

CMS Center for Consumer Information & Insurance Oversight (CCIIO), Health Insurance Marketplace Public Use Files (PUF) Data Dictionary for Rate PUF

1. Overview of the Rate PUF

The Centers for Medicare & Medicaid Services (CMS) Center for Consumer Information & Insurance Oversight (CCIIO) is releasing the Marketplace PUF in order to improve transparency and increase access to the Marketplace data. The Marketplace PUF includes data on Qualified Health Plans (QHPs) and Stand-alone Dental Plans (SADPs) offered in states with Federally-facilitated Marketplaces (FFM), which include states with State Partnership Marketplaces (SPM), Federally-facilitated Small Business Health Options Programs (FF-SHOP) and State-based Marketplaces (SBM) that rely on the federal information technology platform for QHP eligibility and enrollment functionality. The Marketplace PUF also includes data on Multi State Plans (MSPs). The Marketplace PUF does not include data from SBMs that do not rely on the federal platform for QHP eligibility and enrollment functionality.

The Rate PUF (Rate-PUF) is one of the seven files that make up the Marketplace PUF. The Rate-PUF contains plan-level data on individual rates based on an eligible subscriber’s age, tobacco use, and geographic location. These data either originate from the Rate Table template (i.e., template field), an Excel based form used by issuers to describe their plans in the QHP/SADP application process, or were generated by CCIIO for use in data processing (i.e., system-generated).

This data dictionary describes the variables contained in the Rate-PUF. Each record relates to one issuer’s rates based on plan, geographic rating area, and subscriber eligibility requirements. The Rate-PUF is available for plan year 2014, plan year 2015, and plan year 2016.

2. Variable Attributes

<i>Variable Name:</i>	BusinessYear
<i>Variable Definition:</i>	Year for which plan provides coverage to enrollees
<i>Data Type:</i>	Text
<i>Variable Label:</i>	Business Year
<i>Allowable Values:</i>	2014 2015 2016
<i>Data Source:</i>	System-generated field
<i>Field Name from Data Source:</i>	Business Year
<i>Comments:</i>	N/A

<i>Variable Name:</i>	StateCode
<i>Variable Definition:</i>	Two-character state abbreviation indicating the state where the plan is offered
<i>Data Type:</i>	Text
<i>Variable Label:</i>	State Code



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<i>Allowable Values:</i>	All 50 state abbreviations + 9 territory abbreviations
<i>Data Source:</i>	System-generated field
<i>Field Name from Data Source:</i>	State Code
<i>Comments:</i>	N/A

<i>Variable Name:</i>	IssuerId
<i>Variable Definition:</i>	Five-digit numeric code that identifies the issuer organization in the Health Insurance Oversight System (HIOS)
<i>Data Type:</i>	Text
<i>Variable Label:</i>	Issuer ID
<i>Allowable Values:</i>	Free Text
<i>Data Source:</i>	System-generated field
<i>Field Name from Data Source:</i>	Issuer ID
<i>Comments:</i>	N/A

<i>Variable Name:</i>	SourceName
<i>Variable Definition:</i>	Categorical identifier of source of data import
<i>Data Type:</i>	Text
<i>Variable Label:</i>	Source Name
<i>Allowable Values:</i>	HIOS SERFF OPM
<i>Data Source:</i>	System-generated field
<i>Field Name from Data Source:</i>	Source Name
<i>Comments:</i>	N/A

<i>Variable Name:</i>	VersionNum
<i>Variable Definition:</i>	Integer value for version of data import
<i>Data Type:</i>	Text
<i>Variable Label:</i>	Version Number
<i>Allowable Values:</i>	Free Text
<i>Data Source:</i>	System-generated field
<i>Field Name from Data Source:</i>	Version Number
<i>Comments:</i>	N/A

<i>Variable Name:</i>	ImportDate
<i>Variable Definition:</i>	Date of data import
<i>Data Type:</i>	Date/Time
<i>Variable Label:</i>	Import Date
<i>Allowable Values:</i>	Free Text
<i>Data Source:</i>	System-generated field
<i>Field Name from Data Source:</i>	Import Date
<i>Comments:</i>	N/A

<i>Variable Name:</i>	IssuerId2
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<i>Variable Definition:</i>	Five-digit numeric code that identifies the issuer organization in HIOS
<i>Data Type:</i>	Text
<i>Variable Label:</i>	Issuer ID (repeated)
<i>Allowable Values:</i>	Free Text
<i>Data Source:</i>	Template field
<i>Field Name from Data Source:</i>	HIOS Issuer ID
<i>Comments:</i>	Issuer ID is repeated because of tool data import requirements

<i>Variable Name:</i>	FederalTIN
<i>Variable Definition:</i>	Tax ID Number of issuer
<i>Data Type:</i>	Text
<i>Variable Label:</i>	Tax Identification Number
<i>Allowable Values:</i>	Free Text
<i>Data Source:</i>	Template field
<i>Field Name from Data Source:</i>	Federal TIN
<i>Comments:</i>	N/A

<i>Variable Name:</i>	RateEffectiveDate
<i>Variable Definition:</i>	Date that the foundation insurance plan base rate started being used to determine the federally facilitated exchange rate for a specific insurance plan
<i>Data Type:</i>	Date
<i>Variable Label:</i>	Rate Effective Date
<i>Allowable Values:</i>	Free Text
<i>Data Source:</i>	Template field
<i>Field Name from Data Source:</i>	Rate Effective Date
<i>Comments:</i>	N/A

<i>Variable Name:</i>	RateExpirationDate
<i>Variable Definition:</i>	Date that the foundation insurance plan base rate stopped being used to determine the federally facilitated exchange rate for a specific insurance plan
<i>Data Type:</i>	Date
<i>Variable Label:</i>	Rate Expiration Date
<i>Allowable Values:</i>	Free Text
<i>Data Source:</i>	Template field
<i>Field Name from Data Source:</i>	Rate Expiration Date
<i>Comments:</i>	N/A

<i>Variable Name:</i>	PlanId
<i>Variable Definition:</i>	Fourteen-character alpha-numeric code that identifies an insurance plan within HIOS
<i>Data Type:</i>	Text



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Variable Label: Plan ID
Allowable Values: Free Text
Data Source: Template field
Field Name from Data Source: Plan ID
Comments: N/A

Variable Name: RatingAreald
Variable Definition: Identifier for the geographic rating area within a state
Data Type: Text
Variable Label: Rating Area ID
Allowable Values: Rating Area n, where n is an integer between 1 and 150
Data Source: Template field
Field Name from Data Source: Rating Area ID
Comments: Each rating area identifier maps to a geographic region within the state (e.g., one or more counties, three-digit zip codes, or metropolitan statistical areas)

Variable Name: Tobacco
Variable Definition: Categorical indicator of whether a subscriber's tobacco use is used to determine rate eligibility for the insurance plan
Data Type: Text
Variable Label: Tobacco
Allowable Values: Tobacco User/Non-Tobacco User
No Preference
Data Source: Template field
Field Name from Data Source: Tobacco
Comments: N/A

Variable Name: Age
Variable Definition: Categorical indicator of whether a subscriber's age is used to determine rate eligibility for the insurance plan
Data Type: Text
Variable Label: Age



Allowable Values:

Family Option

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Data Source: Template field
Field Name from Data Source: Age
Comments: N/A

Variable Name: IndividualRate
Variable Definition: Dollar value for the insurance premium cost applicable to a non-tobacco user for the insurance plan in a rating area, or to a general subscriber if there is no tobacco preference
Data Type: Text
Variable Label: Individual Rate
Allowable Values: Free Text
Data Source: Template field
Field Name from Data Source: Individual Rate
Comments: N/A

Variable Name: IndividualTobaccoRate
Variable Definition: Dollar value for the insurance premium cost applicable to a tobacco user for the insurance plan in a rating area
Data Type: Text
Variable Label: Individual Tobacco Rate
Allowable Values: Free Text
Data Source: Template field
Field Name from Data Source: Individual Tobacco Rate
Comments: This field is only applicable if Tobacco field equals Tobacco User/Non-Tobacco User

Variable Name: Couple
Variable Definition: Dollar value for the insurance premium cost applicable to the primary enrollee plus a secondary subscriber for the insurance plan in a rating area
Data Type: Text
Variable Label: Couple
Allowable Values: Free Text
Data Source: Template field
Field Name from Data Source: Couple
Comments: This field is only applicable if Age field equals Family Option

Variable Name: PrimarySubscriberAndOneDependent
Variable Definition: Dollar value for the insurance premium cost applicable to the primary enrollee plus one dependent for the insurance plan in a rating area
Data Type: Text
Variable Label: Primary Subscriber and One Dependent



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Allowable Values: Free Text
Data Source: Template field
Field Name from Data Source: Primary Subscriber and One Dependent
Comments: This field is only applicable if Age field equals Family Option

Variable Name: PrimarySubscriberAndTwoDependents
Variable Definition: Dollar value for the insurance premium cost applicable to the primary enrollee plus two dependents for the insurance plan in a rating area
Data Type: Text
Variable Label: Primary Subscriber and Two Dependents
Allowable Values: Free Text
Data Source: Template field
Field Name from Data Source: Primary Subscriber and Two Dependents
Comments: This field is only applicable if Age field equals Family Option

Variable Name: PrimarySubscriberAndThreeOrMoreDependents
Variable Definition: Dollar value for the insurance premium cost applicable to the primary enrollee plus three or more dependents for the insurance plan in a rating area
Data Type: Text
Variable Label: Primary Subscriber and Three or More Dependents
Allowable Values: Free Text
Data Source: Template field
Field Name from Data Source: Primary Subscriber and Three or More Dependents
Comments: This field is only applicable if Age field equals Family Option

Variable Name: CoupleAndOneDependent
Variable Definition: Dollar value for the insurance premium cost applicable to the primary enrollee plus a secondary subscriber and one dependent for the insurance plan in a rating area
Data Type: Text
Variable Label: Couple and One Dependent
Allowable Values: Free Text
Data Source: Template field
Field Name from Data Source: Couple and One Dependent
Comments: This field is only applicable if Age field equals Family Option

Variable Name: CoupleAndTwoDependents



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Variable Definition: Dollar value for the insurance premium cost applicable to the primary enrollee plus a secondary subscriber and two dependents for the insurance plan in a rating area

Data Type: Text

Variable Label: Couple and Two Dependents

Allowable Values: Free Text

Data Source: Template field

Field Name from Data Source: Couple and Two Dependents

Comments: This field is only applicable if Age field equals Family Option

Variable Name: CoupleAndThreeOrMoreDependents

Variable Definition: Dollar value for the insurance premium cost applicable to the primary enrollee plus a secondary subscriber and three or more dependents for the insurance plan in a rating area

Data Type: Text

Variable Label: Couple and Three or More Dependents

Allowable Values: Free Text

Data Source: Template field

Field Name from Data Source: Couple and Three or More Dependents

Comments: This field is only applicable if Age field equals Family Option

Variable Name: RowNumber

Variable Definition: Integer value for template row number associated with this data record

Data Type: Text

Variable Label: Row Number

Allowable Values: Free Text

Data Source: System-generated field

Field Name from Data Source: Row Number

Comments: Unavailable for some templates
