"

0. **Does not apply - I have not received rehabilitation therapy in the past 5 days**
1. **Rarely or not at all**
2. **Occasionally**
3. **Frequently**
4. **Almost constantly**
9. **Unable to answer**

**J0570. Pain Interference with Day-to-Day Activities** (Discharge)

Enter Code

Ask resident: "***Over the past 5 days, how often have you limited your day-to-day activities (excluding rehabilitation therapy sessions) because of pain?***"

1. **Rarely or not at all**
2. **Occasionally**
3. **Frequently**
4. **Almost constantly**
9. **Unable to answer**



|                  |                          |
|------------------|--------------------------|
| <b>Section J</b> | <b>Health Conditions</b> |
|------------------|--------------------------|

|                                |
|--------------------------------|
| <b>Other Health Conditions</b> |
|--------------------------------|

|   |
|---|
| <b>J1100. Shortness of Breath (dyspnea)</b> |
|---|

|                        |
|------------------------|
| ↓ Check all that apply |
|------------------------|

- |                          |  |
|--------------------------|--|
| <input type="checkbox"/> | <b>A. Shortness of breath</b> or trouble breathing <b>with exertion</b> (e.g., walking, bathing, transferring) |
| <input type="checkbox"/> | <b>B. Shortness of breath</b> or trouble breathing <b>when sitting at rest</b>                                 |
| <input type="checkbox"/> | <b>C. Shortness of breath</b> or trouble breathing <b>when lying flat</b>                                      |
| <input type="checkbox"/> | <b>Z. None of the above</b>  |

|                         |
|-------------------------|
| <b>J1400. Prognosis</b> |
|-------------------------|

|   |  |
|---|--|
| Enter Code<br><input style="width: 40px; height: 20px;" type="text"/> | Does the resident have a condition or chronic disease that may result in a <b>life expectancy of less than 6 months?</b> (Requires physician documentation)<br>0. <b>No</b><br>1. <b>Yes</b> |
|---|--|

|                                  |
|----------------------------------|
| <b>J1550. Problem Conditions</b> |
|----------------------------------|

|                        |
|------------------------|
| ↓ Check all that apply |
|------------------------|

- |                          |                             |
|--------------------------|-----------------------------|
| <input type="checkbox"/> | <b>A. Fever</b>             |
| <input type="checkbox"/> | <b>B. Vomiting</b>          |
| <input type="checkbox"/> | <b>C. Dehydrated</b>        |
| <input type="checkbox"/> | <b>D. Internal bleeding</b> |
| <input type="checkbox"/> | <b>Z. None of the above</b> |

|  |
|--|
| <b>J1700. Fall History on Admission/Entry or Reentry</b> |
|--|

|  |
|--|
| Complete only if A0310A = 01 or A0310E = 1 |
|--|

|   |  |
|---|--|
| Enter Code<br><input style="width: 40px; height: 20px;" type="text"/> | <b>A. Did the resident have a fall any time in the <b>last month</b> prior to admission/entry or reentry?</b><br>0. <b>No</b><br>1. <b>Yes</b><br>9. <b>Unable to determine</b>              |
| Enter Code<br><input style="width: 40px; height: 20px;" type="text"/> | <b>B. Did the resident have a fall any time in the <b>last 2-6 months</b> prior to admission/entry or reentry?</b><br>0. <b>No</b><br>1. <b>Yes</b><br>9. <b>Unable to determine</b>         |
| Enter Code<br><input style="width: 40px; height: 20px;" type="text"/> | <b>C. Did the resident have any <b>fracture related to a fall in the 6 months</b> prior to admission/entry or reentry?</b><br>0. <b>No</b><br>1. <b>Yes</b><br>9. <b>Unable to determine</b> |

|  |
|--|
| <b>J1800. Any Falls Since Admission/Entry or Reentry or Prior Assessment (OBRA or Scheduled PPS), whichever is more recent</b> |
|--|

|   |   |
|---|---|
| Enter Code<br><input style="width: 40px; height: 20px;" type="text"/> | Has the resident <b>had any falls since admission/entry or reentry or the prior assessment</b> (OBRA or Scheduled PPS), whichever is more recent?<br>0. <b>No</b> → Skip to J2000, Prior Surgery<br>1. <b>Yes</b> → Continue to J1900, Number of Falls Since Admission/Entry or Reentry or Prior Assessment (OBRA or Scheduled PPS) |
|---|---|

|  |  |   |
|--|--|---|
| Resident _____   | Identifier _____   | Date _____  |
| <b>Section J</b>   | <b>Health Conditions</b>   |   |
| <b>J1900. Number of Falls Since Admission/Entry or Reentry or Prior Assessment (OBRA or Scheduled PPS), whichever is more recent</b> |  |   |
| <b>Coding:</b><br>0. <b>None</b><br>1. <b>One</b><br>2. <b>Two or more</b>   | ↓ Enter Codes in Boxes   |   |
|  | <input style="width: 30px; height: 30px;" type="text"/>  | <b>A. No injury</b> - no evidence of any injury is noted on physical assessment by the nurse or primary care clinician; no complaints of pain or injury by the resident; no change in the resident's behavior is noted after the fall |
|  | <input style="width: 30px; height: 30px;" type="text"/>  | <b>B. Injury (except major)</b> - skin tears, abrasions, lacerations, superficial bruises, hematomas and sprains; or any fall-related injury that causes the resident to complain of pain   |
|  | <input style="width: 30px; height: 30px;" type="text"/>  | <b>C. Major injury</b> - bone fractures, joint dislocations, closed head injuries with altered consciousness, subdural hematoma   |
| <b>J2000. Prior Surgery</b> - Complete only if A0310B = 01   |  |   |
| Enter Code<br><input style="width: 30px; height: 30px;" type="text"/>  | Did the resident have major surgery during the <b>100 days prior to admission</b> ?<br>0. <b>No</b><br>1. <b>Yes</b><br>8. <b>Unknown</b>  |   |
| <b>J2100. Recent Surgery Requiring Active SNF Care</b> - Complete only if A0310B = 01 or 08  |  |   |
| Enter Code<br><input style="width: 30px; height: 30px;" type="text"/>  | Did the resident have a major surgical procedure during the prior inpatient hospital stay that requires active care during the SNF stay?<br>0. <b>No</b><br>1. <b>Yes</b><br>8. <b>Unknown</b> |   |

## Section J Health Conditions

**Surgical Procedures** - Complete only if J2100 = 1



Check all that apply

### Major Joint Replacement

- ☐ J2300. Knee Replacement - partial or total
- ☐ J2310. Hip Replacement - partial or total
- ☐ J2320. Ankle Replacement - partial or total
- ☐ J2330. Shoulder Replacement - partial or total

### Spinal Surgery

- ☐ J2400. Involving the spinal cord or major spinal nerves
- ☐ J2410. Involving fusion of spinal bones
- ☐ J2420. Involving lamina, discs, or facets
- ☐ J2499. Other major spinal surgery

### Other Orthopedic Surgery

- ☐ J2500. Repair fractures of the shoulder (including clavicle and scapula) or arm (but not hand)
- ☐ J2510. Repair fractures of the pelvis, hip, leg, knee, or ankle (not foot)
- ☐ J2520. Repair but not replace joints
- ☐ J2530. Repair other bones (such as hand, foot, jaw)
- ☐ J2599. Other major orthopedic surgery

### Neurological Surgery

- ☐ J2600. Involving the brain, surrounding tissue or blood vessels (excludes skull and skin but includes cranial nerves)
- ☐ J2610. Involving the peripheral or autonomic nervous system - open or percutaneous
- ☐ J2620. Insertion or removal of spinal or brain neurostimulators, electrodes, catheters, or CSF drainage devices
- ☐ J2699. Other major neurological surgery

### Cardiopulmonary Surgery

- ☐ J2700. Involving the heart or major blood vessels - open or percutaneous procedures
- ☐ J2710. Involving the respiratory system, including lungs, bronchi, trachea, larynx, or vocal cords - open or endoscopic
- ☐ J2799. Other major cardiopulmonary surgery

### Genitourinary Surgery

- ☐ J2800. Involving male or female organs (such as prostate, testes, ovaries, uterus, vagina, external genitalia)
- ☐ J2810. Involving the kidneys, ureters, adrenal glands, or bladder - open or laparoscopic (includes creation or removal of nephrostomies or urostomies)
- ☐ J2899. Other major genitourinary surgery

### Other Major Surgery

- ☐ J2900. Involving tendons, ligaments, or muscles
- ☐ J2910. Involving the gastrointestinal tract or abdominal contents from the esophagus to the anus, the biliary tree, gall bladder, liver, pancreas, or spleen - open or laparoscopic (including creation or removal of ostomies or percutaneous feeding tubes, or hernia repair)
- ☐ J2920. Involving the endocrine organs (such as thyroid, parathyroid), neck, lymph nodes, or thymus - open
- ☐ J2930. Involving the breast
- ☐ J2940. Repair of deep ulcers, internal brachytherapy, bone marrow or stem cell harvest or transplant
- ☐ J5000. Other major surgery not listed above

## Section K Swallowing/Nutritional Status

### K0100. Swallowing Disorder

Signs and symptoms of possible swallowing disorder

↓ Check all that apply

- ☐ A. Loss of liquids/solids from mouth when eating or drinking
- ☐ B. Holding food in mouth/cheeks or residual food in mouth after meals
- ☐ C. Coughing or choking during meals or when swallowing medications
- ☐ D. Complaints of difficulty or pain with swallowing
- ☐ Z. None of the above

### K0200. Height and Weight - While measuring, if the number is X.1 - X.4 round down; X.5 or greater round up

|  |   |
|--|---|
| <div style="border: 1px solid black; width: 40px; height: 20px; margin-bottom: 5px;"></div> inches | <b>A. Height</b> (in inches). Record most recent height measure since the most recent admission/entry or reentry  |
| <div style="border: 1px solid black; width: 40px; height: 20px; margin-bottom: 5px;"></div> pounds | <b>B. Weight</b> (in pounds). Base weight on most recent measure in last 30 days; measure weight consistently, according to standard facility practice (e.g., in a.m. after voiding, before meal, with shoes off, etc.) |

### K0300. Weight Loss

|  |  |
|--|--|
| Enter Code<br><div style="border: 1px solid black; width: 30px; height: 20px; margin-top: 5px;"></div> | <b>Loss of 5% or more in the last month or loss of 10% or more in last 6 months</b><br>0. <b>No</b> or unknown<br>1. <b>Yes, on</b> physician-prescribed weight-loss regimen<br>2. <b>Yes, not on</b> physician-prescribed weight-loss regimen |
|--|--|

### K0310. Weight Gain

|  |  |
|--|--|
| Enter Code<br><div style="border: 1px solid black; width: 30px; height: 20px; margin-top: 5px;"></div> | <b>Gain of 5% or more in the last month or gain of 10% or more in last 6 months</b><br>0. <b>No</b> or unknown<br>1. <b>Yes, on</b> physician-prescribed weight-gain regimen<br>2. <b>Yes, not on</b> physician-prescribed weight-gain regimen |
|--|--|

### K0520. Nutritional Approaches

Check all of the following nutritional approaches that apply

|   | 1.<br>On Admission       | 2.<br>While Not a Resident | 3.<br>While a Resident   | 4.<br>At Discharge       |
|---|--------------------------|----------------------------|--------------------------|--------------------------|
| <b>1. On Admission</b><br>Assessment period is days 1 through 3 of the SNF PPS Stay starting with A2400B<br><br><b>2. While Not a Resident</b><br>Performed <b>while NOT a resident</b> of this facility and within the <b>last 7 days</b> . Only check column 2 if resident entered (admission or reentry) IN THE LAST 7 DAYS. If resident last entered 7 or more days ago, leave column 2 blank.<br><br><b>3. While a Resident</b><br>Performed <b>while a resident</b> of this facility and within the <b>last 7 days</b><br><br><b>4. At Discharge</b><br>Assessment period is the last 3 days of the SNF PPS Stay ending on A2400C |                          |                            |                          |                          |
|   | ↓                        | ↓                          | ↓                        | ↓                        |
| <b>A. Parenteral/IV feeding</b>   | <input type="checkbox"/> | <input type="checkbox"/>   | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>B. Feeding tube</b> - nasogastric or abdominal (PEG)   | <input type="checkbox"/> | <input type="checkbox"/>   | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>C. Mechanically altered diet</b> - require change in texture of food or liquids (e.g., pureed food, thickened liquids)   | <input type="checkbox"/> |                            | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>D. Therapeutic diet</b> (e.g., low salt, diabetic, low cholesterol)  | <input type="checkbox"/> |                            | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>Z. None of the above</b>   | <input type="checkbox"/> | <input type="checkbox"/>   | <input type="checkbox"/> | <input type="checkbox"/> |

|                  |                                      |
|------------------|--------------------------------------|
| <b>Section K</b> | <b>Swallowing/Nutritional Status</b> |
|------------------|--------------------------------------|

|  |   |   |
|--|---|---|
| <b>K0710. Percent Intake by Artificial Route</b> - Complete K0710 only if Column 2 and/or Column 3 are checked for K0520A and/or K0520B  |   |   |
| <b>2. While a Resident</b><br>Performed <i>while a resident</i> of this facility and within the <i>last 7 days</i><br><b>3. During Entire 7 Days</b><br>Performed during the entire <i>last 7 days</i> | <b>2.</b><br><b>While a Resident</b>                    | <b>3.</b><br><b>During Entire 7 Days</b>                |
|  | ↓   | ↓   |
|  | Enter Codes   |   |
| <b>A. Proportion of total calories the resident received through parenteral or tube feeding</b><br>1. <b>25% or less</b><br>2. <b>26-50%</b><br>3. <b>51% or more</b>                                  | <input style="width: 40px; height: 20px;" type="text"/> | <input style="width: 40px; height: 20px;" type="text"/> |
| <b>B. Average fluid intake per day by IV or tube feeding</b><br>1. <b>500 cc/day or less</b><br>2. <b>501 cc/day or more</b>   | <input style="width: 40px; height: 20px;" type="text"/> | <input style="width: 40px; height: 20px;" type="text"/> |

|                  |                           |
|------------------|---------------------------|
| <b>Section L</b> | <b>Oral/Dental Status</b> |
|------------------|---------------------------|

|                          |   |
|--------------------------|---|
| <b>L0200. Dental</b>     |   |
| ↓ Check all that apply   |   |
| <input type="checkbox"/> | <b>A. Broken or loosely fitting full or partial denture</b> (chipped, cracked, uncleanable, or loose) |
| <input type="checkbox"/> | <b>F. Mouth or facial pain, discomfort or difficulty with chewing</b>                                 |
| <input type="checkbox"/> | <b>Z. None of the above were present</b>  |

|                  |                        |
|------------------|------------------------|
| <b>Section M</b> | <b>Skin Conditions</b> |
|------------------|------------------------|

|   |
|---|
| <b>Report based on highest stage of existing ulcers/injuries at their worst;<br/>do not "reverse" stage</b> |
|---|

|   |   |
|---|---|
| <b>M0100. Determination of Pressure Ulcer/Injury Risk</b>             |   |
| ↓ Check all that apply  |   |
| <input type="checkbox"/>  | <b>A. Resident has a pressure ulcer/injury, a scar over bony prominence, or a non-removable dressing/device</b>   |
| <input type="checkbox"/>  | <b>B. Formal assessment instrument/tool</b> (e.g., Braden, Norton, or other)  |
| <input type="checkbox"/>  | <b>C. Clinical assessment</b>   |
| <input type="checkbox"/>  | <b>Z. None of the above</b>   |
| <b>M0150. Risk of Pressure Ulcers/Injuries</b>                        |   |
| Enter Code<br><input style="width: 30px; height: 20px;" type="text"/> | <b>Is this resident at risk of developing pressure ulcers/injuries?</b><br>0. <b>No</b><br>1. <b>Yes</b>  |
| <b>M0210. Unhealed Pressure Ulcers/Injuries</b>                       |   |
| Enter Code<br><input style="width: 30px; height: 20px;" type="text"/> | <b>Does this resident have one or more unhealed pressure ulcers/injuries?</b><br>0. <b>No</b> → Skip to M1030, Number of Venous and Arterial Ulcers<br>1. <b>Yes</b> → Continue to M0300, Current Number of Unhealed Pressure Ulcers/Injuries at Each Stage |

## Section M Skin Conditions

### M0300. Current Number of Unhealed Pressure Ulcers/Injuries at Each Stage

|                                      |   |
|--------------------------------------|---|
| Enter Number<br><input type="text"/> | <p><b>A. Stage 1:</b> Intact skin with non-blanchable redness of a localized area usually over a bony prominence. Darkly pigmented skin may not have a visible blanching; in dark skin tones only it may appear with persistent blue or purple hues</p> <p><b>1. Number of Stage 1 pressure injuries</b></p>                    |
| Enter Number<br><input type="text"/> | <p><b>B. Stage 2:</b> Partial thickness loss of dermis presenting as a shallow open ulcer with a red or pink wound bed, without slough. May also present as an intact or open/ruptured blister</p> <p><b>1. Number of Stage 2 pressure ulcers</b> - If 0 → Skip to M0300C, Stage 3</p>  |
| Enter Number<br><input type="text"/> | <p><b>2. Number of <u>these</u> Stage 2 pressure ulcers that were present upon admission/entry or reentry</b> - enter how many were noted at the time of admission/entry or reentry</p>   |
| Enter Number<br><input type="text"/> | <p><b>C. Stage 3:</b> Full thickness tissue loss. Subcutaneous fat may be visible but bone, tendon or muscle is not exposed. Slough may be present but does not obscure the depth of tissue loss. May include undermining and tunneling</p> <p><b>1. Number of Stage 3 pressure ulcers</b> - If 0 → Skip to M0300D, Stage 4</p> |
| Enter Number<br><input type="text"/> | <p><b>2. Number of <u>these</u> Stage 3 pressure ulcers that were present upon admission/entry or reentry</b> - enter how many were noted at the time of admission/entry or reentry</p>   |
| Enter Number<br><input type="text"/> | <p><b>D. Stage 4:</b> Full thickness tissue loss with exposed bone, tendon or muscle. Slough or eschar may be present on some parts of the wound bed. Often includes undermining and tunneling</p> <p><b>1. Number of Stage 4 pressure ulcers</b> - If 0 → Skip to M0300E, Unstageable - Non-removable dressing/device</p>      |
| Enter Number<br><input type="text"/> | <p><b>2. Number of <u>these</u> Stage 4 pressure ulcers that were present upon admission/entry or reentry</b> - enter how many were noted at the time of admission/entry or reentry</p>   |
| Enter Number<br><input type="text"/> | <p><b>E. Unstageable - Non-removable dressing/device:</b> Known but not stageable due to non-removable dressing/device</p> <p><b>1. Number of unstageable pressure ulcers/injuries due to non-removable dressing/device</b> - If 0 → Skip to M0300F, Unstageable - Slough and/or eschar</p>                                     |
| Enter Number<br><input type="text"/> | <p><b>2. Number of <u>these</u> unstageable pressure ulcers/injuries that were present upon admission/entry or reentry</b> - enter how many were noted at the time of admission/entry or reentry</p>  |
| Enter Number<br><input type="text"/> | <p><b>F. Unstageable - Slough and/or eschar:</b> Known but not stageable due to coverage of wound bed by slough and/or eschar</p> <p><b>1. Number of unstageable pressure ulcers due to coverage of wound bed by slough and/or eschar</b> - If 0 → Skip to M0300G, Unstageable - Deep tissue injury</p>                         |
| Enter Number<br><input type="text"/> | <p><b>2. Number of <u>these</u> unstageable pressure ulcers that were present upon admission/entry or reentry</b> - enter how many were noted at the time of admission/entry or reentry</p>   |
| Enter Number<br><input type="text"/> | <p><b>G. Unstageable - Deep tissue injury:</b></p> <p><b>1. Number of unstageable pressure injuries presenting as deep tissue injury</b> - If 0 → Skip to M1030, Number of Venous and Arterial Ulcers</p>   |
| Enter Number<br><input type="text"/> | <p><b>2. Number of <u>these</u> unstageable pressure injuries that were present upon admission/entry or reentry</b> - enter how many were noted at the time of admission/entry or reentry</p>   |

|  |   |
|--|---|
| <b>Section M</b>   | <b>Skin Conditions</b>  |
| <b>M1030. Number of Venous and Arterial Ulcers</b>   |   |
| Enter Number<br><div style="border: 1px solid black; width: 40px; height: 25px; margin: 5px 0;"></div> | Enter the total number of venous and arterial ulcers present  |
| <b>M1040. Other Ulcers, Wounds and Skin Problems</b>   |   |
| ↓ Check all that apply   |   |
|  | <b>Foot Problems</b>  |
| <input type="checkbox"/>   | <b>A. Infection of the foot</b> (e.g., cellulitis, purulent drainage)   |
| <input type="checkbox"/>   | <b>B. Diabetic foot ulcer(s)</b>  |
| <input type="checkbox"/>   | <b>C. Other open lesion(s) on the foot</b>  |
|  | <b>Other Problems</b>   |
| <input type="checkbox"/>   | <b>D. Open lesion(s) other than ulcers, rashes, cuts</b> (e.g., cancer lesion)  |
| <input type="checkbox"/>   | <b>E. Surgical wound(s)</b>   |
| <input type="checkbox"/>   | <b>F. Burn(s)</b> (second or third degree)  |
| <input type="checkbox"/>   | <b>G. Skin tear(s)</b>  |
| <input type="checkbox"/>   | <b>H. Moisture Associated Skin Damage (MASD)</b> (e.g., incontinence-associated dermatitis [IAD], perspiration, drainage) |
|  | <b>None of the Above</b>  |
| <input type="checkbox"/>   | <b>Z. None of the above</b> were present  |
| <b>M1200. Skin and Ulcer/Injury Treatments</b>   |   |
| ↓ Check all that apply   |   |
| <input type="checkbox"/>   | <b>A. Pressure reducing device for chair</b>  |
| <input type="checkbox"/>   | <b>B. Pressure reducing device for bed</b>  |
| <input type="checkbox"/>   | <b>C. Turning/repositioning program</b>   |
| <input type="checkbox"/>   | <b>D. Nutrition or hydration intervention</b> to manage skin problems   |
| <input type="checkbox"/>   | <b>E. Pressure ulcer/injury care</b>  |
| <input type="checkbox"/>   | <b>F. Surgical wound care</b>   |
| <input type="checkbox"/>   | <b>G. Application of nonsurgical dressings</b> (with or without topical medications) other than to feet                   |
| <input type="checkbox"/>   | <b>H. Applications of ointments/medications</b> other than to feet  |
| <input type="checkbox"/>   | <b>I. Application of dressings to feet</b> (with or without topical medications)  |
| <input type="checkbox"/>   | <b>Z. None of the above</b> were provided   |



|                  |                    |
|------------------|--------------------|
| <b>Section N</b> | <b>Medications</b> |
|------------------|--------------------|

|                          |
|--------------------------|
| <b>N0300. Injections</b> |
|--------------------------|

|   |  |
|---|--|
| Enter Days<br><input style="width: 40px; height: 20px;" type="text"/> | Record the number of days that injections of any type were received during the last 7 days or since admission/entry or reentry if less than 7 days. If 0 → Skip to N0415, High-Risk Drug Classes: Use and Indication |
|---|--|

|                       |
|-----------------------|
| <b>N0350. Insulin</b> |
|-----------------------|

|   |  |
|---|--|
| Enter Days<br><input style="width: 40px; height: 20px;" type="text"/> | A. Insulin injections - Record the number of days that insulin injections were received during the last 7 days or since admission/entry or reentry if less than 7 days   |
| Enter Days<br><input style="width: 40px; height: 20px;" type="text"/> | B. Orders for insulin - Record the number of days the physician (or authorized assistant or practitioner) changed the resident's insulin orders during the last 7 days or since admission/entry or reentry if less than 7 days |

|  |
|--|
| <b>N0415. High-Risk Drug Classes: Use and Indication</b> |
|--|

|   |                               |                                      |
|---|-------------------------------|--------------------------------------|
| <b>1. Is taking</b><br>Check if the resident is taking any medications by pharmacological classification, not how it is used, during the last 7 days or since admission/entry or reentry if less than 7 days<br><br><b>2. Indication noted</b><br>If Column 1 is checked, check if there is an indication noted for all medications in the drug class | <b>1.</b><br><b>Is taking</b> | <b>2.</b><br><b>Indication noted</b> |
|   | ↓ Check all that apply ↓      |                                      |
| A. Antipsychotic  | <input type="checkbox"/>      | <input type="checkbox"/>             |
| B. Antianxiety  | <input type="checkbox"/>      | <input type="checkbox"/>             |
| C. Antidepressant   | <input type="checkbox"/>      | <input type="checkbox"/>             |
| D. Hypnotic   | <input type="checkbox"/>      | <input type="checkbox"/>             |
| E. Anticoagulant (e.g., warfarin, heparin, or low-molecular weight heparin)   | <input type="checkbox"/>      | <input type="checkbox"/>             |
| F. Antibiotic   | <input type="checkbox"/>      | <input type="checkbox"/>             |
| G. Diuretic   | <input type="checkbox"/>      | <input type="checkbox"/>             |
| H. Opioid   | <input type="checkbox"/>      | <input type="checkbox"/>             |
| I. Antiplatelet   | <input type="checkbox"/>      | <input type="checkbox"/>             |
| J. Hypoglycemic (including insulin)   | <input type="checkbox"/>      | <input type="checkbox"/>             |
| Z. None of the above  | <input type="checkbox"/>      | <input type="checkbox"/>             |

## Section N Medications

### N0425. High-Risk Drug Classes: Use and Indication (Discharge)

Complete only if A0310F = 10 or 11 or A0310H = 1

| 1. Is taking<br>Check if the resident is taking any medications by pharmacological classification, not how it is used, during the last 7 days or since admission/entry or reentry if less than 7 days<br>2. Indication noted<br>If Column 1 is checked, check if there is an indication noted for all medications in the drug class | 1.<br>Is taking          | 2.<br>Indication noted   |
|---|--------------------------|--------------------------|
|   | ↓ Check all that apply ↓ |                          |
| A. Antipsychotic  | <input type="checkbox"/> | <input type="checkbox"/> |
| B. Antianxiety  | <input type="checkbox"/> | <input type="checkbox"/> |
| C. Antidepressant   | <input type="checkbox"/> | <input type="checkbox"/> |
| D. Hypnotic   | <input type="checkbox"/> | <input type="checkbox"/> |
| E. Anticoagulant (e.g., warfarin, heparin, or low-molecular weight heparin)   | <input type="checkbox"/> | <input type="checkbox"/> |
| F. Antibiotic   | <input type="checkbox"/> | <input type="checkbox"/> |
| G. Diuretic   | <input type="checkbox"/> | <input type="checkbox"/> |
| H. Opioid   | <input type="checkbox"/> | <input type="checkbox"/> |
| I. Antiplatelet   | <input type="checkbox"/> | <input type="checkbox"/> |
| J. Hypoglycemic (including insulin)   | <input type="checkbox"/> | <input type="checkbox"/> |
| Z. None of the above  | <input type="checkbox"/> | <input type="checkbox"/> |

### N0450. Antipsychotic Medication Review

|  |   |
|--|---|
| Enter Code<br><input type="checkbox"/> | <b>A. Did the resident receive antipsychotic medications since admission/entry or reentry or the prior OBRA assessment, whichever is more recent?</b><br>0. <b>No</b> - Antipsychotics were not received → Skip N0450B, N0450C, N0450D, and N0450E<br>1. <b>Yes</b> - Antipsychotics were received on a routine basis only → Continue to N0450B, Has a GDR been attempted?<br>2. <b>Yes</b> - Antipsychotics were received on a PRN basis only → Continue to N0450B, Has a GDR been attempted?<br>3. <b>Yes</b> - Antipsychotics were received on a routine and PRN basis → Continue to N0450B, Has a GDR been attempted? |
| Enter Code<br><input type="checkbox"/> | <b>B. Has a gradual dose reduction (GDR) been attempted?</b><br>0. <b>No</b> → Skip to N0450D, Physician documented GDR as clinically contraindicated<br>1. <b>Yes</b> → Continue to N0450C, Date of last attempted GDR<br><b>C. Date of last attempted GDR:</b><br><div style="text-align: center;">             —      —<br/>             Month      Day      Year           </div>   |
| Enter Code<br><input type="checkbox"/> | <b>D. Physician documented GDR as clinically contraindicated</b><br>0. <b>No</b> - GDR has not been documented by a physician as clinically contraindicated → Skip N0450E Date physician documented GDR as clinically contraindicated<br>1. <b>Yes</b> - GDR has been documented by a physician as clinically contraindicated → Continue to N0450E, Date physician documented GDR as clinically contraindicated<br><b>E. Date physician documented GDR as clinically contraindicated:</b><br><div style="text-align: center;">             —      —<br/>             Month      Day      Year           </div>            |

|                  |                    |
|------------------|--------------------|
| <b>Section N</b> | <b>Medications</b> |
|------------------|--------------------|

|  |
|--|
| <b>N2001. Drug Regimen Review</b> - Complete only if A0310B = 01 |
|--|

|  |   |
|--|---|
| Enter Code<br><div style="border: 1px solid black; width: 40px; height: 20px; margin: 5px 0;"></div> | <b>Did a complete drug regimen review identify potential clinically significant medication issues?</b><br>0. <b>No</b> - No issues found during review<br>1. <b>Yes</b> - Issues found during review<br>9. <b>NA</b> - Resident is not taking any medications |
|--|---|

|   |
|---|
| <b>N2003. Medication Follow-up</b> - Complete only if N2001 = 1 |
|---|

|  |   |
|--|---|
| Enter Code<br><div style="border: 1px solid black; width: 40px; height: 20px; margin: 5px 0;"></div> | <b>Did the facility contact a physician (or physician-designee) by midnight of the next calendar day and complete prescribed/recommended actions in response to the identified potential clinically significant medication issues?</b><br>0. <b>No</b><br>1. <b>Yes</b> |
|--|---|

|   |
|---|
| <b>N2005. Medication Intervention</b> - Complete only if A0310H = 1 |
|---|

|  |   |
|--|---|
| Enter Code<br><div style="border: 1px solid black; width: 40px; height: 20px; margin: 5px 0;"></div> | <b>Did the facility contact and complete physician (or physician-designee) prescribed/recommended actions by midnight of the next calendar day each time potential clinically significant medication issues were identified since the admission?</b><br>0. <b>No</b><br>1. <b>Yes</b><br>9. <b>NA</b> - There were no potential clinically significant medication issues identified since admission or resident is not taking any medications |
|--|---|

## Section O Special Treatments, Procedures, and Programs

### 00110. Special Treatments, Procedures, and Programs

Check all of the following treatments, procedures, and programs that were performed

| <b>a. On Admission</b><br>Assessment period is days 1 through 3 of the SNF PPS Stay starting with A2400B<br><b>b. While a Resident</b><br>Performed <i>while a resident</i> of this facility and within the <i>last 14 days</i><br><b>c. At Discharge</b><br>Assessment period is the last 3 days of the SNF PPS Stay ending on A2400C | a.<br>On Admission       | b.<br>While a Resident   | c.<br>At Discharge       |
|--|--------------------------|--------------------------|--------------------------|
|  | ↓                        | ↓                        | ↓                        |
| <b>Cancer Treatments</b>   |                          |                          |                          |
| <b>A1. Chemotherapy</b>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>A2. IV</b>  | <input type="checkbox"/> |                          | <input type="checkbox"/> |
| <b>A3. Oral</b>  | <input type="checkbox"/> |                          | <input type="checkbox"/> |
| <b>A10. Other</b>  | <input type="checkbox"/> |                          | <input type="checkbox"/> |
| <b>B1. Radiation</b>   | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>Respiratory Treatments</b>  |                          |                          |                          |
| <b>C1. Oxygen therapy</b>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>C2. Continuous</b>  | <input type="checkbox"/> |                          | <input type="checkbox"/> |
| <b>C3. Intermittent</b>  | <input type="checkbox"/> |                          | <input type="checkbox"/> |
| <b>C4. High-concentration</b>  | <input type="checkbox"/> |                          | <input type="checkbox"/> |
| <b>D1. Suctioning</b>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>D2. Scheduled</b>   | <input type="checkbox"/> |                          | <input type="checkbox"/> |
| <b>D3. As needed</b>   | <input type="checkbox"/> |                          | <input type="checkbox"/> |
| <b>E1. Tracheostomy care</b>   | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>F1. Invasive Mechanical Ventilator</b> (ventilator or respirator)   | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>G1. Non-invasive Mechanical Ventilator</b>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>G2. BiPAP</b>   | <input type="checkbox"/> |                          | <input type="checkbox"/> |
| <b>G3. CPAP</b>  | <input type="checkbox"/> |                          | <input type="checkbox"/> |
| <b>Other</b>   |                          |                          |                          |
| <b>H1. IV Medications</b>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>H2. Vasoactive medications</b>  | <input type="checkbox"/> |                          | <input type="checkbox"/> |
| <b>H3. Antibiotics</b>   | <input type="checkbox"/> |                          | <input type="checkbox"/> |
| <b>H4. Anticoagulant</b>   | <input type="checkbox"/> |                          | <input type="checkbox"/> |
| <b>H10. Other</b>  | <input type="checkbox"/> |                          | <input type="checkbox"/> |
| <b>I1. Transfusions</b>  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| <b>00110 continued on next page</b>  |                          |                          |                          |

**Section O****Special Treatments, Procedures, and Programs****O0110. Special Treatments, Procedures, and Programs - Continued**

Check all of the following treatments, procedures, and programs that were performed

| <b>a. On Admission</b><br>Assessment period is days 1 through 3 of the SNF PPS Stay starting with A2400B<br><b>b. While a Resident</b><br>Performed <i>while a resident</i> of this facility and within the <i>last 14 days</i><br><b>c. At Discharge</b><br>Assessment period is the last 3 days of the SNF PPS Stay ending on A2400C | <b>a.<br/>On Admission</b> | <b>b.<br/>While a Resident</b> | <b>c.<br/>At Discharge</b> |
|--|----------------------------|--------------------------------|----------------------------|
|  | ↓                          | ↓                              | ↓                          |
| <b>J1. Dialysis</b>  | <input type="checkbox"/>   | <input type="checkbox"/>       | <input type="checkbox"/>   |
| <b>J2. Hemodialysis</b>  | <input type="checkbox"/>   |                                | <input type="checkbox"/>   |
| <b>J3. Peritoneal dialysis</b>   | <input type="checkbox"/>   |                                | <input type="checkbox"/>   |
| <b>K1. Hospice care</b>  |                            | <input type="checkbox"/>       |                            |
| <b>M1. Isolation or quarantine for active infectious disease</b> (does not include standard body/fluid precautions)  |                            | <input type="checkbox"/>       |                            |
| <b>O1. IV Access</b>   | <input type="checkbox"/>   | <input type="checkbox"/>       | <input type="checkbox"/>   |
| <b>O2. Peripheral</b>  | <input type="checkbox"/>   |                                | <input type="checkbox"/>   |
| <b>O3. Midline</b>   | <input type="checkbox"/>   |                                | <input type="checkbox"/>   |
| <b>O4. Central (e.g., PICC, tunneled, port)</b>  | <input type="checkbox"/>   |                                | <input type="checkbox"/>   |
| <b>None of the Above</b>   |                            |                                |                            |
| <b>Z1. None of the above</b>   | <input type="checkbox"/>   | <input type="checkbox"/>       | <input type="checkbox"/>   |

**O0250. Influenza Vaccine** - Refer to current version of RAI manual for current influenza vaccination season and reporting period

|                                    |   |
|------------------------------------|---|
| Enter Code<br><input type="text"/> | <b>A. Did the resident receive the influenza vaccine in this facility</b> for this year's influenza vaccination season?<br>0. <b>No</b> → Skip to O0250C, If influenza vaccine not received, state reason<br>1. <b>Yes</b> → Continue to O0250B, Date influenza vaccine received  |
| Enter Code<br><input type="text"/> | <b>B. Date influenza vaccine received</b> → Complete date and skip to O0300A, Is the resident's Pneumococcal vaccination up to date?<br><br><div style="display: flex; justify-content: space-around; width: 100%;"> <span>—</span> <span>—</span> <span>—</span> </div> <div style="display: flex; justify-content: space-around; width: 100%;"> <span>Month</span> <span>Day</span> <span>Year</span> </div>                    |
| Enter Code<br><input type="text"/> | <b>C. If influenza vaccine not received, state reason:</b><br>1. <b>Resident not in this facility</b> during this year's influenza vaccination season<br>2. <b>Received outside of this facility</b><br>3. <b>Not eligible</b> - medical contraindication<br>4. <b>Offered and declined</b><br>5. <b>Not offered</b><br>6. <b>Inability to obtain influenza vaccine</b> due to a declared shortage<br>9. <b>None of the above</b> |

**O0300. Pneumococcal Vaccine**

|                                    |   |
|------------------------------------|---|
| Enter Code<br><input type="text"/> | <b>A. Is the resident's Pneumococcal vaccination up to date?</b><br>0. <b>No</b> → Continue to O0300B, If Pneumococcal vaccine not received, state reason<br>1. <b>Yes</b> → Skip to O0400, Therapies |
| Enter Code<br><input type="text"/> | <b>B. If Pneumococcal vaccine not received, state reason:</b><br>1. <b>Not eligible</b> - medical contraindication<br>2. <b>Offered and declined</b><br>3. <b>Not offered</b>                         |

| Section O  |  | Special Treatments, Procedures, and Programs   |  |
|--|--|--|--|
| 00400. Therapies   |  |  |  |
|  |  | A. Speech-Language Pathology and Audiology Services  |  |
| Enter Number of Minutes<br><div></div>   | 1. <b>Individual minutes</b> - record the total number of minutes this therapy was administered to the resident <b>individually</b> in the last 7 days                         |  |  |
| Enter Number of Minutes<br><div></div>   | 2. <b>Concurrent minutes</b> - record the total number of minutes this therapy was administered to the resident <b>concurrently with one other resident</b> in the last 7 days |  |  |
| Enter Number of Minutes<br><div></div>   | 3. <b>Group minutes</b> - record the total number of minutes this therapy was administered to the resident as <b>part of a group of residents</b> in the last 7 days           |  |  |
| If the sum of individual, concurrent, and group minutes is zero, → skip to O0400A5, Therapy start date |  |  |  |
| Enter Number of Minutes<br><div></div>   | 3A. <b>Co-treatment minutes</b> - record the total number of minutes this therapy was administered to the resident in <b>co-treatment sessions</b> in the last 7 days          |  |  |
| Enter Number of Days<br><div></div>  | 4. <b>Days</b> - record the <b>number of days</b> this therapy was administered for <b>at least 15 minutes</b> a day in the last 7 days  |  |  |
|  | 5. <b>Therapy start date</b> - record the date the most recent therapy regimen (since the most recent entry) started   | 6. <b>Therapy end date</b> - record the date the most recent therapy regimen (since the most recent entry) ended<br>- enter dashes if therapy is ongoing |  |
|  | <div>—</div> <div>Month Day Year</div>   | <div>—</div> <div>Month Day Year</div>   |  |
|  |  | B. Occupational Therapy  |  |
| Enter Number of Minutes<br><div></div>   | 1. <b>Individual minutes</b> - record the total number of minutes this therapy was administered to the resident <b>individually</b> in the last 7 days                         |  |  |
| Enter Number of Minutes<br><div></div>   | 2. <b>Concurrent minutes</b> - record the total number of minutes this therapy was administered to the resident <b>concurrently with one other resident</b> in the last 7 days |  |  |
| Enter Number of Minutes<br><div></div>   | 3. <b>Group minutes</b> - record the total number of minutes this therapy was administered to the resident as <b>part of a group of residents</b> in the last 7 days           |  |  |
| If the sum of individual, concurrent, and group minutes is zero, → skip to O0400B5, Therapy start date |  |  |  |
| Enter Number of Minutes<br><div></div>   | 3A. <b>Co-treatment minutes</b> - record the total number of minutes this therapy was administered to the resident in <b>co-treatment sessions</b> in the last 7 days          |  |  |
| Enter Number of Days<br><div></div>  | 4. <b>Days</b> - record the <b>number of days</b> this therapy was administered for <b>at least 15 minutes</b> a day in the last 7 days  |  |  |
|  | 5. <b>Therapy start date</b> - record the date the most recent therapy regimen (since the most recent entry) started   | 6. <b>Therapy end date</b> - record the date the most recent therapy regimen (since the most recent entry) ended<br>- enter dashes if therapy is ongoing |  |
|  | <div>—</div> <div>Month Day Year</div>   | <div>—</div> <div>Month Day Year</div>   |  |
| 00400 continued on next page   |  |  |  |

## Section O

## Special Treatments, Procedures, and Programs

### 00400. Therapies - Continued

|  |  |
|--|--|
| <div>Enter Number of Minutes<br/><input type="text"/></div> <div>Enter Number of Minutes<br/><input type="text"/></div> <div>Enter Number of Minutes<br/><input type="text"/></div> <div>Enter Number of Minutes<br/><input type="text"/></div> <div>Enter Number of Days<br/><input type="text"/></div> <div>Enter Number of Days<br/><input type="text"/></div> <div>Enter Number of Days<br/><input type="text"/></div> | <div><b>C. Physical Therapy</b></div> <div> <p><b>1. Individual minutes</b> - record the total number of minutes this therapy was administered to the resident <b>individually</b> in the last 7 days</p> <p><b>2. Concurrent minutes</b> - record the total number of minutes this therapy was administered to the resident <b>concurrently with one other resident</b> in the last 7 days</p> <p><b>3. Group minutes</b> - record the total number of minutes this therapy was administered to the resident as <b>part of a group of residents</b> in the last 7 days</p> <p><b>If the sum of individual, concurrent, and group minutes is zero, → skip to O0400C5, Therapy start date</b></p> <p><b>3A. Co-treatment minutes</b> - record the total number of minutes this therapy was administered to the resident in <b>co-treatment sessions</b> in the last 7 days</p> <p><b>4. Days</b> - record the <b>number of days</b> this therapy was administered for <b>at least 15 minutes</b> a day in the last 7 days</p> <div> <div> <p><b>5. Therapy start date</b> - record the date the most recent therapy regimen (since the most recent entry) started</p> <div> <div>—</div> <div>—</div> <div>—</div> </div> <div> <div>Month</div> <div>Day</div> <div>Year</div> </div> </div> <div> <p><b>6. Therapy end date</b> - record the date the most recent therapy regimen (since the most recent entry) ended<br/>- enter dashes if therapy is ongoing</p> <div> <div>—</div> <div>—</div> <div>—</div> </div> <div> <div>Month</div> <div>Day</div> <div>Year</div> </div> </div> </div> <div><b>D. Respiratory Therapy</b></div> <div> <p><b>2. Days</b> - record the <b>number of days</b> this therapy was administered for <b>at least 15 minutes</b> a day in the last 7 days</p> </div> <div><b>E. Psychological Therapy</b> (by any licensed mental health professional)</div> <div> <p><b>2. Days</b> - record the <b>number of days</b> this therapy was administered for <b>at least 15 minutes</b> a day in the last 7 days</p> </div> </div> |
| <div>Enter Number of Days<br/><input type="text"/></div>   | <div><b>00420. Distinct Calendar Days of Therapy</b></div> <div>Record the number of calendar days that the resident received <b>Speech-Language Pathology and Audiology Services, Occupational Therapy, or Physical Therapy</b> for at least 15 minutes in the past 7 days.</div>   |

**Section O****Special Treatments, Procedures, and Programs (End of SNF PPS Stay)****O0425. Part A Therapies (Discharge)**

Complete only if A0310H = 1

|  |   |
|--|---|
| Enter Number of Minutes<br><input type="text"/><br>Enter Number of Minutes<br><input type="text"/><br>Enter Number of Minutes<br><input type="text"/><br>Enter Number of Minutes<br><input type="text"/><br>Enter Number of Days<br><input type="text"/> | <b>A. Speech-Language Pathology and Audiology Services</b><br><br><b>1. Individual minutes</b> - record the total number of minutes this therapy was administered to the resident <b>individually</b> since the start date of the resident's most recent Medicare Part A stay (A2400B)<br><br><b>2. Concurrent minutes</b> - record the total number of minutes this therapy was administered to the resident <b>concurrently with one other resident</b> since the start date of the resident's most recent Medicare Part A stay (A2400B)<br><br><b>3. Group minutes</b> - record the total number of minutes this therapy was administered to the resident as <b>part of a group of residents</b> since the start date of the resident's most recent Medicare Part A stay (A2400B)<br><br><b>If the sum of individual, concurrent, and group minutes is zero, → skip to O0425B, Occupational Therapy</b><br><br><b>4. Co-treatment minutes</b> - record the total number of minutes this therapy was administered to the resident in <b>co-treatment sessions</b> since the start date of the resident's most recent Medicare Part A stay (A2400B)<br><br><b>5. Days</b> - record the <b>number of days</b> this therapy was administered for <b>at least 15 minutes</b> a day since the start date of the resident's most recent Medicare Part A stay (A2400B) |
| Enter Number of Minutes<br><input type="text"/><br>Enter Number of Minutes<br><input type="text"/><br>Enter Number of Minutes<br><input type="text"/><br>Enter Number of Minutes<br><input type="text"/><br>Enter Number of Days<br><input type="text"/> | <b>B. Occupational Therapy</b><br><br><b>1. Individual minutes</b> - record the total number of minutes this therapy was administered to the resident <b>individually</b> since the start date of the resident's most recent Medicare Part A stay (A2400B)<br><br><b>2. Concurrent minutes</b> - record the total number of minutes this therapy was administered to the resident <b>concurrently with one other resident</b> since the start date of the resident's most recent Medicare Part A stay (A2400B)<br><br><b>3. Group minutes</b> - record the total number of minutes this therapy was administered to the resident as <b>part of a group of residents</b> since the start date of the resident's most recent Medicare Part A stay (A2400B)<br><br><b>If the sum of individual, concurrent, and group minutes is zero, → skip to O0425C, Physical Therapy</b><br><br><b>4. Co-treatment minutes</b> - record the total number of minutes this therapy was administered to the resident in <b>co-treatment sessions</b> since the start date of the resident's most recent Medicare Part A stay (A2400B)<br><br><b>5. Days</b> - record the <b>number of days</b> this therapy was administered for <b>at least 15 minutes</b> a day since the start date of the resident's most recent Medicare Part A stay (A2400B)                                 |
| Enter Number of Minutes<br><input type="text"/><br>Enter Number of Minutes<br><input type="text"/><br>Enter Number of Minutes<br><input type="text"/><br>Enter Number of Minutes<br><input type="text"/><br>Enter Number of Days<br><input type="text"/> | <b>C. Physical Therapy</b><br><br><b>1. Individual minutes</b> - record the total number of minutes this therapy was administered to the resident <b>individually</b> since the start date of the resident's most recent Medicare Part A stay (A2400B)<br><br><b>2. Concurrent minutes</b> - record the total number of minutes this therapy was administered to the resident <b>concurrently with one other resident</b> since the start date of the resident's most recent Medicare Part A stay (A2400B)<br><br><b>3. Group minutes</b> - record the total number of minutes this therapy was administered to the resident as <b>part of a group of residents</b> since the start date of the resident's most recent Medicare Part A stay (A2400B)<br><br><b>If the sum of individual, concurrent, and group minutes is zero, → skip to O0430, Distinct Calendar Days of Part A Therapy</b><br><br><b>4. Co-treatment minutes</b> - record the total number of minutes this therapy was administered to the resident in <b>co-treatment sessions</b> since the start date of the resident's most recent Medicare Part A stay (A2400B)<br><br><b>5. Days</b> - record the <b>number of days</b> this therapy was administered for <b>at least 15 minutes</b> a day since the start date of the resident's most recent Medicare Part A stay (A2400B)              |

**O0430. Distinct Calendar Days of Part A Therapy (Discharge)**

Complete only if A0310H = 1

|  |   |
|--|---|
| Enter Number of Days<br><input type="text"/> | Record the number of <b>calendar days</b> that the resident received Speech-Language Pathology and Audiology Services, Occupational Therapy, or Physical Therapy for at least 15 minutes since the start date of the resident's most recent Medicare Part A stay (A2400B) |
|--|---|



|   |  |   |  |
|---|--|---|--|
| <b>Section O</b>  |  | <b>Special Treatments, Procedures, and Programs</b> |  |
| <b>O0500. Restorative Nursing Programs</b>  |  |   |  |
| Record the <b>number of days</b> each of the following restorative programs was performed (for at least 15 minutes a day) in the last 7 calendar days (enter 0 if none or less than 15 minutes daily) |  |   |  |
| <b>Number of Days</b>   | <b>Technique</b>                       |   |  |
| <input type="text"/>  | <b>A. Range of motion (passive)</b>    |   |  |
| <input type="text"/>  | <b>B. Range of motion (active)</b>     |   |  |
| <input type="text"/>  | <b>C. Splint or brace assistance</b>   |   |  |
| <b>Number of Days</b>   | <b>Training and Skill Practice In:</b> |   |  |
| <input type="text"/>  | <b>D. Bed mobility</b>                 |   |  |
| <input type="text"/>  | <b>E. Transfer</b>                     |   |  |
| <input type="text"/>  | <b>F. Walking</b>                      |   |  |
| <input type="text"/>  | <b>G. Dressing and/or grooming</b>     |   |  |
| <input type="text"/>  | <b>H. Eating and/or swallowing</b>     |   |  |
| <input type="text"/>  | <b>I. Amputation/prostheses care</b>   |   |  |
| <input type="text"/>  | <b>J. Communication</b>                |   |  |

|   |  |                                    |
|---|--|------------------------------------|
| <b>Section P</b>  | <b>Restraints and Alarms</b>   |                                    |
| <b>P0100. Physical Restraints</b>   |  |                                    |
| Physical restraints are any manual method or physical or mechanical device, material or equipment attached or adjacent to the resident's body that the individual cannot remove easily which restricts freedom of movement or normal access to one's body |  |                                    |
| <b>Coding:</b><br>0. Not used<br>1. Used less than daily<br>2. Used daily   | ↓ Enter Codes in Boxes   |                                    |
|   | <input type="checkbox"/><br><br><input type="checkbox"/><br><br><input type="checkbox"/><br><br><input type="checkbox"/>                                 | <b>Used in Bed</b>                 |
|   |  | A. Bed rail                        |
|   |  | B. Trunk restraint                 |
|   |  | C. Limb restraint                  |
|   | <input type="checkbox"/><br><br><input type="checkbox"/><br><br><input type="checkbox"/><br><br><input type="checkbox"/>                                 | D. Other                           |
|   |  | <b>Used in Chair or Out of Bed</b> |
|   |  | E. Trunk restraint                 |
|   |  | F. Limb restraint                  |
|   | <input type="checkbox"/><br><br><input type="checkbox"/>   | G. Chair prevents rising           |
| H. Other  |  |                                    |
| <b>P0200. Alarms</b>  |  |                                    |
| An alarm is any physical or electronic device that monitors resident movement and alerts the staff when movement is detected  |  |                                    |
| <b>Coding:</b><br>0. Not used<br>1. Used less than daily<br>2. Used daily   | ↓ Enter Codes in Boxes   |                                    |
|   | <input type="checkbox"/><br><br><input type="checkbox"/><br><br><input type="checkbox"/><br><br><input type="checkbox"/><br><br><input type="checkbox"/> | A. Bed alarm                       |
|   |  | B. Chair alarm                     |
|   |  | C. Floor mat alarm                 |
|   |  | D. Motion sensor alarm             |
|   |  | E. Wander/elopement alarm          |
|   |  | F. Other alarm                     |

**Section Q****Participation in Assessment and Goal Setting****Q0110. Participation in Assessment and Goal Setting**

Identify all active participants in the assessment process

↓ Check all that apply

- ☐ A. Resident
- ☐ B. Family
- ☐ C. Significant other
- ☐ D. Legal guardian
- ☐ E. Other legally authorized representative
- ☐ Z. None of the above

**Q0310. Resident's Overall Goal**

Enter Code

**A. Resident's overall goal for discharge established during the assessment process**

1. Discharge to the community
2. Remain in this facility
3. Discharge to another facility/institution
9. Unknown or uncertain

Enter Code

**B. Indicate information source for Q0310A**

1. Resident
2. Family
3. Significant other
4. Legal guardian
5. Other legally authorized representative
9. None of the above

**Q0400. Activities to Support Discharge Planning**

Enter Code

**A. Is active discharge planning in progress for the resident to return to the community?**

0. No
1. Yes → Skip to Q0610, Referral

**Q0495. Resident's Preference for Frequency of Q0500B Administration**

Enter Code

**A. Does the resident (or family or significant other or guardian or legally authorized representative **only** if resident is unable to understand or respond) **want to be asked about returning to the community on all assessments?** (Rather than during comprehensive assessments only.)**

1. No - then document in resident's clinical record and ask again only on the next comprehensive assessment
2. Yes
9. Unknown or uncertain

Enter Code

**B. Indicate information source for Q0495A**

1. Resident
2. Family
3. Significant other
4. Legal guardian
5. Other legally authorized representative
9. None of the above

**Q0500. Return to Community**

Enter Code

**B. Ask the resident (or family or significant other or guardian or legally authorized representative **only** if resident is unable to understand or respond): "Do you want to talk to someone about the possibility of leaving this facility and returning to live and receive services in the community?"**

0. No
1. Yes
9. Unknown or uncertain



## Participation in Assessment and Goal Setting

**A. Has a referral been made to the Local Contact Agency (LCA)?** (Document reasons in resident's clinical record)

0. **No** - Referral needed
1. **Yes** - Referral made → Skip to X0150, Type of Provider
2. **Not applicable** - Referral not needed → Skip to X0150, Type of Provider

**B. If a referral has not been made, please indicate reason why referral was not made**

1. **Facility does not know how or when to contact LCA**
2. **Discharge already in progress**
9. **None of the above**

## Correction Request

**Identification of Record to be Modified/Inactivated** - The following items identify the existing assessment record that is in error. In this section, reproduce the information EXACTLY as it appeared on the existing erroneous record, even if the information is incorrect. This information is necessary to locate the existing record in the National MDS Database.

|   |
|---|
| <b>Type of provider</b><br>1. <b>Nursing home (SNF/NF)</b><br>2. <b>Swing Bed</b> |
|---|

**A. First name:**

**C. Last name:**

1. Male
2. Female

Month — Day — Year

---

## Section X Correction Request

### X0570. Optional State Assessment (A0300A on existing record to be modified/inactivated)

Complete only if A0300A = 1

|                                    |   |
|------------------------------------|---|
| Enter Code<br><input type="text"/> | <b>A. Is this assessment for state payment purposes only?</b><br>0. <b>No</b><br>1. <b>Yes</b>  |
| Enter Code<br><input type="text"/> | <b>B. Assessment type</b><br>1. <b>Start of therapy</b> assessment<br>2. <b>End of therapy</b> assessment<br>3. <b>Both Start and End of therapy</b> assessment<br>4. <b>Change of therapy</b> assessment<br>5. <b>Other payment</b> assessment |

### X0600. Type of Assessment (A0310 on existing record to be modified/inactivated)

Complete only if A0300A = 0

|                                    |  |
|------------------------------------|--|
| Enter Code<br><input type="text"/> | <b>A. Federal OBRA Reason for Assessment</b><br>01. <b>Admission</b> assessment (required by day 14)<br>02. <b>Quarterly</b> review assessment<br>03. <b>Annual</b> assessment<br>04. <b>Significant change in status</b> assessment<br>05. <b>Significant correction to prior comprehensive</b> assessment<br>06. <b>Significant correction to prior quarterly</b> assessment<br>99. <b>None of the above</b> |
| Enter Code<br><input type="text"/> | <b>B. PPS Assessment</b><br><b><u>PPS Scheduled Assessment for a Medicare Part A Stay</u></b><br>01. <b>5-day</b> scheduled assessment<br><b><u>PPS Unscheduled Assessment for a Medicare Part A Stay</u></b><br>08. <b>IPA</b> - Interim Payment Assessment<br><b><u>Not PPS Assessment</u></b><br>99. <b>None of the above</b>   |
| Enter Code<br><input type="text"/> | <b>F. Entry/discharge reporting</b><br>01. <b>Entry</b> tracking record<br>10. <b>Discharge</b> assessment- <b>return not anticipated</b><br>11. <b>Discharge</b> assessment- <b>return anticipated</b><br>12. <b>Death in facility</b> tracking record<br>99. <b>None of the above</b>  |
| Enter Code<br><input type="text"/> | <b>H. Is this a SNF Part A PPS Discharge Assessment?</b><br>0. <b>No</b><br>1. <b>Yes</b>  |

### X0700. Date on existing record to be modified/inactivated - Complete one only

|   |
|---|
| <b>A. Assessment Reference Date</b> (A2300 on existing record to be modified/inactivated) - Complete only if X0600F = 99 or if X0570A = 1 |
| <div> <div>—</div> <div>—</div> <div></div> </div> <div> <div>Month</div> <div>Day</div> <div>Year</div> </div>                           |
| <b>B. Discharge Date</b> (A2000 on existing record to be modified/inactivated) - Complete only if X0600F = 10, 11, or 12                  |
| <div> <div>—</div> <div>—</div> <div></div> </div> <div> <div>Month</div> <div>Day</div> <div>Year</div> </div>                           |
| <b>C. Entry Date</b> (A1600 on existing record to be modified/inactivated) - Complete only if X0600F = 01                                 |
| <div> <div>—</div> <div>—</div> <div></div> </div> <div> <div>Month</div> <div>Day</div> <div>Year</div> </div>                           |

**Correction Attestation Section** - Complete this section to explain and attest to the modification/inactivation request

### X0800. Correction Number

|                                      |  |
|--------------------------------------|--|
| Enter Number<br><input type="text"/> | <b>Enter the number of correction requests to modify/inactivate the existing record, including the present one</b> |
|--------------------------------------|--|

|                  |                           |
|------------------|---------------------------|
| <b>Section X</b> | <b>Correction Request</b> |
|------------------|---------------------------|

**X0900. Reasons for Modification** - Complete only if Type of Record is to modify a record in error (A0050 = 2)

↓ Check all that apply

- |                          |   |
|--------------------------|---|
| <input type="checkbox"/> | <b>A. Transcription error</b>   |
| <input type="checkbox"/> | <b>B. Data entry error</b>  |
| <input type="checkbox"/> | <b>C. Software product error</b>  |
| <input type="checkbox"/> | <b>D. Item coding error</b>   |
| <input type="checkbox"/> | <b>Z. Other error requiring modification</b><br>If "Other" checked, please specify: _____ |

**X1050. Reasons for Inactivation** - Complete only if Type of Record is to inactivate a record in error (A0050 = 3)

↓ Check all that apply

- |                          |   |
|--------------------------|---|
| <input type="checkbox"/> | <b>A. Event did not occur</b>   |
| <input type="checkbox"/> | <b>Z. Other error requiring inactivation</b><br>If "Other" checked, please specify: _____ |

**X1100. RN Assessment Coordinator Attestation of Completion**

**A. Attesting individual's first name:**

**B. Attesting individual's last name:**

**C. Attesting individual's title:**

**D. Signature**

**E. Attestation date**

—      —  
 Month      Day      Year

|   |                                |                                  |  |
|---|--------------------------------|----------------------------------|--|
| <b>Section Z</b>  |                                | <b>Assessment Administration</b> |  |
| <b>Z0100. Medicare Part A Billing</b>                                     |                                |                                  |  |
|   | A. Medicare Part A HIPPS code: |                                  |  |
|   | B. Version code:               |                                  |  |
| <b>Z0200. State Medicaid Billing (if required by the state)</b>           |                                |                                  |  |
|   | A. Case Mix group:             |                                  |  |
|   | B. Version code:               |                                  |  |
| <b>Z0250. Alternate State Medicaid Billing (if required by the state)</b> |                                |                                  |  |
|   | A. Case Mix group:             |                                  |  |
|   | B. Version code:               |                                  |  |
| <b>Z0300. Insurance Billing</b>   |                                |                                  |  |
|   | A. Billing code:               |                                  |  |
|   | B. Billing version:            |                                  |  |

|                  |                                  |
|------------------|----------------------------------|
| <b>Section Z</b> | <b>Assessment Administration</b> |
|------------------|----------------------------------|

**Z0400. Signature of Persons Completing the Assessment or Entry/Death Reporting**

I certify that the accompanying information accurately reflects resident assessment information for this resident and that I collected or coordinated collection of this information on the dates specified. To the best of my knowledge, this information was collected in accordance with applicable Medicare and Medicaid requirements. I understand that this information is used as a basis for ensuring that residents receive appropriate and quality care, and as a basis for payment from federal funds. I further understand that payment of such federal funds and continued participation in the government-funded health care programs is conditioned on the accuracy and truthfulness of this information, and that I may be personally subject to or may subject my organization to substantial criminal, civil, and/or administrative penalties for submitting false information. I also certify that I am authorized to submit this information by this facility on its behalf.

| Signature | Title | Sections | Date Section Completed |
|-----------|-------|----------|------------------------|
| A.        |       |          |                        |
| B.        |       |          |                        |
| C.        |       |          |                        |
| D.        |       |          |                        |
| E.        |       |          |                        |
| F.        |       |          |                        |
| G.        |       |          |                        |
| H.        |       |          |                        |
| I.        |       |          |                        |
| J.        |       |          |                        |
| K.        |       |          |                        |
| L.        |       |          |                        |

**Z0500. Signature of RN Assessment Coordinator Verifying Assessment Completion****A. Signature:****B. Date RN Assessment Coordinator signed assessment as complete:**

\_\_\_\_\_  
 Month                  Day                  Year

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