CMS Manual System	Department of Health & Human Services (DHHS)
Pub 100-20 One-Time Notification	Centers for Medicare & Medicaid Services (CMS)
Transmittal 429	<b>Date: JANUARY 16, 2009</b>
	Change Request 6344

SUBJECT: Update to Change Request 5927--Shared Systems Active and Non-Active Edits/Reason Codes and Audit Trail Reporting

**I. SUMMARY OF CHANGES:** The purpose of creating a new Change Request is to include submitting the reports to a CMS mailbox which changes Requirement Numbers 5927.2 and 5927.6.2. Requirement Number 5927.2 is replaced by Requirement Number 6344.2. In addition, the Enterprise Data Center Contractors are to create the reports according to the contractor's request in Requirement Number 6344.3 which replaced Requirement Number 5927.6.2.

New / Revised Material Effective Date: April 1, 2009

**Implementation Date: April 6, 2009** 

Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.

# **II. CHANGES IN MANUAL INSTRUCTIONS:** (N/A if manual is not updated) R=REVISED, N=NEW, D=DELETED-*Only One Per Row*.

R/N/D	Chapter / Section / Subsection / Title
N/A	

#### III. FUNDING:

SECTION A: For Fiscal Intermediaries and Carriers:

No additional funding will be provided by CMS; Contractor activities are to be carried out within their operating budgets.

SECTION B: For Medicare Administrative Contractors (MACs):

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

#### IV. ATTACHMENTS:

### **One-Time Notification**

\*Unless otherwise specified, the effective date is the date of service.

# **Attachment – One-Time Notification**

Pub. 100-20 Transmittal: 429 Date: January 16, 2009 Change Request: 6344

SUBJECT: Update to Change Request 5927 Shared Systems Active and Non-Active Edits/Reason Codes and Audit Trail Reporting

Effective Date: April 1, 2009

**Implementation Date:** April 6, 2009

#### I. GENERAL INFORMATION

**A. Background:** The Centers for Medicare & Medicaid Services (CMS) has established a goal to eliminate the material weaknesses noted in Medicare electronic data processing standards as documented in the Department of Health and Human Services' Office of the Inspector General Report on the Financial Statement Audit of CMS for Fiscal Year 2004. As a result, CMS has solicited the assistance of Carriers, FIs, DME MACs, and A/B MACs, in an effort to eliminate the lack of consistent and effective application security, program change controls, and to restore system integrity to the shared systems.

As part of the Corrective Action Plan (CAP), CMS established Edits Evaluation Workgroups to review CMS' coded shared system edits that contractors have inactivated prior to October 1, 2005, (CMS' Change Request (CR) 3862 Business Requirement Number 3862.3.2). In that endeavor, CMS Central Office, Regional Office, Carriers, FIs and A/B MACs identified the inventory of contractor inactive edits, documenting the reasons why the edits are turned off and making a decision as to whether the edits should remain inactive, or be reactivated.

The purpose of creating a new CR is to include submitting the reports to a CMS mailbox which changes the Requirement Numbers 5927.2 and 5927.6.2. Number 5927.2 is replaced by Requirement Number 6344.2, and the Enterprise Data Center Contractors are to create the reports according to the contractor's request in Requirement Number 6344.3 which replaced Requirement Number 5927.6.2.

B. Policy: N/A

### II. BUSINESS REQUIREMENTS TABLE

Use "Shall" to denote a mandatory requirement

Number	Requirement	Responsibility (place an "X" in each applicable									
		col	umn	)	,	,	,				
		A	D	F	C	R	Sł	nared-	Syste	m	OTHER
		/	M	I	A	Н	I	Maint	ainers		
		В	Е		R	H	F	M	V	C	
		М	М		R	1	I	C	M	W	
		A	A		E		S	5	S	F	
		C	C		R		5				
6344.1	Contractors and the Shared System Maintainers shall	X	X	X	X	X	X	X	X		
	ensure that the report is produced quarterly.										
6344.2	Contractor and the Shared System Maintainers shall send	X	X	X	X	X	X	X	X		
	the reports to the following CMS mailbox:										
	FFS_Security_Edits@cms.hhs.gov.										
6344.3	Contractors and the Shared System Maintainers shall	X	X	X	X	X	X	X	X		
	submit the reports in a portable document format (PDF).										
	FFS_Security_Edits@cms.hhs.gov.										

Number	Requirement	Responsibility (place an "X" in each applicable column)									
		A /	D F C R Shared-System I A H Maintainer			•		OTHER			
		В	E M		R R	H I	F	M C	V M	C W	
		A C	A C		E R		S S	S	S	F	
6344.4	Contractors shall verify the reports before submitting them quarterly.	X	X	X	X	X					
6344.5	Enterprise Data Center Contractor shall execute the jobs to										EDC
	create the report according to the schedule requested by the										S
	contractor as standard operating procedure for AdHoc										
	reports.										

#### III. PROVIDER EDUCATION TABLE

Number	Requirement	Responsibility (place an "X" in each applicable column)									
		A /	D M	F I	C A	R H		nared- Mainta			OTHER
		В	Е		R R	H I	F I	M C	V M	C W	
		M A C	M A C		I E R		S S	S	S	F	
	None.										

### IV. SUPPORTING INFORMATION

Section a: for any recommendations and supporting information associated with listed requirements, use the box below:

*Use "Should" to denote a recommendation.* 

X-Ref Requirement Number	Recommendations or other supporting information:
Number	Reference: 5927.2 replaced by 6344.2 and 5927.6.2 replaced by 6344.3

## Section B: For all other recommendations and supporting information, use this space:

### V. CONTACTS

**Pre-Implementation Contact(s):** Natasha Taylor 410-786-2977

**Post-Implementation Contact(s):** Natasha Taylor 410-786-2977

#### VI. FUNDING

Section A: For Fiscal Intermediaries (FIs), Regional Home Health Intermediaries (RHHIs), and/or Carriers, use only one of the following statements:

No additional funding will be provided by CMS; contractor activities are to be carried out within their operating budgets.

Section B: For Medicare Administrative Contractors (MACs), include the following statement:

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.