

## EXHIBIT A

### CMS 10662 Compliance Review Information Retrieval Form

SUPPORTING DOCUMENT NAME	CHANGES TO SUPPORTING DOCUMENT	EXPLANATION of CHANGES	BURDEN IMPACT
<b>ASETT Compliance Review Package</b>	<ol style="list-style-type: none"> <li>1. Salutation: replaced Title with addressee first name</li> <li>2. Paragraph 2: Added reference to the “CMS Identity Management (IDM) System and Compliance Review Covered Entity Portal Access Quick Start User Guide”</li> <li>3. Paragraph 3: Removed obsolete portal access instructions</li> <li>4. Signature block: Changed signatory to Michael Cimmino, Director NSG</li> <li>5. Part A: <u>Assessment Process</u>, replaced reference to Edifecs Xengine (testing tool) with Edifecs Onboarding and Testing Cloud Services (OTCS) (testing tool).</li> <li>6. Part A: <u>Assessment Process</u>, used the full name Council for Affordable Quality Healthcare, Committee on Operating Rules for Information Exchange instead of the acronym CAQH – CORE</li> <li>7. Part C: added instructions for using the testing tool</li> <li>8. Part C: improved instruction for using the Covered Entity Portal</li> <li>9. Made updates regarding file naming conventions, file types, and file size for uploads to the system of record (ASETT)</li> </ol>	<ol style="list-style-type: none"> <li>1. CMS mandate to remove gender identification</li> <li>2. To assist users with signing into ASETT and accessing the Covered Entity Portal</li> <li>3. Former portal no longer exists, infrastructure was sunsetted by Salesforce</li> <li>4. Gladys Wheeler (previous signatory) retired</li> <li>5. Edifecs XEngine was upgraded to OTCS</li> <li>6. Ensure compliance review participants understand the standards authority acronym</li> <li>7. Ensure users can register and access the testing tool</li> <li>8. Ensure users provide requested artifacts correctly</li> <li>9. Ensure with system of record compliance</li> </ol>	n/a
<b>ASETT Notice of Corrective Action</b>	<ol style="list-style-type: none"> <li>1. Salutation: replaced Title with addressee first name</li> </ol>	<ol style="list-style-type: none"> <li>1. CMS mandate to remove gender</li> </ol>	n/a

SUPPORTING DOCUMENT NAME	CHANGES TO SUPPORTING DOCUMENT	EXPLANATION of CHANGES	BURDEN IMPACT
	<ol style="list-style-type: none"> <li>2. Paragraph 4: Removed obsolete portal access instructions</li> <li>3. Paragraph 5: Added Corrective Action Plan (CAP) document upload instructions</li> <li>4. Signature block: Changed signatory to Michael Cimmino, Director NSG</li> </ol>	<p>identification</p> <ol style="list-style-type: none"> <li>2. Former portal no longer exists</li> <li>3. To ensure CAP documents are added to the correct location in the Compliance Review record</li> <li>4. Gladys Wheeler (previous signatory) retired</li> </ol>	
<b>ASETT Operating Rules Attestation</b>	<ol style="list-style-type: none"> <li>1. All Operating Rule Attestation questions are enumerated</li> </ol>	<ol style="list-style-type: none"> <li>1. To enable clear reference to each question when discussing with a covered entity</li> </ol>	n/a
<b>ASETT Compliance Review Triage Questionnaire</b>	<ol style="list-style-type: none"> <li>1. The single covered entity triage questionnaire that was used for all covered entity types was replaced by a unique covered entity triage questionnaire for each covered entity type (Health Plan, Clearinghouse, Provider)</li> </ol>	<ol style="list-style-type: none"> <li>1. Created a specific questionnaire for the EDI transactions that each covered entity may create (Health Plan, Clearinghouse, Provider)</li> </ol>	n/a
<u><b>Other Compliance Review Notices and Letters:</b></u> <ul style="list-style-type: none"> <li>• ASETT Follow-Up Request for Information Letter</li> <li>• ASETT Follow-Up Request for Information Letter (Corrective Action)</li> <li>• ASETT Notice of Compliance Review Closure (No Violations)</li> <li>• ASETT Notice of Compliance Review Closure (Corrective Action)</li> </ul>	<ol style="list-style-type: none"> <li>1. Signature block: Changed signatory to Michael Cimmino, Director NSG</li> </ol>	<ol style="list-style-type: none"> <li>1. Gladys Wheeler (previous signatory) retired</li> </ol>	n/a

<b>SUPPORTING DOCUMENT NAME</b>	<b>CHANGES TO SUPPORTING DOCUMENT</b>	<b>EXPLANATION of CHANGES</b>	<b>BURDEN IMPACT</b>
<ul style="list-style-type: none"><li>• ASETT Notice of Draft Findings</li></ul>			