



**Federal Fiscal Year 2010
State Program Integrity Assessment (SPIA)**



State of Minnesota

Program Characteristics		
Medicaid Enrollment:	Fee-for-service recipients:	237,107
	Comprehensive managed care:	476,640
	Primary care case management:	0
	Other:	0
	Total:	713,747
Organizational structure for Medicaid Integrity activities:	Hybrid Model	
Activities that the State includes under the scope of Medicaid Integrity:	Audits, Investigations, SURS/Data Mining, Provider Enrollment, Provider Education/Communications, Managed care oversight, Other	
	Other: Prior Authorizations	
Medicaid Integrity activities that the State contracts out:	Other	
	Other: Prior Authorizations	
Estimate of expenditures (\$) for Medicaid Integrity activities:		\$6,961,500.00
Planning		
Staffing		
Total number of full-time equivalent employees (FTEs) for all functions considered to be Medicaid Integrity:	Audits:	
	Filled:	7.00
	Vacant:	0.00
	Investigations:	
	Filled:	14.50
	Vacant:	0.00
	SURS/Data Mining:	
	Filled:	2.00
	Vacant:	0.00
	Provider Enrollment	
	Filled:	17.00
	Vacant:	0.00
	Provider Education/Communications:	
	Filled:	11.00
	Vacant:	0.00
	Other: NA	
	Filled:	0.00
	Vacant:	0.00
Strategic Planning		
State has a documented strategic plan to address Medicaid Integrity:	For its Fee-For-Service program(s)?:	No
	For its managed care program(s)?:	No

Prevention		
Total number of participating Medicaid providers:	127,469	
Number of providers applied for enrollment in Medicaid:	46,147	
Number of providers denied enrollment in Medicaid:	2,427	
Pre-enrollment screening conducted on individuals/entities applying for Medicaid provider numbers:	In-state licensing board, Out-of-State licensing board, HHS OIG's List of Excluded Individuals and Entities (LEIE), Criminal background investigations, Check if provider has another provider number under which the provider made inappropriate payments Other: NPPES Website	
State maintains its own list of providers who have been involuntarily dis-enrolled:	No	
Detection		
State typically extrapolates overpayments:	No	
Total number of provider audits conducted:		
	Desk Audits	
	State staff:	0
	Contractor staff:	0
	Field Audits	
	State staff:	57
	Contractor staff:	0
	Provider Self-Audits	
	State staff:	0
	Contractor staff:	0
	Combination Desk/Field audits	
	State staff:	788
	Contractor staff:	0
	Cost report Audits	
	State staff:	2
	Contractor staff:	0
	Total	
	State staff:	847
	Contractor staff:	0
Overpayments (\$) identified as a result of provider audits:	Desk Audits: \$821,700.00	
	Field Audits: \$560,600.00	
	Provider Self-Audits: \$ 0.00	
	Combination Desk/Field Audits: \$19,979,300.00	
	Cost Report Audits: \$44,000.00	
	Total: \$21,405,600.00	

Investigation and Recovery													
Referrals to Law Enforcement													
Number of referrals accepted by the MFCU:	39												
Number of referrals made to the MFCU:	69												
Provider Suspensions & Sanctions													
State imposes provider payment suspensions due to inappropriate or fraudulent activities:	Yes												
State imposes provider sanctions due to inappropriate or fraudulent activities:	Yes												
Cost Avoidance													
State calculates the dollars cost avoided from terminating providers:	No												
State calculates the dollars cost avoided from providers that withdrew due to program integrity concerns:	No												
State calculates cost avoidance dollars due to changes in payment systems:	No												
State measures cost avoidance dollars due to policy changes:	No												
Recoveries													
Total recoveries (\$) from provider audits:	<table border="0"> <tr> <td>Desk Audits:</td> <td align="right">\$821,700.00</td> </tr> <tr> <td>Field Audits:</td> <td align="right">\$560,600.00</td> </tr> <tr> <td>Provider self-audits:</td> <td align="right">\$ 0.00</td> </tr> <tr> <td>Combination desk/field audits:</td> <td align="right">\$19,979,300.00</td> </tr> <tr> <td>Cost report audits:</td> <td align="right">\$44,000.00</td> </tr> <tr> <td>Total:</td> <td align="right">\$21,405,600.00</td> </tr> </table>	Desk Audits:	\$821,700.00	Field Audits:	\$560,600.00	Provider self-audits:	\$ 0.00	Combination desk/field audits:	\$19,979,300.00	Cost report audits:	\$44,000.00	Total:	\$21,405,600.00
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Total:	\$21,405,600.00												
Total dollars recovered from ALL Medicaid Integrity activities	\$28,893,300.00												