

DEPARTMENT OF HEALTH & HUMAN SERVICES
Centers for Medicare & Medicaid Services
7500 Security Boulevard
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DATE: November 2, 2018

TO: Medicare Advantage Plans and Prescription Drug Plans in California, Illinois, Massachusetts, Michigan, New York, Ohio, Rhode Island, South Carolina, and Texas

FROM: Jerry Mulcahy, Director, Medicare Enrollment and Appeals Group,
Center for Medicare

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Coordination Office

SUBJECT: Guidance for Medicare Advantage Prescription Drug Plans (MA-PDs) and
Prescription Drug Plans (PDPs) in States with Demonstrations of the Capitated
Model of the Financial Alignment Initiative

CMS partners with nine states to offer demonstrations under the capitated model of the Financial Alignment Initiative to integrate care and financing for individuals dually eligible for Medicare and Medicaid. Under the capitated model of these demonstrations, integrated Medicare-Medicaid Plans (MMPs) provide both Medicaid and Medicare benefits to demonstration enrollees.

In 42 CFR 423.38(c)(4), CMS codified new limitations to the Special Enrollment Period (SEP) for dually-eligible and other LIS-eligible individuals beginning January 1, 2019. The new dually-eligible and other LIS-eligible SEP allows an individual to enroll in, or disenroll from, a plan once per calendar quarter during the first nine months of the year. Please note that this SEP is not available to individuals notified of being “potentially-at-risk” or “at-risk” of misusing or abusing frequently abused drugs [sometimes called “CARA status” as it is authorized by the Comprehensive Addiction and Recovery Act].

Under demonstration authority, states secured waivers of 42 CFR 423.38(c)(4) to permit full-dual eligible beneficiaries to enroll in an MMP, switch between MMPs, and disenroll from an MMP (including by choosing another Medicare health or drug plan) at any time during calendar year 2019. The waiver does not extend to individuals whose current Part D plans placed them in a CARA status (i.e., they may not use this SEP waiver to make one of these MMP-related enrollment changes), nor does it extend to dual eligible individuals not taking one of these MMP-related actions (e.g., who are in an MA plan or PDP and want to enroll into a Dual

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Eligible Special Needs Plan).

As such, if a full-benefit dual eligible individual requests to enroll in your plan, and has no available enrollment periods, please check if they are enrolled in an MMP and confirm the person is not in a CARA status; if so, the individual has the demonstration-related SEP to disenroll from the MMP by enrolling in your plan. CMS expects these situations will occur infrequently. In cases where they do, please submit the enrollment transaction with the “U” election type code.

For a list of MMPs and their service area in each of the nine demonstration states, please see Attachment 1.

Please send any questions to MMCOCAPSMODEL@CMS.HHS.GOV

Attachment 1 – List of Demonstrations States’ Medicare-Medicaid Plans (MMPs) and Their Service Area

CALIFORNIA:

- Demonstration Name: Cal MediConnect
- Demonstration Plans Offered in Following Counties: Los Angeles, Orange, Riverside, San Bernardino, San Diego, San Mateo, and Santa Clara counties

Cal MediConnect Plans - Contract Number and Marketing Name	County
H0148 Care1st Health Plan	• Los Angeles, San Diego
H3237 Health Net Community Solutions, Inc.	• Los Angeles, San Diego
H5172 Community Health Group	• San Diego
H5355 IEHP Health Access	• Riverside, San Bernardino
H6229 Blue Cross of California Partnership Plan, Inc.	• Los Angeles, Santa Clara
H7885 Health Plan of San Mateo	• San Mateo
H7890 Santa Clara Family Health Plan	• Santa Clara
H8016 CalOptima	• Orange
H8677 Molina Healthcare of California	• Los Angeles, Riverside, San Bernardino, San Diego
H8258 LA Care Health Plan	• Los Angeles

For additional information about the Cal MediConnect demonstration, please see:

- State webpage: <http://calduals.org/>
- CMS Medicare-Medicaid Financial Alignment Initiative webpage: <https://www.cms.gov/Medicare-Medicaid-Coordination/Medicare-and-Medicaid-Coordination/Medicare-Medicaid-Coordination-Office/FinancialAlignmentInitiative/FinancialModelstoSupportStatesEffortsinCareCoordination.html>

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ILLINOIS:

- Demonstration Name: Medicare-Medicaid Alignment Initiative (MMAI)
- Demonstration Plans Offered in Following Counties: Champaign, Christian, Cook, De Witt, DuPage, Ford, Kane, Kankakee, Knox, Lake, Logan, McLean, Macon, Menard, Peoria, Piatt, Sangamon, Start, Tazewell, Vermilion, & Will counties.

Medicare-Medicaid Alignment Initiative (MMAI) Plans - Contract Number and Marketing Name	County
H0281 IlliniCare Health Plan	• Cook, DuPage, Kane, Kankakee, Lake, & Will
H0336 Humana Health Plan, Inc.	• Cook, DuPage, Kane, Kankakee, Lake, & Will
H0927 Health Care Service Corporation	• Cook, DuPage, Kane, Kankakee, Lake, & Will
H2506 Aetna Better Health of Illinois	• Cook, DuPage, Kane, Kankakee, & Will
H6080 Meridian Health Plan of Illinois, Inc	• Cook, DuPage, Kane, & Will
H8046 Molina Healthcare of Illinois	• Champaign, Christian, De Witt, Ford, Knox, Logan, McLean, Macon, Menard, Peoria, Piatt, Sangamon, Stark, Tazewell, & Vermilion

For additional information about the MMAI demonstration, please see:

- State webpage:
<https://www.illinois.gov/hfs/MedicalProviders/cc/mmai/Pages/default.aspx>
- CMS Medicare-Medicaid Financial Alignment Initiative webpage:
<https://www.cms.gov/Medicare-Medicaid-Coordination/Medicare-and-Medicaid-Coordination/Medicare-Medicaid-Coordination-Office/FinancialAlignmentInitiative/FinancialModelstoSupportStatesEffortsInCareCoordination.html>

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MASSACHUSETTS:

- Demonstration Name: One Care
- Demonstration Plans Offered in Following Counties: Bristol, Essex, Franklin, Hampden, Hampshire, Middlesex, Norfolk, Plymouth, Suffolk, & Worcester counties.

The One Care Plans - Contract Number and Marketing Name	County
H0137 Commonwealth Care Alliance, Inc.	• Bristol, Essex, Franklin, Hampden, Hampshire, Middlesex, Norfolk, Plymouth (Partial), Suffolk, Worcester
H7419 Tufts Health Public Plans, Inc.	• Middlesex (Partial), Suffolk, Worcester

For additional information about the One Care demonstration, please see:

- State webpage: <https://www.mass.gov/integrating-medicare-and-medicaid-for-dual-eligible-individuals>
- CMS Medicare-Medicaid Financial Alignment Initiative webpage: <https://www.cms.gov/Medicare-Medicaid-Coordination/Medicare-and-Medicaid-Coordination/Medicare-Medicaid-Coordination-Office/FinancialAlignmentInitiative/FinancialModelstoSupportStatesEffortsinCareCoordination.html>

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MICHIGAN:

- Demonstration Name: MI Health Link
- Demonstration Plans Offered in Following Counties: Alger, Baraga, Barry, Berrien, Branch, Calhoun, Cass, Chippewa, Delta, Dickinson, Gobebe, Houghton, Iron Kalamazoo, Keweenaw, Luce, Mackinac, Macomb, Marquette, Menominee, Ontonagon, Schoolcraft, St. Joseph, Van Buren, & Wayne counties.

MI Health Link Plan - Contract Number and Marketing Name	County
H0192 AmeriHealth Michigan, Inc.	• Macomb, Wayne
H0480 Meridian Health Plan of Michigan, Inc.	• Barry, Berrien, Branch, Calhoun, Cass, Kalamazoo, St. Joseph, Van Buren
H1977 Upper Peninsula Health Plan, LLC	• Alger, Baraga, Chippewa, Delta, Dickinson, Gobebe, Houghton, Iron, Keweenaw, Luce, Mackinac, Marquette, Menominee, Ontonagon, Schoolcraft
H7844 Molina Healthcare of Michigan	• Macomb, Wayne
H8026 Aetna Better Health of Michigan, Inc.	• Barry, Berrien, Branch, Calhoun, Cass, Kalamazoo, Macomb, St. Joseph, Van Buren, Wayne
H9487 Michigan Complete Health, Inc.	• Macomb, Wayne
H9712 HAP Midwest Health Plan, Inc.	• Macomb, Wayne

For additional information about the MI Health Link demonstration, please see:

- State webpage: <https://www.michigan.gov/mihealthlink>
- CMS Medicare-Medicaid Financial Alignment Initiative webpage: <https://www.cms.gov/Medicare-Medicaid-Coordination/Medicare-and-Medicaid-Coordination/Medicare-Medicaid-Coordination-Office/FinancialAlignmentInitiative/FinancialModelstoSupportStatesEffortsinCareCoordination.html>

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NEW YORK:

- Demonstration Name: FIDA
- Demonstration Plans Offered in Following Counties: Bronx, Kings, Nassau, New York, Queens, Richmond, Rockland, Suffolk, and Westchester counties.

New York – FIDA Plans - Contract Number and Marketing Name	County
H3018 Centers for Healthy Living, LLC	• Bronx, Kings, New York, Queens, Richmond
H5441 HealthFirst Health Plan, Inc.	• Bronx, Kings, Nassau, New York, Queens, Richmond, Westchester
H6435 ElderServe Health, Inc.	• Bronx, Kings, Nassau, New York, Queens, Richmond, Westchester
H8029 Elderplan, Inc.	• Bronx, Kings, Nassau, New York, Queens, Richmond
H8490 VNS Choice	• Bronx, Kings, Nassau, New York, Queens, Richmond
H8851 Senior Whole Health of New York, Inc.	• Bronx, Kings, New York, Queens
H9869 Partners Health Plan, Inc.	• Bronx, Kings, Nassau, New York, Queens, Richmond, Rockland, Suffolk, Westchester

For additional information about the New York - FIDA demonstration, please see:

- State webpage: https://www.health.ny.gov/health_care/medicaid/redesign/fida/
- CMS Medicare-Medicaid Financial Alignment Initiative webpage: <https://www.cms.gov/Medicare-Medicaid-Coordination/Medicare-and-Medicaid-Coordination/Medicare-Medicaid-Coordination-Office/FinancialAlignmentInitiative/FinancialModelstoSupportStatesEffortsinCareCoordination.html>

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OHIO:

- Demonstration Name: MyCare Ohio
- Demonstration Plans Offered in Following Counties: Butler, Clark, Clermont, Clinton, Columbiana, Cuyahoga, Delaware, Fulton, Franklin, Geauga, Greene, Hamilton, Lake, Lorain, Lucas, Madison, Mahoning, Medina, Montgomery, Ottawa, Pickaway, Portage, Stark, Summit, Trumbull, Union, Warren, Wayne, and Wood counties.

MyCare Ohio Plans - Contract Number and Marketing Name	County
H0022 Buckeye Community Health Plan, Inc.	<ul style="list-style-type: none"> • Clark, Cuyahoga, Fulton, Geauga, Greene, Lake, Lorain, Lucas, Medina, Montgomery, Ottawa, Wood
H2531 United Healthcare Community Plan of Ohio, Inc	<ul style="list-style-type: none"> • Columbiana, Cuyahoga, Geauga, Lake, Lorain, Mahoning, Medina, Portage, Stark, Summit, Trumbull, Wayne
H5280 Molina Healthcare of Ohio	<ul style="list-style-type: none"> • Butler, Clark, Clermont, Clinton, Delaware, Franklin, Greene, Hamilton, Madison, Montgomery, Pickaway Union, Warren
H7172 Aetna Better Health, Inc. (Ohio)	<ul style="list-style-type: none"> • Butler, Clermont, Clinton, Delaware, Franklin, Fulton, Hamilton, Lucas, Madison, Ottawa, Pickaway, Union, Warren, Wood
H8452 CareSource	<ul style="list-style-type: none"> • Columbiana, Cuyahoga, Geauga, Lake, Lorain, Mahoning, Medina, Portage, Stark, Summit, Trumbull, Wayne

For additional information about the MyCare Ohio demonstration, please see:

- State webpage: <http://www.medicaid.ohio.gov/mycareohio>
- CMS Medicare-Medicaid Financial Alignment Initiative webpage: <https://www.cms.gov/Medicare-Medicaid-Coordination/Medicare-and-Medicaid-Coordination/Medicare-Medicaid-Coordination-Office/FinancialAlignmentInitiative/FinancialModelstoSupportStatesEffortsinCareCoordination.html>

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RHODE ISLAND:

- Demonstration Name: Medicare-Medicaid Alignment Integrated Care Initiative
Demonstration Plans Offered in Following Counties: Bristol, Kent, Newport, Providence, Washington

Medicare-Medicaid Alignment Integrated Care Initiative Plans - Contract Number and Marketing Name	County
H9576 Neighborhood Health Plan of Rhode Island (INTEGRITY)	• Bristol, Kent, Newport, Providence, Washington

For additional information about the Medicare-Medicaid Alignment Integrated Care Initiative Demonstration, please see:

- State webpage: <http://www.eohhs.ri.gov/Initiatives/IntegratedCareInitiative/Medicare-MedicaidPlan.aspx>
- CMS Medicare-Medicaid Financial Alignment Initiative webpage: <https://www.cms.gov/Medicare-Medicaid-Coordination/Medicare-and-Medicaid-Coordination/Medicare-Medicaid-Coordination-Office/FinancialAlignmentInitiative/FinancialModelstoSupportStatesEffortsInCareCoordination.html>

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SOUTH CAROLINA:

- Demonstration Name: Healthy Connections Prime
- Demonstration Plans Offered in Following Counties: Abbeville, Allendale, Anderson, Bamberg, Barnwell, Beaufort, Berkeley, Calhoun, Charleston, Cherokee, Chester, Chesterfield, Clarendon, Colleton, Dillon, Edgefield, Fairfield, Florence, Georgetown, Greenville, Greenwood, Hampton, Jasper, Kershaw, Laurens, Lee, Lexington, McCormick, Marion, Marlboro, Newberry, Oconee, Orangeburg, Pickens, Richland, Saluda, Spartanburg, Union, Williamsburg

Healthy Connections Prime Plans - Contract Number and Marketing Name	County
H1723 Absolute Total Care, Inc.	<ul style="list-style-type: none"> • Abbeville, Allendale, Bamberg, Barnwell, Beaufort, Berkeley, Calhoun, Charleston, Chester, Chesterfield, Clarendon, Colleton, Dillon, Edgefield, Fairfield, Florence, Georgetown, Greenville, Hampton, Jasper, Kershaw, Laurens, Lee, Lexington, McCormick, Marion, Marlboro, Newberry, Orangeburg, Pickens, Richland, Saluda, Spartanburg, Union, Williamsburg
H2533 Molina Healthcare of South Carolina, Inc.	<ul style="list-style-type: none"> • Abbeville, Allendale, Bamberg, Barnwell, Calhoun, Charleston, Chester, Chesterfield, Colleton, Dillon, Edgefield, Fairfield, Florence, Greenville, Hampton, Kershaw, Laurens, Lee, Lexington, McCormick, Marion, Marlboro, Newberry, Pickens, Richland, Saluda, Spartanburg, Union, Williamsburg

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Healthy Connections Prime Plans - Contract Number and Marketing Name	County
H8213 Select Health of South Carolina, Inc.	<ul style="list-style-type: none"> • Abbeville, Allendale, Anderson, Bamberg, Barnwell, Beaufort, Berkeley, Calhoun, Charleston, Cherokee, Chester, Chesterfield, Clarendon, Colleton, Dillon, Edgefield, Fairfield, Florence, Georgetown, Greenville, Greenwood, Hampton, Jasper, Kershaw, Laurens, Lee, Lexington, McCormick, Marion, Marlboro, Newberry, Oconee, Orangeburg, Pickens, Richland, Saluda, Spartanburg, Union, Williamsburg

For additional information about the Healthy Connections Prime demonstration, please see:

- State webpage: <https://msp.scdhhs.gov/SCDue2/>
 CMS Medicare-Medicaid Financial Alignment Initiative webpage:
<https://www.cms.gov/Medicare-Medicaid-Coordination/Medicare-and-Medicaid-Coordination/Medicare-Medicaid-Coordination-Office/FinancialAlignmentInitiative/FinancialModelstoSupportStatesEffortsinCareCoordination.html>

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TEXAS:

- Demonstration Name: Texas Dual Eligible Integrated Care
- Demonstration Plans Offered in Following Counties: Bexar, Dallas, El Paso, Harris, Hidalgo, and Tarrant counties.

Texas Dual Eligible Integrated Care Plans - Contract Number and Marketing Name	County
H6870 Superior Health Plan, Inc.	• Bexar, Dallas, Hidalgo
H7833 United HealthCare Community Plan of Texas, LLC	• Harris
H8197 Molina Healthcare of Texas	• Bexar, Dallas, El Paso, Harris, Hidalgo
H8423 HealthSpring Life & Health Insurance Company, Inc.	• Hidalgo
H8786 Amerigroup Texas, Inc.	• Bexar, El Paso, Harris, Tarrant

For additional information about the Texas Dual Eligible Integrated Care Demonstration Project, please see:

- State webpage: <https://hhs.texas.gov/services/health/medicaid-chip/programs/dual-eligible-project-mmp>

CMS Medicare-Medicaid Financial Alignment Initiative webpage:

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