

Version 5010 and ICD-10 Readiness Assessment

***Conducted among Health Care Providers, Payers and Vendors for the
Centers for Medicare & Medicaid Services (CMS)***

December 2011

OMB Approval No: 0938-1149

Approval Expires: 10/31/2014

**Prepared by
Ketchum Inc**

Background and Methodology

The Centers for Medicare & Medicaid Services (CMS) designed an OMB-cleared assessment instrument to survey health care providers, payers and vendors about their awareness of and preparation for the upgrade to Version 5010 and transition to ICD-10. The research was conducted November 1 – December 5, 2011, and fielded by Ipsos, a leading market research firm.

Survey participants included the following segments:

- 404 health care providers, including hospital and pharmacy chain administrators and health care practice managers
- 101 payers, including directors or higher at health insurance companies, managed care organizations, and pharmacy benefits managers
- 90 vendors, including managers at health IT system developers, billing services and clearing houses

The sample used for the survey was a convenience sample from established panels constructed using association, state licensing, and publication data on the populations of interest. Recruitment was conducted using a range of techniques, including email invitation, affiliate networks, and online recruitment.

Summary of Results

Awareness

Providers

Eighty-three percent of providers indicated they were aware of the upgrade to Version 5010, and three-quarters said they were aware of the specific deadline for the upgrade. Providers in large physician practice settings (93%) were more likely to be aware of the Version 5010 transition than those in small practice settings (80%). Large hospitals (85%) and small hospitals (80%) also had lower awareness levels. There were no significant differences among practice settings regarding awareness of the deadline for the transition.

Most providers were also aware of the upcoming transition to the ICD-10 codes (87%) and the specific deadline for compliance (78%). There were no significant differences among practice settings regarding awareness of the transition or the deadline for ICD-10 compliance.

Payers

Seventy-nine percent of respondents indicated they were aware of the upgrade to Version 5010, and 81 percent said they are aware of the deadline for the upgrade. Most payers also said they were aware of the upcoming transition to ICD-10 codes (87%) and the deadline for compliance (83%).

Vendors

Eighty-five percent of respondents indicated they were aware of the upgrade to Version 5010, and 75 percent said they are aware of the deadline for the upgrade. Most vendors also said they are aware of the upcoming transition to ICD-10 codes (83%) and the deadline for compliance (72%).

Preparation

Providers

Eighty-one percent of providers said their organization was taking steps to prepare for the upgrade to Version 5010, and nearly two-thirds said they think their organization will be compliant by the deadline (64%).

Providers in large practice settings (96%) were more likely to indicate their organization was taking steps to prepare for the Version 5010 upgrade, compared to those in large hospitals (84%), small hospitals (84%) and small practice settings (75%). There were no significant differences among practice settings regarding their perceptions of their likelihood of achieving compliance before the deadline.

Most providers also said their organization was taking steps to prepare for the ICD-10 transition (79%), and they believe they will be compliant by the deadline (82%).

Payers

Eighty-seven percent said their organization was taking steps to prepare for the Version 5010 upgrade, and 80 percent said they thought their organization would be compliant by the deadline. Most also said their organization was taking steps to prepare for the ICD-10 transition (88%), and they believed they would be compliant by the deadline (88%).

Vendors

Eighty-four percent said their organization was taking steps to prepare for the Version 5010 upgrade, and 69 percent said they thought their organization would be compliant by the deadline. Most vendors also said their organization was taking steps to prepare for the ICD-10 transition (78%), and three quarters believed they would be compliant by the deadline (75%).

Conclusion

CMS is applying results from the readiness assessment to inform communication and education efforts with the health care industry about the Version 5010 upgrade and ICD-10 transition.