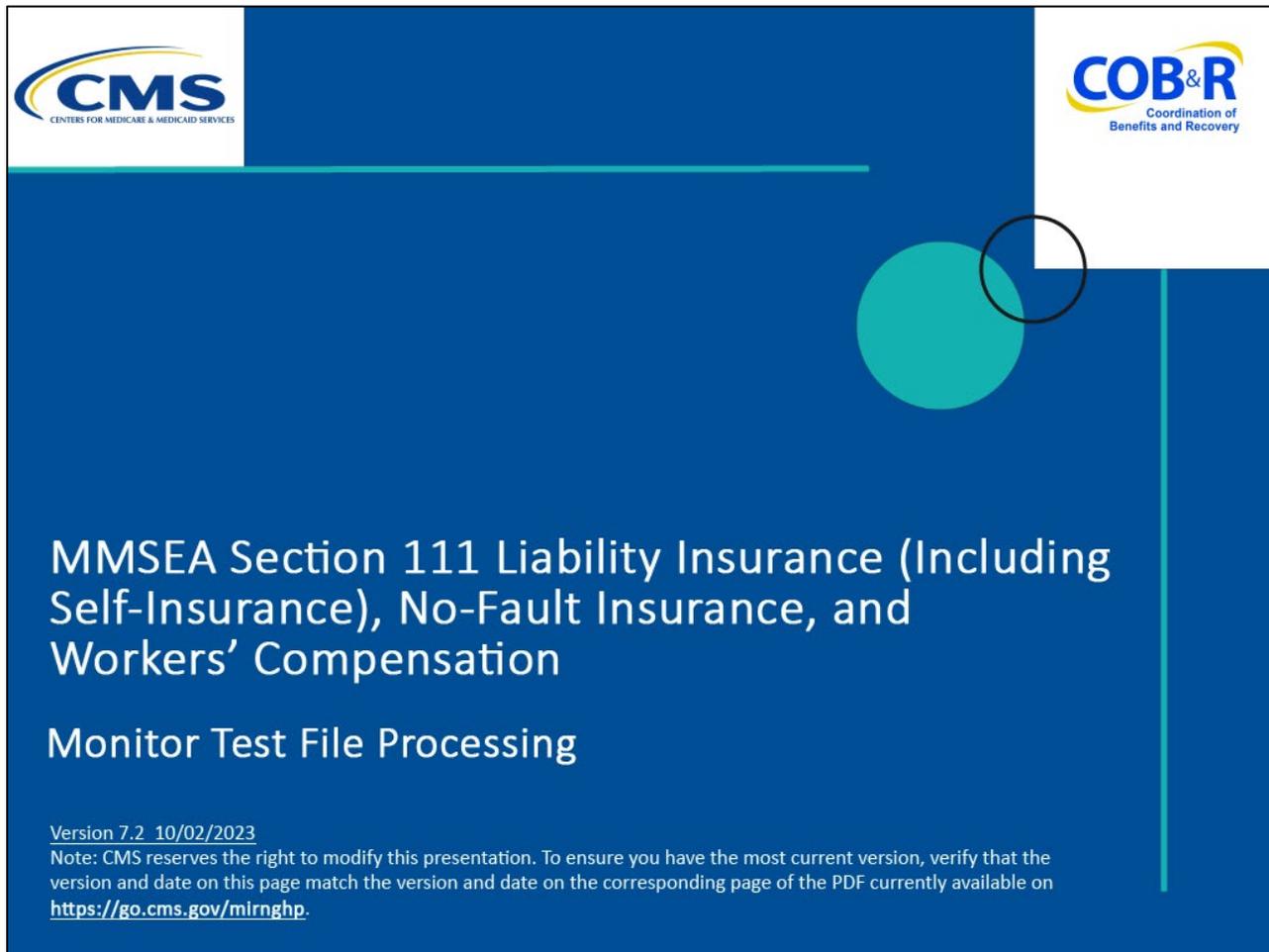


Monitor Test File Processing

Slide 1 of 25- Monitor Test File Processing



CMS
CENTERS FOR MEDICARE & MEDICAID SERVICES

COB&R
Coordination of
Benefits and Recovery

MMSEA Section 111 Liability Insurance (Including Self-Insurance), No-Fault Insurance, and Workers' Compensation

Monitor Test File Processing

Version 7.2 10/02/2023
Note: CMS reserves the right to modify this presentation. To ensure you have the most current version, verify that the version and date on this page match the version and date on the corresponding page of the PDF currently available on <https://go.cms.gov/mlrnhp>.

Slide notes

Welcome to the Section 111 Coordination of Benefits (COB) Secure Website Monitor Test File Processing course.

Slide 2 of 25- Disclaimer**Disclaimer**

While all information in this document is believed to be correct at the time of writing, this Computer Based Training (CBT) is for educational purposes only and does not constitute official Centers for Medicare & Medicaid Services (CMS) instructions for the MMSEA Section 111 implementation. All affected entities are responsible for following the instructions found at the following link: <https://go.cms.gov/mirnghp>.

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Slide 3 of 25- PAID Act

PAID Act

The Medicare Secondary Payer (MSP) policy is designed to ensure that the Medicare Program does not pay for healthcare expenses for which another entity is legally responsible. To aid settling parties in determining this information, Congress has enacted the Provide Accurate Information Directly Act also known as the PAID Act requiring that CMS provide Non-Group Health Plans with a Medicare beneficiary's Part C and Part D enrollment information for the past 3 years.

This information will be provided both online, in the BCRS application, and COBSW S111/MRA and offline in the NGHP Query Response File. Additionally, CMS has requested that this solution also include the most recent Part A and Part B Entitlement dates.

**Slide notes**

The Medicare Secondary Payer (MSP) policy is designed to ensure that the Medicare Program does not pay for healthcare expenses for which another entity is legally responsible. To aid settling parties in determining this information, Congress has enacted the Provide Accurate Information Directly Act also known as the PAID Act requiring that CMS provide Non-Group Health Plans with a Medicare beneficiary's Part C and Part D enrollment information for the past three years.

This information will be provided both online, in the BCRS application, and COBSW S111/MRA and offline in the NGHP Query Response File. Additionally, CMS has requested that this solution also include the most recent Part A and Part B Entitlement dates.

Note: To support the PAID Act, the Query Response File will be updated to include Contract Number, Contract Name, Plan Number, Coordination of Benefits (COB) Address, and Entitlement Dates for the last three years (up to 12 instances) of Part C and Part D coverage. The updates will also include the most recent Part A and Part B entitlement dates.

Slide 4 of 25- Course Overview

Course Overview

- Testing Process
- Reporting Agent Testing
- Threshold and Severe File Submission Errors
- Viewing Test File Results on Section 111 COBSW



Slide notes

Upon completion of this course, you be able to explain the testing process, Reporting Agent testing, Threshold and Severe File Submission Errors and viewing test results on the Section 111 COBSW.

Please refer to the Section 111 User Guides located under the Reference Materials menu option or on the CMS. Section 111 Web site for requirements regarding testing Section 111 files.

Slide 5 of 25- Testing Process

Testing Process

- Account Setup must be completed and signed profile report returned before testing can begin
- Authorized Representative and Account Manager notified by E-mail of testing status

Slide notes

A Responsible Reporting Entity (RRE) must complete the Account Setup on the Section 111 COBSW and return the signed profile report to the Benefits Coordination and Recovery Center (BCRC) before testing can begin. An email is sent to the Authorized Representative and Account Manager to notify them that the RRE ID is in a testing status.

Slide 6 of 25- Testing Process

Testing Process

- Test Files
 - Limited to 100 records each for GHP RREs
 - Limited to no more than 200 Detail and Auxiliary Records for NGHP RREs
 - Must be submitted using same file transmission method as was chosen for production files
- RRE ID Remains in testing status until testing satisfactorily completed

Slide notes

Test files are limited to 100 records each and can only be submitted after the RRE ID status has been changed to Testing for Group Health Plan (GHP) RREs.

Test files must be limited to no more than 200 Detail and Auxiliary records (excluding the Header and Trailer) for Non-Group Health Plan (NGHP) RREs.

RREs must submit test files using the same file transmission method as was chosen for submitting production files.

The RRE ID will remain in a testing status until all testing requirements have been satisfactorily completed.

Please review the testing requirements in the Section 111 User Guides.

Slide 7 of 25- Testing Process

Testing Process

- Once testing is completed, RRE ID transitions from testing to production status
- An email is sent to the Authorized Representative and Account Manager
- Test files can be submitted after RRE ID is in production status

Slide notes

Once testing has been completed, the RRE ID will be transitioned from a testing to a production status and the RRE can begin submitting production files.

An E-mail is sent to the Authorized Representative and Account Manager, notifying them of the status change.

Test files can still be submitted after the RRE ID status has been changed to Production at the discretion of the RRE.

Slide 8 of 25- Reporting Agent Testing

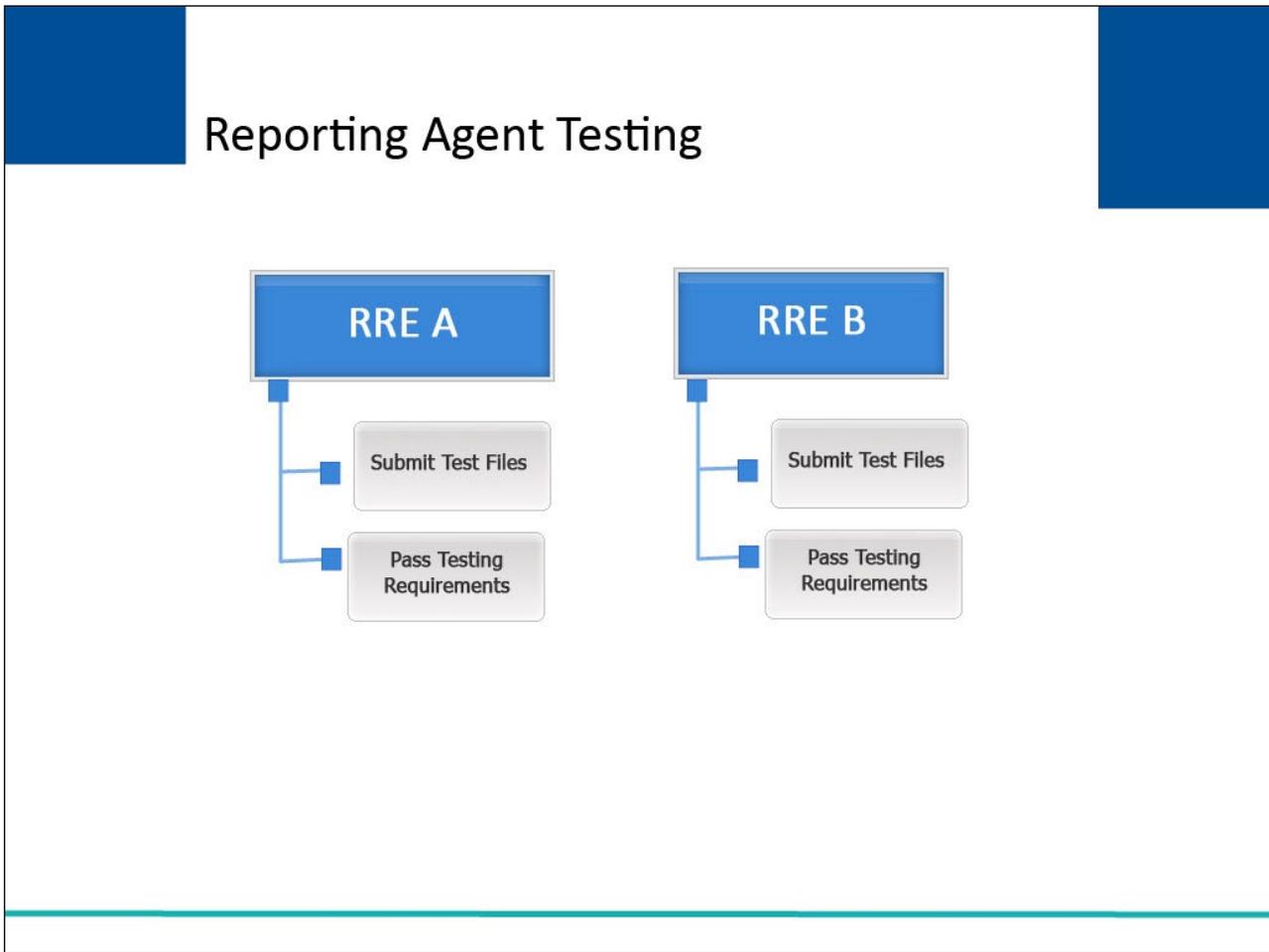
Reporting Agent Testing

- Reporting agency will submit data for RRE, may test on behalf of RRE
- Individuals from RREs reporting agent company may act as RRE ID Account Manager

Slide notes

A reporting agent is an entity that will be submitting data for and may perform testing on behalf of RREs. At the RRE's discretion, individuals from an RRE's reporting agent company may play the role of Account Manager for the RRE ID, but more typically is invited by the RRE's Account Manager to register as Account Designees.

Slide 9 of 25- Reporting Agent Testing



Slide notes

Since testing and production statuses are tracked in the system by RRE ID,

when an agent submits files on behalf of an RRE, the agent must submit test files and pass the testing requirements for each RRE ID for which he/she is providing this service.

In other words, even if an agent passes the testing process for one RRE ID, it is still required to submit test files for each subsequent RRE ID that he/she represents.

Slide 10 of 25 - File Status



SECTION 111
Mandatory Reporting

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RRE Listing

If there are errors, the file must be corrected and resubmitted as soon as possible

This page lists all the Responsible Reporting Identification Numbers (RRE IDs) with which you are associated. You can select from the Actions available in the drop-down menu next to each RRE ID. Click on the down arrow, select an Action from the list and then click on the Go button.

To search for a specific RRE enter the RREID and click on the Search button. To refresh the complete list of RREs with which you are associated, click on the Refresh RRE Listing button.

The RRE Listing is sorted by RRE ID.

For questions, contact an EDI Representative

items found, displaying all items.1

RRE ID	Name	Status	Profile Report Status	Submsn Period	Reporting Option	EDI Representative Name	EDI Phone Number	EDI Email	Actions
123456	AAAAAAAAAAAA	Setup	N/A	01	Expanded	Mike Jones	281-330-8004	mike@mikejones.com	<div style="border: 1px solid gray; padding: 2px;">Please Select ▼</div> <input type="button" value="Go"/>
77777	AAAAAAAAAAAA	Production	Recertified	01	Expanded	Jenny	202-867-5309	tommy@tutone.com	<div style="border: 1px solid gray; padding: 2px;">Please Select ▼</div> <input type="button" value="Go"/>
75555	AAAAAAAAAAAA	Test	N/A	04	Basic	Mike Hanks	410-333-3333	mk@mike.com	<div style="border: 1px solid gray; padding: 2px;">Please Select ▼</div> <input type="button" value="Go"/>
98765	AAAAAAAAAAAA	Production	Not Due	0	DDE	Susan Su	202-606-0842	susan@susan.com	<div style="border: 1px solid gray; padding: 2px;">Please Select ▼</div> <input type="button" value="Go"/>

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Slide notes

File status is shown on the File Listing page under the associated RRE ID.

As files are received by the application, file information is saved and stored on a database and a status code is used to track the file as it processes through the system.

If there are errors in the file, it will have to be corrected and resubmitted as soon as possible.

RREs with questions about file status should contact their assigned Electronic Data Interchange (EDI) Representative.

Slide 11 of 25- Thresholds and File Submission

Thresholds and Severe File Submission Errors

File may be rejected/suspended from processing due to severe errors

- Missing or improperly formatted Header or Trailer Records
- Record counts that do not match those actually submitted
- File Header Records does not contain a valid RRE ID

Slide notes

Submitted files may be rejected or suspended from processing due to severe errors.

For example, missing or improperly formatted Header or Trailer Records, record counts that do not match those actually submitted, or file Header Record does not contain a valid RRE ID.

Slide 12 of 25 -Severe File Submission Errors

Severe File Submission Errors



Subject: Section 111 Reporting: RRE ID# [RPTR_ID] [FILE_TYPE_NAME] Severe Error Notification
PLEASE DO NOT REPLY TO THIS EMAIL
RRE ID:
RRE Name:
Authorized Representative:
Account Manager:
A _____ file received on _____ cannot be processed due to the following severe error:
[ERROR_MESSAGE]
This file is not acceptable and cannot be processed. Please submit a corrected file or contact your EDI Representative immediately for further instructions.If the account information included in this email is not correct, please contact your EDI Representative.

Slide notes

A file that receives a Severe Error, such as a missing Header or Trailer Record, will be suspended from further processing until the suspension is overridden by your EDI Representative.

An E-mail will be sent to the Account Manager for the RRE ID regarding the Severe Error found. A sample of this E-mail is shown on the screen.

Slide 13 of 25 -Severe File Submission Errors

Severe File Submission Errors

- Contact your EDI Representative
- The EDI Representative will delete the file and provide instructions
- File must be corrected and resubmitted

Slide notes

You must contact your EDI Representative to discuss the situation.

The EDI Representative will then delete the file and provide you with instructions as to when to send a corrected file.

If the rejected file is a required, quarterly submission of your MSP Input File, for GHPs, or Claim Input File, for NGHPs, then it must be corrected and resubmitted as soon as possible.

Slide 14 of 25- Thresholds and File Submission

Thresholds and Severe File Submission Errors

- Suspend files from processing
- Applied to
 - MSP Input File (GHP)
 - Non-MspP Input File (GHP)
 - Claim Input File (NGHP)
- Catch files suspected to be erroneous before
- Medicare's databases are updated

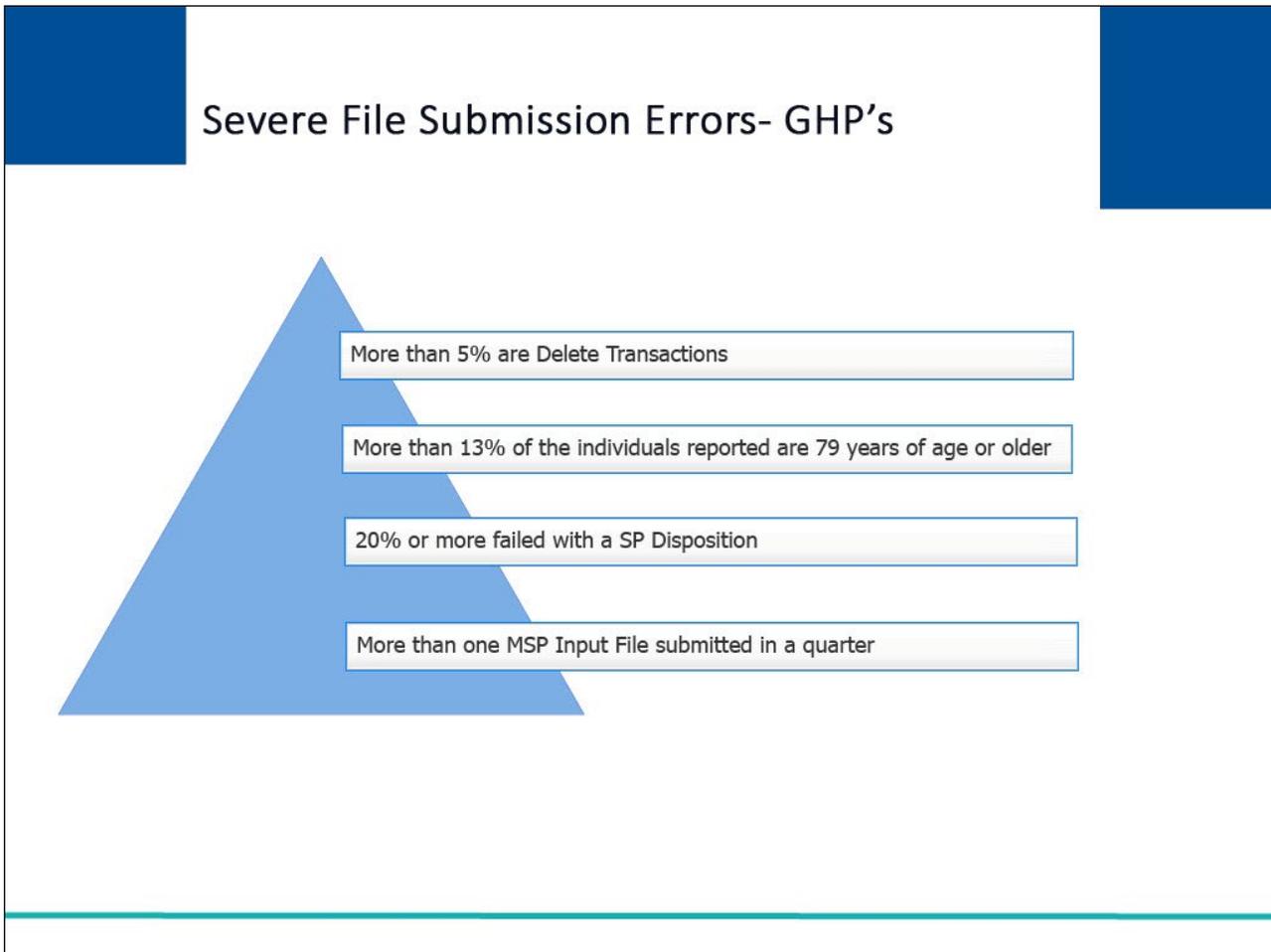
Slide notes

The BCRC has certain threshold checks in place that will suspend files from further processing until the suspension is overridden by your EDI Representative.

The threshold checks are applied to the Medicare Secondary Payer (MSP) and Non-MSP Input Files, for GHPs, and Claim Input Files, for NGHPs.

The threshold restrictions are in place to catch files that are suspected to be erroneous before the BCRC completes processing and updates Medicare's databases.

Slide 15 of 25 - Severe File Submission Errors



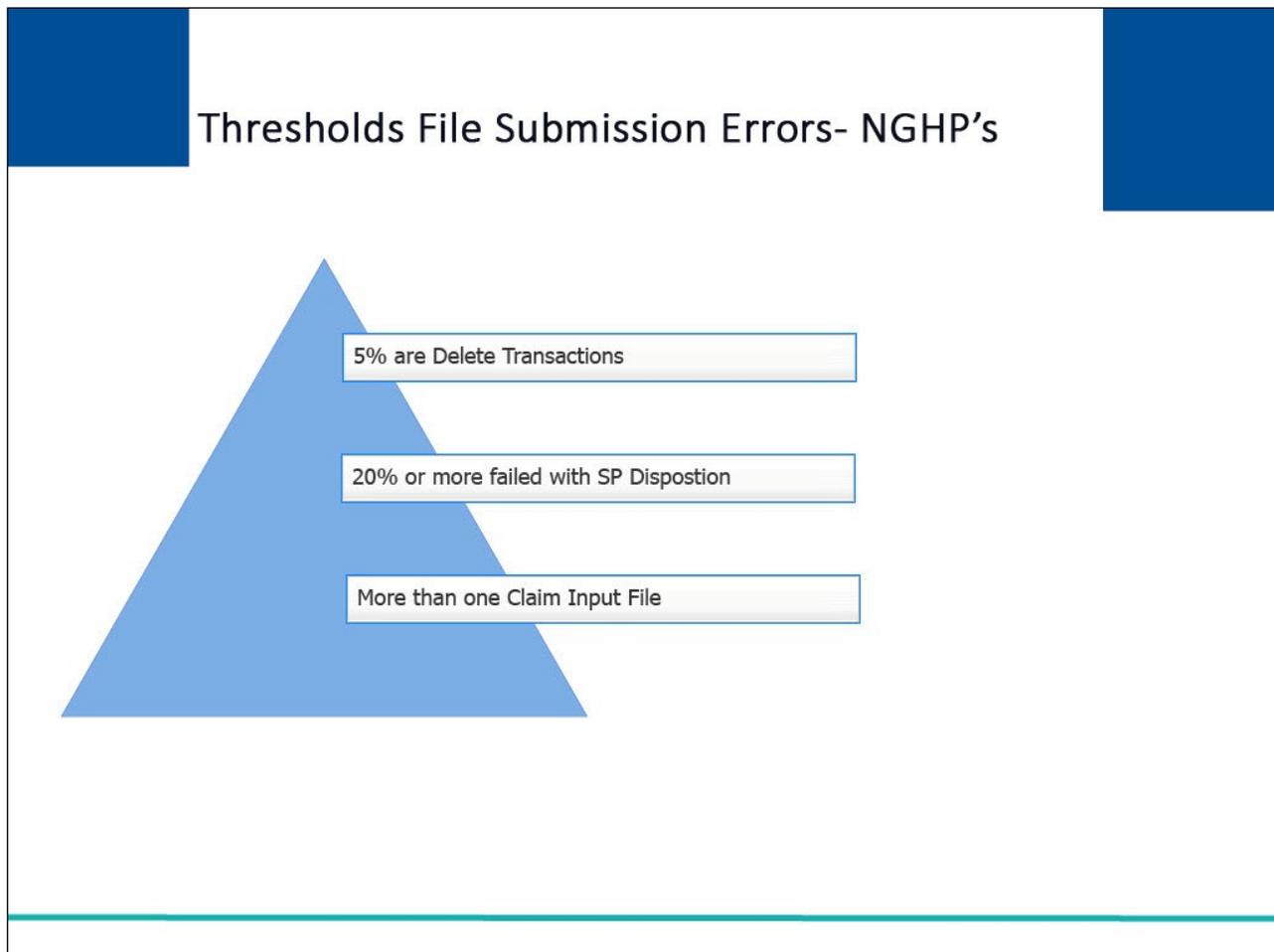
Slide notes

Your MSP or Non-MSP Input File will be in error if it exceeds the following thresholds:

more than 5% of the total submitted records are Delete Transactions, more than 13% of the individuals reported are 79 years of age or older,

20% or more of the total submitted records failed with a Disposition Code of SP due to errors, or that you submitted more than one MSP Input File during your defined quarter.

Please refer to the Section 111 GHP User Guide for more information on these edits.

Slide 16 of 25 - Thresholds File Submission Errors**Slide notes**

Your Claim Input File will be in error if it exceeds the following thresholds:

5% or more of the total submitted records are Delete Transactions, 20% or more of the total submitted records failed with a Disposition Code of SP due to errors,

or you submitted more than one Claim Input File during your defined quarter.

Please refer to the Section 111 NGHP User Guide for more information on these edits.

Slide 17 of 25 - Thresholds File Submission Errors

Thresholds File Submission Errors

- Systems generates email to Account Manager
- Account Manager/Account Designee must contact the EDI Representative to discuss and resolve error
- File may be
 - Released for processing
 - Deleted by the EDI Representative
 - Corrected
 - Resubmitted

Slide notes

The system generates an email to inform the Account Manager that the file has been suspended from processing and lists the threshold error found.

An Account Manager or Account Designee must contact the EDI Representative assigned to the RRE ID to discuss and resolve the file threshold error.

The file may be released for processing or, if sent in error, deleted by the EDI Representative in which case a corrected file must be submitted as instructed.

If you uploaded the wrong input file, please contact your EDI Representative immediately.

Slide 18 of 25 View Test Results

View Test Results

Login to Section 111 COBSW at:
<https://iwww.cob.cms.hhs.gov/Section111/>



The image shows a blue button with a white lock icon on the left and the text "Log in" in white on the right. The button has a 3D effect with a grey shadow.

Slide notes

To monitor test file processing, Section 111 COBSW users associated with the RRE’s account will logon to the Section 111 application on the Section 111 COBSW at the following link: [CMS Section 111 Website](https://iwww.cob.cms.hhs.gov/Section111/).

Note: all users associated with the RRE ID can monitor the status of the testing process regardless of which file transmission method they are using.

Slide 19 of 25 - RRE Listing Page

RRE Listing

This page lists all the Responsible Reporting Identification Numbers (RRE IDs) with which you are associated. You can select from the Actions available in the drop-down menu next to each RRE ID. Click on the down arrow, select an Action from the list and then click on the Go button.

To search for a specific RRE enter the RREID and click on the Search button. To refresh the complete list of RREs with which you are associated, click on the Refresh RRE Listing button.

The RRE Listing is sorted by RRE ID.

RRE ID

items found, displaying all items: 1

RRE ID	Name	Status	Profile Report Status	Submsn Period	Reporting Option	EDI Representative Name	EDI Phone Number	EDI Email	Actions
123456	AAAAAAAAAAAA	Setup	N/A	01	Expanded	Mike Jones	281-330-8004	mike@mikejones.com	Please Select Go
77777	AAAAAAAAAAAA	Production Recertified		01	Expanded	Jenny	202-867-5309	tommy@tutone.com	Please Select Go
75555	AAAAAAAAAAAA	Test	N/A	04	Basic	Mike Hanks	410-333-3333	mk@mike.com	Please Select Go
98765	AAAAAAAAAAAA	Production Not Due		0	DDE	Susan Su	202-606-0842	susan@susan.com	Please Select Go

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Slide notes

After a successful login, the RRE Listing Page will appear. This page functions as the main processing screen or Home page to initiate any of the Section 111 COBSW processes. It lists all of the RRE IDs to which you are associated.

From the RRE Listing page click "Test File Results" from the Actions dropdown box for the applicable RRE ID and click Go.

Slide 20 of 25 - File Listing Page

File Listing Page

File Listing Print this page

RRE ID: 17345
RRE Name: CompanyABU

Files submitted for this RRE ID are listed below.

All files have been processed by the BCRC; they are separated into components depending on the File Type and record identifiers.

To see file processing details, click on the Record Type link associated with the file.

To download a response file via HTTPS, click on the response file name link that appears on the right.

Links for response files displayed on this site for more than 60 days will be deactivated. Should you require a copy of a response file that is not available on this page, please contact your assigned LUI Representative for assistance.

Record Types:

UI/P **Liability/No-Fault/Workers' Compensation**

- MS - MSP Input File
- NM - Non-MSP Input File
- QY - Query Only Input File
- UN - Unselected Alert File
- IIR - IIR Reference File
- CM - Claim Input File
- QY - Query Input File
- TR - TIN Reference File

File ID	Record Type	Receipt DT	Process DT	Record Cmt	Status	Base Match	Base No-Match
17345001	MS	5/29/12	5/29/12	500	Processing	000	25
Response File: >>PC08 E4.MDVSPR0000065 ID000307.D403 PS >>							
	Record Type	Receipt DT	Process DT	Record Cmt	Status	Base Match	Base No-Match
	NR	5/29/12	5/29/12	500	Processing	001	22
Response File: >>PC08 E4.MDVSPR0000065 ID000307.D403 PS >>							
	Record Type	Receipt DT	Process DT	Record Cmt	Status	Base Match	Base No-Match
	MS	5/29/12	5/29/12	500	Processing	701	25
Response DT:							

Slide notes

The File Listing page will appear showing test file information for the RRE ID.

As files are received by the application, file information is saved and stored on a database, and a status code is used to track the file as it processes through the system.

This file status is shown on this page under the associated RRE ID.

In order to view more detailed information about a particular file that was submitted, click on the Record Type link for the file you wish to view.

Slide 21 of 25 - File Detail Page

File Detail Page

File Detail  [Print this page](#)

RRE ID: #####
File ID: 110553023
Sub File ID: 220611097
Record Type: MS

[RRE Listing](#)
[File Listing](#)

MSP Add Count:	1078
MSP Update Count:	0
MSP Delete Count:	0
Rx Add Count:	508
Rx Update Count:	331
Rx Delete Count:	0
MSP Split Count:	44
MSP Applied Count:	239
MSP Rejected Count:	187
Rx Applied Count:	
Rx Rejected Count:	65
MSP Late Count:	0
Error Count:	33

Slide notes

The File Detail page appears, listing statistics associated with the selected file.

The statistics will vary, depending on the type of file submitted.

You will use the counts shown on the File Detail page to determine whether the submitted MSP Input or Claim Input test files passed the testing criteria specified in the reporting user guides (e.g. 1078 Adds were successfully processed on the initial test file, etc.).

After reviewing test file activity, click the RRE Listing link on the page.

Slide 22 of 25- RRE Listing Page

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RRE Listing

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77777	AAAAAAAAAAAA	Production	Recertified	01	Expanded	Jenny	202-867-5309	tommy@tutone.com	<input type="button" value="Please Select"/> <input type="button" value="Go"/>
75555	AAAAAAAAAAAA	Test	N/A	04	Basic	Mike Hanks	410-333-3333	mk@mike.com	<input type="button" value="Please Select"/> <input type="button" value="Go"/>
98765	AAAAAAAAAAAA	Production	Not Due	0	DDE	Susan Su	202-606-0842	susan@susan.com	<input type="button" value="Please Select"/> <input type="button" value="Go"/>

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Slide notes

The system then shows the RRE Listing page.

From here, you can Logoff, or perform another task on the Section 111 COBSW.

Slide 23 of 25- Course Summary

Course Summary

- Testing Process
- Reporting Agent Testing
- Threshold and Severe File Submission Errors
- Viewing Test File Results on Section 111 COBSW

**Slide notes**

You are now able to explain the testing process, Reporting Agent testing, Threshold and Severe File Submission Errors and viewing test results on the Section 111 COBSW.

Please refer to the Section 111 User Guides located under the Reference Materials menu option or on the CMS. Section 111 Web site for requirements regarding testing Section 111 files.

Slide 24 of 25 - Conclusion



You have completed the COBSW Monitor Test Files course. Information in this course can be referenced by using the NGHP User Guide's table of contents. This document is available for download at the following link: <http://go.cms.gov/mirnghp>.

Slide notes

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Slide 25 of 25- Survey



If you have any questions or feedback on this material,
please go the following URL:
<https://www.surveymonkey.com/s/NGHPTraining>.

Slide notes

If you have any questions or feedback on this material, please go to the following URL: [Training Survey](#).