



# New Registration Completion and Termination Lists

## *Agent and Broker Federally-facilitated Marketplace (FFM) Registration and Termination Completion Lists*

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*Centers for Medicare & Medicaid  
Services (CMS)  
Centers for Consumer Information  
& Insurance Oversight (CCIIO)*



# Disclaimer

*The information provided in this presentation is intended only as a general informal summary of technical legal standards. It is not intended to take the place of the statutes, regulations, and formal policy guidance that it is based upon. This presentation summarizes current policy and operations as of the date it was presented. Links to certain source documents have been provided for your reference. We encourage audience members to refer to the applicable statutes, regulations, and other interpretive materials for complete and current information about the requirements that apply to them.*

*This document is also relevant if you are in a state with a State-based Marketplace (SBM) that is using the Federal platform to support select eligibility and enrollment functions. Please review the guidance on our Agents and Brokers Resources webpage (<http://go.cms.gov/CCIIOAB>) and [Marketplace.CMS.gov](http://Marketplace.CMS.gov) to learn more.*

# Webinar Objectives

- Plan Year 2016 Recap
- Changes for Plan Year 2017
  - Old and New FFM Registration Completion List (RCL) Process
  - FFM Registration Termination List
- Features of the new [Data.HealthCare.gov](http://Data.HealthCare.gov)



# New Registration Completion and Termination Lists



*Plan Year 2016  
Recap*

# Benefits

- Reduce posting delays
- Expanded data elements
- Provide data tool
- Consolidate data sources
- Improve usability and experience



# New Registration Completion and Termination Lists



*Changes for Plan  
Year 2017*

# Current RCL Process

- Agents, brokers, and other stakeholders can access the registration completion and termination lists year to year from file downloads off the CCIIO Agents and Brokers Resources webpage (<http://go.cms.gov/CCIIOAB>) RCL section.
- Updates posted first and third Thursday of each month.
- Multiple, separate files for Plan Year 2014, 2015, and 2016.
- Only available in Excel format.

# New Plan Year 2017 RCL Process

- Updated daily when fully implemented (weekly every Friday by 4:00 PM ET leading up to implementation).
- Datasets/lists are viewable online, no downloading of files is necessary.
- Data dictionary on the Agents and Brokers Resources webpage.
- Files are available in multiple formats (Available formats on Slide 13).

# New Registration Completion and Termination Lists

*New RCL  
Features*



# Data.HealthCare.gov Landing Page

Newly created landing page includes:

- Disclaimer language and important plan year dates, previously embedded in the Excel document.
- Buttons to direct user to the Registration Completion and Registration Termination Lists.

The screenshot shows the Data.HealthCare.gov website interface. At the top left is the logo "Data.HealthCare.gov" and at the top right is a link "Sign In to Data.HealthCare.gov". The main heading is "FFM Agent Broker Registration and Termination Status Page". Below this is a sub-heading "Agent and Broker FFM Registration Completion List" and a "Registration Completion List Disclaimer". The disclaimer text states that the Centers for Medicare & Medicaid Services (CMS) is making the Agent and Broker Federally-facilitated Marketplace (FFM) Registration Completion List available to the public on a monthly basis pursuant to Section 1312(e) of the Affordable Care Act and 45 C.F.R. §155.220, and Routine Use No. 11 of the System of Records Notice required by the Privacy Act of 1974 (5 U.S.C. §552a), titled, "Health Insurance Exchanges (HIX) Program" (No. 09-70-0500), published at 78 Fed. Reg. 8,538 (February 6, 2013), as amended and published at 78 Fed. Reg. 32,256 (May 29, 2013), and at 78 Fed. Reg. 63,211 (October 23, 2013). The information within the Agent and Broker List may be used only for the following purposes:

1. To confirm that an agent or broker has successfully completed registration requirements for the FFM for the Individual Marketplace and/or the Small Business Health Options Program Marketplace (SHOP); and
2. To allow states and other stakeholders to conduct oversight, monitoring and enforcement activities related to agents and brokers, and to educate consumers about agents and brokers who may provide assistance to consumer who are interested in obtaining health care coverage through the FFM in their states.

The information contained in the Agent and Broker FFM Registration Completion List may be used and/or disclosed only to the extent necessary to accomplish these purposes and never to discriminate inappropriately.

Be aware that an agent's or broker's registration effective date for a particular plan year for a may fall before, during, or after a plan year's open enrollment period. Agents and brokers may only assist with or facilitate enrollment of qualified individuals, qualified employers or qualified employees in coverage in a manner that constitutes enrollment through the FFMs, or assist individuals in applying for advance payments of the premium tax credit or cost-sharing reductions to support enrollment in an FFM qualified health plan assist consumers with FFM transactions, while they have a valid registration status and current FFM agreement(s).

Plan Year 2014 registration and FFM agreements became available 8/30/2013 and expired 9/30/2014  
Plan Year 2015 registration and FFM agreements became available 7/7/2014 and expired 11/12/2015  
Plan Year 2016 registration and FFM agreements became available 9/15/2015 and expire 10/31/2016

[Access Agent and Broker FFM Registration Completion List](#)

**Agent and Broker FFM Registration Termination List**

TERMINATION LIST DISCLAIMER

The Centers for Medicare & Medicaid Services (CMS) is making the Agent and Broker Federally-facilitated Marketplace (FFM) Registration Termination List available to the public on a monthly basis pursuant to Section 1312(e) of the Affordable Care Act and 45 C.F.R. §155.220, and Routine Use No. 11 of the System of Records Notice required by the Privacy Act of 1974 (5 U.S.C. §552a), titled, "Health Insurance Exchanges (HIX) Program" (No. 09-70-0500), published at 78 Fed. Reg. 8,538 (February 6, 2013), as amended and published at 78 Fed. Reg. 32,256 (May 29, 2013), and at 78 Fed. Reg. 63,211 (October 23, 2013).

Termination and suspension are described in regulation at 45 CFR § 155.220(f)-(g). Lifting of suspension and reconsideration of termination are described at 45 CFR § 155.220(g)(5)(i)(B) and (h) respectively. The information within the Agent Broker FFM Registration Termination List may be used only to allow states and other stakeholders to conduct oversight, monitoring, and enforcement activities related to agents and brokers, and to educate consumers about agents and brokers who may not provide assistance to consumers who are interested in obtaining health coverage through the FFM in their states.

The information contained in the Agent Broker FFM Registration Termination List may be used and/or disclosed only to the extent necessary to accomplish this purpose and never to discriminate inappropriately.

[Access Agent and Broker FFM Termination List](#)

# Data.HealthCare.gov

## New change includes two dataset/lists

- First dataset/list will contain data across all plan years consolidated for each agent and broker.
- Second dataset/list contains all terminated agents and brokers.
- New “End Date” columns will help agents and brokers identify when their registration expires and reduce lapse(s) in registration.



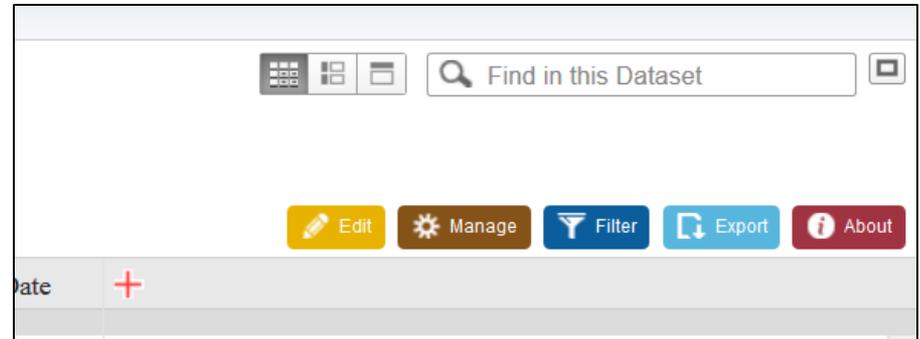
The screenshot displays the Data.HealthCare.gov interface. At the top, the logo 'Data.HealthCare.gov' is visible, along with navigation links for 'Administration', 'Home', and 'Sign Out'. Below the logo, there is a '[Working Copy]' indicator and the dataset title 'AB Registration Completion List'. A 'Publish Dataset' button and a link to 'Access Data Dictionary Here - Data Dictionary' are also present. A search bar with the text 'Find in this Dataset' is located on the right. Below the search bar, there are several action buttons: 'Edit', 'Manage', 'Filter', 'Export', and 'About'. The main content is a table with the following columns: 'NPN', 'Applicable Plan Year', 'Individual Registration Completion Date', 'Individual Marketplace End Date', 'SHOP Registration Completion Date', and 'SHOP End Date'. The table contains seven rows of data, each with a unique NPN value and corresponding dates.

	NPN	Applicable Plan Year	Individual Registration Completion Date	Individual Marketplace End Date	SHOP Registration Completion Date	SHOP End Date
1	9999999999	2014	01/30/2014	09/30/2014	01/28/2014	09/30/2014
2	9999999999	2015	09/03/2013	11/12/2015		
3	9999999999	2014	09/03/2013	09/30/2014	08/02/2013	09/30/2014
4	9999999999	2015	10/26/2015	10/31/2016	10/26/2015	10/31/2016
5	9999999999	2016	10/26/2015	10/31/2016	10/26/2015	10/31/2016
6	9999999999	2015	09/26/2014	11/12/2015	09/26/2014	11/12/2015
7	9999999999	2014	09/18/2013	09/30/2014	08/13/2013	09/30/2014

# Data.HealthCare.gov Search and Sort

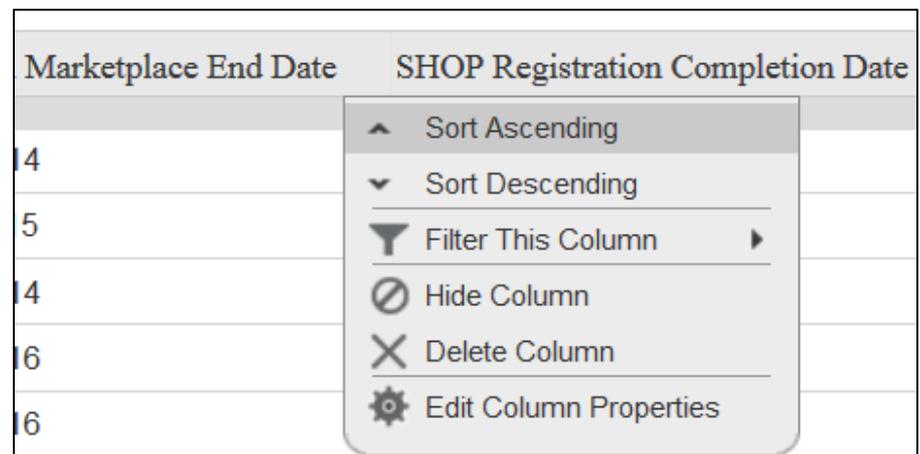
## Search:

Top right hand bar, type in a National Producer Number (NPN) and the list will display all plan year data for that NPN.



## Sort:

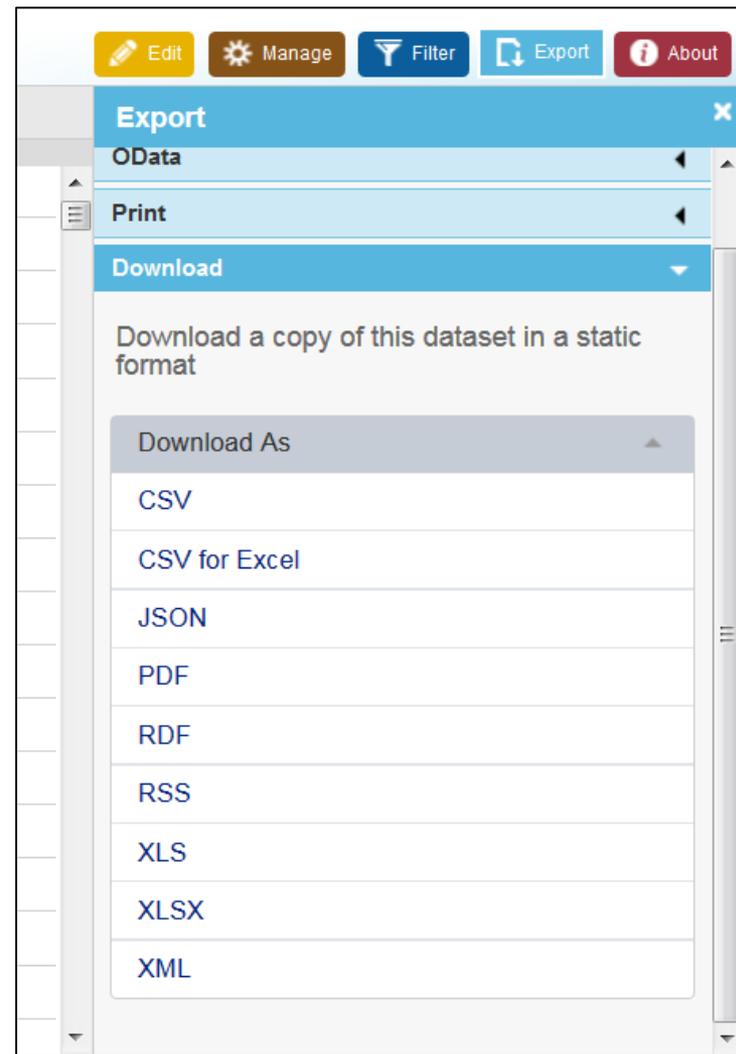
Click on the column headers to be presented column sort options.



# Data.HealthCare.gov Export Functionality

Data can be exported into eight different formats in the “Export” section:

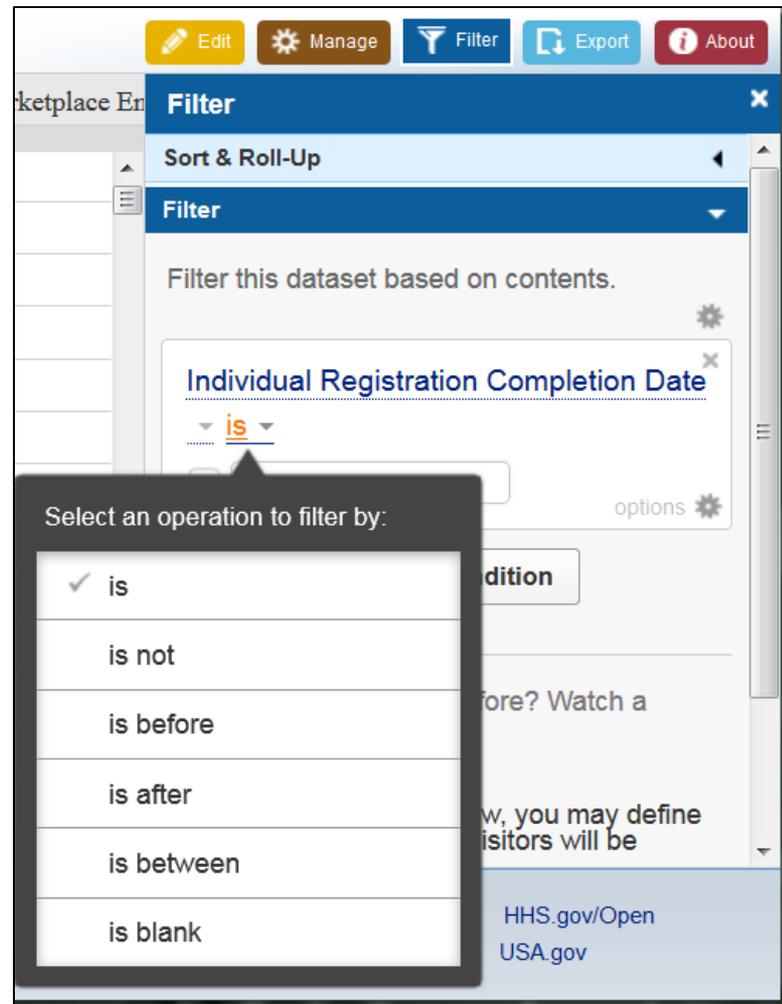
- CSV
- CSV for Excel
- JSON
- PDF
- RDF
- RSS
- XLS
- XLSX
- XML



# Data.HealthCare.gov Filtering

## Filter:

Open the filter by selecting from the drop-down list the field to be filtered and within a specified range or value.



# New Registration Completion and Termination Lists



*Agent and Broker  
Resources*

# Agent and Broker Resources

- CMS makes a wide variety of resources available online including the Agents and Brokers Resources webpage is the primary outlet for CMS information for agents and brokers.
- [HealthCare.gov](http://HealthCare.gov) offers a wealth of information you can use to help consumers enroll in qualified health plans and manage their health care.
- CMS uses emails to provide agents and brokers up-to-the-minute information on time-sensitive issues, and on policy and process updates. You can establish an account at the [CMS Enterprise Portal](http://CMS Enterprise Portal) to receive emails by selecting the “Get Email Updates” link in the right panel of the webpage.
- CMS publishes the “News for Agents and Brokers” newsletter on a monthly basis.
- CMS also releases current news and updates via its Twitter handles: [@CMSGov](https://twitter.com/CMSGov) and [@HealthCareGov](https://twitter.com/HealthCareGov).



# Agent and Broker Resources

## Definition of Acronyms

Acronym	Definition
CMS	Centers for Medicare & Medicaid Services
FFM	Federally-facilitated Marketplace
NPN	National Producer Number
RCL	FFM Registration Completion List

# Questions?

For questions about agent/broker participation in the FFM:  
[FFMProducer-AssisterHelpDesk@cms.hhs.gov](mailto:FFMProducer-AssisterHelpDesk@cms.hhs.gov)

For questions on the Marketplace Learning Management System (MLMS):  
[MLMSHelpDesk@CMS.HHS.gov](mailto:MLMSHelpDesk@CMS.HHS.gov)

For questions when working with consumers applying and enrolling in QHPs:  
1-800-318-2596 (TTY: 1-855-889-4325) available 7 days a week, 24 hours a day

For questions unrelated to application and enrollment:  
1-855-CMS-1515 (855-267-1515) and select option “1”

For questions about the SHOP Marketplace:  
1-800-706-7893 (TTY: 711) available M-F 9:00 AM-7:00 PM ET

For questions regarding a CMS-approved vendor’s training, contact the respective vendor’s help desk. Contact information for these vendors can be found on the Agents and Brokers Resources webpage at: <http://go.cms.gov/CCIIOAB>

For questions/comments about web-broker participation in the FFM: [WebBroker@cms.hhs.gov](mailto:WebBroker@cms.hhs.gov)