[Before completing please read instructions at the bottom of this page and on pages 4, 5 and 6]

PATIENT IDENTIFICATION  Place Patient Data Label Here	MAKE CORRECTIONS TO PATIENT INFORMATION ON LABEL IN THE SPACE BELOW
12. If this patient is unknown or was not dialyzed in the facility form to the Network.	at any time during OCT 2004-DEC 2004 return the blank
13. Patient's Ethnicity (Check appropriate box). ☐ non-Hispanic ☐ Hispanic, Puerto Rican ☐ Hispanic, Cuban American ☐ Hi	
14. <b>Patient's height (MUST COMPLETE):</b> inches (only for patients < 18 years old, provide date when height was	
15. Did patient have limb amputation(s) prior to Dec. 31, 2004:	☐ Yes ☐ No ☐ Unknown
16. Has the patient ever been diagnosed with any type of diabetes?  ☐ Yes (go to 17) ☐ No (go to 18) ☐ Unknown (go to 18)	
17. If question 16 was answered <b>YES</b> , was the patient taking medical Yes  No  Unknown If <b>YES</b> , was the patient using insular	
Individual Completing Form (Please print):	
First name: Last name:	Title:
Phone number: ( Fax number	: (

#### INSTRUCTIONS FOR COMPLETING THE IN-CENTER HEMODIALYSIS CLINICAL PERFORMANCE MEASURES DATA COLLECTION FORM 2005

The label on the top left side of this form contains the following patient identifying information (#'s 1-11). If the information is incorrect make corrections to the right of the label.

- 1. LAST and first name.
- 3. SOCIAL Security Number (SSN).
- 5. GENDER (1=Male; 2=Female).
- 7. PRIMARY cause of renal failure by CMS-2728 code.
- ESRD Network number.Do not make corrections to this item.
- 2. DATE of birth (DOB) as MM/DD/YYYY.
- 4. Medicare Number.
- 6. RACE (1=American Indian/Alaska Native; 2=Asian; 3=Black; 4=White; 5=Unknown; 6=Pacific Islander; 7=Mid East Arabian; 8=Indian Subcontinent; 9=Other/Multiracial).
- 8. DATE, as MM/DD/YYYY, that the patient began a regular course of dialysis.
- 10. Facility's Medicare provider number.
- 11. The most RECENT date this patient returned to hemodialysis following: transplant failure, an episode of regained kidney function, or switched modality.
- 12. If the patient is unknown or if the patient was not dialyzed in the facility at any time during OCT 2004 through DEC 2004, send the blank form back to the ESRD Network office. Provide the name and address of the facility providing services to this patient on December 31, 2004, if known.
- 13. Patient's Ethnicity. Please verify the patient's ethnicity with the patient and check appropriate box.
- 14. Enter the patient's height in inches or centimeters. HEIGHT MUST BE ENTERED, do not leave this field blank. You may ask the patient his/her height to obtain this information. If the patient had both legs amputated, record pre-amputation height and check YES for item 15.
- 15. For the purpose of this study, check NO if this patient has had toe(s), finger(s), or mid-foot (Symes) amputation; but check YES if this patient has had a below-knee, below-elbow, or more proximal (extensive) amputation prior to Dec. 31, 2004.
- 16. Check either "Yes", "No", or "Unknown" to indicate if the patient has ever been diagnosed with any type of diabetes. If **YES**, proceed to question 17.
- 17. Check either "Yes", "No", or "Unknown" to indicate if the patient was taking medications to control the diabetes during the study period. If the answer to 17 is **YES**, please check either "Yes", "No", or "Unknown" to indicate if the patient was using insulin during the study period. Study period is OCT 2004-DEC 2004.

18. ANEMIA MANAGEMENT: For each lab question below, enter the 1st pre-dialysis lab value obtained for each month: OCT, NOV, DEC 2004. Include the date each lab was drawn. Enter NF/NP if the lab value cannot be located.

	OCT 2004	NOV 2004	<b>DEC 2004</b>
A. 1st pre-dialysis laboratory hemoglobin (Hgb) of the month:	g/dL (If NF/NP go to 18C) Date://	g/dL (If NF/NP go to 18C) Date://	g/dL (If NF/NP go to 18C) Date:/
B.1.a. Did the patient have Epoetin prescribed at any time during the 28 days before the Hgb in 18A was drawn?	Epoetin:  Yes No Unknown	Epoetin:  Yes No Unknown	Epoetin:  Yes No Unknown
B.1.b. Did the patient have Darbepoetin (Aranesp <sup>TM</sup> ) prescribed at any time during the 28 days before the Hgb in 18A was drawn?	Darbepoetin: ☐ Yes ☐ No ☐ Unknown	Darbepoetin: ☐ Yes ☐ No ☐ Unknown	Darbepoetin: ☐ Yes ☐ No ☐ Unknown
B.2.a. What was the PRESCRIBED Epoetin dose in	Epoetin:	Epoetin:	Epoetin:
units for each treatment during the 7 days immediately BEFORE the Hgb in 18A was drawn? (See instructions on page 4)	units/tx	units/tx	units/tx
drawn? (See instructions on page 4)	units/tx	units/tx	units/tx
	units/tx	units/tx	units/tx
B.2.b. What was the PRESCRIBED Darbepoetin dose in micrograms/28 days for the 28 days immediately	Darbepoetin:	Darbepoetin:	Darbepoetin:
BEFORE the Hgb in 18A was drawn?	mcg/28 days	mcg/28 days	mcg/28 days
B.3. a. How many times per week was Epoetin prescribed? Check box if prescribed < 1 x per	Epoetin: x per week	Epoetin: x per week	Epoetin: x per week
week.	$\Box$ < 1 x per week	$\Box$ < 1 x per week	$\Box$ < 1 x per week
B.3.b. How many times per month (28 days) was Darbepoetin prescribed?	Darbepoetin:	Darbepoetin:	Darbepoetin:
Darbepoettii preseribea:	per 28 days	per 28 days	per 28 days
B.4. a. What was the prescribed route of administration for Epoetin? (Check all that apply)	Epoetin:	Epoetin:	Epoetin:
B.4.b. What was the prescribed route of administration for Darbepoetin? (Check all that apply)	Darbepoetin: ☐ IV ☐ SC ☐ Unknown	Darbepoetin: ☐ IV ☐ SC ☐ Unknown	Darbepoetin: □ IV □ SC □ Unknown
C. 1st pre-dialysis serum ferritin concentration of the month:	ng/mL Date:/	ng/mL Date://	ng/mL Date://
D. 1st pre-dialysis % transferrin saturation (TSAT) of the month:	Date:/%	Date:/%	Date: %
E. Was iron prescribed at any time during the month?	☐ Yes ☐ No (go to 19) ☐ Unknown (go to 19)	☐ Yes ☐ No (go to 19) ☐ Unknown (go to 19)	☐ Yes ☐ No (go to 19) ☐ Unknown (go to 19)
F. If yes, what was the prescribed route of iron administration? (Check all that apply).	□ IV □ PO □ Unknown	□ IV □ PO □ Unknown	□ IV □ PO □ Unknown
G. If the patient was prescribed IV iron, what was the total dose of IV iron <b>administered</b> during the month?	mg/month	mg/month	mg/month

19. SERUM ALBUMIN: Enter the 1st pre-dialysis serum albumin obtained for each month: OCT, NOV and DEC 2004. Include the date the serum albumin was drawn. Enter NF/NP if the lab value cannot be located. Check the method used (BCG brom-cresol green or BCP/bromcresol purple) by the lab to determine serum albumin. If lab method unknown, please call lab to find out.

		OCT 2004	NOV 2004	DEC 2004
A.	1st pre-dialysis serum albumin of the month:	g/dL Date://	g/dL Date:/	g/dL Date://
B.	Check lab method used: BCG = bromcresol green; BCP = bromcresol purple	□ BCG □ BCP	□ BCG □ BCP	□ BCG □ BCP
20. ADEQUACY: Enter the information requested below for the dialysis session when the 1st labs of the month were drawn and used to measure adequacy for each month: OCT, NOV, DEC 2004. Include the date the labs were drawn. Enter NF/NP if the information cannot be located.				
		OCT 2004	NOV 2004	DEC 2004
A.	How many times per week was this patient prescribed to receive dialysis during the week prior to when the pre and post BUNs were drawn?	times per week	times per week	times per week
В.	1st recorded URR of the month:	Date:	Date:	Date:
C.	1st recorded single-pool Kt/V of the month:	Date://		Date:/
D.	Method used to calculate the single-pool Kt/V in 20C: (If unknown, please ask Medical Director)	<ul> <li>□ Urea Kinetic Modeling</li> <li>□ Daugirdas II</li> <li>□ Depner</li> <li>□ Derived from URR based on no pt. wts.</li> <li>□ Other</li> </ul>	<ul> <li>□ Urea Kinetic Modeling</li> <li>□ Daugirdas II</li> <li>□ Depner</li> <li>□ Derived from URR based on no pt. wts.</li> <li>□ Other</li> </ul>	<ul> <li>□ Urea Kinetic Modeling</li> <li>□ Daugirdas II</li> <li>□ Depner</li> <li>□ Derived from URR based on no pt. wts.</li> <li>□ Other</li> </ul>
E.	Was residual renal function used to calculate the single-pool Kt/V in 20C on this patient?	□ Yes □ No □ Unknown	☐ Yes ☐ No ☐ Unknown	☐ Yes ☐ No ☐ Unknown
F.	1st pre-dialysis BUN value of the month:	mg/dL Date://	mg/dL Date://	mg/dL Date://
G.	1st post-dialysis BUN value of the month: (both the pre & post dialysis BUN must be drawn on the same day)	mg/dL Date://	mg/dL Date://	mg/dL Date://
Н.	Pre- & Post-dialysis weight at session when BUNs above drawn: (Circle either lbs or kgs)	Pre: lbs/kgs Post: lbs/kgs	Pre: lbs/kgs Post: lbs/kgs	Pre: lbs/kgs Post: lbs/kgs
I.	Actual DELIVERED time on dialysis at session when BUNs above drawn:	hrs min	hrs min	hrs min
J.	Delivered blood pump flow rate (BFR) @ 60 minutes after start of dialysis session or average delivered BFR when BUNs above drawn:	mL/min □ 60 min. after start of dialysis □ average delivered BFR	mL/min  □ 60 min. after start of dialysis  □ average delivered BFR	mL/min □ 60 min. after start of dialysis □ average delivered BFR
K.	Code for dialyzer used for dialysis session when BUNs above drawn: (see chart)			

IN-CENTER HEMODIALYSIS (F	HD) CLINICAL PERFORMANCE MEASURES DATA COLLECTION FORM 2005 (CONTINUED)			
	t type of access was used on the last hemodialysis session on or between 10/1/2004 and mary in-center facility? Check only one of the following access types and follow the			
☐ Graft  If you checked AV Fistula or Graft, please answer questions 1 and 2 at the right.	patient had AV Fistula or Graft:  Was routine surveillance for the presence of stenosis performed between 10/1/04 and 12/31/04?  ☐ Yes ☐ No ☐ Unknown  If answer to question 1 is "Yes," please check all methods of surveillance (below) that were utilized. (See instructions on page 6).  ☐ Color-Flow Doppler at least once between 10/1/04 and 12/31/04  ☐ Static Venous Pressure at least once every 2 weeks between 10/1/04 and 12/31/04  ☐ Dynamic Venous Pressure every HD session between 10/1/04 and 12/31/04  ☐ Dilution Technique at least once between 10/1/04 and 12/31/04  ☐ Other  ☐ Other			
Port Access  If you checked Catheter or Port Access, please answer questions 1 and 2 at the right.	Patient had a catheter or port access:  Reason for catheter or port access:  Fistula maturing, not ready to cannulate (both arterial and venous limb)  Graft maturing, not ready to cannulate (both arterial and venous limb)  Temporary interruption of fistula due to clotting or revisions  Temporary interruption of graft due to clotting or revisions  All fistula or graft sites have been exhausted  No fistula or graft surgically created at this time  Had a catheter or port access been used exclusively for the past 90 days or longer?  Yes No Unknown			
□ Unknown				
22. Did the patient FIRST start hemodialysis during January 1, 2004-August 31, 2004 (see date #8 on page 1)? DO NOT include patients who transferred from peritoneal dialysis, had a newly failed transplant, or returned after an episode of regained kidney function (See instructions on page 6).   Yes (answer 22A-B)  No				
A. What type of access was in use at the <b>Initiation</b> of a maintenance course of hemodialysis (First hemodialysis was during JAN 1, 2004-AUG 31, 2004.)? □ AV Fistula □ Graft □ Catheter □ Port Access □ Unknown				
B. What type of access was in	use 90 days later?   AV Fistula   Graft   Catheter   Port Access   Unknown			
INSTRUCTIONS FOR COMPLETING QUESTIONS 18 THROUGH 22 (Continued from page 1): To answer questions 18 through 22, review the patient's clinic or facility medical record for OCT 1, 2004 through DEC 31, 2004. Do not leave any items blank. Enter NF/NP if the information cannot be located.				
=	alysis hemoglobin (Hgb) for each month OCT, NOV, DEC 2004. Include the date the lab was formed during the month, enter NF/NP.			
<b>18B.1:</b> Check the appropriate box to indicate if the patient had EPOETIN prescribed at anytime during the 28 days BEFORE the date of the hemoglobin in 18A or had DARBEPOETIN (Aranesp <sup>™</sup> ) prescribed at anytime during the 28 days BEFORE the date of the hemoglobin value in 18A. If the answer is NO to both, skip to prescriber question 18C.				
dialysis treatment during the 7 receive the dose. This includes "0" if the patient was on "Hole	enter the <b>PRESCRIBED</b> Epoetin dose, <b>not the administered dose</b> , in units given at each days immediately before the date of the hemoglobin value in 18A, even if the patient did not any prescribed dose not given because of an error or the patient missed a treatment, etc. Enter d' for a treatment. (For the purposes of this collection, a "Hold" order will be considered a 0 unit prescribed less frequently than every dialysis treatment, leave the unit/tx space blank to the 7-day period.			
per month during the 28 days in This includes any prescribed do	as prescribed, enter the <b>PRESCRIBED</b> Darbepoetin dose, <b>not the administered dose</b> , in micrograms mediately before the date of the hemoglobin value in 18A, even if the patient did not rereive the dose, see not given because of an error or the patient missed a treatment, etc. Enter"0" if the patient was on is collection, a "Hold" order will be considered a 0 mcg/month prescribed dose.)			
	per week that Epoetin was prescribed (check the box if Epoetin was prescribed less than once imes per month Darbepoetin was prescribed.			

- **18B.4:** Check the appropriate box to indicate the prescribed route of administration for Epoetin or for Darbepoetin (intravenous [IV] or subcutaneous [SC]). If the patient was prescribed Epoetin or Darbepoetin IV and SC during the month, please check both boxes.
- **18C:** Enter the patient's 1st pre-dialysis serum ferritin concentration for each month OCT, NOV, DEC 2004. Include the date the lab was drawn. If a serum ferritin concentration test was not found or not performed during the month, enter NF/NP.
- **18D:** Enter the patient's 1st pre-dialysis % transferrin saturation (TSAT) for each month OCT, NOV, DEC 2004. Include the date the lab was drawn. If a % transferrin saturation (TSAT) test was not found or not performed during the month, enter NF/NP.
- **18E:** Check either "Yes", "No", or "Unknown" to indicate if iron was prescribed at any time during the months of OCT, NOV, and DEC 2004. **If there was no prescription for iron go to question 19.**
- **18F:** If the answer to 18E is "Yes", please check the appropriate box to indicate the route of iron administration (intravenous [IV] or by mouth [PO]) for OCT, NOV, and DEC 2004. If the patient received iron by mouth **and** IV during the month please check both boxes.
- **18G:** If the patient was prescribed IV iron, add together all doses that were given during the month and enter the TOTAL dose of IV iron (in mg) **administered** per month during OCT, NOV, and DEC 2004.
- **19A**: Enter the patient's 1st pre-dialysis serum albumin for each month OCT, NOV, DEC 2004. Include the date the lab was drawn. If a serum albumin was not found or not performed during the month, enter NF/NP.
- **19B:** Check the method used by the laboratory to determine the serum albumin value (bromcresol green or bromcresol purple). If you do not know what method the laboratory used, call the lab to find out this information.
- **20A:** Enter the number of times per week the patient was **prescribed** to receive dialysis in OCT, NOV, and DEC 2004. If the prescription varied during a month, enter the prescription in effect the week prior to when the pre- and post-BUNs were drawn. Do not leave this question blank.
- **20B:** Enter the patient's 1st URR recorded on the lab sheet for each month OCT, NOV, DEC 2004. Include the date the lab was drawn. If not found or not performed during a month, enter NF/NP.
- **20C:** Enter the patient's 1st single-pool Kt/V recorded on the lab sheet for each month OCT, NOV, DEC 2004. Include the date the lab was drawn. If not found or not performed during a month, enter NF/NP.
- **20D:** Check the box to indicate the method used to calculate the single-pool Kt/V in 20C. If you do not know what method was used, please ask the unit's Medical Director. Please check the "Other" box if you do not use any of the methods listed. If using another method and you know what it is, please write the method in the space provided.
- **20E:** Check the appropriate box to indicate whether residual renal function was used to calculate the single-pool Kt/V in 20C. If you do not know, please ask the unit's Medical Director.
- **20F & G:** Enter the patient's 1st pre- and post-dialysis BUNs for each month. Include the dates the labs were drawn. Both the pre- and post-dialysis BUN must be drawn on the same day. Enter NF/NP if not found or not performed during the month.
- **20H:** Enter the patient's pre- and post-dialysis weight at the dialysis session when the pre- and post-dialysis BUNs in question 20F&G were drawn. Circle either lbs or kgs as appropriate.
- **20I:** Enter the patient's total treatment time (actual delivered time) on dialysis during the session when the BUNs in question 20F&G were drawn for months OCT, NOV, DEC 2004. Do not enter the prescribed time on dialysis.
- **20J:** Enter in mL/minutes the delivered blood pump flow rate (BFR) at 60 minutes after the start of the dialysis session or the average delivered BFR when the BUNs in questions 20F&G were drawn for months OCT, NOV, DEC 2004. Do not enter the prescribed blood pump flow rate or the highest achieved blood pump flow rate. Check the box to indicate which BFR is being provided.

- **20K:** Using the enclosed Dialyzer Code Chart, enter the code for the dialyzer used at the dialysis session when the pre- and post-dialysis BUNs in question 20F&G were drawn for OCT, NOV, DEC 2004. If the dialyzer used is not listed on the chart, enter the code for "other" (9999).
- 21: Check only one type of vascular access used on last hemodialysis session on or between OCT 1, 2004 and DEC 31, 2004 at the patient's primary in-center facility and then complete the corresponding questions to the right of the access type. Exclude dialysis sessions performed at temporary facilities because of holiday travel or hospitalizations. If a fistula and catheter are being used simultaniously for vascular access, the patient's access type should be considered catheter. ("Port Access" is considered a vascular access device which consists of a valve and cannula that is subcutaneously implanted and is accessed by dialysis needles).

#### **AV Fistula or Graft:**

If the vascular access marked for question 21 was an AV fistula or graft indicate if routine surveillance for the presence of stenosis between Oct 1, 2004 and Dec 31, 2004 was done. Routine surveillance is the sequential measurement of access flow OR of venous pressure.

• Indicate "YES" for this question if you measure access flow OR venous pressure using any of the following:

## Techniques and frequencies used to measure access flow include:

a. one of the dilution methods in which the needles are reversed and recirculation is deliberately induced on a regular basis, OR

b. conventional Color-Flow Doppler at a minimum of once every three months.

## Techniques and frequencies used to measure venous pressure include:

a. dynamic venous pressure measured at every hemodialysis session; uses low blood pump flow rates usually set at 200 mL/min.,

OR

- b. static venous pressure measured at a minimum of once every two weeks; performed at zero blood pump flow.
- Indicate" NO" for this question if you only conduct (or note) the following clinical assessments:
  - a. Prolonged bleeding after needle withdrawal.
  - b. Altered characteristics of thrill or bruit.
  - c. Adequacy measurements using Kt/V or URR.
  - d. Recirculation methods.

Continue with question 2 if answered "yes" above and check all surveillance methods utilized based on the definitions and intervals given above. If other techniques and/or corresponding intervals were used check "other" and write in the technique and corresponding intervals.

#### Catheter or Port Access:

If the vascular access marked for question 21 was a catheter or port access, indicate in the appropriate space the **reason** for the catheter or port access.

Continue with question 2 and indicate in the appropriate space if one or more catheters or port accesses had been used continuously in this patient for the past 90 days or longer between OCT 1, 2004 and DEC 31, 2004.

## Unknown:

If the vascular access in question 21 is unknown indicate by checking the "unknown" box and then continue to question 22.

- 22: Check the appropriate space to indicate if the patient FIRST started hemodialysis during January 1, 2004-August 31, 2004 (see date #8 on page 1). These patients would have begun a regular maintenance course of hemodialysis during January 1, 2004-August 31, 2004. DO NOT include patients who have transferred from peritoneal dialysis, had a newly failed transplant, or returned after an episode of regained kidney function, and were placed on maintenance hemodialysis during the time frame January 1, 2004-August 31, 2004. If "Yes", answer questions 22A-B. If "No", questions 22A-B should be left blank and the form has been completed.
- **22A:** Check the appropriate space to indicate type of vascular access in use upon **Initiation** of a maintenance course of hemodialysis. Patient's FIRST hemodialysis would be during the time frame January 1, 2004-August 31, 2004. Exclude patients who have received intermittent dialysis treatments for volume overload or congestive heart failure. ("Port Access" is considered a vascular access device which consists of a valve and cannula that is subcutaneously implanted and is accessed by dialysis needles).
- **22B:** Check the appropriate space to indicate type of vascular access, for the patient identified in 22A, **in use 90 days after** the patient first started hemodialysis. ("Port Access" is considered a vascular access device which consists of a valve and cannula that is subcutaneously implanted and is accessed by dialysis needles).