



Medicare Special Needs Plans Performance Results: HEDIS 2015

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Executive Summary

Overview

This report provides results of measurement of care provided by Special Needs Plans (SNP) to Medicare beneficiaries using Healthcare Effectiveness Data and Information Set (HEDIS^{®1}) measures. (See Appendix A for additional information about HEDIS.)

As of February 2014, there were 566 SNPs participating in the program with 418 of these SNPs required to report HEDIS 2015 results by the Centers for Medicare & Medicaid Services (CMS). NCQA also received 2 submissions from SNPs that had fewer than 30 beneficiaries and were not required to report because of their small enrollment. Therefore, 420 SNPs reported HEDIS 2015 results. Results for this review period cover 32 HEDIS measures: 26 clinical performance measures, 4 board certification measures and 2 utilization measures. All measures were selected for their relevance to SNP populations. As required, results were audited by NCQA-Certified HEDIS Compliance Auditors. HEDIS data reflect care provided in 2014 and reported in HEDIS 2015. The report compares HEDIS 2015 results with those reported in 2013 and 2014. The report also compares performance among different SNP types and compares SNP performance to the performance of the Medicare Advantage (MA) program as a whole.

Findings

Highest and Lowest Rates (Table 3a). The measures with the highest and lowest HEDIS 2015 rates were:

Highest Five:

1. *Annual Monitoring for Patients on Persistent Medications – Diuretic Monitoring* (94.7%).
2. *Annual Monitoring for Patients on Persistent Medications—ACE/ARB Monitoring* (94.5%).
3. *Annual Monitoring for Patients on Persistent Medications—Total Rate* (93.8%).
4. *Persistence of Beta-Blocker Treatment After a Heart Attack* (89.9%).
5. *Care for Older Adults—Pain Screening* (88.6%).

Lowest Five:

1. *Use of Spirometry Testing in the Assessment and Diagnosis of COPD* (34.1%).
2. *Follow-Up After Hospitalization for Mental Illness—Follow-Up Within 7 Days of Discharge* (35.3%).
3. *Medication Reconciliation Post-Discharge* (35.6%).
4. *Osteoporosis Management in Women Who Had a Fracture* (37.6%).
5. *Potentially Harmful Drug-Disease Interactions—Dementia* (60.0%). (Lower values signify better performance; this is equivalent to 40.0%).

Three out of the five measures with the highest performance are *Annual Monitoring for Patients on Persistent Medications* indicators. Four measures in the highest five are medication management measures. Four of these five measures are also reported by MA contracts, which also have high performance rates for these measures.

Four of the five measures with the lowest performance are also reported at the MA contract-level. *Medication Reconciliation Post-Discharge* has until this time only been reported at the SNP benefit package-level. Starting next year with HEDIS 2016, this measure will also be collected at the MA contract-level.

Out of the five measures with the lowest performance, only one measure, *Osteoporosis Management in Women Who Had a Fracture*, is used by CMS for the 2016 Medicare Part C & D Star Ratings. The Star Ratings have given MA organizations significant incentive to improve performance at the contract-level, which

¹HEDIS[®] is a registered trademark of the National Committee for Quality Assurance (NCQA).

also includes SNP enrollees. While it can be tempting to cite the lack of incentive or penalty related to performance on these measures is a likely contributing factor for their poor performance, it is important to note that 4 out of the 5 measures with the highest performance are also not used in Star Ratings. **Largest Significant Changes (Table 3a).** The measures listed below show the largest significant changes, positive or negative, from HEDIS 2013–2015. The measures with the largest increases showed a 10.0 percentage point or greater rate increase. The measures with the largest decreases showed a 2.0 percentage point or greater rate decrease.

Largest Increase:

1. *Care for Older Adults—Functional Status Assessment* (12.7 percentage points).
2. *Osteoporosis Management in Women Who Had a Fracture* (12.7 percentage points).
3. *Care for Older Adults—Advance Care Planning* (12.6 percentage points).
4. *Medication Reconciliation Post-Discharge* (11.8 percentage points).
5. *Care for Older Adults—Medication Review* (10.4 percentage points).

Largest Decrease:

1. *Active Board Certification—Geriatrics* (11.7 percentage points).
2. *Active Board Certification—Family Medicine* (6.5 percentage points).
3. *Active Board Certification—Internal Medicine* (5.3 percentage points).
4. *Antidepressant Medication Management—Continuation Phase* (3.1 percentage points).
5. *Active Board Certification—Other physician specialists* (2.3 percentage points).

Three of the five measures with the largest increase are *Care for Older Adults* measures, which only SNPs collect. Four measures with the largest decreases are for *Active Board Certification*.

Measures only SNPs report (3a). There are five HEDIS measures that only SNPs report (*Care for Older Adults—Advance Care Planning*, *Care for Older Adults—Functional Status Assessment*, *Care for Older Adults—Medication Review*, *Care for Older Adults—Pain Screening*, *Medication Reconciliation Post-Discharge*). Overall performance rates for these measures are generally lower than for the other measures in the SNP reporting set. These measures rely on medical record review. There is the potential that the measure results may understate performance if SNPs did not vigorously pursue obtaining medical charts.

As mentioned above, 3 *Care for Older Adults* measures showed significant improvement from 2013–2015: *Advance Care Planning* (12.6 percentage points), *Medication Review* (10.4 percentage points), and *Functional Status Assessment* (12.7 percentage points).

The fifth SNP-only measure, *Medication Reconciliation Post-Discharge*, showed a statistically significant increase (1.8 percentage points) in overall rate between HEDIS 2014 and HEDIS 2015 and an 11.8 percentage point increase from HEDIS 2013–2015. Starting with HEDIS 2016, Medicare Advantage contracts will also be collecting this measure.

SNPs reporting HEDIS 2013–2015 (Table 3b). For SNPs that reported in all 3 years, results were slightly lower than for plans that reported in any of the 3 years. Nine measures with trendable data showed statistically significant improvement over the entire period and 12 measures showed significant improvement from 2014–2015. Five measures showed statistically significant decline over the entire period and two measures showed significant decline from HEDIS 2014–2015.

The five measures with the largest increase from HEDIS 2013 – HEDIS 2015 were:

- *Osteoporosis Management in Women Who Had a Fracture* (13.5 percentage points).
- *Medication Reconciliation Post-Discharge* (12.5 percentage points).
- Three *Care for Older Adults* measures (*Advance Care Planning*, *Medication Review*, *Functional Status Assessment* with an average of 11.0 percentage points).

The five measures with the largest decrease from HEDIS 2013 – HEDIS 2015 were:

- *Active Board Certification - Geriatrics* (3.5 percentage points).
- *Antidepressant Medication Management - Continuation Phase* (3.1 percentage points).
- *Active Board Certification - Family Medicine* (3.1 percentage points).
- *Active Board Certification - Internal Medicine* (2.8 percentage points).
- *Follow-Up After Hospitalization for Mental Illness - Follow-Up Within 30 Days of Discharge* (2.2 percentage points).

SNP and MA program performance (Table 4). While the SNP program outperformed MA program on 6 measures, overall, the MA program continued to perform statistically higher on 19 HEDIS 2015 measures. For the following measures, the gap in performance between the MA and SNP programs increased:

- *Use of Spirometry Testing in the Assessment and Diagnosis of COPD.*
- *Pharmacotherapy of COPD Exacerbation—Dispensed Bronchodilator Within 30 Days of Event.*
- *Persistence of Beta-Blocker Treatment After a Heart Attack.*
- *Osteoporosis Management in Women Who Had a Fracture.*
- *Antidepressant Medication Management—Acute Phase.*
- *Follow-Up After Hospitalization for Mental Illness* measures.
- *Potentially Harmful Drug-Disease Interactions—History of Falls.*
- *Potentially Harmful Drug-Disease Interactions—Chronic Renal Failure.*

Important Note: MA plans report HEDIS measures at the contract level, which may include SNP beneficiaries because some MA contracts include SNP plan benefit packages. However, these represent a small portion of the overall MA population, as indicated by the eligible population data for each measure.

Program performance by SNP type (Table 5). Overall for HEDIS 2015, I-SNPs had higher rates on 19 measures than the other SNP types, compared to 10 measures for the D-SNPs and 3 measures for C-SNP.

- I-SNPs showed statistically significant improvement for two measures (*Care for Older Adults—Advance Care Planning* and *Use of High-Risk Medications in the Elderly (At Least One High-Risk Medication)*) and showed statistically significant decreases in performance for *Use of Spirometry Testing in the Assessment and Diagnosis of COPD*.
- D-SNPs showed statistically significant performance improvement for seven measures (*Osteoporosis Management in Women Who Had a Fracture*, all four *Care for Older Adults* measures, both *Use of High-Risk Medications in the Elderly* measures) and showed a statistically significant decrease in performance for *Active Board Certification—Internal Medicine*.
- C-SNPs showed statistically significant improvement on ten measures and statistically significant decreases in performance for three measures (three out of four *Active Board Certification* measures, *Family Medicine*, *Internal Medicine*, and *Geriatrics*). The largest significant gains were for *Medication Reconciliation Post-Discharge*, two *Care for Older Adults* measures (*Medication Review* and *Pain Screening*) and *Use of High-Risk Medications in the Elderly- At least One High-Risk Medication*.

Plan benefit package-level performance (Table 7). In addition to the aggregate performance analyses, NCQA evaluates performance at the benefit package-level. Analyses represent results from individual plan performance. Data show a wide distribution of performance within each measure. The average difference between the 10th and 90th percentile is 17.2 percentage points, a decrease of 7.5 percentage points from 2014, indicating that the gap between the highest and lowest performers is narrowing.

Objectives and Background

Objectives

This report presents results for SNPs reporting HEDIS 2015 performance measures. It displays SNP performance in table format and discusses performance results, provides an overview of the criteria used to select the measures and examines the data collection and validation process. The *Data Limitations* section considers the challenges and constraints of SNP assessment.

SNP Overview

SNPs were created by Congress in the Medicare Modernization Act (MMA) of 2003, as a new type of Medicare managed care plan that focus on certain vulnerable groups of Medicare beneficiaries. Unlike other types of MA plans, SNPs can limit enrollment to the following subgroups:

- *Dual-Eligible SNPs (D-SNP)* enroll beneficiaries eligible for Medicare and Medicaid.
- *Institutional SNPs (I-SNP)* enroll beneficiaries who are institutionalized or are determined by use of a State assessment tool, to meet institutional level of care. Those that meet the institutional level of care can live in the community and be enrolled in the I-SNP.
- *Chronic SNPs (C-SNP)* enroll beneficiaries with certain chronic or disabling conditions.

(See Table 1 for further comparison of SNPs to MA plans.) The MMA stated that SNPs should emphasize monitoring health status, managing chronic diseases, avoiding inappropriate hospitalizations and helping beneficiaries maintain or improve their health status. Originally, SNP authority was set to expire in December 2008, but Congress has subsequently acted to revise and extend the program beyond that period.

Table 1. Key Differences between SNPs and Standard MA Plans²

Categories	SNPs	MA plans
Enrollment	<ul style="list-style-type: none"> • Must limit enrollment to targeted special needs individuals (i.e., dual eligible beneficiaries, those with specific chronic or disabling conditions, or living in or eligible for residing in an institutional setting). • May target specific subsets of special needs populations (e.g., beneficiaries with congestive heart failure or diabetes). • Dual-eligible and institutionalized beneficiaries may enroll and disenroll throughout the year. Chronic care beneficiaries have a one-time enrollment option outside of standard enrollment periods. 	<ul style="list-style-type: none"> • Must be open to all Medicare-eligible beneficiaries. • Lock-in provision for all enrollees with an annual open-enrollment period.
Benefits	<ul style="list-style-type: none"> • Standard MA benefits. • Must offer Part D prescription drug coverage. 	<ul style="list-style-type: none"> • Standard MA benefits. • Part D coverage is voluntary.
Payments	<ul style="list-style-type: none"> • Standard MA geographic payment schedule, with PMPM payments risk-adjusted by hierarchical condition category (HCC) scores. 	
Marketing	<ul style="list-style-type: none"> • May target special needs populations in the market area. • May target specific subsets of special needs populations (on a case-by-case basis) in the market area. 	<ul style="list-style-type: none"> • Must include all Medicare-eligible beneficiaries in the market area.

Historical SNP Enrollment Changes

The SNP program began with 11 SNPs in 2004 and grew to 702 by February 2008. The number of SNP benefit packages reporting HEDIS was lower for several years (369 in 2010 and 368 in 2011). The number of SNP plans required to report HEDIS data increased in 2012, with 415 SNPs reporting, but decreased to 353 in 2013. The number of SNP plans increased in 2014, with 420 plans reporting.

From 2011–2013, enrollment grew by over 50 percent. Overall, SNPs added 529,006 enrollees from February 2012–February 2013—more than four times the amount of enrollees added during the previous one-year period. The majority of growth came from C-SNPs and D-SNPs, which added more than 20,000 and 407,000 enrollees, respectively, in 2013. I-SNPs grew by 2,730 enrollees. Enrollment decreased overall by 7.6 percent for SNPs between 2013 and 2014 with reduction in enrollment size coming from C-SNPs and D-SNPs.

Most SNP enrollees are in D-SNPs. Enrollment in D-SNP plan benefit packages ranges from 18 enrollees to more than 86,000 enrollees. The total number of D-SNP plans increased by 8.6 percent (245 to 266) from 2013–2014. The number of C-SNPs had the greatest increase, increasing by 64.7 percent (68 to 112) from 2013–2014. C-SNP enrollment decreased by 3.8 percent from 2013–2014. I-SNPs increased by 5 percent (40 to 42) from 2013–2014.

Starting in 2009, CMS required every SNP benefit package (identified by a CMS Plan ID) with 30 or more enrollees to submit audited HEDIS results each year. SNPs listed in the February *SNP Comprehensive Report* as having 29 enrollees or fewer are not required to submit HEDIS measures the following year. Any SNP that meets the enrollment criteria must also exist in both the measurement year and reporting years. Plans that terminated as of December 31, 2015 are required to report if they were in operation for the full 2015 calendar year. As of February 2014, 418 out of 566 SNPs identified by CMS were required to submit data for this report.

²CMS. *Special Needs Plans—Fact Sheet & Data Summary*.
<http://www.cms.hhs.gov/SpecialNeedsPlans/Downloads/FSNPFACT.pdf>

Table 2. SNP Enrollment as of February 2012, 2013 and 2014

SNP Type and Year	SNPs Required to Report HEDIS Measures	
	Number of SNPs	Subtotal Enrollment
2012		
Chronic or Disabling Condition	92	182,969
Dual-Eligible	274	1,107,508
Institutional	49	46,545
2012 Total	415	1,337,022
2013		
Chronic or Disabling Condition	68	283,068
Dual-Eligible	245	1,534,024
Institutional	40	48,936
2013 Total	353	1,866,028
2014		
Chronic or Disabling Condition	112	272,255
Dual-Eligible	266	1,403,045
Institutional	42	48,956
2014 Total	420*	1,724,256

*Two SNPs submitted HEDIS data although they were not required to submit HEDIS measures due to their small enrollment size. The number required to report was 418.

Table 2 illustrates the total submissions for HEDIS measures during the last three data collection periods. As SNP organizations with low enrollment consolidated benefit packages, the percentage of SNPs required to report HEDIS increased steadily for several years as overall growth in the number of SNPs participating in the program slowed: 67 percent in 2008, 85 percent in 2009, 87 percent in 2010, 93 percent in 2011 and 92 percent in 2012. For 2013, the percentage of SNPs meeting the reporting requirements dropped to 78 percent and in 2014 to 74 percent. The recent growth in the number of SNPs in the program most likely explains this decline, as many new plans tend to have low enrollment. However, overall enrollment in the SNP program has continued to increase throughout this period.

For each measure, NCQA requires a denominator of at least 30 enrollees. Measures that have a broader reach (e.g., screening measures such as *Colorectal Cancer Screening*) tend to have a larger percentage of plans that can report; measures with a more narrow specification (e.g., measures requiring a specific medication or test, such as *Persistence of Beta-Blocker Treatment After a Heart Attack*, *Osteoporosis Management in Women Who Had a Fracture*) tend to have a lower percentage of plans able to report.

Table 9a shows the total number and percentage of plans reporting each measure and the number and percentage of plans with denominators above or below 30 for each measure.

HEDIS Results

SNP Program Performance Changes, HEDIS 2013–2015 (Tables 3a and 3b)

Tables 3a and 3b show a three-year trend in SNP performance on HEDIS measures. The two tables differ as follows:

- **Table 3a shows results aggregated across plans for the SNP program as a whole (2013–2015).** It includes 32 measures and results from all SNPs that reported in any of the three years. For the analysis presented in this table, results for statistical significance tests between HEDIS 2013 and 2015 and between HEDIS 2014 and 2015 were based on a non-paired t-test ($p < 0.05$).
- **Table 3b shows results only for SNPs that reported HEDIS measures in all three reporting years (2013–2015).** It includes the results for statistically significant differences between HEDIS 2013 and HEDIS 2015 and between HEDIS 2014 and HEDIS 2015, using a paired t-test ($p < 0.05$) to illustrate performance differences among the same group of SNPs between different time periods.

Although 32 HEDIS measures were collected in HEDIS 2015, year-to-year statistical comparison was not possible for the *Plan All-Cause Readmissions* measures; therefore, performance analyses across years exclude this measure. No statistical testing was done for this measure because risk-adjusted comparisons cannot be made using a t-test. A proper test requires the availability of plan-level confidence intervals for each observed to expected (O/E) ratio. Because total variance was not reported properly by most plans in 2014, statistical tests for *Plan All-Cause Readmissions* are not possible. Additionally, we do not include data for measures where changes in the specifications make year-to-year analyses impossible. There are 32 measures; 11 had some form of trend break due to a change in specification that prevent comparison across the three-year period.

All SNPs reporting in any of the three years (Table 3a). Program wide results for all SNPs were mixed. Of the 19 measures that were collected during this period, we see the following trends:

- **HEDIS 2013 – 2014 (3-Year Trend)**
 - Statistically significant increases - 9 measures
 - Statistically significant decreases – 5 measures
- **HEDIS 2014 – 2015 (2-Year Trends)**
 - Statistically significant increases – 12 measures
 - Statistically significant decreases – 2 measures

Highest and Lowest Rates (Table 3a). The measures with the highest and lowest HEDIS 2015 rates were:

Highest Five:

1. *Annual Monitoring for Patients on Persistent Medications – Diuretic Monitoring (94.7%).*
2. *Annual Monitoring for Patients on Persistent Medications—ACE/ARB Monitoring (94.5%).*
3. *Annual Monitoring for Patients on Persistent Medications—Total Rate (93.8%).*
4. *Persistence of Beta-Blocker Treatment After a Heart Attack (89.9%).*
5. *Care for Older Adults—Pain Screening (88.6%).*

Lowest Five:

1. *Use of Spirometry Testing in the Assessment and Diagnosis of COPD (34.1%).*
2. *Follow-Up After Hospitalization for Mental Illness—Follow-Up Within 7 Days of Discharge (35.3%).*

3. *Medication Reconciliation Post-Discharge* (35.6%).
4. *Osteoporosis Management in Women Who Had a Fracture* (37.6%).
5. *Potentially Harmful Drug-Disease Interactions—Dementia* (60.0%) (Lower values signify better performance; this is equivalent to 40.0%).

Three out of the five measures with the highest performance are *Annual Monitoring for Patients on Persistent Medications* indicators. Four out of five measures in the highest five are medication management measures.

Largest Significant Changes (Table 3a). Listed below are measures showing the largest significant changes, positive or negative, from HEDIS 2013–2015. The measures with the largest increases showed a 10.0 percentage point or greater rate increase. The measures with the largest decreases showed a 2.0 percentage point or greater rate decrease.

Largest Increase:

1. *Care for Older Adults—Functional Status Assessment* (12.7 percentage points).
2. *Osteoporosis Management in Women Who Had a Fracture* (12.7 percentage points).
3. *Care for Older Adults—Advance Care Planning* (12.6 percentage points).
4. *Medication Reconciliation Post-Discharge* (11.8 percentage points).
5. *Care for Older Adults—Medication Review* (10.4 percentage points).

Largest Decrease:

1. *Active Board Certification—Geriatrics* (11.7 percentage points).
2. *Active Board Certification—Family Medicine* (6.5 percentage points).
3. *Active Board Certification—Internal Medicine* (5.3 percentage points).
4. *Antidepressant Medication Management—Continuation Phase* (3.1 percentage points).
5. *Active Board Certification—Other Physician Specialists* (2.3 percentage points).

Three of the five measures with the largest increase are *Care for Older Adults* measures, which only SNPs collect. Four of the five measures with the largest decreases are for *Active Board Certification*.

Measures only SNPs report (3a). There are five HEDIS measures that only SNPs report (*Care for Older Adults—Advance Care Planning*, *Care for Older Adults—Functional Status Assessment*, *Care for Older Adults—Medication Review*, *Care for Older Adults—Pain Screening*, *Medication Reconciliation Post-Discharge*). Overall performance rates for these measures are generally lower than for the other measures in the SNP reporting set. These measures rely on medical record review. There is the potential that the measure results may understate performance if SNPs did not vigorously pursue obtaining medical charts.

As noted above, 3 *Care for Older Adults* measures showed significant improvement from 2013–2015: *Advance Care Planning* (12.6 percentage points), *Medication Review* (10.4 percentage points), and *Functional Status Assessment* (12.7 percentage points).

The fifth SNP-only measure, *Medication Reconciliation Post-Discharge*, also showed a statistically significant (11.8 percentage point) increase from HEDIS 2013–2015.

SNPs reporting HEDIS 2013–2015 (Table 3b). For SNPs that reported in all 3 years, results were slightly lower than for plans that reported in any of the 3 years. Nine measures with trendable data showed statistically significant improvement over the entire period and 12 measures showed significant improvement from 2014–2015. Five measures showed statistically significant decline over the entire period and two measures showed significant decline from HEDIS 2014–2015.

The five measures with the largest increase from HEDIS 2013 – HEDIS 2015 were:

- *Osteoporosis Management in Women Who Had a Fracture* (13.5 percentage points).

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- *Medication Reconciliation Post-Discharge* (12.5 percentage points).
 - Three *Care for Older Adults* measures (Advance Care Planning, Medication Review, Functional Status Assessment with an average of 11.0 percentage points).

It is interesting to note that the measures showing the largest improvement are also measures that had low performance. There is more room for improvement and it is often easier to achieve improvement in lower performing areas.

Table 3a. HEDIS Performance for SNP Program, HEDIS 2013, 2014 and 2015

This table includes all SNP results combined, for all plans that reported in any of the three years.

Measure	HEDIS 2013	HEDIS 2014	HEDIS 2015		CHANGE	
	Overall Rate	Overall Rate	Eligible Population	Overall Rate	2013–2015	2014–2015
Colorectal Cancer Screening	62.8	66.7	632,070	68.9	6.1**	2.2**
Use of Spirometry Testing in the Assessment and Diagnosis of COPD	33.3	33.1	36,371	34.1	0.7	1.0
Pharmacotherapy of COPD Exacerbation—Dispensed Systemic Corticosteroid Within 14 Days of Event	64.4	67.3	37,127	68.1	3.6**	0.8
Pharmacotherapy of COPD Exacerbation—Dispensed Bronchodilator Within 30 Days of Event	79.9	80.9	37,127	81.5	1.6**	0.6
Controlling High Blood Pressure	NA	NA	751,842	62.0	NA	NA
Persistence of Beta-Blocker Treatment After a Heart Attack	88.5	88.8	7,746	89.9	1.4**	1.1
Osteoporosis Management in Women Who Had a Fracture	25.0	28.2	9,410	37.6	12.7**	9.5**
Antidepressant Medication Management—Acute Phase	62.7	60.2	59,914	61.5	-1.2	1.3
Antidepressant Medication Management—Continuation Phase	49.3	46.0	59,914	46.1	-3.1**	0.2
Follow-Up After Hospitalization for Mental Illness—Follow-Up Within 30 Days of Discharge	55.8	53.0	29,785	54.6	-1.2	1.7
Follow-Up After Hospitalization for Mental Illness—Follow-Up Within 7 Days of Discharge	36.2	32.8	29,785	35.3	-0.9	2.5**
Annual Monitoring for Patients on Persistent Medications—ACE/ARB Monitoring	NA	NA	707,626	94.5	NA	NA
Annual Monitoring for Patients on Persistent Medications—Digoxin Monitoring	NA	NA	21,433	55.4	NA	NA
Annual Monitoring for Patients on Persistent Medications—Diuretic Monitoring	NA	NA	475,016	94.7	NA	NA
Annual Monitoring for Patients on Persistent Medications—Total Rate	NA	NA	1,204,075	93.8	NA	NA
Medication Reconciliation Post-Discharge	23.8	34.3	251,524	35.6	11.8**	1.3
Care for Older Adults—Advance Care Planning	48.3	55.6	1,013,483	60.9	12.6**	5.2**
Care for Older Adults—Medication Review	78.1	82.7	1,013,483	88.5	10.4**	5.8**
Care for Older Adults—Functional Status Assessment	66.2	71.6	1,013,483	78.9	12.7**	7.3**
Care for Older Adults—Pain Screening	NA	81.6	1,013,483	88.6	NA	NA
Active Board Certification—Family Medicine	66.9	62.8	759,535	60.5	-6.5**	-2.4
Active Board Certification—Internal Medicine	74.3	71.6	858,791	69.0	-5.3**	-2.6**

Measure	HEDIS 2013	HEDIS 2014	HEDIS 2015		CHANGE	
	Overall Rate	Overall Rate	Eligible Population	Overall Rate	2013–2015	2014–2015
Active Board Certification—Geriatrics	58.1	49.4	40,225	46.3	-11.7**	-3.1
Active Board Certification—Other Physician Specialists	72.4	69.4	3,044,585	70.0	-2.3**	0.7
Potentially Harmful Drug-Disease Interactions—History of Falls*	NA	54.1	55,449	54.8	NA	-0.7
Potentially Harmful Drug-Disease Interactions—Dementia*	NA	61.1	82,869	60.0	NA	1.0
Potentially Harmful Drug-Disease Interactions—Chronic Renal Failure*	NA	17.1	37,738	17.1	NA	0.0
Potentially Harmful Drug-Disease Interactions—Total Rate*	NA	49.9	176,056	49.2	NA	0.7
Use of High-Risk Medications in the Elderly—At Least One High-Risk Medication*	27.1	23.2	1,006,014	15.8	11.3**	7.4**
Use of High-Risk Medications in the Elderly—At Least Two Different High-Risk Medications*	NA	4.8	1,006,014	2.7	NA	2.0**
Plan All-Cause Readmissions (O/E Ratio— ≥ 65)* †	NA	NA	220,712	0.76	NA	NA
Plan All-Cause Readmissions* (O/E Ratio— < 65)* †	NA	NA	125,167	0.80	NA	NA

*Lower values signify better performance.

**Denotes a statistically significant difference ($p < 0.05$) between years when rates are compared.

†No statistical testing is done for differences in the *Plan All-Cause Readmissions* measure because risk-adjusted comparisons cannot be made using a t-test. A proper test requires the availability of plan-level confidence intervals for each O/E ratio. Because total variance was not reported properly by most plans in 2014, statistical tests for *Plan All-Cause Readmissions* are not possible.

Shaded cells that contain “NA” (Not Applicable) represent a trend break where year-to-year comparison is not possible.

Table 3b. HEDIS Performance for Three-Year Reporting SNPs, HEDIS 2013, 2014 and 2015

This table shows population-based results only for SNPs that reported HEDIS 2013, 2014 and 2015 results.

Measure	HEDIS 2013	HEDIS 2014	HEDIS 2015		CHANGE	
	Overall Rate	Overall Rate	Eligible Population	Overall Rate	2013-2015	2014-2015
Colorectal Cancer Screening	62.9	66.5	589,810	68.9	6.0**	2.3**
Use of Spirometry Testing in the Assessment and Diagnosis of COPD	33.4	33.0	34,729	34.1	0.7	1.2**
Pharmacotherapy of COPD Exacerbation—Dispensed Systemic Corticosteroid Within 14 Days of Event	63.9	67.2	32,612	68.0	4.0**	0.7
Pharmacotherapy of COPD Exacerbation—Dispensed Bronchodilator Within 30 Days of Event	79.5	80.8	32,612	81.7	2.2	0.9
Persistence of Beta-Blocker Treatment After a Heart Attack	88.5	88.9	7,052	89.8	1.3	0.9
Osteoporosis Management in Women Who Had a Fracture	24.4	27.0	8,782	38.0	13.5**	11.0**
Antidepressant Medication Management—Acute Phase	62.4	59.9	55,334	61.2	-1.2**	1.3**
Antidepressant Medication Management—Continuation Phase	49.1	45.9	55,334	45.9	-3.1**	0.1
Follow-Up After Hospitalization for Mental Illness—Follow-Up Within 30 Days of Discharge	57.0	53.5	26,783	54.7	-2.2	1.2**
Follow-Up After Hospitalization for Mental Illness—Follow-Up Within 7 Days of Discharge	37.2	33.3	26,783	35.5	-1.7	2.2**
Medication Reconciliation Post-Discharge	23.8	34.3	215,409	36.1	12.3**	1.7**
Care for Older Adults—Advance Care Planning	49.1	55.2	915,063	60.9	11.8**	5.7**
Care for Older Adults—Medication Review	78.6	83.1	892,901	88.6	10.0**	5.5**
Care for Older Adults—Functional Status Assessment	67.0	71.1	892,901	78.3	11.3**	7.2**
Use of High-Risk Medications in the Elderly—At least One High-Risk Medication*	26.8	23.5	912,031	15.6	11.2**	7.9**
Active Board Certification—Family Medicine	66.7	65.0	392,218	63.6	-3.1**	-1.4**
Active Board Certification—Internal Medicine	73.8	72.2	492,605	71.0	-2.8**	-1.2**
Active Board Certification—Geriatrics	56.5	51.8	21,625	52.9	-3.5**	1.1
Active Board Certification—Other Physician Specialists	72.0	70.8	1,621,425	72.5	0.5**	1.7**

*Lower values signify better performance.

**Denotes a statistically significant difference ($p < 0.05$) between years when rates are compared.

†No statistical testing is done for differences in the *Plan All-Cause Readmissions* measure because risk-adjusted comparisons cannot be made using a t-test. A proper test requires the availability of plan-level confidence intervals for each O/E ratio. Because total variance was not reported properly by most plans in 2014, statistical tests for *Plan All-Cause Readmissions* are not possible.

SNP Program and MA Program Performance (Table 4)

SNP and MA program performance. Data in Table 4 show SNP program performance for the measures SNP and MA plans are required to report, in the context of overall MA program performance. SNPs report a subset of the full MA HEDIS measures set, so this report compares only the measures that all non-SNP MA plans and SNPs report.

- The MA program outperformed the SNP program for 27 of 33 measures in 2015, with significant differences for 16 of these measures. Despite that, no difference in performance was greater than 13.1%, and all but 2 measures had a <10% difference.
- The SNP program outperformed the MA program for 6 of 33 measures, with statistically significant differences for 4 of these measures. Differences were small, <3% in all cases.

Measures with the largest performance gaps between the MA and SNP programs plans included:

- *Controlling High Blood Pressure* (13.1 percentage points).
- *All Potentially Harmful Drug-Disease Interactions in the Elderly* measures (average gap of 8.6 percentage points).
- *Antidepressant Medication Management—Acute Phase* and *Continuation Phase* (6.6 and 7.0 percentage points, respectively).
- *All Active Board Certification* measures (average gap of 6.6 percentage points).

On measures where the SNPs outperformed MA plans, the largest performance gaps included the following:

- *Pharmacotherapy of COPD Exacerbation—Dispensed Bronchodilator Within 30 Days of Event* (2.6 percentage points).
- *Annual Monitoring for Patients on Persistent Medications—ACE/ARB Monitoring, Diuretic Monitoring, and Total Rate* (1.2, 1.3 and 1.3 percentage points, respectively).

Note: MA plans report HEDIS measures at the contract level, which may include SNP beneficiaries because some MA contracts include SNP plan benefit packages. However, these represent a small portion of the MA population, as indicated by the eligible population data for each measure. Results were analyzed for statistically significant differences ($p < 0.05$) between SNP and other MA plan results.

Table 4. HEDIS 2015 Performance for SNP Program and MA Program

This table shows population-based performance for all SNPs and all MA plans.

Measures	SNPs		MA Organizations		Performance Gap in Rates (SNP—MA)	
	Eligible Population	Overall Rate	Eligible Population	Overall Rate	2014	2015
Colorectal Cancer Screening	632,070	68.9	6,489,065	72.0	-2.8**	-3.1**
Use of Spirometry Testing in the Assessment and Diagnosis of COPD	36,371	34.1	285,816	37.6	-4.8**	-3.5**
Pharmacotherapy of COPD Exacerbation—Dispensed Systemic Corticosteroid Within 14 Days of Event	37,127	68.1	149,778	72.0	-3.8**	-3.9**
Pharmacotherapy of COPD Exacerbation—Dispensed Bronchodilator Within 30 Days of Event	37,127	81.5	149,778	78.9	2.1**	2.6**
Controlling High Blood Pressure	751,842	62.0	6,318,755	75.1	—	-13.1**
Persistence of Beta-Blocker Treatment After a Heart Attack	7,746	89.9	52,692	90.5	-1.2	-0.6
Osteoporosis Management in Women Who Had a Fracture	9,410	37.6	93,790	39.5	-3.5	-1.9
Antidepressant Medication Management—Acute Phase	59,914	61.5	318,669	68.1	-7.2**	-6.6**
Antidepressant Medication Management—Continuation Phase	59,914	46.1	318,669	53.1	-6.7**	-7.0**
Follow-Up After Hospitalization for Mental Illness—Follow-Up Within 30 Days of Discharge	29,785	54.6	77,930	54.3	-1.0	0.3
Follow-Up After Hospitalization for Mental Illness—Follow-Up Within 7 Days of Discharge	29,785	35.3	77,930	34.6	-0.8	0.8
Annual Monitoring for Patients on Persistent Medications—ACE/ARB Monitoring	707,626	94.5	5,222,735	93.2	—	1.2**
Annual Monitoring for Patients on Persistent Medications—Digoxin Monitoring	21,433	55.4	176,471	55.8	—	-0.4
Annual Monitoring for Patients on Persistent Medications—Diuretic Monitoring	475,016	94.7	3,696,182	93.4	—	1.3**
Annual Monitoring for Patients on Persistent Medications—Total Rate	1,204,075	93.8	9,095,388	92.6	—	1.3**
Medication Reconciliation Post-Discharge	251,524	35.6	***	***	—	***
Care for Older Adults—Advance Care Planning	1,013,483	60.9	***	***	—	***
Care for Older Adults—Medication Review	1,013,483	88.5	***	***	—	***
Care for Older Adults—Functional Status Assessment	1,013,483	78.9	***	***	—	***
Care for Older Adults—Pain Screening	1,013,483	88.6	***	***	—	***

Measures	SNPs		MA Organizations		Performance Gap in Rates (SNP—MA)	
	Eligible Population	Overall Rate	Eligible Population	Overall Rate	2014	2015
Potentially Harmful Drug-Disease Interactions—History of Falls*	55,449	54.8	555,488	46.6	-7.4**	-8.2**
Potentially Harmful Drug-Disease Interactions—Dementia*	82,869	60.0	521,385	49.0	-11.4**	-11.0**
Potentially Harmful Drug-Disease Interactions—Chronic Renal Failure*	37,738	17.1	239,862	10.1	-6.8**	-7.1**
Potentially Harmful Drug-Disease Interactions—Total Rate*	176,056	49.2	1,316,735	40.9	-8.6**	-8.3**
Use of High-Risk Medications in the Elderly—At Least One High-Risk Medication*	1,006,014	15.8	10,913,144	11.8	-6.9**	-4.0**
Use of High-Risk Medications in the Elderly—At Least Two Different High-Risk Medications*	1,006,014	2.7	10,913,144	1.7	-2.1**	-1.0**
Active Board Certification—Family Medicine	759,535	60.5	937,400	68.7	-3.9**	-8.3**
Active Board Certification—Internal Medicine	858,791	69.0	1,100,646	74.6	-0.6	-5.6**
Active Board Certification—Geriatrics	40,225	46.3	50,095	54.1	-3.5	-7.8**
Active Board Certification—Other Physician Specialists	3,044,585	70.0	3,636,579	74.7	-2.1	-4.7**
Plan All-Cause Readmissions (O/E Ratio—≥65)*†	220,712	0.8	1,832,901	0.75	—	-0.2
Plan All-Cause Readmissions (O/E Ratio—<65)*†	125,167	0.8	360,764	0.77	—	-0.3

*Lower values signify better performance.

**Denotes a statistically significant difference ($p < 0.05$) between MA and SNP rates.

***Not reported by MA plans.

†No statistical testing is done for differences in the *Plan All-Cause Readmissions* measure because risk-adjusted comparisons cannot be made using a t-test. A proper test requires the availability of plan-level confidence intervals for each O/E ratio. Because total variance was not reported properly by most plans in 2014, statistical tests for *Plan All-Cause Readmissions* are not possible.

SNP Program Performance by SNP Type (Table 5)

In 2015, I-SNPs had higher rates on 19 measures than the other SNP types, compared to 10 measures for the D-SNPs and 3 measures for C-SNPs.

- Performance increased across all SNP types for three measures:
 - *Osteoporosis Management in Women Who Had a Fracture.*
 - *Care for Older Adults—Advance Care Planning.*
 - *Use of High-Risk Medications in the Elderly (At Least One High-Risk Medication).*
- Performance decreased across all SNP types for *Active Board Certification—Family Medicine.*
 - The decrease was statistically significant for Chronic SNPs only.

- I-SNPs showed statistically significant improvement for two measures (*Care for Older Adults—Advance Care Planning* and *Use of High-Risk Medications in the Elderly—At Least One High-Risk Medication*) and showed statistically significant decreases in performance for *Use of Spirometry Testing in the Assessment and Diagnosis of COPD.*
 - D-SNPs showed statistically significant performance improvement for seven measures (*Osteoporosis Management in Women Who Had a Fracture*, all four *Care for Older Adults* measures, both *Use of High-Risk Medications in the Elderly* measures) and showed a statistically significant decrease in performance for *Active Board Certification—Internal Medicine*
 - C-SNPs showed statistically significant improvement on nine measures and statistically significant decreases in performance for three measures (three out of four *Active Board Certification* measures, *Family Medicine*, *Internal Medicine*, and *Geriatrics*). The largest significant gains were for *Medication Reconciliation Post-Discharge*, two *Care for Older Adults* measures (*Medication Review* and *Pain Screening* and *Use of High-Risk Medications in the Elderly—At least One High-Risk Medication*).
- Improvement:
- *Care for Older Adults— Pain Screening* (15.3 percentage points).
 - *Care for Older Adults— Medication Review* (12.1 percentage points).
 - *Medication Reconciliation Post-Discharge* (11.5 percentage points).
 - *Use of High-Risk Medications in the Elderly—At least One High-Risk Medication* (9.7 percentage points).
 - *Care for Older Adults— Functional Status Assessment* (9.5 percentage points).
 - *Osteoporosis Management in Women Who Had a Fracture* (9.2 percentage points).
 - *Follow-Up After Hospitalization for Mental Illness—Follow-Up Within 7 Days of Discharge* (6.7 percentage points).
 - *Colorectal Cancer Screening* (5.5 percentage points).
 - *Use of High-Risk Medications in the Elderly—At least Two Different High-Risk Medications* (2.6 percentage points).
- Decrease:
- *Active Board Certification—Geriatrics* (11.6 percentage points), *Internal Medicine* (8.5 percentage points), *Family Medicine* (4.5 percentage points).

Table 5. SNP Overall Program Performance by SNP Type, HEDIS 2014 and 2015

This table displays program wide results for all SNPs, by SNP type.

Measure	DUAL SNPS					INSTITUTIONAL SNPS					CHRONIC SNPS				
	2015		2014		2015 vs 2014	2015		2014		2015 vs 2014	2015		2014		2015 vs 2014
	#	Rate	#	Rate	Change	#	Rate	#	Rate	Change	#	Rate	#	Rate	Change
Colorectal Cancer Screening	264	68.8	245	67.3	1.5	42	38.0**	40	39.5**	-1.5	112	71.2	68	65.7	5.5**
Use of Spirometry Testing in the Assessment and Diagnosis of COPD	264	32.9**	245	32.4**	0.5	42	9.4**	40	14.6**	-5.3**	112	43.1*	68	40.0**	3.1
Pharmacotherapy of COPD Exacerbation—Dispensed Systemic Corticosteroid Within 14 Days of Event	264	68.6	245	67.8	0.8	42	36.4**	40	42.5**	-6.1	112	66.9	68	66.1	0.8
Pharmacotherapy of COPD Exacerbation—Dispensed Bronchodilator Within 30 Days of Event	264	83.0**	245	82.4	0.6	42	71.6	40	76.9	-5.3	112	74.7	68	74.0	0.7
Controlling High Blood Pressure	257	64.9	NA	NA	NA	40	75.9	NA	NA	NA	111	50.6	NA	NA	NA
Persistence of Beta-Blocker Treatment After a Heart Attack	264	90.6	245	89.5	1.0	42	91.7	40	93.8	-2.1	112	87.6	68	85.8	1.8
Osteoporosis Management in Women Who Had a Fracture	260	38.9	245	29.8	9.1**	42	17.1**	40	14.3**	2.8	112	37.1	68	27.8	9.2**
Antidepressant Medication Management—Acute Phase	264	60.8	245	59.6	1.2	42	78.5**	40	79.4**	-0.9	112	63.4	68	60.7	2.7
Antidepressant Medication Management—Continuation Phase	264	45.5	245	45.3	0.2	42	71.1**	40	71.4**	-0.3	112	47.6	68	46.7	0.9
Follow-Up After Hospitalization for Mental Illness—Follow-Up Within 30 Days of Discharge	264	55.0	245	53.3	1.7	42	18.4**	40	28.7	-10.4	112	53.7	68	50.6	3.1
Follow-Up After Hospitalization for Mental Illness—Follow-Up Within 7 Days of Discharge	264	35.6	245	33.3	2.2	42	15.4	40	16.1	-0.7	112	34.6	68	27.9	6.7**
Annual Monitoring for Patients on Persistent Medications—ACE/ARB Monitoring	264	94.1	NA	NA	NA	42	95.9	NA	NA	NA	112	95.7	NA	NA	NA

Measure	DUAL SNPS					INSTITUTIONAL SNPS					CHRONIC SNPS				
	2015		2014		2015 vs 2014	2015		2014		2015 vs 2014	2015		2014		2015 vs 2014
	#	Rate	#	Rate	Change	#	Rate	#	Rate	Change	#	Rate	#	Rate	Change
Annual Monitoring for Patients on Persistent Medications—Digoxin Monitoring	264	54.3	NA	NA	NA	42	89.3*	NA	NA	NA	112	51.1	NA	NA	NA
Annual Monitoring for Patients on Persistent Medications—Diuretic Monitoring	264	94.3**	NA	NA	NA	42	96.6	NA	NA	NA	112	96.0	NA	NA	NA
Annual Monitoring for Patients on Persistent Medications—Total Rate	264	93.6**	NA	NA	NA	42	95.9	NA	NA	NA	112	94.7	NA	NA	NA
Medication Reconciliation Post-Discharge	261	36.0	245	37.1	-1.0	42	40.0	40	37.8	2.2	112	33.4	68	21.9	11.5
Care for Older Adults—Advance Care Planning	264	58.7	244	52.5**	6.2**	42	94.8*	40	83.2**	11.7**	112	64.4	68	64.0**	0.4**
Care for Older Adults—Medication Review	264	87.7**	245	83.1	4.7**	42	94.7	40	95.7**	-0.9	112	90.8	68	78.7	12.1
Care for Older Adults—Functional Status Assessment	264	77.1**	245	70.0	7.1**	42	97.7*	40	97.1**	0.6	112	83.3*	68	73.8	9.5**
Care for Older Adults—Pain Screening	264	87.4**	245	81.9**	5.5**	42	98.0	40	97.5**	0.5	112	92.1	68	76.8**	15.3**
Potentially Harmful Drug-Disease Interactions—History of Falls*	264	54.2	244	53.3	-0.9	42	64.4*	40	66.4**	2.0	112	54.9	68	53.8	-1.2
Potentially Harmful Drug-Disease Interactions—Dementia*	264	61.1	244	62.5**	1.4	42	58.5	40	58.2	-0.3	112	54.9	68	55.0	0.1
Potentially Harmful Drug-Disease Interactions—Chronic Renal Failure*	264	19.1**	244	18.7**	-0.4	42	7.5	40	8.2**	0.7	112	12.8	68	14.0**	1.2
Potentially Harmful Drug-Disease Interactions—Total Rate*	264	50.4	244	51.1	0.6	42	54.4	40	54.3	-0.1	112	41.4*	68	41.9**	0.6
Use of High-Risk Medications in the Elderly—At Least One High-Risk Medication*	264	15.8	245	22.8	7.1**	42	14.1	40	17.3	3.3**	112	16.3	68	26.0**	9.7**
Use of High-Risk Medications in the Elderly—At Least Two Different High-Risk Medications*	264	2.8	245	4.8	2.0**	42	1.8	40	2.3**	0.5	112	2.4	68	5.0	2.6**
Active Board Certification—Family Medicine	247	59.6	231	61.3	-1.7	42	76.5**	40	77.5**	-1.0	110	55.9	66	60.3	-4.5**

Measure	DUAL SNPS					INSTITUTIONAL SNPS					CHRONIC SNPS				
	2015		2014		2015 vs 2014	2015		2014		2015 vs 2014	2015		2014		2015 vs 2014
	#	Rate	#	Rate	Change	#	Rate	#	Rate	Change	#	Rate	#	Rate	Change
Active Board Certification—Internal Medicine	247	68.2**	231	70.9	-2.6**	42	79.5**	40	78.2**	1.3	110	63.1**	66	71.6	-8.5**
Active Board Certification—Geriatrics	247	47.0**	229	48.1	-1.1	42	66.1**	40	64.0**	2.1	110	32.7**	65	44.3	-11.6**
Active Board Certification—Other Physician Specialists	247	69.2**	231	67.5	1.7	42	82.6**	40	82.1**	0.5	110	66.1**	66	68.4	-2.2
Plan All-Cause Readmissions (O/E Ratio—≥65)*†	260	0.78	NA	NA	NA	42	0.55	NA	NA	NA	112	0.72	NA	NA	NA
Plan All-Cause Readmissions (O/E Ratio—<65)*†	264	0.82	NA	NA	NA	42	0.65	NA	NA	NA	112	0.74	NA	NA	NA

*Lower values signify better performance.

**Denotes a statistically significant difference ($p < 0.05$) between SNP types.

†No statistical testing is done for differences in the *Plan All-Cause Readmissions* measure because risk-adjusted comparisons cannot be made using a t-test. A proper test requires the availability of plan-level confidence intervals for each O/E ratio. Because total variance was not reported properly by most plans in 2014, statistical tests for *Plan All-Cause Readmissions* are not possible.

Shaded cells that contain "NA" (Not Applicable) represent a trend break where year-to-year comparison is not possible.

SNP Program Performance by Enrollment Size (Table 6)

This table displays program wide performance for all SNPs by enrollment. Statistically significant changes are displayed by enrollment size categories and denote a change from HEDIS 2014–2015 within a specific enrollment category. Because statistical significance is a function of effect size and sample size, NCQA did not test for statistical significance of the differences among SNP sizes because of the wide variation in SNP enrollment sizes. Should such testing be performed, the mean of the larger SNPs would dominate the mean of the sizes against which comparisons are made. It should be noted that as enrollment size increases, it is more likely that statistically significant differences will be found for progressively smaller effect sizes that may not be clinically significant.

Overall, the category with the second largest number of plans in the SNP program and SNP enrollees (1,000–2,499 enrollees) showed the most improvement from HEDIS 2014–2015, with 10 measures increasing significantly. Other SNP enrollee categories (0–99, 100–499, 500–999 and ≥2,500) showed improvement on 4–6 measures.

The 0–99 enrollment category had the least amount of plans (25 in 2015) and the ≥2,500 category had the most plans (145 plans in 2015).

- Plans with enrollment between 0–99 enrollees had significant increases in 4 measures.
 - Significant increases greater than 10 percentage points: *Potentially Harmful Drug-Disease Interactions—Dementia*, *Potentially Harmful Drug-Disease Interactions—Chronic Renal Failure*, *Active Board Certification—Other Physician Specialists*.
 - The eligible populations within this category are small; thus, large fluctuations are more likely within these plans. Additionally, none of these smallest plans had a reportable rate (denominator ≥ 30) for *Use of Spirometry Testing in the Assessment and Diagnosis of COPD*, *Osteoporosis Management in Women Who Had a Fracture* and *Potentially Harmful Drug-Disease Interactions—Chronic Renal Failure* for HEDIS 2015.
- Plans with enrollment of 100–499 enrollees had significant increases in 4 measures (*Medication Reconciliation Post-Discharge*, *Care for Older Adults—Pain Screening*, both *Use of High-Risk Medications in the Elderly* measures) and significant decreases in two *Active Board Certification* (*Family Medicine and Internal Medicine*) measures.
- Plans with enrollment of 500–999 enrollees had significant increases in both *Use of High-Risk Medications in the Elderly* measures and a significant decrease in *Active Board Certification—Internal Medicine*.
- Plans with enrollment of 1,000–2,499 enrollees had significant increases in 9 measures, including all four *Care for Adults* measures, and significant decreases in 1 measure.
 - Significant changes above 10 percent: *Osteoporosis Management in Women Who Had a Fracture*, *Care for Older Adults – Advanced Care Planning*.
- Plans with enrollment greater than 2,500 significant increases in 6 measures, with *Osteoporosis Management in Women Who Had a Fracture* having the highest significant change of 8.3 percent.

The two categories with the smallest plans and mid-sized plans with 500–999 enrollees had significant decreases in the *Active Board Certification* measures.

Table 6. SNP Overall Program Performance by Enrollment Size, HEDIS 2014 and 2015

Measure	RATE BY SNP ENROLLMENT SIZE														
	0–99			100–499			500–999			1,000–2,499			≥2,500		
	2015 Rate	2014 Rate	2015 vs 2014 Change	2015 Rate	2014 Rate	2015 vs 2014 Change	2015 Rate	2014 Rate	2015 vs 2014 Change	2015 Rate	2014 Rate	2015 vs 2014 Change	2015 Rate	2014 Rate	2015 vs 2014 Change
Total SNPs	25	15		101	64		64	52		85	96		145	126	
Colorectal Cancer Screening	58.6	58.2	0.3	69.9	67.2	2.7	70.4	67.8	2.6	70.3	63.6	6.7**	68.7	67.1	1.7
Use of Spirometry Testing in the Assessment and Diagnosis of COPD	0.0	30.8	-30.8	32.1	36.8	-4.6	38.9	33.8	5.1	36.7	34.5	2.2	33.7	32.9	0.8
Pharmacotherapy of COPD Exacerbation—Dispensed Systemic Corticosteroid Within 14 Days of Event	62.5	72.7	-10.2	73.6	70.1	3.5	72.1	70.1	1.9	70.1	68.6	1.5	67.5	66.9	0.6
Pharmacotherapy of COPD Exacerbation—Dispensed Bronchodilator Within 30 Days of Event	82.1	95.5	-13.3	84.5	83.6	0.9	83.2	83.8	-0.6	83.0	82.3	0.8	81.2	80.5	0.7
Controlling High Blood Pressure	63.5	NA	NA	66.4	NA	NA	65.7	NA	NA	62.7	NA	NA	61.7	NA	NA
Persistence of Beta-Blocker Treatment After a Heart Attack	100.0	75.0	25.0	92.9	93.6	-0.7	89.7	87.0	2.8	90.7	88.9	1.8	89.7	88.8	0.9
Osteoporosis Management in Women Who Had a Fracture	0.0	0.0	0.0	41.4	30.1	11.3	42.4	31.5	11.0	40.6	24.4	16.3**	37.0	28.7	8.3**
Antidepressant Medication Management—Acute Phase	67.6	69.2	-1.7	70.6	69.9	0.7	68.0	66.0	2.0	65.6	63.3	2.3	60.5	59.4	1.1
Antidepressant Medication Management—Continuation Phase	56.8	74.4	-17.6	55.7	60.1	-4.4	52.0	51.8	0.2	50.3	49.8	0.5	45.2	45.1	0.2
Follow-Up After Hospitalization for Mental Illness—Follow-Up Within 30 Days of Discharge	38.4	28.6	9.8	45.0	48.6	-3.6	45.3	44.5	0.8	45.8	53.5	-7.7**	56.0	53.2	2.8
Follow-Up After Hospitalization for Mental Illness—Follow-Up Within 7 Days of Discharge	23.3	14.3	9.0	27.1	26.2	1.0	26.1	26.3	-0.2	27.9	31.7	-3.8	36.5	33.3	3.2

RATE BY SNP ENROLLMENT SIZE															
Measure	0–99			100–499			500–999			1,000–2,499			≥2,500		
	2015 Rate	2014 Rate	2015 vs 2014 Change	2015 Rate	2014 Rate	2015 vs 2014 Change	2015 Rate	2014 Rate	2015 vs 2014 Change	2015 Rate	2014 Rate	2015 vs 2014 Change	2015 Rate	2014 Rate	2015 vs 2014 Change
Total SNPs	25	15		101	64		64	52		85	96		145	126	
Annual Monitoring for Patients on Persistent Medications—ACE/ARB Monitoring	94.2	NA	NA	95.9	NA	NA	94.2	NA	NA	95.3	NA	NA	94.3	NA	NA
Annual Monitoring for Patients on Persistent Medications—Digoxin Monitoring	76.2	NA	NA	60.6	NA	NA	53.5	NA	NA	64.9	NA	NA	53.7	NA	NA
Annual Monitoring for Patients on Persistent Medications—Diuretic Monitoring	93.4	NA	NA	96.0	NA	NA	94.7	NA	NA	95.6	NA	NA	94.5	NA	NA
Annual Monitoring for Patients on Persistent Medications—Total	93.5	NA	NA	95.3	NA	NA	93.6	NA	NA	94.7	NA	NA	93.7	NA	NA
Medication Reconciliation Post-Discharge	37.5	43.8	-6.3	51.0	42.9	8.1	40.4	39.2	1.2	46.0	38.0	8.0	33.6	33.4	0.2
Care for Older Adults—Advance Care Planning	65.0	53.1	11.9	64.6	66.2	-1.6	59.9	59.2	0.7	70.0	59.0	11.0	59.6	54.9	4.7
Care for Older Adults—Medication Review	80.9	74.8	6.1	91.9	89.3	2.6	88.3	86.7	1.6	91.5	86.5	5.1	88.1	82.0	6.0
Care for Older Adults—Functional Status Assessment	74.7	73.9	0.8	87.5	85.8	1.7	83.1	81.1	2.0	86.7	78.2	8.5	77.5	70.2	7.3
Care for Older Adults—Pain Screening	78.5	78.6	-0.1	94.2	90.4	3.8	90.6	86.4	4.2	92.7	87.4	5.3	87.9	80.5	7.4
Active Board Certification—Family Medicine	74.2	67.7	6.5	55.1	59.5	-4.4**	57.0	59.7	-2.7	60.5	64.3	-3.8	66.7	65.5	1.2
Active Board Certification—Internal Medicine	77.5	74.6	2.9	63.3	69.3	-6.0**	63.9	68.6	-4.7**	70.6	72.5	-1.8	73.6	74.4	-0.8
Active Board Certification—Geriatrics	65.9	57.6	8.3	31.7	38.1	-6.4	34.6	40.6	-6.0	47.1	50.5	-3.4	65.0	60.9	4.1
Active Board Certification—Other Physician Specialists	80.5	64.6	15.9**	64.0	61.1	2.9	65.6	65.2	0.4	71.8	71.8	0.0	76.6	74.9	1.7

RATE BY SNP ENROLLMENT SIZE															
Measure	0–99			100–499			500–999			1,000–2,499			≥2,500		
	2015 Rate	2014 Rate	2015 vs 2014 Change	2015 Rate	2014 Rate	2015 vs 2014 Change	2015 Rate	2014 Rate	2015 vs 2014 Change	2015 Rate	2014 Rate	2015 vs 2014 Change	2015 Rate	2014 Rate	2015 vs 2014 Change
Total SNPs	25	15		101	64		64	52		85	96		145	126	
Potentially Harmful Drug-Disease Interactions—History of Falls*	64.3	55.9	-8.4	59.7	61.4	1.6	54.7	57.3	2.6	60.1	57.5	-2.6	53.8	53.3	-0.5
Potentially Harmful Drug-Disease Interactions—Dementia*	90.0	64.2	-25.8**	56.8	56.5	-0.3	57.7	58.3	0.6	60.9	58.7	-2.2	60.0	61.7	1.7
Potentially Harmful Drug-Disease Interactions—Chronic Renal Failure*	0.0	19.2	19.2**	12.4	15.1	2.7	14.7	16.1	1.4	14.9	12.8	-2.1	17.7	17.8	0.2
Potentially Harmful Drug-Disease Interactions—Total Rate*	71.4	48.5	-22.9	48.6	50.2	1.6	46.9	48.4	1.5	52.4	50.1	-2.3	48.7	49.9	1.2
Use of High-Risk Medications in the Elderly—At Least One High-Risk Medication*	16.7	22.8	6.1**	15.4	20.1	4.7**	14.7	20.2	5.5**	13.6	20.3	6.7**	16.1	23.7	7.6**
Use of High-Risk Medications in the Elderly—At Least Two Different High-Risk Medications*	2.9	3.5	0.6	2.5	3.5	1.0**	2.2	3.3	1.1**	2.0	3.5	1.5**	2.8	5.0	2.2**
Plan All-Cause Readmissions (O/E Ratio—≥65)* †	0.82	NA	NA	0.73	NA	NA	0.72	NA	NA	0.68	NA	NA	0.78	NA	NA
Plan All-Cause Readmissions (O/E Ratio—<65)* †	0.85	NA	NA	0.72	NA	NA	0.77	NA	NA	0.71	NA	NA	0.81	NA	NA

*Lower values signify better performance.

**Denotes the rate for that plan size is statistically different ($p < 0.05$) from 2014–2015.

†No statistical testing is done for differences in the *Plan All-Cause Readmissions* measure across years within enrollment size categories because risk-adjusted comparisons cannot be made using a t-test. A proper test requires the availability of plan-level confidence intervals for each O/E ratio. Because total variance was not reported properly by most plans in 2014, statistical tests for *Plan All-Cause Readmissions* are not possible.

Shaded cells that contain “NA” (Not Applicable) represent a trend break where year-to-year comparison is not possible.

SNP Benefit Package Performance (Table 7)

This section focuses on individual SNP benefit package performance (“plan benefit packages” [PBP]) and how performance on each measure is distributed.

Distribution is based on the performance of SNPs with at least 30 enrollees who are eligible for the measure. A minimum sample size is defined as a denominator of 30 or higher; therefore, we only report results for individual SNPs with a denominator of at least 30 for each measure.

Table 7 includes the mean, the standard deviation, the performance distribution (10th–90th percentiles) and the minimum and maximum HEDIS scores for SNPs that met the minimum sample size. The number of SNPs able to report each measure ranged from 409 for *Annual Monitoring for Patients on Persistent Medications—Total Rate*, to 76 for *Persistence of Beta-Blocker Treatment After a Heart Attack*.

Data show a wide distribution of performance within each measure. The average difference between the 10th and 90th percentile is 17.2 percentage points, a decrease of 7.5 percentage points from 2014, indicating that the gap between the highest and lowest performers is narrowing. The standard deviation ranges from 0.23 percentage points (*Plan All-Cause Readmissions—O/E Ratio —≥65 and O/E Ratio—<65*) to 26.3 percentage points (*Care for Older Adults—Advance Care Planning*), demonstrating that the spread around the mean score varies substantially, depending on the measure. The smallest gaps (less than 10 percentage points) between the 10th and 90th percentiles were found for the following measures:

- *Use of High-Risk Medications in the Elderly—At Least Two Different High-Risk Medications* (4.4 percentage points).
- *Annual Monitoring for Patients on Persistent Medications—ACE/ARB Monitoring* (8.2 percentage points).
- *Annual Monitoring for Patients on Persistent Medications—Diuretic Monitoring* (8.1 percentage points).
- *Annual Monitoring for Patients on Persistent Medications—Total Rate* (8.4 percentage points).

Seven measures had large percentage point differences (40 points or more) between SNPs scoring in the 10th percentile and those in the 90th percentile:

- *Care for Older Adults—Advance Care Planning* (71.6 percentage points).
- *Active Board Certification—Geriatrics* (69.5 percentage points).
- *Osteoporosis Management in Women Who Had a Fracture* (61.1 percentage points).
- *Medication Reconciliation Post-Discharge* (57.5 percentage points).
- *Use of Spirometry Testing in the Assessment and Diagnosis of COPD* (46.7 percentage points).
- *Care for Older Adults—Functional Status Assessment* (45.5 percentage points).
- *Follow-Up After Hospitalization for Mental Illness—Follow-Up Within 30 Days of Discharge* (44.4 percentage points).

Ten measures showed a large difference (at least 18 percentage points) between the 90th percentile and the mean score, and thus present the greatest areas for overall improvement.

- *Use of Spirometry Testing in the Assessment and Diagnosis of COPD* (28.0 percentage points).
- *Osteoporosis Management in Women Who Had a Fracture* (36.0 percentage points).
- *Antidepressant Medication Management—Continuation Phase* (20.2 percentage points).
- *Follow-Up After Hospitalization for Mental Illness—Follow-Up Within 30 Days of Discharge* (24.2 percentage points).

- *Annual Monitoring for Patients on Persistent Medications—Digoxin Monitoring* (22.5 percentage points).
- *Medication Reconciliation Post-Discharge* (30.9 percentage points).
- *Care for Older Adults—Advance Care Planning* (37.4 percentage points).
- *Care for Older Adults—Functional Status Assessment* (19.5 percentage points).
- *Active Board Certification—Family Medicine* (20.1 percentage points).
- *Active Board Certification—Geriatrics* (29.4 percentage points).

Table 7. SNP Benefit Package Performance, HEDIS 2015

Measures	Total SNPs	Mean	Std. Dev.	PERCENTILE DISTRIBUTION OF PERFORMANCE						
				Min	P10	P25	P50	P75	P90	Max
Colorectal Cancer Screening	366	65.1	14.5	9.3	46.5	57.7	67.6	75.4	80.7	92.5
Use of Spirometry Testing in the Assessment and Diagnosis of COPD	189	35.3	18.1	0.0	16.7	25.2	31.8	41.2	63.3	86.4
Pharmacotherapy of COPD Exacerbation—Dispensed Systemic Corticosteroid Within 14 Days of Event	202	69.6	11.0	23.3	54.5	66.7	71.8	76.4	80.4	89.2
Pharmacotherapy of COPD Exacerbation—Dispensed Bronchodilator Within 30 Days of Event	202	82.8	9.2	42.3	70.5	79.5	84.9	88.9	91.7	98.1
Controlling High Blood Pressure	356	63.4	13.3	24.7	43.6	55.8	65.1	71.9	79.0	97.2
Persistence of Beta-Blocker Treatment After a Heart Attack	76	90.3	6.5	54.3	84.0	87.2	90.4	95.0	97.4	100.0
Osteoporosis Management in Women Who Had a Fracture	83	37.5	22.9	3.2	13.3	20.2	27.8	51.9	73.5	91.7
Antidepressant Medication Management—Acute Phase	237	64.3	11.3	38.1	50.0	55.4	62.8	73.5	80.0	90.9
Antidepressant Medication Management—Continuation Phase	237	49.8	12.9	27.2	35.1	40.8	47.3	57.1	70.0	86.1
Follow-Up After Hospitalization for Mental Illness—Follow-Up Within 30 Days of Discharge	157	51.1	16.4	8.6	31.3	40.3	50.0	60.5	75.3	93.6
Follow-Up After Hospitalization for Mental Illness—Follow-Up Within 7 Days of Discharge	157	32.3	14.4	5.7	14.6	22.2	30.1	41.0	50.2	72.2
Annual Monitoring for Patients on Persistent Medications—ACE/ARB Monitoring	398	94.3	4.2	66.8	90.3	92.6	94.9	97.1	98.5	100.0
Annual Monitoring for Patients on Persistent Medications—Digoxin Monitoring	154	59.2	15.4	31.3	42.6	50.0	55.2	67.1	81.6	98.6
Annual Monitoring for Patients on Persistent Medications—Diuretic Monitoring	392	94.8	3.7	68.7	90.6	93.1	95.3	97.3	98.6	100.0
Annual Monitoring for Patients on Persistent Medications—Total Rate	409	93.8	4.1	66.9	89.6	92.1	94.4	96.3	98.1	100.0
Medication Reconciliation Post-Discharge	349	38.7	22.0	0.0	12.0	20.8	34.1	53.3	69.6	97.1
Care for Older Adults—Advance Care Planning	404	59.0	26.3	0.0	24.7	38.3	58.6	79.9	96.4	100.0
Care for Older Adults—Medication Review	404	87.2	14.0	0.0	73.5	82.0	90.7	95.8	98.6	100.0
Care for Older Adults—Functional Status Assessment	404	79.2	20.1	0.0	53.2	68.5	85.4	95.2	98.7	100.0
Care for Older Adults—Pain Screening	404	88.4	14.5	0.0	73.5	84.4	93.2	97.3	99.3	100.0
Potentially Harmful Drug-Disease Interactions—History of Falls*	225	55.2	10.1	78.8	67.4	62.5	55.0	49.7	41.2	30.2
Potentially Harmful Drug-Disease Interactions—Dementia*	251	56.8	9.3	84.7	68.0	62.8	56.5	51.5	44.3	32.4
Potentially Harmful Drug-Disease Interactions—Chronic Renal Failure*	195	15.2	8.3	40.3	27.4	20.7	13.7	9.5	5.9	0.0

Measures	Total SNPs	Mean	Std. Dev.	PERCENTILE DISTRIBUTION OF PERFORMANCE						
				Min	P10	P25	P50	P75	P90	Max
Potentially Harmful Drug-Disease Interactions—Total Rate*	306	47.0	9.5	72.6	59.5	52.8	46.9	41.0	34.3	16.7
Use of High-Risk Medications in the Elderly—At Least One High-Risk Medication*	404	15.8	7.1	46.8	24.3	19.0	14.6	10.5	8.3	2.6
Use of High-Risk Medications in the Elderly—At Least Two Different High-Risk Medications*	404	2.6	2.5	20.8	4.9	3.3	2.0	1.0	0.5	0.0
Active Board Certification—Family Medicine	399	66.6	17.3	1.1	49.0	51.9	70.6	79.0	86.7	100.0
Active Board Certification—Internal Medicine	399	71.0	13.8	10.1	57.0	61.9	73.6	80.1	86.4	100.0
Active Board Certification—Geriatrics	393	59.5	24.8	0.0	19.4	50.0	65.2	75.0	88.9	100.0
Active Board Certification—Other Physician Specialists	399	74.4	13.4	12.2	60.4	63.2	78.2	83.8	88.2	98.8
Plan All-Cause Readmissions (O/E Ratio— ≥ 65)*	340	0.7	0.2	1.8	1.0	0.9	0.7	0.6	0.4	0.1
Plan All-Cause Readmissions (O/E Ratio— < 65)*	264	0.8	0.3	2.0	1.1	0.9	0.8	0.6	0.5	0.0

*Lower values signify better performance.

SNP Benefit Package Performance Changes, HEDIS 2013–2015 (Table 8; Figure 1)

Table 8 analyzes performance by benefit package, showing the percentage of benefit packages that improved or decreased performance from 2013–2015 and from 2014–2015. As in Table 8, results are shown from SNPs that met the minimum sample size of 30 or more. Comparisons between 2013 and 2015 were based on plans with submissions in all three years; comparisons between 2014 and 2015 were based on plans with submissions in those years.

Of the 27 measures where a comparison can be made, more than 50 percent of SNPs showed improvement on 18 measures from 2014–2015 (Figure 14). Conversely, there were 6 measures where more than 50 percent of SNPs showed decreased performance from 2014–2015.

Table 8. SNP Benefit Package Performance Changes, HEDIS 2013–2015

Measures	Percentage of SNPs With Changes in Performance 2013–2015*		Percentage of SNPs With Changes in Performance 2014–2015**	
	Improved Performance	Decreased Performance	Improved Performance	Decreased Performance
Colorectal Cancer Screening	73.7	26.3	64.6	35.0
Use of Spirometry Testing in the Assessment and Diagnosis of COPD	54.6	45.4	54.6	45.4
Pharmacotherapy of COPD Exacerbation—Dispensed Systemic Corticosteroid Within 14 Days of Event	55.6	44.4	54.1	45.9
Pharmacotherapy of COPD Exacerbation—Dispensed Bronchodilator Within 30 Days of Event	54.8	44.4	53.4	46.6
Persistence of Beta-Blocker Treatment After a Heart Attack	58.3	41.7	45.1	54.9
Osteoporosis Management in Women Who Had a Fracture	95.2	4.8	75.7	22.9
Antidepressant Medication Management—Acute Phase	40.2	59.8	61.3	38.7
Antidepressant Medication Management—Continuation Phase	34.4	65.6	49.2	50.8
Follow-Up After Hospitalization for Mental Illness—Follow-Up Within 30 Days of Discharge	43.1	56.9	57.5	42.5
Follow-Up After Hospitalization for Mental Illness—Follow-Up Within 7 Days of Discharge	46.1	53.9	60.2	39.8
Medication Reconciliation Post-Discharge	80.1	19.9	54.9	45.1
Care for Older Adults—Advance Care Planning	68.9	30.0	69.6	29.8
Care for Older Adults—Medication Review	75.5	22.7	64.3	33.7
Care for Older Adults—Functional Status Assessment	65.5	32.4	60.3	36.0

Measures	Percentage of SNPs With Changes in Performance 2013–2015*		Percentage of SNPs With Changes in Performance 2014–2015**	
	Improved Performance	Decreased Performance	Improved Performance	Decreased Performance
Use of High-Risk Medications in the Elderly—At Least One High-Risk Medication*	95.4	4.6	89.3	10.7
Active Board Certification—Family Medicine	40.7	59.3	43.4	56.3
Active Board Certification—Internal Medicine	39.1	59.8	47.9	51.4
Active Board Certification—Geriatrics	38.9	57.3	37.9	56.6
Active Board Certification—Other Physician Specialists	60.0	40.0	52.1	47.9

*Includes only SNPs that reported rates in all three years, 2013–2015.

**Includes only SNPs that reported rates in 2014 and 2015.

Note: The sum of SNPs with Improved Performance and Decreased Performance does not always equal 100% because there are SNPs that had no change in performance.

SNP HEDIS Data Submissions by Measure (Tables 9a and 9b)

Tables 9a and 9b show the number of SNPs reporting each HEDIS measure and categorize reasons for some SNPs being unable to report valid rates for certain measures. A total of 420 SNPs submitted HEDIS measure results. Four hundred and eighteen (418) SNPs were required to submit HEDIS measure results and CMS received two additional submissions from SNPs that were not required to report.

NCQA Certified HEDIS Auditors categorized each measure as follows.

- Did Report Categories (Table 9a):
 - *Denominator ≥ 30* is designated as a *Reportable Rate* for individual plans.
 - *Denominator < 30* receives a *Not Applicable (NA)* audit designation, denoting SNPs with fewer than 30 enrollees in the denominator for the measure. These rates are not considered *individually reportable*.
- Did Not Report Categories (Table 9b):
 - *Materially Biased* is a determination made by NCQA Certified HEDIS Auditors. HEDIS measure rates generally have a 95 percent confidence interval. If auditors determine that a measure's rate is likely to be biased by more than ± 5 percentage points because of data errors, the auditors designate the rate as materially biased. There were 86 instances, 72 coming from Active Board Certification measures, when a SNP did not report a given measure because it was found to be materially biased.
 - *Chose Not to Report* indicates that the SNP chose not to report a specific measure. A SNP chose not to report in 75 instances.

Table 9a reports the number of submissions by measure; Table 9b reports the rationale for SNPs not having reportable measure results.

As mentioned above, measure results must have denominators ≥ 30 to be considered reliable for individual SNP reporting. NCQA used measure results with denominators ≥ 30 for the SNP PBP-level performance analysis which is contained in Table 7. Measure results based on denominators < 30 were not used in this analysis.

There were 20 measures for which more than 80 percent of the SNPs had a sufficient population (denominator of at least 30 enrollees) to allow for individual SNP-level reporting. *Annual Monitoring for Patients on Persistent Medications—Total Rate* had the largest number (409 SNPs, or 97.4 percent) of SNPs with a sufficient population, followed by four *Care for Older Adults* measures and two *Use of High-Risk Medications in the Elderly* measures—(404 SNPs, or 96.2 percent).

SNPs were more likely to have denominators < 30 for measures that address a new condition or incident (e.g., hospitalized and discharged with a heart attack during a defined period) or less prevalent conditions, because there are fewer patient encounters or actions to meet the numerator requirement. *Persistence of Beta-Blocker Treatment After a Heart Attack* had the smallest number of SNPs with denominators ≥ 30 (76 SNPs, or 18.1 percent). *Osteoporosis Management in Women Who Had a Fracture* also had a small number of SNPs with denominators ≥ 30 (83 SNPs, or 19.8 percent).

Table 9a. SNP HEDIS 2015 Data Submission—Did Report

Measures	Total Submissions		Denominator ≥30		Denominator <30	
	N	%	N	%	N	%
Colorectal Cancer Screening	418	99.5	366	87.1	52	12.4
Use of Spirometry Testing in the Assessment and Diagnosis of COPD	418	99.5	189	45.0	229	54.5
Pharmacotherapy of COPD Exacerbation—Dispensed Systemic Corticosteroid Within 14 Days of Event	418	99.5	202	48.1	216	51.4
Pharmacotherapy of COPD Exacerbation—Dispensed Bronchodilator Within 30 Days of Event	418	99.5	202	48.1	216	51.4
Controlling High Blood Pressure	408	97.1	356	84.8	52	12.4
Persistence of Beta-Blocker Treatment After a Heart Attack	418	99.5	76	18.1	342	81.4
Osteoporosis Management in Women Who Had a Fracture	414	98.6	83	19.8	331	78.8
Antidepressant Medication Management—Acute Phase	418	99.5	237	56.4	181	43.1
Antidepressant Medication Management—Continuation Phase	418	99.5	237	56.4	181	43.1
Follow-Up After Hospitalization for Mental Illness—Follow-Up Within 30 Days of Discharge	418	99.5	157	37.4	261	62.1
Follow-Up After Hospitalization for Mental Illness—Follow-Up Within 7 Days of Discharge	418	99.5	157	37.4	261	62.1
Annual Monitoring for Patients on Persistent Medications—ACE/ARB Monitoring	418	99.5	398	94.8	20	4.8
Annual Monitoring for Patients on Persistent Medications—Digoxin Monitoring	418	99.5	154	36.7	264	62.9
Annual Monitoring for Patients on Persistent Medications—Diuretic Monitoring	418	99.5	392	93.3	26	6.2
Annual Monitoring for Patients on Persistent Medications—Total Rate	418	99.5	409	97.4	9	2.1
Medication Reconciliation Post-Discharge	415	98.8	349	83.1	66	15.7
Care for Older Adults—Advance Care Planning	418	99.5	404	96.2	14	3.3
Care for Older Adults—Medication Review	418	99.5	404	96.2	14	3.3
Care for Older Adults—Functional Status Assessment	418	99.5	404	96.2	14	3.3
Care for Older Adults—Pain Screening	418	99.5	404	96.2	14	3.3
Potentially Harmful Drug-Disease Interactions—History of Falls	418	99.5	225	53.6	193	46.0
Potentially Harmful Drug-Disease Interactions—Dementia	418	99.5	251	59.8	167	39.8
Potentially Harmful Drug-Disease Interactions—Chronic Renal Failure	418	99.5	195	46.4	223	53.1
Potentially Harmful Drug-Disease Interactions—Total Rate	418	99.5	306	72.9	112	26.7

Measures	Total Submissions		Denominator ≥ 30		Denominator < 30	
	N	%	N	%	N	%
Use of High-Risk Medications in the Elderly—At Least One High-Risk Medication	418	99.5	404	96.2	14	3.3
Use of High-Risk Medications in the Elderly—At Least Two Different High-Risk Medications	418	99.5	404	96.2	14	3.3
Active Board Certification—Family Medicine	399	95.0	399	95.0	0	0.0
Active Board Certification—Internal Medicine	399	95.0	399	95.0	0	0.0
Active Board Certification—Geriatrics	399	95.0	393	93.6	6	1.4
Active Board Certification—Other Physician Specialists	399	95.0	399	95.0	0	0.0
Plan All-Cause Readmissions (O/E Ratio— ≥ 65)	414	98.6	340	81.0	74	17.6
Plan All-Cause Readmissions (O/E Ratio— < 65)	418	99.5	264	62.9	154	36.7

Table 9b. SNP HEDIS 2015 Data Submission—Did Not Report

Measures	DID NOT REPORT CATEGORIES									
	Materially Biased		Chose Not to Report		Benefit Not Offered		Out of Scope		Not Required	
	N	%	N	%	N	%	N	%	N	%
Colorectal Cancer Screening	0	0.0	2	0.5	0	0.0	0	0.0	0	0.0
Use of Spirometry Testing in the Assessment and Diagnosis of COPD	0	0.0	2	0.5	0	0.0	0	0.0	0	0.0
Pharmacotherapy of COPD Exacerbation—Dispensed Systemic Corticosteroid Within 14 Days of Event	0	0.0	2	0.5	0	0.0	0	0.0	0	0.0
Pharmacotherapy of COPD Exacerbation—Dispensed Bronchodilator Within 30 Days of Event	0	0.0	2	0.5	0	0.0	0	0.0	0	0.0
Controlling High Blood Pressure	10	2.4	2	0.5	0	0.0	0	0.0	0	0.0
Persistence of Beta-Blocker Treatment After a Heart Attack	0	0.0	2	0.5	0	0.0	0	0.0	0	0.0
Osteoporosis Management in Women Who Had a Fracture	0	0.0	6	1.4	0	0.0	0	0.0	0	0.0
Antidepressant Medication Management—Acute Phase	0	0.0	2	0.5	0	0.0	0	0.0	0	0.0
Antidepressant Medication Management—Continuation Phase	0	0.0	2	0.5	0	0.0	0	0.0	0	0.0
Follow-Up After Hospitalization for Mental Illness—Follow-Up Within 30 Days of Discharge	0	0.0	2	0.5	0	0.0	0	0.0	0	0.0
Follow-Up After Hospitalization for Mental Illness—Follow-Up Within 7 Days of Discharge	0	0.0	2	0.5	0	0.0	0	0.0	0	0.0
Annual Monitoring for Patients on Persistent Medications—ACE/ARB Monitoring	0	0.0	2	0.5	0	0.0	0	0.0	0	0.0
Annual Monitoring for Patients on Persistent Medications—Digoxin Monitoring	0	0.0	2	0.5	0	0.0	0	0.0	0	0.0
Annual Monitoring for Patients on Persistent Medications—Diuretic Monitoring	0	0.0	2	0.5	0	0.0	0	0.0	0	0.0
Annual Monitoring for Patients on Persistent Medications—Total Rate	0	0.0	2	0.5	0	0.0	0	0.0	0	0.0
Medication Reconciliation Post-Discharge	3	0.7	2	0.5	0	0.0	0	0.0	0	0.0

*Lower values signify better performance.

Measures	DID NOT REPORT CATEGORIES									
	Materially Biased		Chose Not to Report		Benefit Not Offered		Out of Scope		Not Required	
	N	%	N	%	N	%	N	%	N	%
Care for Older Adults—Advance Care Planning	0	0.0	2	0.5	0	0.0	0	0.0	0	0.0
Care for Older Adults—Medication Review	0	0.0	2	0.5	0	0.0	0	0.0	0	0.0
Care for Older Adults—Functional Status Assessment	0	0.0	2	0.5	0	0.0	0	0.0	0	0.0
Care for Older Adults—Pain Screening	0	0.0	2	0.5	0	0.0	0	0.0	0	0.0
Potentially Harmful Drug-Disease Interactions—History of Falls*	0	0.0	2	0.5	0	0.0	0	0.0	0	0.0
Potentially Harmful Drug-Disease Interactions—Dementia*	0	0.0	2	0.5	0	0.0	0	0.0	0	0.0
Potentially Harmful Drug-Disease Interactions—Chronic Renal Failure	0	0.0	2	0.5	0	0.0	0	0.0	0	0.0
Potentially Harmful Drug-Disease Interactions—Total Rate	0	0.0	2	0.5	0	0.0	0	0.0	0	0.0
Use of High-Risk Medications in the Elderly—At Least One High-Risk Medication*	0	0.0	2	0.5	0	0.0	0	0.0	0	0.0
Use of High-Risk Medications in the Elderly—At Least Two Different High-Risk Medications*	0	0.0	2	0.5	0	0.0	0	0.0	0	0.0
Active Board Certification—Family Medicine	18	4.3	3	0.7	0	0.0	0	0.0	0	0.0
Active Board Certification—Internal Medicine	18	4.3	3	0.7	0	0.0	0	0.0	0	0.0
Active Board Certification—Geriatrics	18	4.3	3	0.7	0	0.0	0	0.0	0	0.0
Active Board Certification—Other Physician Specialists	18	4.3	3	0.7	0	0.0	0	0.0	0	0.0
Plan All-Cause Readmissions (O/E Ratio—≥65)*	1	0.2	5	1.2	0	0.0	0	0.0	0	0.0
Plan All-Cause Readmissions (O/E Ratio—<65)*	0	0.0	2	0.5	0	0.0	0	0.0	0	0.0

*Lower values signify better performance.

Data Limitations

Analysis provides information about how well SNPs performed in key quality areas described in the body of this report. Important to note is that there are limited results from small plans, which affects analysis. As of February 2014, there were 566 SNPs participating in the program with 418 of these SNPs required to report HEDIS 2015 results by CMS. NCQA also received 2 submissions from SNPs that had fewer than 30 beneficiaries and were not required to report because of their small enrollment. To provide a complete picture of the SNP environment, analyses systematically distinguished aggregate program performance from benefit package performance. Program-level analysis includes data from all SNP submissions, regardless of size, to generate a complete picture of the SNP program.

HEDIS reporting guidelines also have a size limitation: they require a minimum denominator of 30 for each measure. With a smaller number, the reliability and stability of rates for individual plans are below statistically acceptable levels. Some SNPs did not have 30 enrollees for any individual measure, although they had more than 30 enrollees overall; therefore, NCQA could not include those SNPs in the analysis that compares results of individual SNPs (benefit package performance). NCQA includes results by measure of all SNPs in the overall program performance, regardless of size. The limitation in number of SNPs that could report any measure was comparable to that in 2014.

There are trend breaks for some measures in the report, the result of year-to-year changes in the specifications for reportable measures. Trend breaks also result from the introduction of new measures during the three-year analysis period or from measures that were no longer required, resulting in no trendable data for those measures during the review period.

HEDIS Exclusions for Nonacute Admissions

All HEDIS measures that SNPs are required to report apply to I-SNPs. Exclusions in specific HEDIS measures for enrollees admitted to non-acute inpatient facilities probably have a disproportionate impact on I-SNPs, compared to other types of plans. Two measures have optional exclusions; two have non-optional exclusions for enrollees who are admitted to non-acute inpatient facilities. These exclusions apply to all SNP types and also apply to other MA plans, commercial and Medicaid plans.

- *Controlling High Blood Pressure*. Optional exclusion of enrollees who had admission to a non-acute inpatient setting.
- *Persistence of Beta-Blocker Treatment*. Exclude enrollees who were hospitalized for AMI but transferred directly to non-acute care facilities for any diagnosis.
- *Follow-Up After Hospitalization for Mental Illness*. Exclude enrollees who are discharged to non-acute care facilities after being hospitalized for mental illness.
- *Annual Monitoring for Patients on Persistent Medications*. Optional exclusion of enrollees who had acute or non-acute inpatient stays.

All submissions were reviewed by HEDIS Compliance Auditors, even if the outcome was that there were no enrollees in the measure denominator after the exclusion. Results for I-SNPs indicate that there were enrollees living in the community (I-SNP enrollees must be at risk for institutionalization, but not necessarily institutionalized) or that some SNPs chose not to implement the optional exclusions.

Appendix A: HEDIS® Background

About HEDIS

HEDIS is a comprehensive set of standardized performance measures designed to provide purchasers and consumers with the information they need for reliable comparison of health plan performance. The HEDIS measurement set is sponsored, supported and maintained by NCQA. Measures relate to many significant public health issues, such as cancer, heart disease, smoking, asthma and diabetes. NCQA-Certified HEDIS Compliance Auditors verify all results using a process designed by NCQA. SNPs can use HEDIS performance data to identify opportunities for improvement, monitor the success of quality improvement initiatives, track improvement and provide a set of measurement standards that allow comparison with other plans. Data allow identification of performance gaps and establishment of realistic targets for improvement.

The development of a HEDIS measure involves multiple steps; each potential measure is refined and evaluated at several points in the process. NCQA's Committee on Performance Measurement (CPM) oversees the evolution of the measurement set and includes representation by purchasers, consumers, health plans, health care providers and policy makers. Measurement Advisory Panels (MAP) provide the clinical and technical knowledge required to develop the measures. Additional HEDIS Expert Panels and the Technical Measurement Advisory Panel (TMAP) provide invaluable assistance by identifying methodological issues and giving feedback on new and existing measures.

Measure Selection

With guidance from the Geriatric MAP, NCQA recommended to CMS a subset of HEDIS measures to be reported by SNPs. Starting with measures reported by MA plans at the contract level, the subset was then defined by one of the following qualities:

1. An upper age limit above 75 years of age, because measures with an upper age limit below 75 would exclude many SNP beneficiaries, **or**
2. Measures focus on overall health management rather than on one disease or condition, and are therefore appropriate for a population with multiple comorbid conditions.

SNPs reported the following measures in HEDIS 2015:

- *Colorectal Cancer Screening.*
- *Care for Older Adults.*
- *Use of Spirometry Testing in the Assessment and Diagnosis of COPD.*
- *Pharmacotherapy of COPD Exacerbation.*
- *Controlling High Blood Pressure.*
- *Persistence of Beta-Blocker Treatment After a Heart Attack.*
- *Annual Monitoring for Patients on Persistent Medications.*
- *Medication Reconciliation Post-Discharge.*
- *Potentially Harmful Drug-Disease Interactions in the Elderly.**
- *Use of High-Risk Medications in the Elderly.**
- *Osteoporosis Management in Women Who Had a Fracture.*
- *Antidepressant Medication Management.*
- *Follow-Up After Hospitalization for Mental Illness.*

- *Board Certification.*
- *Plan All-Cause Readmission.**

*Lower rate indicates better performance.

Appendix B contains the technical specifications for these measures.

Note: *HEDIS 2015 results are reported in 2015 and primarily cover services delivered in 2014.*

Data Collection & Validation Process

To submit HEDIS measures, SNPs used NCQA's Web-based Interactive Data Submission System, which has extensive data validation checks. Before the submission process, NCQA collected SNP benefit package profile data to determine reporting eligibility. HEDIS measures were reported by SNPs with an enrollment of ≥ 30 enrollees as of the CMS February 2015 SNP Comprehensive Report, which has enrollment figures for mid-January 2014.

Before data were submitted to NCQA, every SNP benefit package submission underwent a HEDIS Compliance Audit™. The NCQA HEDIS Compliance Audit is a two-part program that consists of an overall assessment of information systems capabilities (IS standards), followed by an evaluation of a plan's ability to comply with HEDIS specifications (HD standards). NCQA-Certified Auditors reviewed systems, policies and procedures, and final data results, ensuring that measures were correctly calculated and reported.

Appendix B: HEDIS® 2015 Technical Specifications

This appendix contains the HEDIS 2015 technical specifications for the HEDIS measures SNPs were required to report.

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Colorectal Cancer Screening (COL)

Summary of Changes to HEDIS 2015

- No changes to this measure.

Description

The percentage of members 50–75 years of age who had appropriate screening for colorectal cancer.

Eligible Population

Product lines	Commercial, Medicare (report each product line separately).
Ages	51–75 years as of December 31 of the measurement year.
Continuous enrollment	The measurement year and the year prior to the measurement year.
Allowable gap	No more than one gap in continuous enrollment of up to 45 days during each year of continuous enrollment.
Anchor date	December 31 of the measurement year.
Benefit	Medical.
Event/diagnosis	None.

Administrative Specification

Denominator	The eligible population.
Numerator	One or more screenings for colorectal cancer. Any of the following meet criteria: <ul style="list-style-type: none">• Fecal occult blood test (<u>FOBT Value Set</u>) during the measurement year. For administrative data, assume the required number of samples were returned, regardless of FOBT type.• Flexible sigmoidoscopy (<u>Flexible Sigmoidoscopy Value Set</u>) during the measurement year or the four years prior to the measurement year.• Colonoscopy (<u>Colonoscopy Value Set</u>) during the measurement year or the nine years prior to the measurement year.

Exclusion (*optional*)

Either of the following any time during the member's history through December 31 of the measurement year:

- Colorectal cancer (Colorectal Cancer Value Set).
- Total colectomy (Total Colectomy Value Set).

Hybrid Specification

- Denominator** A systematic sample drawn from the eligible population for each product line. Organizations may reduce the sample size using the current year's administrative rate or the prior year's audited, product line-specific rate. Refer to the *Guidelines for Calculations and Sampling* for information on reducing the sample size.
- Numerator** One or more screenings for colorectal cancer. Appropriate screenings are defined by one of the following:
- FOBT during the measurement year.
 - Flexible sigmoidoscopy during the measurement year or the four years prior to the measurement year.
 - Colonoscopy during the measurement year or the nine years prior to the measurement year.

Administrative Refer to *Administrative Specification* to identify positive numerator hits from the administrative data.

Medical record Documentation in the medical record must include a note indicating the date when the colorectal cancer screening was performed. A result is not required if the documentation is clearly part of the "medical history" section of the record; if this is not clear, the result or finding must also be present (this ensures that the screening was performed and not merely ordered).

There are two types of FOBT tests: guaiac (gFOBT) and immunochemical (iFOBT). Depending on the type of FOBT test, a certain number of samples are required for numerator compliance. Follow the instructions below to determine member compliance.

- If the medical record does not indicate the type of test and there is no indication of how many samples were returned, assume the required number was returned. The member meets the screening criteria for inclusion in the numerator.
- If the medical record does not indicate the type of test and the number of returned samples is specified, the member meets the screening criteria only if the number of samples specified is greater than or equal to three samples. If there are fewer than three samples, the member does not meet the screening criteria for inclusion.
- iFOBT tests may require fewer than three samples. If the medical record indicates that an iFOBT was done, the member meets the screening criteria, regardless of how many samples were returned.
- If the medical record indicates that a gFOBT was done, follow the scenarios below.
 - If the medical record does not indicate the number of returned samples, assume the required number was returned. The member meets the screening criteria for inclusion in the numerator.
 - If the medical record indicates that three or more samples were returned, the member meets the screening criteria for inclusion in the numerator.
 - If the medical record indicates that fewer than three samples were returned, the member does not meet the screening criteria.

Do not count *digital rectal exam* as evidence of a colorectal screening because it is not specific or comprehensive enough to screen for colorectal cancer.

Exclusion (optional)

Refer to *Administrative Specification* for exclusion criteria. Exclusionary evidence in the medical record must include a note indicating colorectal cancer or total colectomy any time during the member's history through December 31 of the measurement year.

Data Elements for Reporting

Organizations that submit HEDIS data to NCQA must provide the following data elements.

Table COL-2/3: Data Elements for Colorectal Cancer Screening

	Administrative	Hybrid
Measurement year	✓	✓
Data collection methodology (Administrative or Hybrid)	✓	✓
Eligible population	✓	✓
Number of numerator events by administrative data in eligible population (before exclusions)		✓
Current year's administrative rate (before exclusions)		✓
Minimum required sample size (MRSS) or other sample size		✓
Oversampling rate		✓
Final sample size (FSS)		✓
Number of numerator events by administrative data in FSS		✓
Administrative rate on FSS		✓
Number of original sample records excluded because of valid data errors		✓
Number of administrative data records excluded		✓
Number of medical records excluded		✓
Number of employee/dependent medical records excluded		✓
Records added from the oversample list		✓
Denominator		✓
Numerator events by administrative data	✓	✓
Numerator events by medical records		✓
Reported rate	✓	✓
Lower 95% confidence interval	✓	✓
Upper 95% confidence interval	✓	✓

Care for Older Adults (COA)

Summary of Changes to HEDIS 2015

- Clarified that a medication list, signed and dated by the appropriate practitioner type (prescribing practitioner or clinical pharmacist) meets criteria for Medication review.
- Revised medical record requirements for Functional status assessment.
- Updated Table COA-3 in Data Elements for Reporting.

Description

The percentage of adults 66 years and older who had each of the following during the measurement year:

- Advance care planning.
- Medication review.
- Functional status assessment.
- Pain assessment.

Definitions

Medication list	A list of the member's medications in the medical record. The medication list may include medication names only or may include medication names, dosages and frequency, over-the-counter (OTC) medications and herbal or supplemental therapies.
Medication review	A review of all a member's medications, including prescription medications, OTC medications and herbal or supplemental therapies.

Eligible Population

Product line	Medicare SNP.
Ages	66 years and older as of December 31 of the measurement year.
Continuous enrollment	The measurement year.
Allowable gap	No more than one gap in continuous enrollment of up to 45 days during the measurement year.
Anchor date	December 31 of the measurement year.
Benefit	Medical.
Event/diagnosis	None.

Administrative Specification

Denominator The eligible population.

Numerators

Advance Care Planning Evidence of advance care planning during the measurement year (Advance Care Planning Value Set).

Medication Review Any of the following meet criteria.

- Both of the following on the same date of service during the measurement year:
 - At least one medication review (Medication Review Value Set) conducted by a prescribing practitioner or clinical pharmacist.
 - The presence of a medication list in the medical record (Medication List Value Set).
- Transitional care management services (TCM 7 Day Value Set) where the reported date of service on the claim is on or between January 30 of the measurement year and January 22 of the year after the measurement year.
- Transitional care management services (TCM 14 Day Value Set) where the reported date of service on the claim is on or between January 30 of the measurement year and January 15 of the year after the measurement year.

Note: Transitional care management is a 30-day period that begins on the date of discharge and continues for the next 29 days. The date of service on the claim is 29 days after discharge and not the date of the face-to-face visit. Medication management must be furnished no later than the date of the face-to-face visit.

Functional Status Assessment At least one functional status assessment (Functional Status Assessment Value Set) during the measurement year.

Pain Assessment At least one pain assessment (Pain Assessment Value Set) during the measurement year.

Hybrid Specification

Denominator A systematic sample drawn from the eligible population. Organizations may reduce the sample size using the current year's administrative rate or the prior year's audited, product line-specific rate. Refer to the *Guidelines for Calculations and Sampling* for information on reducing the sample size.

Numerators

Advance Care Planning Evidence of advance care planning as documented through either administrative data or medical record review.

Administrative Refer to *Administrative Specification* to identify positive numerator hits from administrative data.

Medical record **Advance care planning** is a discussion about preferences for resuscitation, life-sustaining treatment and end of life care. Evidence of advance care planning must include one of the following:

- The presence of an advance care plan in the medical record.
- Documentation of an advance care planning **discussion** with the provider *and* the date when it was discussed. The documentation of discussion must be noted during the measurement year.
- Notation that the member previously executed an advance care plan.

Examples of an advance care plan

- **Advance directive.** Directive about treatment preferences and the designation of a surrogate who can make medical decisions for a patient who is unable to make them (e.g., living will, power of attorney, health care proxy).
- **Actionable medical orders.** Written instructions regarding initiating, continuing, withholding or withdrawing specific forms of life-sustaining treatment (e.g., Physician Orders for Life Sustaining Treatment [POLST], Five Wishes).
- **Living will.** Legal document denoting preferences for life-sustaining treatment and end-of-life care.
- **Surrogate decision maker.** A written document designating someone other than the member to make future medical treatment choices.

Examples of an advance care planning discussion

- Notation in the medical record of a discussion with a provider or initiation of a discussion by a provider during the measurement year.
- **Oral statements.** Conversations with relatives or friends about life-sustaining treatment and end-of-life care, documented in the medical record. Patient designation of an individual who can make decisions on behalf of the patient. Evidence of oral statements must be noted in the medical record during the measurement year.

Medication Review At least one medication review conducted by a prescribing practitioner or clinical pharmacist during the measurement year **and** the presence of a medication list in the medical record, as documented through either administrative data or medical record review. A medication list, signed and dated during the measurement year by the appropriate practitioner type (prescribing practitioner or clinical pharmacist), meets criteria (the practitioner's signature is considered evidence that the medications were reviewed).

Administrative Refer to *Administrative Specification* to identify positive numerator hits from administrative data.

Medical record Documentation must come from the same medical record and must include the following:

- A medication list in the medical record, **and** evidence of a medication review by a prescribing practitioner or clinical pharmacist and the date when it was performed.
- Notation that the member is not taking any medication and the date when it was noted.

A review of side effects for a single medication at the time of prescription alone is not sufficient.

An outpatient visit is not required to meet criteria.

Functional Status Assessment At least one functional status assessment during the measurement year, as documented through either administrative data or medical record review.

Administrative Refer to *Administrative Specification* to identify positive numerator hits from administrative data.

Medical record Documentation in the medical record must include evidence of a complete functional status assessment and the date when it was performed.

Notations for a complete functional status assessment must include one of the following:

- Notation that Activities of Daily Living (ADL) were assessed or that at least five of the following were assessed: bathing, dressing, eating, transferring [e.g., getting in and out of chairs], using toilet, walking.
- Notation that Instrumental Activities of Daily Living (IADL) were assessed or at least four of the following were assessed: shopping for groceries, driving or using public transportation, using the telephone, meal preparation, housework, home repair, laundry, taking medications, handling finances.
- Result of assessment using a standardized functional status assessment tool, not limited to:
 - SF-36®.
 - Assessment of Living Skills and Resources (ALSAR).
 - Barthel ADL Index Physical Self-Maintenance (ADLS) Scale.
 - Bayer ADL (B-ADL) Scale.
 - Barthel Index.
 - Extended ADL (EADL) Scale.
 - Independent Living Scale (ILS).
 - Katz Index of Independence in ADL.
 - Kenny Self-Care Evaluation.
 - Klein-Bell ADL Scale.
 - Kohlman Evaluation of Living Skills (KELS).
 - Lawton & Brody's IADL scales.
 - Patient Reported Outcome Measurement Information System (PROMIS) Global or Physical Function Scales.
- Notation that at least three of the following four components were assessed:
 - Cognitive status.
 - Ambulation status.
 - Hearing, vision and speech (i.e., sensory ability).
 - Other functional independence (e.g., exercise, ability to perform job).

A functional status assessment limited to an acute or single condition, event or body system (e.g., lower back, leg) does not meet criteria for a comprehensive functional status assessment. The components of the functional status assessment numerator may take place during separate visits within the measurement year.

Pain Assessment At least one pain assessment during the measurement year, as documented through either administrative data or medical record review.

Administrative

Refer to *Administrative Specification* to identify positive numerator hits from administrative data.

Medical record Documentation in the medical record must include evidence of a pain assessment and the date when it was performed.

Notations for a pain assessment must include one of the following:

- Documentation that the patient was assessed for pain (which may include positive or negative findings for pain).
- Result of assessment using a standardized pain assessment tool, not limited to:
 - Numeric rating scales (verbal or written)
 - Face, Legs, Activity, Cry Consolability (FLACC) scale.
 - Verbal descriptor scales (5–7 Word Scales, Present Pain Inventory).
 - Pain Thermometer.
 - Pictorial Pain Scales (Faces Pain Scale, Wong-Baker Pain Scale).
 - Visual analogue scale.
 - Brief Pain Inventory.
 - Chronic Pain Grade.
 - PROMIS Pain Intensity Scale.
 - Pain Assessment in Advanced Dementia (PAINAD) Scale.

Note

- *Notation of a pain management plan alone does not meet criteria.*
- *Notation of a pain treatment plan alone does not meet criteria.*
- *Notation of screening for chest pain alone or documentation of chest pain alone does not meet criteria.*
- *Refer to Appendix 3 for the definition of clinical pharmacist and prescribing practitioner.*

Data Elements for Reporting

Organizations that submit HEDIS data to NCQA must provide the following data elements.

Table COA-3: Data Elements for Care for Older Adults

	Administrative	Hybrid
Measurement year	<i>Each of the 4 rates</i>	<i>Each of the 4 rates</i>
Data collection methodology (Administrative or Hybrid)	<i>Each of the 4 rates</i>	<i>Each of the 4 rates</i>
Eligible population	<i>Each of the 4 rates</i>	<i>Each of the 4 rates</i>
Number of numerator events by administrative data in eligible population (before exclusions)		<i>Each of the 4 rates</i>
Current year's administrative rate (before exclusions)		<i>Each of the 4 rates</i>
Minimum required sample size (MRSS) or other sample size		<i>Each of the 4 rates</i>
Oversampling rate		<i>Each of the 4 rates</i>
Final sample size (FSS)		<i>Each of the 4 rates</i>
Number of numerator events by administrative data in FSS		<i>Each of the 4 rates</i>
Administrative rate on FSS		<i>Each of the 4 rates</i>
Number of original sample records excluded because of valid data errors		<i>Each of the 4 rates</i>
Number of employee/dependent medical records excluded		<i>Each of the 4 rates</i>
Records added from the oversample list		<i>Each of the 4 rates</i>
Denominator		<i>Each of the 4 rates</i>
Numerator events by administrative data	<i>Each of the 4 rates</i>	<i>Each of the 4 rates</i>
Numerator events by medical records		<i>Each of the 4 rates</i>
Reported rate	<i>Each of the 4 rates</i>	<i>Each of the 4 rates</i>
Lower 95% confidence interval	<i>Each of the 4 rates</i>	<i>Each of the 4 rates</i>
Upper 95% confidence interval	<i>Each of the 4 rates</i>	<i>Each of the 4 rates</i>

Use of Spirometry Testing in the Assessment and Diagnosis of COPD (SPR)

Summary of Changes to HEDIS 2014

- Removed coding tables and replaced all coding table references with value set references.

Description

The percentage of members 40 years of age and older with a new diagnosis of COPD or newly active COPD, who received appropriate spirometry testing to confirm the diagnosis.

Definitions

Intake Period	A 12-month window that begins on July 1 of the year prior to the measurement year and ends on June 30 of the measurement year. The Intake Period captures the first COPD diagnosis.
IESD	Index Episode Start Date. The earliest date of service for an eligible visit (outpatient, ED or acute inpatient) during the Intake Period with any diagnosis of COPD. <i>For an outpatient claim/encounter, the IESD is the date of service.</i> <i>For an acute inpatient claim/encounter, the IESD is the date of discharge.</i> <i>For a transfer or readmission, the IESD is the discharge date of the original admission.</i>
Negative Diagnosis History	A period of 730 days (2 years) prior to the IESD (inclusive) when the member had no claims/encounters containing any diagnosis of COPD. <i>For an acute inpatient IESD, use the date of admission to determine the Negative Diagnosis History.</i>

Eligible Population

Product lines	Commercial, Medicaid, Medicare (report each product line separately).
Ages	42 years or older as of December 31 of the measurement year.
Continuous enrollment	730 days (2 years) prior to the IESD through 180 days (6 months) after the IESD.
Allowable gap	One gap in enrollment of up to 45 days is allowed in each of the 12-month periods prior to the IESD or in the 6-month period after the IESD, for a maximum of two gaps total. To determine continuous enrollment for a Medicaid beneficiary for whom enrollment is verified monthly, the member may not have more than a 1-month gap in coverage (i.e., a member whose coverage lapses for 2 months [60 days] is not considered continuously enrolled).
Anchor date	IESD.
Benefit	Medical.

- Event/ diagnosis** The first visit with a diagnosis of COPD during the Intake Period. Follow the steps below to identify the eligible population for the measure.
- Step 1** Identify all members who had an outpatient visit (Outpatient Value Set), an observation visit (Observation Value Set), an ED visit (ED Value Set) or an acute inpatient encounter (Acute Inpatient Value Set), during the Intake Period, with any diagnosis of COPD (COPD Value Set), emphysema (Emphysema Value Set) or chronic bronchitis (Chronic Bronchitis Value Set).
- If the member had more than one eligible visit, include only the first visit.
- Step 2** Test for Negative Diagnosis History. Exclude members who had an outpatient visit (Outpatient Value Set), an observation visit (Observation Value Set), an ED visit (ED Value Set) or an acute inpatient encounter (Acute Inpatient Value Set) during the 730 days (2 years) prior to the IESD, with a diagnosis of COPD (COPD Value Set), emphysema (Emphysema Value Set) or chronic bronchitis (Chronic Bronchitis Value Set).
- For an acute inpatient IESD, use the date of admission to determine the Negative Diagnosis History.*
- Step 3** Calculate continuous enrollment. Members must be continuously enrolled in the organization 730 days (2 years) prior to the IESD through 180 days (6 months) after the IESD.

Administrative Specification

- Denominator** The eligible population.
- Numerator** At least one claim/encounter for spirometry (Spirometry Value Set) during the 730 days (2 years) prior to the IESD through 180 days (6 months) after the IESD.

Data Elements for Reporting

Organizations that submit HEDIS data to NCQA must provide the following data elements.

Table SPR-1/2/3: Data Elements for Use of Spirometry Testing in the Assessment and Diagnosis of COPD

	Administrative
Measurement year	✓
Data collection methodology (Administrative)	✓
Eligible population	✓
Numerator events by administrative data	✓
Reported rate	✓
Lower 95% confidence interval	✓
Upper 95% confidence interval	✓

Pharmacotherapy Management of COPD Exacerbation (PCE)

Summary of Changes to HEDIS 2015

- No changes to this measure.

Description

The percentage of COPD exacerbations for members 40 years of age and older who had an acute inpatient discharge or ED visit on or between January 1–November 30 of the measurement year and who were dispensed appropriate medications. Two rates are reported:

1. Dispensed a systemic corticosteroid (or there was evidence of an active prescription) within 14 days of the event.
2. Dispensed a bronchodilator (or there was evidence of an active prescription) within 30 days of the event.

Note: The eligible population for this measure is based on acute inpatient discharges and ED visits, not on members. It is possible for the denominator to include multiple events for the same individual.

Definitions

Intake Period	An 11-month period that begins on January 1 of the measurement year and ends on November 30 of the measurement year. The Intake Period captures eligible episodes of treatment.
Episode Date	The date of service for any acute inpatient discharge or ED claim/encounter during the Intake Period with a principal diagnosis of COPD. <i>For an acute inpatient claim/encounter, the Episode Date is the date of discharge.</i> <i>For an ED claim/encounter, the Episode Date is the date of service.</i>
Active prescription	A prescription is considered active if the “days supply” indicated on the date the member filled the prescription is the number of days or more between that date and the relevant date. <i>For an acute inpatient claim/encounter, the relevant date is the date of admission.</i> <i>For an ED claim/encounter, the relevant date is the date of service.</i>

Eligible Population

Product lines	Commercial, Medicaid, Medicare (report each product line separately).
Ages	40 years or older as of January 1 of the measurement year.
Continuous enrollment	Episode Date through 30 days after the Episode Date.
Allowable gap	None.
Anchor date	Episode Date.
Benefits	Medical and pharmacy.

**Event/
diagnosis** A COPD exacerbation as indicated by an acute inpatient discharge or ED encounter with a principal diagnosis of COPD.

Follow the steps below to identify the eligible population.

Step 1 Identify all members who during the Intake Period had an acute inpatient discharge (Acute Inpatient UB Revenue Value Set) or an ED visit (ED Value Set) with a primary diagnosis of COPD (COPD Value Set), emphysema (Emphysema Value Set) or chronic bronchitis (Chronic Bronchitis Value Set).

Do not include ED visits that result in an inpatient admission.

Note: The denominator for this measure is based on acute inpatient discharges and ED visits, not on members. Only UB Revenue codes are used to identify acute inpatient discharges because using other codes could result in double-counting.

Step 2 Identify all COPD Episode Dates. For each member identified in step 1, identify all acute inpatient discharges and ED visits. Do not include ED visits that result in an inpatient admission.

Step 3 Test for transfers. Exclude Episode Dates when the member was transferred directly to an acute or nonacute care facility for any diagnosis.

Step 4 Test for readmission and additional ED visits. Exclude Episode Dates when the member was readmitted to an acute or nonacute care facility for any diagnosis within 14 days after the Episode Date. Exclude Episode Dates when the member had an ED visit for any diagnosis within 14 days after the Episode Date.

Step 5 Calculate continuous enrollment. The member must be continuously enrolled without a gap in coverage from the Episode Date through 30 days after the Episode Date.

Note: All Episode Dates that were not excluded remain in the denominator. The denominator for this measure is based on acute inpatient discharges and ED visits, not members.

Administrative Specification

Denominator The eligible population.

Numerators

Systemic corticosteroid Dispensed prescription for systemic corticosteroid (Table PCE-C) on or 14 days after the Episode Date. Count systemic corticosteroids that are active on the relevant date.

Table PCE-C: Systemic Corticosteroids

Description	Prescription			
Glucocorticoids	• Betamethasone	• Hydrocortisone	• Prednisolone	• Triamcinolone
	• Dexamethasone	• Methylprednisolone	• Prednisone	

Note: NCQA will post a comprehensive list of medications and NDC codes to www.ncqa.org by November 3, 2014.

Bronchodilator Dispensed prescription for a bronchodilator (Table PCE-D) on or 30 days after the Episode Date. Count bronchodilators that are active on the relevant date.

Table PCE-D: Bronchodilators

Description	Prescription		
Anticholinergic agents	<ul style="list-style-type: none"> • Albuterol-ipratropium • Acclidinium-bromide 	<ul style="list-style-type: none"> • Ipratropium • Tiotropium 	
Beta 2-agonists	<ul style="list-style-type: none"> • Albuterol • Arformoterol • Budesonide-formoterol • Fluticasone-salmeterol 	<ul style="list-style-type: none"> • Fluticasone-vilanterol • Formoterol • Indacaterol • Levalbuterol 	<ul style="list-style-type: none"> • Mometasone-formoterol • Metaproterenol • Pirbuterol • Salmeterol
Methylxanthines	<ul style="list-style-type: none"> • Aminophylline • Dyphylline-guaifenesin • Guaifenesin-theophylline 	<ul style="list-style-type: none"> • Dyphylline • Theophylline 	

Note: NCQA will post a comprehensive list of medications and NDC codes to www.ncqa.org by November 3, 2014.

Data Elements for Reporting

Organizations that submit HEDIS data to NCQA must provide the following data elements.

Table PCE-1/2/3: Data Elements for Pharmacotherapy Management of COPD Exacerbation

	Administrative
Measurement year	✓
Data collection methodology (Administrative)	✓
Eligible population	✓
Numerator events by administrative data	Each of the 2 rates
Reported rate	Each of the 2 rates
Lower 95% confidence interval	Each of the 2 rates
Upper 95% confidence interval	Each of the 2 rates

Controlling High Blood Pressure (CBP)

Summary of Changes to HEDIS 2015

- Revised the definition of *adequate control* to include two different BP thresholds based on age and diagnosis.
- Added a Diabetes Flag and corresponding value sets in the event/diagnosis criteria.
- Renamed the Hypertension Value Set to Essential Hypertension Value Set.
- Revised the optional exclusion for nonacute inpatient admissions.
- Deleted the Nonacute Care Value Set; organizations use facility and proprietary coding to identify nonacute inpatient admissions.
- Revised the Numerator to include the different BP thresholds in the Hybrid Specification.

Description

The percentage of members 18–85 years of age who had a diagnosis of hypertension (HTN) and whose BP was adequately controlled during the measurement year based on the following criteria:

- Members 18–59 years of age whose BP was <140/90 mm Hg.
- Members 60–85 years of age with a diagnosis of diabetes whose BP was <140/90 mm Hg.
- Members 60–85 years of age without a diagnosis of diabetes whose BP was <150/90 mm Hg.

Note: Use the Hybrid Method for this measure. A single rate is reported and is the sum of all three groups.

Definitions

Adequate control	Adequate control is defined as meeting any of the following criteria: <ul style="list-style-type: none"> • Members 18–59 years of age whose BP was <140/90 mm Hg. • Members 60–85 years of age with a diagnosis of diabetes whose BP was <140/90 mm Hg. • Members 60–85 years of age without a diagnosis of diabetes whose BP was <150/90 mm Hg.
Representative BP	The most recent BP reading during the measurement year (as long as it occurred after the diagnosis of hypertension). If multiple BP measurements occur on the same date, or are noted in the chart on the same date, use the lowest systolic and lowest diastolic BP reading. If no BP is recorded during the measurement year, assume that the member is “not controlled.”

Eligible Population

Product lines	Commercial, Medicaid, Medicare (report each product line separately).
Ages	18–85 years as of December 31 of the measurement year.
Continuous enrollment	The measurement year.

Allowable gap	No more than one gap in continuous enrollment of up to 45 days during the measurement year. To determine continuous enrollment for a Medicaid beneficiary for whom enrollment is verified monthly, the member may not have more than a 1-month gap in coverage (i.e., a member whose coverage lapses for 2 months [60 days] is not considered continuously enrolled).
Anchor date	December 31 of the measurement year.
Benefit	Medical.
Event/diagnosis	Members are identified as hypertensive if there is at least one outpatient visit (<u>Outpatient CPT Value Set</u>) with a diagnosis of hypertension (<u>Essential Hypertension Value Set</u>) during the first six months of the measurement year.

Note: *In order to increase the specificity of the eligible population, only CPT codes are used to identify outpatient visits.*

Diabetes Flag for the Numerator After the Eligible Population is identified, assign each member a flag to identify if the member does or does not have diabetes as identified by claims/encounter and pharmacy data (as described below). The flag is used to determine the appropriate BP threshold to use during numerator assessment (the threshold for members with diabetes is different than the threshold for members without diabetes).

Assign a flag of **diabetic** to members who were identified as diabetic using claim/encounter data or pharmacy data. The organization must use both methods to assign the diabetes flag, but a member only needs to be identified by one method. Members may be identified as having diabetes during the measurement year or the year prior to the measurement year.

Claim/encounter data. Members who met any of the following criteria during the measurement year or the year prior to the measurement year (count services that occur over both years):

- At least two outpatient visits (Outpatient Value Set), observation visits (Observation Value Set), ED visits (ED Value Set) or nonacute inpatient encounters (Nonacute Inpatient Value Set) on different dates of service, with a diagnosis of diabetes (Diabetes Value Set). Visit type need not be the same for the two visits.
- At least one acute inpatient encounter (Acute Inpatient Value Set) with a diagnosis of diabetes (Diabetes Value Set).

Pharmacy data. Members who were dispensed insulin or hypoglycemics/antihyperglycemics on an ambulatory basis during the measurement year or the year prior to the measurement year (Table CDC-A).

Assign a flag of **not diabetic** to members who do not have a diagnosis of diabetes (Diabetes Value Set), in any setting, during the measurement year or year prior to the measurement year and who meet either of the following criteria:

- A diagnosis of polycystic ovaries (Polycystic Ovaries Value Set), in any setting, any time during the member's history through December 31 of the measurement year.
- A diagnosis of gestational diabetes or steroid-induced diabetes (Diabetes Exclusions Value Set), in any setting, during the measurement year or the year prior to the measurement year.

Hybrid Specification

Denominator A systematic sample drawn from the eligible population for each product line whose diagnosis of hypertension is confirmed by chart review. The organization may reduce the sample size using the prior year's audited, product line-specific rate. Refer to the *Guidelines for Calculations and Sampling* for information on reducing the sample size.

To confirm the diagnosis of hypertension, the organization must find notation of one of the following in the medical record anytime during the member's history on or before June 30 of the measurement year:

- Hypertension.
- HTN.
- High BP (HBP).
- Elevated BP (↑BP).
- Borderline HTN.
- Intermittent HTN.
- History of HTN.
- Hypertensive vascular disease (HVD).
- Hyperpiesia.
- Hyperpiesis.

It does not matter if hypertension was treated or is currently being treated. The notation indicating a diagnosis of hypertension may be recorded in any of the following documents:

- Problem list (this may include a diagnosis prior to June 30 of the measurement year or an undated diagnosis; see **Note** at the end of this section).
- Office note.
- Subjective, Objective, Assessment, Plan (SOAP) note.
- Encounter form.
- Diagnostic report.
- Hospital discharge summary.

Statements such as “rule out HTN,” “possible HTN,” “white-coat HTN,” “questionable HTN” and “consistent with HTN” are not sufficient to confirm the diagnosis if such statements are the *only* notations of hypertension in the medical record.

Identifying the medical record Use one medical record for both the confirmation of the diagnosis of hypertension and the representative BP. All eligible BP measurements recorded in the record must be considered. If an organization cannot find the medical record, the member remains in the measure denominator and is considered noncompliant for the numerator.

Use the following steps to find the appropriate medical record to review.

Step 1 Identify the member's PCP.

If the member had more than one PCP for the time period, identify the PCP who most recently provided care to the member.

If the member did not visit a PCP for the time period or does not have a PCP, identify the practitioner who most recently provided care to the member.

If a practitioner other than the member's PCP manages the hypertension, the organization may use the medical record of that practitioner.

- Step 2** Use one medical record to both confirm the diagnosis for the denominator and identify the representative BP level for the numerator. There are circumstances in which the organization may need to go to a second medical record to either confirm the diagnosis or obtain the BP reading, as in the following two examples.

If a member sees one PCP during the denominator confirmation period (on or before June 30 of the measurement year) and another PCP after June 30, the diagnosis of hypertension and the BP reading may be identified through two different medical records.

If a member has the same PCP for the entire measurement year, but it is clear from claims or medical record data that a specialist (e.g., cardiologist) manages the member's hypertension after June 30, the organization may use the PCP's chart to confirm the diagnosis and use the specialist's chart to obtain the BP reading. For example, if all recent claims coded with 401 came from the specialist, the organization may use this chart for the most recent BP reading. If the member did not have any visit with the specialist prior to June 30 of the measurement year, the organization must go to another medical record to confirm the diagnosis.

Numerator The number of members in the denominator whose most recent BP (both systolic and diastolic) is adequately controlled during the measurement year based on the following criteria:

- Members 18–59 years of age as of December 31 of the measurement year whose BP was <140/90 mm Hg.
- Members 60–85 years of age as of December 31 of the measurement year and flagged with a diagnosis of diabetes whose BP was <140/90 mm Hg.
- Members 60–85 years of age as of December 31 of the measurement year and flagged as not having a diagnosis of diabetes whose BP was <150/90 mm Hg.

To determine if the member's BP is adequately controlled, the representative BP must be identified.

Administrative None.

Medical record Follow the steps below to determine representative BP.

- Step 1** Identify the most recent BP reading noted during the measurement year. The reading must occur after the date when the diagnosis of hypertension was confirmed.

Do not include BP readings:

- Taken during an acute inpatient stay or an ED visit.
- Taken during an outpatient visit which was for the sole purpose of having a diagnostic test or surgical procedure performed (e.g., sigmoidoscopy, removal of a mole).
- Obtained the same day as a major diagnostic or surgical procedure (e.g., stress test, administration of IV contrast for a radiology procedure, endoscopy).
- Reported by or taken by the member.

If multiple readings were recorded for a single date, use the lowest systolic and lowest diastolic BP on that date as the representative BP. The systolic and diastolic results do not need to be from the same reading.

Step 2 Determine numerator compliance based on the following criteria:

- Members 18-59 years of age as of December 31 of the measurement year whose BP was <140/90 mm Hg.
- Members 60-85 years of age as of December 31 of the measurement year and flagged with a diagnosis of diabetes whose BP was <140/90 mm Hg.
- Members 60-85 years of age as of December 31 of the measurement year and flagged as not having a diagnosis of diabetes whose BP was <150/90 mm Hg.

The member is not compliant if the BP reading does not meet the specified threshold or is missing, or if there is no BP reading during the measurement year or if the reading is incomplete (e.g., the systolic or diastolic level is missing).

Step 3 A single rate is reported for all three groups. Sum the numerator events from Step 2 to obtain the rate.

Exclusions (optional)

- Exclude from the eligible population all members with evidence of end-stage renal disease (ESRD) (ESRD Value Set; ESRD Obsolete Value Set) or kidney transplant (Kidney Transplant Value Set) on or prior to December 31 of the measurement year. Documentation in the medical record must include a dated note indicating evidence of ESRD, kidney transplant or dialysis.
- Exclude from the eligible population all members with a diagnosis of pregnancy (Pregnancy Value Set) during the measurement year.
- Exclude from the eligible population all members who had a nonacute inpatient admission during the measurement year.

Note

- *Organizations may use an undated notation of hypertension on problem lists. Problem lists generally indicate established conditions; to discount undated entries might hinder confirmation of the denominator.*
- *Organizations generally require an oversample of 10 percent–15 percent to meet the MRSS for confirmed cases of hypertension.*

Data Elements for Reporting

Organizations that submit HEDIS data to NCQA must provide the following data elements.

Table CBP-1/2/3: Data Elements for Controlling High Blood Pressure

	Hybrid
Measurement year	✓
Data collection methodology (Hybrid)	✓
Eligible population	✓
Number of numerator events by administrative data in eligible population (before exclusions)	✓
Current year's administrative rate (before exclusions)	✓
Minimum required sample size (MRSS) or other sample size	✓
Oversampling rate	✓
Final sample size (FSS)	✓
Number of numerator events by administrative data in FSS	✓
Administrative rate on FSS	✓
Number of original sample records excluded because of valid data errors	✓
Number of records excluded because of false-positive diagnoses	✓
Number of administrative data records excluded	✓
Number of medical record data records excluded	✓
Number of employee/dependent medical records excluded	✓
Records added from the oversample list	✓
Denominator	✓
Numerator events by administrative data	✓
Numerator events by medical records	✓
Reported rate	✓
Lower 95% confidence interval	✓
Upper 95% confidence interval	✓

Persistence of Beta-Blocker Treatment After a Heart Attack (PBH)

Summary of Changes to HEDIS 2015

- Removed the term “discharged alive” throughout the specification.
- Clarified the event/diagnosis criteria to state that professional claims may not be used to identify readmissions or transfers.
- Added a definition for *180-day measurement interval*.
- Added a data element to collect the number of optional exclusions to the Data Elements for Reporting table.

Description

The percentage of members 18 years of age and older during the measurement year who were hospitalized and discharged from July 1 of the year prior to the measurement year to June 30 of the measurement year with a diagnosis of AMI and who received persistent beta-blocker treatment for six months after discharge.

Definition

Treatment days (covered days)	The actual number of calendar days covered with prescriptions within the specified 180-day measurement interval (i.e., a prescription of a 90-day supply dispensed on the 100th day will have 80 days counted in the 180-day interval).
180-day measurement interval	The 180 day period that includes the discharge date and the 179 days after discharge.

Eligible Population

Product lines	Commercial, Medicaid, Medicare (report each product line separately).
Ages	18 years and older as of December 31 of the measurement year.
Continuous enrollment	Discharge date through 180 days after discharge.
Allowable gap	No more than one gap in enrollment of up to 45 days within the 180 days of the event. To determine continuous enrollment for a Medicaid beneficiary for whom enrollment is verified monthly, the member may not have more than a 1-month gap in coverage (i.e., a member whose coverage lapses for 2 months [60 days] is not continuously enrolled).
Anchor date	Discharge date.
Benefit	Medical and pharmacy.
Event/diagnosis	Discharged from an acute inpatient setting with an AMI (<u>AMI Value Set</u>) from July 1 of the year prior to the measurement year through June 30 of the measurement year. Use only facility claims to identify denominator events (including readmissions or direct transfers). Do not use professional claims.

If a member has more than one episode of AMI from July 1 of the year prior to the measurement year through June 30 of the measurement year, only include the first discharge.

Transfers to acute facilities. Include hospitalizations in which the member was transferred directly to another acute inpatient facility for any diagnosis. Count the discharge from the subsequent acute inpatient facility, not the initial discharge. The discharge date from the facility to which the member was transferred must occur on or before June 30 of the measurement year.

Transfers to nonacute facilities. Exclude from the denominator, hospitalizations in which the member was transferred directly to a nonacute care facility for any diagnosis.

Readmissions. If the member was readmitted to an acute or nonacute care facility for any diagnosis, include the member in the denominator and use the discharge date from the original hospitalization.

Administrative Specification

Denominator The eligible population.

Numerator A 180-day course of treatment with beta-blockers (Table PBH-B).

Identify all members in the denominator population whose dispensed days supply is ≥ 135 days in the 180-day measurement interval. Persistence of treatment for this measure is defined as at least 75 percent of the days supply filled.

To determine continuity of treatment during the 180-day period, identify all prescriptions filled within 180-day measurement interval, and add the number of allowed gap days to the number of treatment days for a maximum of 180 days (i.e., 135 treatment days + 45 gap days = 180 days).

To account for members who are on beta-blockers prior to admission, factor those prescriptions into adherence rates if the actual treatment days fall within the 180-day measurement interval.

Table PBH-B: Beta-Blocker Medications

Description	Prescription		
Noncardioselective beta-blockers	<ul style="list-style-type: none"> • Carvedilol • Labetalol • Nadolol 	<ul style="list-style-type: none"> • Penbutolol • Pindolol • Propranolol 	<ul style="list-style-type: none"> • Timolol • Sotalol
Cardioselective beta-blockers	<ul style="list-style-type: none"> • Acebutolol • Atenolol 	<ul style="list-style-type: none"> • Betaxolol • Bisoprolol 	<ul style="list-style-type: none"> • Metoprolol • Nebivolol
Antihypertensive combinations	<ul style="list-style-type: none"> • Atenolol-chlorthalidone • Bendroflumethiazide-nadolol • Bisoprolol-hydrochlorothiazide 		<ul style="list-style-type: none"> • Hydrochlorothiazide-metoprolol • Hydrochlorothiazide-propranolol

Note: NCQA will post a comprehensive list of medications and NDC codes to www.ncqa.org by November 3, 2014.

Exclusion (optional)

Members identified as having an intolerance or allergy to beta-blocker therapy. Any of the following anytime during the member's history through the end of the continuous enrollment period meet criteria:

- Asthma ([Asthma Value Set](#)).
- COPD ([COPD Value Set](#)).
- Obstructive chronic bronchitis ([Obstructive Chronic Bronchitis Value Set](#)).
- Chronic respiratory conditions due to fumes and vapors ([Chronic Respiratory Conditions Due to Fumes/Vapors Value Set](#)).
- Hypotension, heart block >1 degree or sinus bradycardia ([Beta-Blocker Contraindications Value Set](#)).
- A medication dispensing event indicative of a history of asthma (Table PBH-D).
- Intolerance or allergy to beta-blocker therapy.

Table PBH-D: Medications to Identify Exclusions (History of Asthma)

Description	Prescription	
Bronchodilator combinations	<ul style="list-style-type: none"> • Albuterol-ipratropium • Budesonide-formoterol 	<ul style="list-style-type: none"> • Fluticasone-salmeterol • Mometasone-formoterol
Inhaled corticosteroids	<ul style="list-style-type: none"> • Beclomethasone • Budesonide • Ciclesonide 	<ul style="list-style-type: none"> • Flunisolide • Fluticasone • Fluticasone CFC free • Mometasone • Triamcinolone

Note: NCQA will post a comprehensive list of medications and NDC codes to www.ncqa.org by November 3, 2014.

Data Elements for Reporting

Organizations that submit HEDIS data to NCQA must provide the following data elements.

Table PBH-1/2/3: Data Elements for Persistence of Beta-Blocker Treatment After a Heart Attack

	Administrative
Measurement year	✓
Data collection methodology (Administrative)	✓
Eligible population	✓
Number of optional exclusions	✓
Numerator events by administrative data	✓
Reported rate	✓
Lower 95% confidence interval	✓
Upper 95% confidence interval	✓

Annual Monitoring for Patients on Persistent Medications (MPM)

Summary of Changes to HEDIS 2015

- Retired the *Annual monitoring for members on anticonvulsants* rate.
- Revised the numerator for the ACE inhibitors and ARB, digoxin and diuretics rates to no longer allow a blood urea nitrogen therapeutic monitoring test to count as evidence of annual monitoring.
- Revised the numerator for the digoxin rate to add monitoring of serum digoxin level.
- Added a data element to collect the number of optional exclusions to the Data Elements for Reporting table.

Description

The percentage of members 18 years of age and older who received at least 180 treatment days of ambulatory medication therapy for a select therapeutic agent during the measurement year and at least one therapeutic monitoring event for the therapeutic agent in the measurement year. For each product line, report each of the three rates separately and as a total rate.

- Annual monitoring for members on angiotensin converting enzyme (ACE) inhibitors or angiotensin receptor blockers (ARB).
- Annual monitoring for members on digoxin.
- Annual monitoring for members on diuretics.
- Total rate (the sum of the three numerators divided by the sum of the three denominators).

Eligible Population

Product lines	Commercial, Medicaid, Medicare (report each product line separately).
Ages	18 years and older as of December 31 of the measurement year.
Continuous enrollment	The measurement year.
Allowable gap	No more than one gap in enrollment of up to 45 days during the measurement year. To determine continuous enrollment for a Medicaid beneficiary for whom enrollment is verified monthly, the member may not have more than a 1-month gap in coverage (i.e., a member whose coverage lapses for 2 months [60 days] is not considered continuously enrolled).
Anchor date	December 31 of the measurement year.
Benefits	Medical and pharmacy.
Event/diagnosis	Members on persistent medications (i.e., members who received at least 180 treatment days of ambulatory medication in the measurement year). Refer to Additional Eligible Population Criteria for each rate. Treatment days are the actual number of calendar days covered with prescriptions within the measurement year (i.e., a prescription of 90 days supply dispensed on December 1 of the measurement year counts as 30 treatment days). Sum the days supply for all medications and subtract any days supply that extends beyond December 31 of the measurement year.

Note: Medications dispensed in the year prior to the measurement year must be counted toward the 180 treatment days.

Administrative Specification

For each product line, report each of the three rates separately and as a combined rate. The total rate is the sum of the three numerators divided by the sum of the three denominators.

Rate 1: Annual Monitoring for Members on ACE Inhibitors or ARBs

Additional eligible population criteria

Members who received at least 180 treatment days of ACE inhibitors or ARBs, during the measurement year. Refer to Table CDC-L to identify ACE inhibitors and ARBs.

Note: Members may switch therapy with any medication listed in Table CDC-L during the measurement year and have the days supply for those medications count toward the total 180 treatment days (i.e., a member who received 90 days of ACE inhibitors and 90 days of ARBs meets the denominator definition for rate 1).

Numerator

At least one serum potassium and a serum creatinine therapeutic monitoring test in the measurement year. Any of the following during the measurement year meet criteria:

- A lab panel test (Lab Panel Value Set).
- A serum potassium test (Serum Potassium Value Set) **and** a serum creatinine test (Serum Creatinine Value Set).

Note: The tests do not need to occur on the same service date, only within the measurement year.

Rate 2: Annual Monitoring for Members on Digoxin

Additional eligible population criteria

Members who received at least 180 treatment days of digoxin (Table MPM-B) during the measurement year.

Table MPM-B: Drugs to Identify Members on Digoxin

Description	Prescription
Inotropic agents	Digoxin

Note: NCQA will post a comprehensive list of medications and NDC codes to www.ncqa.org by November 3, 2014.

Numerator

At least one serum potassium, at least one serum creatinine, **and** at least one serum digoxin therapeutic monitoring test in the measurement year. Any of the following during the measurement year meet criteria:

- A lab panel test (Lab Panel Value Set) **and** a serum digoxin test (Digoxin Level Value Set).
- A serum potassium test (Serum Potassium Value Set) **and** a serum creatinine test (Serum Creatinine Value Set) **and** a serum digoxin test (Digoxin Level Value Set).

Note: The tests do not need to occur on the same service date, only within the measurement year.

Rate 3: Annual Monitoring for Members on Diuretics**Additional eligible population criteria**

Members who received at least 180 treatment days of a diuretic (Table MPM-C), during the measurement year.

Note: Members may switch therapy with any medication listed in Table MPM-C during the measurement year and have the days supply for those medications count toward the total 180 treatment days.

Table MPM-C: Drugs to Identify Members on Diuretics

Description	Prescription		
Antihypertensive combinations	<ul style="list-style-type: none"> • Aliskiren-hydrochlorothiazide • Aliskiren-hydrochlorothiazide-amlodipine • Amiloride-hydrochlorothiazide • Amlodipine-hydrochlorothiazide-olmesartan • Amlodipine-hydrochlorothiazide-valsartan • Atenolol-chlorthalidone • Azilsartan-chlorthalidone • Benazepril-hydrochlorothiazide • Bendroflumethiazide-nadolol • Bisoprolol-hydrochlorothiazide • Candesartan-hydrochlorothiazide • Captopril-hydrochlorothiazide • Chlorthalidone-clonidine • Enalapril-hydrochlorothiazide • Eprosartan-hydrochlorothiazide • Fosinopril-hydrochlorothiazide • Hydrochlorothiazide-irbesartan • Hydrochlorothiazide-lisinopril • Hydrochlorothiazide-losartan • Hydrochlorothiazide-methyldopa • Hydrochlorothiazide-metoprolol • Hydrochlorothiazide-moexipril • Hydrochlorothiazide-olmesartan • Hydrochlorothiazide-propranolol • Hydrochlorothiazide-quinapril • Hydrochlorothiazide-spiroolactone • Hydrochlorothiazide-telmisartan • Hydrochlorothiazide-triamterene • Hydrochlorothiazide-valsartan 		
Loop diuretics	<ul style="list-style-type: none"> • Bumetanide • Ethacrynic acid • Furosemide • Torsemide 		
Potassium-sparing diuretics	<ul style="list-style-type: none"> • Amiloride • Eplerenone • Spironolactone • Triamterene 		
Thiazide diuretics	<ul style="list-style-type: none"> • Chlorothiazide • Chlorthalidone • Hydrochlorothiazide • Indapamide • Methyclothiazide • Metolazone 		

Note: NCQA will post a comprehensive list of medications and NDC codes to www.ncqa.org by November 3, 2014.

Numerator

At least one serum potassium *and* a serum creatinine therapeutic monitoring test in the measurement year. Any of the following during the measurement year meet criteria:

- A lab panel test (Lab Panel Value Set).
- A serum potassium test (Serum Potassium Value Set) **and** a serum creatinine test (Serum Creatinine Value Set).

Note: The tests do not need to occur on the same service date, only within the measurement year.

Exclusion (optional)

Exclude members from each eligible population rate who had an inpatient (acute or nonacute) claim/encounter during the measurement year.

Data Elements for Reporting

Organizations that submit HEDIS data to NCQA must provide the following data elements.

Table MPM-1/2/3: Data Elements for Annual Monitoring for Patients on Persistent Medications

	Administrative
Measurement year	✓
Data collection methodology (Administrative)	✓
Eligible population	<i>For each of the 3 rates and total</i>
Number of optional exclusions	<i>For each of the 3 rates and total</i>
Numerator events by administrative data	<i>For each of the 3 rates and total</i>
Reported rate	<i>For each of the 3 rates and total</i>
Lower 95% confidence interval	<i>For each of the 3 rates and total</i>
Upper 95% confidence interval	<i>For each of the 3 rates and total</i>

Medication Reconciliation Post-Discharge (MRP)

Summary of Changes to HEDIS 2015

- No changes to this measure.

Description

The percentage of discharges from January 1–December 1 of the measurement year for members 66 years of age and older for whom medications were reconciled on or within 30 days of discharge.

Definition

Medication reconciliation A type of review in which the discharge medications are reconciled with the most recent medication list in the outpatient medical record.

Eligible Population

Product line Medicare SNP.

Ages 66 years and older as of December 31 of the measurement year.

Continuous enrollment Date of discharge through 30 days after discharge.

Allowable gap None.

Anchor date Date of discharge.

Benefit Medical.

Event/diagnosis An acute or nonacute inpatient discharge on or between January 1 and December 1 of the measurement year.

The denominator for this measure is based on discharges, not members. If members have more than one discharge, include all discharges on or between January 1 and December 1 of the measurement year.

Readmission or direct transfer If the discharge is followed by a readmission or direct transfer to an acute or nonacute facility within the 30-day follow-up period, count only the readmission discharge or the discharge from the facility to which the member was transferred.

Exclude both the initial discharge and the readmission/direct transfer discharge if the readmission/direct transfer discharge occurs after December 1 of the measurement year.

Note: If a member remains in an acute or nonacute facility through December 1 of the measurement year, a discharge is not included in the measure for this member. However, the organization must have a method for identifying the member's status for the remainder of the measurement year, and may not assume the member remained in the facility based only on the absence of a discharge before December 1.

Administrative Specification

Denominator	The eligible population.
Numerator	Medication reconciliation (<u>Medication Reconciliation Value Set</u>) conducted by a prescribing practitioner, clinical pharmacist or registered nurse on or within 30 days of discharge.

Hybrid Specification

Denominator	<p>A systematic sample drawn from the eligible population. Organizations may reduce the sample size using the current year's administrative rate or the prior year's audited, product line-specific rate. Refer to the <i>Guidelines for Calculations and Sampling</i> for information on reducing the sample size.</p> <p>The denominator is based on episodes, not on members. Members may appear more than once in the sample.</p>
Numerator	Medication reconciliation conducted by a prescribing practitioner, clinical pharmacist or registered nurse, as documented through either administrative data or medical record review on or within 30 days of discharge.
Administrative	Refer to <i>Administrative Specification</i> to identify positive numerator hits from administrative data.
Medical record	<p>Documentation in the medical record must include evidence of medication reconciliation and the date when it was performed. Any of the following evidence meets criteria:</p> <ul style="list-style-type: none"> • Notation that the medications prescribed or ordered upon discharge were reconciled with the current medications (in the outpatient record) by the appropriate practitioner type. • A medication list in a discharge summary that is present in the outpatient chart and evidence of a reconciliation with the current medications conducted by an appropriate practitioner type (the organization must be able to distinguish between the member's discharge medications and the member's current medications). • Notation that no medications were prescribed or ordered upon discharge. <p>Only documentation in the outpatient chart meets the intent of the measure, but an outpatient visit is not required.</p>

Note

- *The denominator is based on the discharge date found in administrative/claims data, but organizations may use other systems (including data found during medical record review) to identify data errors and make corrections.*

Data Elements for Reporting

Organizations that submit HEDIS data to NCQA must provide the following data elements.

Table MRP-3: Data Elements for Medication Reconciliation Post-Discharge

	Administrative	Hybrid
Measurement year	✓	✓
Data collection methodology (Administrative or Hybrid)	✓	✓
Eligible population	✓	✓
Number of numerator events by administrative data in eligible population (before exclusions)		✓
Current year's administrative rate (before exclusions)		✓
Minimum required sample size (MRSS) or other sample size		✓
Oversampling rate		✓
Final sample size (FSS)		✓
Number of numerator events by administrative data in FSS		✓
Administrative rate on FSS		✓
Number of original sample records excluded because of valid data errors		✓
Number of employee/dependent medical records excluded		✓
Records added from the oversample list		✓
Denominator		✓
Numerator events by administrative data	✓	✓
Numerator events by medical records		✓
Reported rate	✓	✓
Lower 95% confidence interval	✓	✓
Upper 95% confidence interval	✓	✓

Potentially Harmful Drug-Disease Interactions in the Elderly (DDE)

Summary of Changes to HEDIS 2015

- Added a data element to collect the number of required exclusions for Rates 1 and 2 to the Data Elements for Reporting table.

Description

The percentage of Medicare members 65 years of age and older who have evidence of an underlying disease, condition or health concern and who were dispensed an ambulatory prescription for a potentially harmful medication, concurrent with or after the diagnosis.

Report each of the three rates separately and as a total rate.

- A history of falls and a prescription for anticonvulsants, nonbenzodiazepine hypnotics, SSRIs, antiemetics, antipsychotics, benzodiazepines or tricyclic antidepressants.
- Dementia and a prescription for antiemetics, antipsychotics, benzodiazepines, tricyclic antidepressants, H₂ Receptor Antagonists, nonbenzodiazepine hypnotics or anticholinergic agents.
- Chronic kidney disease and prescription for Cox-2 Selective NSAIDs or nonaspirin NSAIDs.
- Total rate (the sum of the three numerators divided by the sum of the three denominators).

Members with more than one disease or condition may appear in the measure multiple times (i.e., in each indicator for which they qualify). A lower rate represents better performance for all three rates.

Definitions

IESD	Index Episode Start Date. The earliest diagnosis, procedure or prescription between January 1 of the year prior to the measurement year and December 1 of the measurement year. <i>For an outpatient claim/encounter, the IESD is the date of service.</i> <i>For an inpatient claim/encounter, the IESD is the discharge date.</i> <i>For dispensed prescriptions, the IESD is the dispense date.</i>
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Eligible Population

Product line	Medicare.
Age	67 years and older as of December 31 of the measurement year.
Continuous enrollment	The measurement year and the year prior to the measurement year.
Allowable gap	No more than one gap in enrollment of up to 45 days during each year of continuous enrollment.
Anchor date	Enrolled as of December 31 of the measurement year.
Benefit	Medical and pharmacy.

Event/ diagnosis	Members with at least one disease, condition or procedure in the measurement year or the year prior to the measurement year. Refer to <i>Additional Eligible Population Criteria</i> for each rate.
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Administrative Specification

Report each rate separately and as a combined rate. The total rate is the sum of the three numerators divided by the sum of the three denominators.

Rate 1: Drug-Disease Interactions—History of Falls and Anticonvulsants, Nonbenzodiazepine Hypnotics, SSRIs, Antiemetics, Antipsychotics, Benzodiazepines or Tricyclic Antidepressants

Additional eligible population criteria	An accidental fall or hip fracture* on or between January 1 of the year prior to the measurement year and December 1 of the measurement year.
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*Hip fractures are used as a proxy for identifying accidental falls.

Follow the steps below to identify the eligible population.

Step 1 Identify members who had an accidental fall or a hip fracture. Members with either of the following on or between January 1 of the year prior to the measurement year and December 1 of the measurement year meet criteria:

- An accidental fall ([Falls Value Set](#)).
- An outpatient visit ([Outpatient Value Set](#)), an observation visit ([Observation Value Set](#)), an ED visit ([ED Value Set](#)), a nonacute inpatient encounter ([Nonacute Inpatient Value Set](#)) or an acute inpatient encounter ([Acute Inpatient Value Set](#)) with a hip fracture ([Hip Fractures Value Set](#)).

Identify the IESD for each member.

Step 2: Required Exclusions	Exclude members with a diagnosis of psychosis (Psychosis Value Set), schizophrenia (Schizophrenia Value Set), bipolar disorder (Bipolar Disorder Value Set) or seizure disorder (Seizure Disorders Value Set) on or between January 1 of the year prior to the measurement year and December 1 of the measurement year.
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Numerator	Dispensed an ambulatory prescription for an anticonvulsant, nonbenzodiazepine hypnotic, SSRI (Table DDE-A) or antiemetic, antipsychotic, benzodiazepine or tricyclic antidepressant (Table DDE-B) on or between the IESD and December 31 of the measurement year.
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Table DDE-A: Potentially Harmful Drugs—Rate 1

Description	Prescription				
Anticonvulsants	<ul style="list-style-type: none"> • Carbamazepine • Clobazam • Divalproex sodium • Ethosuximide • Ethotoin • Ezogabine • Felbamate 	<ul style="list-style-type: none"> • Fosphenytoin • Gabapentin • Lacosamide • Lamotrigine • Levetiracetam • Mephobarbital • Methsuximide 	<ul style="list-style-type: none"> • Oxcarbazepine • Phenobarbital • Phenytoin • Pregabalin • Primidone • Rufinamide • Tiagabine HCL 	<ul style="list-style-type: none"> • Topiramate • Valproate sodium • Valproic acid • Vigabatrin • Zonisamide 	
Nonbenzodiazepine hypnotics	<ul style="list-style-type: none"> • Eszopiclone 	<ul style="list-style-type: none"> • Zaleplon 	<ul style="list-style-type: none"> • Zolpidem 		
SSRIs	<ul style="list-style-type: none"> • Citalopram • Escitalopram 	<ul style="list-style-type: none"> • Fluoxetine • Fluvoxamine 	<ul style="list-style-type: none"> • Paroxetine • Sertraline 		

Note: NCQA will post a comprehensive list of medications and NDC codes to www.ncqa.org by November 3, 2014.

Table DDE-B: Potentially Harmful Drugs—Rate 1 and Rate 2

Description	Prescription				
Antiemetics	<ul style="list-style-type: none"> • Prochlorperazine 	<ul style="list-style-type: none"> • Promethazine 			
Antipsychotics	<ul style="list-style-type: none"> • Aripiprazole • Asenapine • Chlorpromazine • Clozapine • Fluphenazine • Haloperidol 	<ul style="list-style-type: none"> • Iloperidone • Loxapine • Lurasidone • Molindone • Olanzapine • Paliperidone 	<ul style="list-style-type: none"> • Perphenazine • Pimozide • Quetiapine • Risperidone • Thioridazine • Thiothixene 	<ul style="list-style-type: none"> • Trifluoperazine • Ziprasidone 	
Benzodiazepines	<ul style="list-style-type: none"> • Alprazolam • Chlordiazepoxide products • Clonazepam • Clorazepate-Dipotassium 	<ul style="list-style-type: none"> • Diazepam • Estazolam • Flurazepam HCL • Lorazepam • Midazolam HCL 	<ul style="list-style-type: none"> • Oxazepam • Quazepam • Temazepam • Triazolam 		
Tricyclic antidepressants	<ul style="list-style-type: none"> • Amitriptyline • Amoxapine • Clomipramine 	<ul style="list-style-type: none"> • Desipramine • Doxepin (>6 mg) • Imipramine 	<ul style="list-style-type: none"> • Nortriptyline • Protriptyline • Trimipramine 		

Note: NCQA will post a comprehensive list of medications and NDC codes to www.ncqa.org by November 3, 2014.

Rate 2: Drug-Disease Interactions—Dementia and Antiemetics, Antipsychotics, Benzodiazepines, Tricyclic Antidepressants, H₂ Receptor Antagonists, Nonbenzodiazepine Hypnotics or Anticholinergic Agents

Additional eligible population criteria

Follow the steps below to identify the eligible population.

- Step 1** Identify members with a diagnosis of dementia (Dementia Value Set) or a dispensed dementia medication (Table DDE-C) on or between January 1 of the year prior to the measurement year and December 1 of the measurement year. Identify the IESD for each member.

Table DDE-C: Prescriptions to Identify Members With Dementia

Description	Prescription
Cholinesterase inhibitors	<ul style="list-style-type: none"> • Donepezil • Galantamine • Rivastigmine
Miscellaneous central nervous system agents	<ul style="list-style-type: none"> • Memantine

Note: NCQA will post a comprehensive list of medications and NDC codes to www.ncqa.org by November 3, 2014.

- Step 2: Required exclusions** Exclude members with a diagnosis of psychosis (Psychosis Value Set), schizophrenia (Schizophrenia Value Set) or bipolar disorder (Bipolar Disorder Value Set) on or between January 1 of the year prior to the measurement year and December 1 of the measurement year.

Numerator Dispensed an ambulatory prescription for an antiemetic, antipsychotic, benzodiazepine or tricyclic antidepressant (Table DDE-B) or H₂ receptor antagonist, nonbenzodiazepine hypnotic or anticholinergic agent (Table DDE-D) on or between the IESD and December 31 of the measurement year.

Table DDE-D: Potentially Harmful Drugs—Rate 2

Description	Prescription
H ₂ receptor antagonists	<ul style="list-style-type: none"> • Cimetidine • Famotidine • Nizatidine • Ranitidine
Nonbenzodiazepine hypnotics	<ul style="list-style-type: none"> • Zolpidem
Anticholinergic agents, antihistamines	<ul style="list-style-type: none"> • Carbinoxamine • Chlorpheniramine • Hydroxyzine products • Loratadine • Brompheniramine • Clemastine • Cyproheptadine • Dimenhydrinate • Diphenhydramine • Meclizine
Anticholinergic agents, antispasmodics	<ul style="list-style-type: none"> • Atropine products • Homatropine • Belladonna alkaloids • Dicyclomine • Hyoscyamine products • Propantheline • Scopolamine
Anticholinergic agents, antimuscarinics (oral)	<ul style="list-style-type: none"> • Darifenacin • Fesoterodine • Solifenacin • Trospium • Flavoxate • Oxybutynin • Tolterodine
Anticholinergic agents, anti-Parkinson agents	<ul style="list-style-type: none"> • Benztropine • Trihexyphenidyl
Anticholinergic agents, skeletal muscle relaxants	<ul style="list-style-type: none"> • Tizanidine • Carisoprodol • Cyclobenzaprine • Orphenadrine

Note: NCQA will post a comprehensive list of medications and NDC codes to www.ncqa.org by November 3, 2014.

Rate 3: Drug-Disease Interactions—Chronic Kidney Disease and Cox-2 Selective NSAIDs or Nonaspirin NSAIDs

Additional eligible population criteria	Chronic kidney disease as identified by a diagnosis of ESRD (ESRD Value Set), stage 4 chronic kidney disease (CKD Stage 4 Value Set) or kidney transplant (Kidney Transplant Value Set) on or between January 1 of the year prior to the measurement year and December 1 of the measurement year. Identify the IESD for each member.
Numerator	Dispensed an ambulatory prescription for an NSAID or Cox-2 selective NSAID (Table DDE-E) on or between the IESD and December 31 of the measurement year.

Table DDE-E: Cox-2 Selective NSAIDs and Nonaspirin NSAIDs

Description	Prescription				
Cox-2 Selective NSAIDs	<ul style="list-style-type: none"> Celecoxib 				
Nonaspirin NSAIDs	<ul style="list-style-type: none"> Diclofenac potassium Diclofenac sodium Etodolac Fenoprofen Flurbiprofen 	<ul style="list-style-type: none"> Ibuprofen Indomethacin Ketoprofen Ketorolac Meclofenamate 	<ul style="list-style-type: none"> Mefenamic acid Meloxicam Nabumetone Naproxen Naproxen sodium 	<ul style="list-style-type: none"> Oxaprozin Piroxicam Sulindac Tolmetin 	

Note: NCQA will post a comprehensive list of medications and NDC codes to www.ncqa.org by November 3, 2014.

Data Elements for Reporting

Organizations that submit HEDIS data to NCQA must provide the following data elements.

Table DDE-3: Data Elements for Potentially Harmful Drug-Disease Interactions in the Elderly

	Administrative
Measurement year	✓
Data collection methodology (Administrative)	✓
Eligible population	<i>For each of the 3 rates and total</i>
Number of required exclusions	<i>Rate 1, Rate 2 and total</i>
Numerator events by administrative data	<i>For each of the 3 rates and total</i>
Reported rate	<i>For each of the 3 rates and total</i>
Lower 95% confidence interval	<i>For each of the 3 rates and total</i>
Upper 95% confidence interval	<i>For each of the 3 rates and total</i>

Use of High-Risk Medications in the Elderly (DAE)

Summary of Changes to HEDIS 2015

- No changes to this measure.

Description

- The percentage of Medicare members 66 years of age and older who received at least one high-risk medication.
- The percentage of Medicare members 66 years of age and older who received at least two different high-risk medications.

For both rates, a lower rate represents better performance.

Definitions

Calculating days supply

Calculate the days supply during the measurement year for medication classes in Table DAE-B. The intent is to sum the days supply for all medications (listed in the Prescription column) within a medication class (listed in the Description column). For example, a 30-days supply prescription for Zolpidem and a 30-days supply prescription for Zaleplon is equal to a 60-days supply of a high-risk medication class.

Sum the days supply and subtract any days supply that extends beyond December 31 of the measurement year. For example, a prescription of a 90-days supply dispensed on December 1 of the measurement year counts as a 30-days supply.

For Numerator 2, if the total days supply for all medications in a medication class is greater than 90 days, count as one high-risk medication. Assess each medication class separately.

Note: Medications dispensed in the year prior to the measurement year with a days supply that extends into the measurement year count toward the total days supply.

Calculating average daily dose

Calculate the average daily dose for medications in Table DAE-C. Multiply the quantity of pills dispensed by the dose of each pill and divide by days supply. For example, a prescription for a 30-days supply of digoxin containing 15 pills, .250 mg each pill, has an average daily dose of 0.125 mg.

To calculate daily dose for elixirs and concentrates, multiply the volume dispensed by dose and divide by days supply.

For Numerator 2, if a member has two prescriptions for the same medication that meet the average daily dose criteria, count as one high-risk medication. If a member has two prescriptions for different medications that meet the average daily dose criteria, count as two high-risk medications.

Do not round when calculating average daily dose.

Eligible Population

Product line	Medicare.
Age	66 years and older as of December 31 of the measurement year.
Continuous enrollment	The measurement year.
Allowable gap	No more than one gap in enrollment of up to 45 days during the measurement year.
Anchor date	Enrolled as of December 31 of the measurement year.
Benefits	Medical and pharmacy.
Event/ diagnosis	None.

Administrative Specification

Denominator	The eligible population.
Numerator 1	Members who received at least one high-risk medication during the measurement year.
Numerator 2	Members who received at least two different high-risk medications during the measurement year.

For both numerators, a high-risk medication is defined as any of the following:

- A dispensed prescription for a medication in Table DAE-A.
- Dispensed prescriptions that meet days supply criteria within a medication class in Table DAE-B.
- A dispensed prescription that meets average daily dose criteria in Table DAE-C.

Note: For medications in Table DAE-A and DAE-C, identify different drugs using the Drug ID field located in the NDC list on NCQA's Web site (www.ncqa.org).

Table DAE-A: High-Risk Medications

Description	Prescription	
Anticholinergics (excludes TCAs), first-generation antihistamines	<ul style="list-style-type: none"> • Brompheniramine • Carbinoxamine • Chlorpheniramine • Clemastine • Cyproheptadine • Dexbrompheniramine 	<ul style="list-style-type: none"> • Dexchlorpheniramine • Diphenhydramine (oral) • Doxylamine • Hydroxyzine • Promethazine • Triprolidine
Anticholinergics (excludes TCAs), anti-Parkinson agents	• Benztropine (oral)	• Trihexyphenidyl
Antithrombotics	• Dipyridamole, oral short-acting (does not apply to the extended-release combination with aspirin)	• Ticlopidine
Cardiovascular, alpha agonists, central	<ul style="list-style-type: none"> • Guanabenz • Guanfacine 	• Methyldopa
Cardiovascular, other	• Disopyramide	• Nifedipine, immediate release
Central nervous system, tertiary TCAs	<ul style="list-style-type: none"> • Amitriptyline • Clomipramine 	<ul style="list-style-type: none"> • Imipramine • Trimipramine
Central nervous system, barbiturates	<ul style="list-style-type: none"> • Amobarbital • Butabarbital • Butalbital • Mephobarbital 	<ul style="list-style-type: none"> • Pentobarbital • Phenobarbital • Secobarbital
Central nervous system, vasodilators	• Ergot mesylates	• Isoxsuprine
Central nervous system, other	<ul style="list-style-type: none"> • Thioridazine • Chloral Hydrate 	• Meprobamate
Endocrine system, estrogens with or without progestins; include only oral and topical patch products	<ul style="list-style-type: none"> • Conjugated estrogen • Esterified estrogen 	<ul style="list-style-type: none"> • Estradiol • Estropipate
Endocrine system, sulfonylureas, long-duration	• Chlorpropamide	• Glyburide
Endocrine system, other	• Desiccated thyroid	• Megestrol
Gastrointestinal system, other	• Trimethobenzamide	
Pain medications, skeletal muscle relaxants	<ul style="list-style-type: none"> • Carisoprodol • Chlorzoxazone • Cyclobenzaprine 	<ul style="list-style-type: none"> • Metaxalone • Methocarbamol • Orphenadrine
Pain medications, other	<ul style="list-style-type: none"> • Indomethacin • Ketorolac, includes parenteral 	<ul style="list-style-type: none"> • Meperidine • Pentazocine

Note: NCQA will post a comprehensive list of medications and NDC codes to www.ncqa.org by November 3, 2014. Combination drugs will be added to Table DAE-A with the release of the NDC list.

Table DAE-B: High-Risk Medications With Days Supply Criteria

Description	Prescription	Days Supply Criteria
Anti-Infectives, other	<ul style="list-style-type: none"> • Nitrofurantoin • Nitrofurantoin macrocrystals 	>90 days
Nonbenzodiazepine hypnotics	<ul style="list-style-type: none"> • Eszopiclone • Zolpidem • Zaleplon 	>90 days

Note: NCQA will post a comprehensive list of medications and NDC codes to www.ncqa.org by November 3, 2014.

Table DAE-C: High-Risk Medications With Average Daily Dose Criteria

Description	Prescription	Average Daily Dose Criteria
Alpha agonists, central	• Reserpine	>0.1 mg/day
Cardiovascular, other	• Digoxin	>0.125 mg/day
Tertiary TCAs (as single agent or as part of combination products)	• Doxepin	>6 mg/day

Note: NCQA will post a comprehensive list of medications and NDC codes to www.ncqa.org by November 3, 2014.

Data Elements for Reporting

Organizations that submit HEDIS data to NCQA must provide the following data elements.

Table DAE-3: Data Elements for Use of High-Risk Medications in the Elderly

	Administrative
Measurement year	✓
Data collection method (Administrative)	✓
Eligible population	✓
Numerator events by administrative data	<i>For each of the 2 rates</i>
Reported rate	<i>For each of the 2 rates</i>
Lower 95% confidence interval	<i>For each of the 2 rates</i>
Upper 95% confidence interval	<i>For each of the 2 rates</i>

Osteoporosis Management in Women Who Had a Fracture (OMW)

Summary of Changes to HEDIS 2015

- Revised the age criteria to add an upper age limit.
- Extended the look back period for exclusions due to previous bone-mineral density testing to two years.
- Clarified that women who had an active prescription during the specified time frame in step 4 meet exclusion criteria.
- Removed estrogens from the osteoporosis therapies.
- Removed pathological fractures as fractures used to identify the Event/diagnosis for the Eligible Population.
- Removed single-photon absorptiometry and dual-photon absorptiometry tests from the list of eligible bone density tests.
- Added a data element to collect the number of required exclusions to the Data Elements for Reporting table.

Description

The percentage of women 67–85 years of age who suffered a fracture and who had either a bone mineral density (BMD) test or prescription for a drug to treat osteoporosis in the six months after the fracture.

Definitions

Intake Period	A 12-month (1 year) window that begins on July 1 of the year prior to the measurement year and ends on June 30 of the measurement year. The Intake Period is used to capture the first fracture.
IESD	<p>Index Episode Start Date. The earliest date of service for any encounter during the Intake Period with a diagnosis of fracture.</p> <p><i>For an outpatient or ED visit, the IESD is date of service.</i></p> <p><i>For an inpatient encounter, the IESD is the date of discharge.</i></p> <p><i>For direct transfers, the IESD is the discharge date from the second admission.</i></p>
Negative Diagnosis History	<p>A period of 60 days (2 months) prior to the IESD when the member had no diagnosis of fracture.</p> <p><i>For fractures requiring an inpatient stay, use the date of admission to determine Negative Diagnosis History.</i></p> <p><i>For direct transfers, use the first admission to determine the Negative Diagnosis History.</i></p>

Eligible Population

Product line	Medicare.
Age	Women 67–85 years as of December 31 of the measurement year.
Continuous enrollment	12 months (1 year) before the IESD through 180 days (6 months) after the IESD.
Allowable gap	No more than one gap in enrollment of up to 45 days during the continuous enrollment period.
Anchor date	IESD.
Benefits	Medical and pharmacy.
Event/ diagnosis	The earliest fracture during the Intake Period. Follow the steps below to identify the eligible population.

Step 1 Identify all members who had an outpatient visit (Outpatient Value Set), an observation visit (Observation Value Set), an ED visit (ED Value Set), a nonacute inpatient encounter (Nonacute Inpatient Value Set) or an acute inpatient encounter (Acute Inpatient Value Set) for a fracture (Fractures Value Set) during the Intake Period. If the member had more than one fracture, include only the first fracture.

Step 2 Test for Negative Diagnosis History. Exclude members with an outpatient visit (Outpatient Value Set), an observation visit (Observation Value Set), an ED visit (ED Value Set), a nonacute inpatient encounter (Nonacute Inpatient Value Set) or an acute inpatient encounter (Acute Inpatient Value Set) for a fracture (Fractures Value Set) during the 60 days (2 months) prior to the IESD.

For fractures requiring an inpatient stay, use the admission date to determine Negative Diagnosis History.

For direct transfers, use the first admission to determine the Negative Diagnosis History.

Step 3 Calculate continuous enrollment. Members must be continuously enrolled during the 12 months prior to the fracture through 180 days (6 months) post-fracture.

**Step 4:
Required
exclusions** Exclude members who met any of the following criteria:

- Members who had a BMD test (Bone Mineral Density Tests Value Set) during the 730 days (24 months) prior to the IESD.
- Members who had a claim/encounter for osteoporosis therapy (Osteoporosis Medications Value Set) during the 365 days (12 months) prior to the IESD.
- Members who received a dispensed prescription or had an active prescription to treat osteoporosis (Table OMW-C) during the 365 days (12 months) prior to the IESD.

For an inpatient encounter, use the admission date to determine the days prior to the IESD.

Administrative Specification

Denominator	The eligible population.
Numerator	<p>Appropriate testing or treatment for osteoporosis after the fracture defined by any of the following criteria:</p> <ul style="list-style-type: none"> • A BMD test (<u>Bone Mineral Density Tests Value Set</u>) on the IESD or in the 180-day (6-month) period after the IESD. • A BMD test (<u>Bone Mineral Density Tests Value Set</u>) during the inpatient stay for the fracture (applies only to fractures requiring hospitalization). • Osteoporosis therapy (<u>Osteoporosis Medications Value Set</u>) on the IESD or in the 180-day (6-month) period after the IESD. • A dispensed prescription to treat osteoporosis (Table OMW-C) on the IESD or in the 180-day (6-month) period after the IESD.

Table OMW-C: Osteoporosis Therapies

Description	Prescription
Biphosphonates	<ul style="list-style-type: none"> • Alendronate • Alendronate-cholecalciferol • Calcium carbonate-risedronate • Ibandronate • Risedronate • Zoledronic acid
Other agents	<ul style="list-style-type: none"> • Calcitonin • Denosumab • Raloxifene • Teriparatide

Note: NCQA will post a comprehensive list of medications and NDC codes to www.ncqa.org by November 3, 2014.

Note

- Fractures of finger, toe, face and skull are not included in this measure.

Data Elements for Reporting

Organizations that submit HEDIS data to NCQA must provide the following data elements.

Table OMW-3: Data Elements for Osteoporosis Management in Women Who Had a Fracture

	Administrative
Measurement year	✓
Data collection methodology (Administrative)	✓
Eligible population	✓
Number of required exclusions	✓
Numerator events by administrative data	✓
Reported rate	✓
Lower 95% confidence interval	✓
Upper 95% confidence interval	✓

Antidepressant Medication Management (AMM)

Summary of Changes to HEDIS 2015

- Added a data element to collect the number of required exclusions to the Data Elements for Reporting table.

Description

The percentage of members 18 years of age and older who were treated with antidepressant medication, had a diagnosis of major depression and who remained on an antidepressant medication treatment. Two rates are reported.

- Effective Acute Phase Treatment.* The percentage of members who remained on an antidepressant medication for at least 84 days (12 weeks).
- Effective Continuation Phase Treatment.* The percentage of members who remained on an antidepressant medication for at least 180 days (6 months).

Definitions

Intake Period	The 12-month window starting on May 1 of the year prior to the measurement year and ending on April 30 of the measurement year.
IPSD	Index Prescription Start Date. The earliest prescription dispensing date for an antidepressant medication during the Intake Period.
Negative Medication History	A period of 105 days prior to the IPSD when the member had no pharmacy claims for either new or refill prescriptions for an antidepressant medication.
Treatment days	The actual number of calendar days covered with prescriptions within the specified 180-day (6-month) measurement interval. For Effective Continuation Phase Treatment, a prescription of 90 days (3 months) supply dispensed on the 151st day will have 80 days counted in the 231-day interval.

Eligible Population

Product lines	Commercial, Medicaid, Medicare (report each product line separately).
Ages	18 years and older as of April 30 of the measurement year.
Continuous enrollment	105 days prior to the IPSD through 231 days after the IPSD.
Allowable gap	One gap in enrollment of up to 45 days. To determine continuous enrollment for a Medicaid beneficiary for whom enrollment is verified monthly, the member may not have more than a 1-month gap in coverage (i.e., a member whose coverage lapses for 2 months [60 days] is not considered continuously enrolled).
Anchor date	IPSD.
Benefits	Medical and pharmacy.

Event/diagnosis Follow the steps below to identify the eligible population, which is used for both rates.

Step 1 Determine the IPSD. Identify the date of the earliest dispensing event for an antidepressant medication (Table AMM-C) during the Intake Period.

Step 2: Required exclusion Exclude members who did not have a diagnosis of major depression in an inpatient, outpatient, ED, intensive outpatient or partial hospitalization setting during the 121-day period from 60 days prior to the IPSD, through the IPSD and the 60 days after the IPSD. Members who meet any of the following criteria remain in the eligible population:

- An outpatient visit, intensive outpatient encounter or partial hospitalization with any diagnosis of major depression. Either of the following code combinations meets criteria:
 - AMM Stand Alone Visits Value Set **with** Major Depression Value Set.
 - AMM Visits Value Set **with** AMM POS Value Set **and** Major Depression Value Set.
- An ED visit (ED Value Set) with any diagnosis of major depression (Major Depression Value Set).
- An inpatient (acute or nonacute) encounter with any diagnosis of major depression (Major Depression Value Set).

For an inpatient (acute or nonacute) encounter, use the date of discharge.

For a direct transfer, use the discharge date from the facility where the member was transferred.

Step 3 Test for Negative Medication History. Exclude members who filled a prescription for an antidepressant medication 105 days prior to the IPSD.

Step 4 Calculate continuous enrollment. Members must be continuously enrolled for 105 days prior to the IPSD to 231 days after the IPSD.

Administrative Specification

Denominator The eligible population.

Numerators

Effective Acute Phase Treatment At least 84 days (12 weeks) of continuous treatment with antidepressant medication (Table AMM-C) during the 114-day period following the IPSD (inclusive). The continuous treatment allows gaps in medication treatment up to a total of 30 days during the 114-day period. Gaps can include either washout period gaps to change medication or treatment gaps to refill the same medication.

Regardless of the number of gaps, there may be no more than 30 gap days. Count any combination of gaps (e.g., two washout gaps of 15 days each, or two washout gaps of 10 days each and one treatment gap of 10 days).

Table AMM-C: Antidepressant Medications

Description	Prescription		
Miscellaneous antidepressants	• Bupropion	• Vilazodone	
Monoamine oxidase inhibitors	• Isocarboxazid	• Selegiline	
	• Phenelzine	• Tranylcypromine	
Phenylpiperazine antidepressants	• Nefazodone	• Trazodone	
Psychotherapeutic combinations	• Amitriptyline-chlordiazepoxide	• Fluoxetine-olanzapine	
	• Amitriptyline-perphenazine		
SSNRI antidepressants	• Desvenlafaxine	• Venlafaxine	
	• Duloxetine		
SSRI antidepressants	• Citalopram	• Fluoxetine	• Paroxetine
	• Escitalopram	• Fluvoxamine	• Sertraline
Tetracyclic antidepressants	• Maprotiline	• Mirtazapine	
Tricyclic antidepressants	• Amitriptyline	• Desipramine	• Nortriptyline
	• Amoxapine	• Doxepin (>6 mg)	• Protriptyline
	• Clomipramine	• Imipramine	• Trimipramine

Note: NCQA will post a comprehensive list of medications and NDC codes to www.ncqa.org by November 3, 2014.

**Effective
Continuation
Phase Treatment**

At least 180 days (6 months) of continuous treatment with antidepressant medication (Table AMM-C) during the 231-day period following the IPSP (inclusive). Continuous treatment allows gaps in medication treatment up to a total of 51 days during the 231-day period. Gaps can include either washout period gaps to change medication or treatment gaps to refill the same medication.

Regardless of the number of gaps, there may be no more than 51 gap days. Count any combination of gaps (e.g., two washout gaps of 25 days each, or two washout gaps of 10 days each and one treatment gap of 10 days).

Note

- Organizations may have different methods for billing intensive outpatient encounters and partial hospitalizations. Some methods may be comparable to outpatient billing, with separate claims for each date of service; others may be comparable to inpatient billing, with an admission date, a discharge date and units of service. Organizations whose billing methods are comparable to inpatient billing may count each unit of service as an individual visit. The unit of service must have occurred during the period specified (e.g., during the Intake Period).

Data Elements for Reporting

Organizations that submit HEDIS data to NCQA must provide the following data elements.

Table AMM-1/2/3: Data Elements for Antidepressant Medication Management

	Administrative
Measurement year	✓
Data collection methodology (Administrative)	✓
Eligible population	✓
Number of required exclusions	✓
Numerator events by administrative data	<i>Each of the 2 rates</i>
Reported rate	<i>Each of the 2 rates</i>
Lower 95% confidence interval	<i>Each of the 2 rates</i>
Upper 95% confidence interval	<i>Each of the 2 rates</i>

Follow-Up After Hospitalization for Mental Illness (FUH)

Summary of Changes to HEDIS 2015

- Removed the term “discharged alive” from the event/diagnosis criteria.
- Clarified that professional claims may not be used to identify readmission discharges or discharges from the facility to which the member was transferred.
- Clarified that discharges followed by readmission or direct transfer to a nonacute facility are excluded (regardless of principal diagnosis) and deleted the Nonacute Care Value Set; organizations use facility and proprietary coding to identify nonacute inpatient readmissions and direct transfers.

Description

The percentage of discharges for members 6 years of age and older who were hospitalized for treatment of selected mental illness diagnoses and who had an outpatient visit, an intensive outpatient encounter or partial hospitalization with a mental health practitioner. Two rates are reported:

- The percentage of discharges for which the member received follow-up within 30 days of discharge.
- The percentage of discharges for which the member received follow-up within 7 days of discharge.

Eligible Population

Product lines	Commercial, Medicaid, Medicare (report each product line separately).
Ages	6 years and older as of the date of discharge.
Continuous enrollment	Date of discharge through 30 days after discharge.
Allowable gap	No gaps in enrollment.
Anchor date	None.
Benefits	Medical and mental health (inpatient and outpatient).
Event/ diagnosis	<p>Discharged from an acute inpatient setting (including acute care psychiatric facilities) with a principal diagnosis of mental illness (<u>Mental Illness Value Set</u>) on or between January 1 and December 1 of the measurement year. The denominator for this measure is based on discharges, not on members. If members have more than one discharge, include all discharges on or between January 1 and December 1 of the measurement year.</p> <p>Use only facility claims to identify denominator events (including readmissions or direct transfers). Do not use professional claims.</p>
Acute facility readmission or direct transfer	<p>If the discharge is followed by readmission or direct transfer to an <i>acute facility</i> for a principal diagnosis of mental health (<u>Mental Health Diagnosis Value Set</u>) within the 30-day follow-up period, count only the readmission discharge or the discharge from the facility to which the member was transferred. Exclude both the initial discharge and the readmission/direct transfer discharge if the readmission/direct transfer discharge occurs after December 1 of the measurement year.</p>

Exclusions Exclude discharges followed by readmission or direct transfer to a nonacute facility within the 30-day follow-up period, regardless of principal diagnosis for the readmission.

Exclude discharges followed by readmission or direct transfer to an acute facility within the 30-day follow-up period if the principal diagnosis was for non-mental health (any principal diagnosis code other than those included in the Mental Health Diagnosis Value Set).

These discharges are excluded from the measure because rehospitalization or transfer may prevent an outpatient follow-up visit from taking place.

Administrative Specification

Denominator The eligible population.

Numerators

30-Day Follow-Up An outpatient visit, intensive outpatient visit or partial hospitalization with a mental health practitioner within 30 days after discharge. Include outpatient visits, intensive outpatient visits or partial hospitalizations that occur on the date of discharge.

7-Day Follow-Up An outpatient visit, intensive outpatient visit or partial hospitalization with a mental health practitioner within 7 days after discharge. Include outpatient visits, intensive outpatient visits or partial hospitalizations that occur on the date of discharge.

For both indicators, any of the following meet criteria for a follow-up visit:

- A visit (FUH Stand Alone Visits Value Set) with a mental health practitioner.
- A visit (FUH Visits Group 1 Value Set **and** FUH POS Group 1 Value Set) with a mental health practitioner.
- A visit (FUH Visits Group 2 Value Set **and** FUH POS Group 2 Value Set) with a mental health practitioner.
- A visit to a behavioral healthcare facility (FUH RevCodes Group 1 Value Set).
- A visit to a non-behavioral healthcare facility (FUH RevCodes Group 2 Value Set) with a mental health practitioner.
- A visit to a non-behavioral healthcare facility (FUH RevCodes Group 2 Value Set) with a diagnosis of mental illness (Mental Illness Value Set).
- Transitional care management services (TCM 7 Day Value Set) where the date of service on the claim is 29 days after the date the member was discharged with a principal diagnosis of mental illness.

The following meets criteria for only the 30-Day Follow-Up indicator:

- Transitional care management services (TCM 14 Day Value Set) where the date of service on the claim is 29 days after the date the member was discharged with a principal diagnosis of mental illness.

Note: Transitional care management is a 30-day period that begins on the date of discharge and continues for the next 29 days. The date of service on the claim is 29 days after discharge and not the date of the face-to-face visit.

Note

- Organizations may have different methods for billing intensive outpatient visits and partial hospitalizations. Some methods may be comparable to outpatient billing, with separate claims for each date of service; others may be comparable to inpatient billing, with an admission date, a discharge date and units of service. Organizations whose billing methods are comparable to inpatient billing may count each unit of service as an individual visit. The unit of service must have occurred during the required period for the rate (e.g., within 30 days after discharge or within 7 days after discharge).
- Refer to Appendix 3 for the definition of mental health practitioner.

Data Elements for Reporting

Organizations that submit HEDIS data to NCQA must provide the following data elements.

Table FUH-1/2/3: Data Elements for Follow-Up After Hospitalization for Mental Illness

	Administrative
Measurement year	✓
Data collection methodology (Administrative)	✓
Eligible population	✓
Numerator events by administrative data	Each of the 2 rates
Reported rate	Each of the 2 rates
Lower 95% confidence interval	Each of the 2 rates
Upper 95% confidence interval	Each of the 2 rates

Board Certification (BCR)

Summary of Changes to HEDIS 2014

- No changes to this measure.

Description

The percentage of the following physicians whose board certification is *active* as of December 31 of the measurement year:

- Family medicine physicians.
- Internal medicine physicians.
- Pediatricians.
- OB/GYN physicians.
- Geriatricians.
- Other physician specialists.

Board certification refers to the various specialty certification programs of the American Board of Medical Specialties and the American Osteopathic Association. Report each product separately as of December 31 of the measurement year.

Product lines Commercial, Medicaid, Medicare (report each product line separately).

Physicians This measure applies to independent physicians or group of physicians who provide care for members.

**Organizations
must include:**

- Physicians who have an independent relationship with the organization. An **independent relationship** exists when an organization selects and directs its members to see a specific physician or group of physicians. An independent relationship is not synonymous with an “independent contract.” Physicians may contract with the organization directly or indirectly (e.g., physicians contract with an IPA).
- Physicians who are listed in the organization’s directory.
- Physicians who see members outside of the inpatient hospital setting or outside of free-standing facilities.
- Physicians who are hospital based and who see members as a result of their independent relationship with the organization; for example:
 - Anesthesiologists with pain management practices.
 - Hospital-based cardiologists.
 - Hospital-based faculty (who meet the criteria above).

**Organizations
must exclude:**

- Physicians who practice exclusively within the inpatient hospital setting and who provide care for members only as a result of members being directed to the hospital; for example:
 - Pathologists.
 - Radiologists.
 - Anesthesiologists.
 - Hospitalists.
 - Neonatologists.
 - ED physicians.
- Chiropractors.
- Podiatrists.

- Physicians who practice exclusively within free-standing facilities and who provide care for members only as a result of members being directed to the facility; for example:
 - Mammography centers.
 - Urgent care centers.
 - Surgicenters.
- Dentists who do not provide care under the organization's medical benefits; for example:
 - Endodontists.
 - Oral surgeons.
 - Periodontists.
- Dentists who provide primary dental care under a dental plan or rider.

Categories Use Table BCR-A to identify physicians.

Table BCR-A: Identifying Physicians

Product Line	Family Medicine	Internal Medicine	Pediatricians	OB/GYN	Geriatricians	Other Physician Specialists
Commercial	✓	✓	✓	✓	✓	✓
Medicaid	✓	✓	✓	✓	✓	✓
Medicare	✓	✓	✓	✓	✓	✓

Definitions

Family medicine physician	A physician who provides preventive and diagnostic health care services for individuals and families. Report general practitioners in the <i>Family Medicine</i> category.
Internal medicine physician	A physician who provides long-term and comprehensive care and manages common and complex illness of adolescents, adults and the elderly.
Pediatrician	A physician who provides preventive and diagnostic health care services for infants, children and adolescents.
OB/GYN physician	A physician who provides medical and surgical care relating to the female reproductive system and associated disorders.
Geriatrician	A family medicine or internal medicine physician who has special knowledge of the aging process and special skills in the diagnostic, therapeutic, preventive and rehabilitative aspects of illness in the elderly.
Other physician specialist	Any other physician specialist or physician subspecialist not mentioned above.

Calculation of Board Certification

Number of physicians in each practice area

Refer to Table BCR-1/2/3. For each product line, identify the number of physicians (with active or inactive board certification) in each practice area, by type and number, with whom the organization contracted as of December 31 of the measurement year.

Physicians are assumed to practice in the clinical area or areas in which they are listed in an organization's *internal* directory or classification system. Count physicians listed under more than one category as many times as they are listed, and in each area of practice. For example, count a family medicine physician who also practices as a geriatrician in both the *Family Medicine* category and the *Geriatrician* category.

Physicians do not have to be listed in the organization's external provider directory to be included in the measure.

Board certification number

Count the number of physicians in each practice area with active board certification. For example, to be reported as a board-certified geriatrician, a physician must have a specialty certification in geriatric medicine.

Count as board certified: A physician with recent board certification who has not completed a residency/fellowship.

Do not count as board certified: A physician for whom there is confirmation by the appropriate certifying body that the physician is eligible for and has applied to a board-certification program.

Board certification percentage

For each type of physician, calculate the percentage whose board certification is active by dividing the board certification number by the number of physicians in each practice area.

First, determine the number of areas of specialization and board certification status for each physician; then determine how to count them in the denominator (i.e., number of physicians in each practice area) and the numerator (i.e., number of active board-certified physicians) of the calculation.

A physician with only one specialty who is not board certified in the specialty counts as 1 in the denominator and 0 in the numerator.

A physician with only one specialty whose board certification is active in the specialty counts as 1 in the denominator and 1 in the numerator.

A physician with more than one specialty counts as 1 in the denominator for each specialty. Count in the numerator the number of specialty areas in which the physician has active board certification.

Example A physician listed under both hematology and medical oncology counts as 2 in the denominator for *Other Physician Specialists*.

A physician whose board certification is active in both hematology and medical oncology counts as 2 in the numerator.

A physician whose board certification is active in only one of these two areas counts as 1 in the numerator.

A physician whose board certification is not active in either area counts as 0 in the numerator.

Note

- The physician definitions for this measure are based on the American Board of Medical Specialties (ABMS) definitions for physician specialties.
- The numbers in the column Number of Physicians in Each Practice Area might not be the same as the organization's actual number of physicians because some physicians might practice in more than one area and will be counted in the denominators of several percentages.
- Reporting in the Pediatrician category is expected to be rare for the Medicare product line.

Table BCR-1/2/3: Board Certification

Type of Physician	Number of Physicians in Each Practice Area	Active Board Certification	
		Number	Percentage
Family medicine			
Internal medicine			
Pediatrician			
OB/GYN			
Geriatrician			
Other physician specialist			

Plan All-Cause Readmissions (PCR)

Summary of Changes to HEDIS 2015

- Added a definition for *Planned Hospital Stay*.
- Removed the former step 4 to exclude acute inpatient stays with a discharge date in the 30 days prior to the Index Admission Date.
- Added step 5 (required exclusion and corresponding value sets) to exclude acute inpatient discharges followed by a planned hospital stay within 30 days.
- Removed the gender strata from Table PCR-A-2/3 and Table PCR-B-3.

Description

For members 18 years of age and older, the number of acute inpatient stays during the measurement year that were followed by an unplanned acute readmission for any diagnosis within 30 days and the predicted probability of an acute readmission. Data are reported in the following categories:

1. Count of Index Hospital Stays (IHS) (denominator).
2. Count of 30-Day Readmissions (numerator).
3. Average Adjusted Probability of Readmission.

Note: For commercial, report only members 18–64 years of age.

Definitions

IHS	Index hospital stay. An acute inpatient stay with a discharge on or between January 1 and December 1 of the measurement year. Exclude stays that meet the exclusion criteria in the denominator section.
Index Admission Date	The IHS admission date.
Index Discharge Date	The IHS discharge date. The index discharge date must occur on or between January 1 and December 1 of the measurement year.
Index Readmission Stay	An acute inpatient stay for any diagnosis with an admission date within 30 days of a previous Index Discharge Date.
Index Readmission Date	The admission date associated with the Index Readmission Stay.
Planned Hospital Stay	A hospital stay is considered planned if it meets criteria as described in step 5 (required exclusions) of the <i>Eligible Population</i> .
Classification Period	365 days prior to and including an Index Discharge Date.

Risk Adjustment Tables

Table	Table Description
HCC-Surg	Surgery codes for Risk Adjustment Determination
PCR-DischCC	Discharge Clinical Condition category codes for Risk Adjustment Determination
CC-Comorbid	Comorbid Clinical Condition category codes for Risk Adjustment Determination step 2
HCC-Rank	HCC rankings for Risk Adjustment Determination step 3
HCC-Comb	Combination HCCs for Risk Adjustment Determination step 5
PCR-MA-DischCC-Weight-Under65	MA and SNP primary discharge weights for Risk Adjustment Weighting step 2 for ages under 65
PCR-MA-DischCC-Weight-65plus	MA and SNP primary discharge weights for Risk Adjustment Weighting step 2 for ages 65 and older
PCR-Comm-DischCC-Weight	Commercial primary discharge weights for Risk Adjustment Weighting step 2
PCR-MA-ComorbHCC-Weight-Under65	MA and SNP comorbidity weights for Risk Adjustment Weighting step 3 for ages under 65
PCR-MA-ComorbHCC-Weight-65plus	MA and SNP comorbidity weights for Risk Adjustment Weighting step 3 for ages 65 and older
PCR-Comm-ComorbHCC-Weight	Commercial comorbidity weights for Risk Adjustment Weighting step 3
PCR-MA-OtherWeights-Under65	MA and SNP base risk, surgery, age and gender weights for Risk Adjustment Weighting steps 1, 4, 5 for ages under 65
PCR-MA-OtherWeights-65plus	MA and SNP base risk, surgery, age and gender weights for Risk Adjustment Weighting steps 1, 4, 5 for ages 65 and older
PCR-Comm-OtherWeights	Commercial base risk, surgery, age and gender weights for Risk Adjustment Weighting steps 1, 4, 5

Note: The risk adjustment tables will be released on November 3, 2014, and posted to www.ncqa.org.

Eligible Population

Product line	Commercial, Medicare (report each product line separately).
Ages	For commercial, ages 18–64 as of the Index Discharge Date. For Medicare, ages 18 and older as of the Index Discharge Date.
Continuous enrollment	365 days prior to the Index Discharge Date through 30 days after the Index Discharge Date.
Allowable gap	No more than one gap in enrollment of up to 45 days during the 365 days prior to the Index Discharge Date and no gap during the 30 days following the Index Discharge date.
Anchor date	Index Discharge Date.
Benefit	Medical.
Event/ diagnosis	An acute inpatient discharge on or between January 1 and December 1 of the measurement year. The denominator for this measure is based on discharges, not members. Include all acute inpatient discharges for members who had one or more discharges on or between January 1 and December 1 of the measurement year. Follow the steps below to identify acute inpatient stays.

Administrative Specification

Denominator The eligible population.

Step 1 Identify all acute inpatient stays with a discharge date on or between January 1 and December 1 of the measurement year.

Include acute admissions to behavioral healthcare facilities.

Step 2 **Acute-to-acute transfers:** Keep the original admission date as the Index Admission Date, but use the transfer's discharge date as the Index Discharge Date.

Step 3 Exclude acute inpatient hospital discharges with a principal diagnosis of pregnancy (Pregnancy Value Set) or a principal diagnosis for a condition originating in the perinatal period (Perinatal Conditions Value Set).

Step 4: Exclude stays for the following reasons:
Required exclusions

- Inpatient stays with discharges for death.
- Acute inpatient discharge with a principal diagnosis of pregnancy (Pregnancy Value Set).
- Acute inpatient discharge with a principal diagnosis of a condition originating in the perinatal period (Perinatal Conditions Value Set).

Step 5: For all acute inpatient discharges identified using steps 1–4, determine if there was a planned hospital stay within 30 days using all acute inpatient stays. Exclude any acute inpatient discharge as an Index Hospital Stay if the admission date of the first planned hospital stay is within 30 days and includes any of the following.
Required exclusions

- A principal diagnosis of maintenance chemotherapy (Chemotherapy Value Set).
- A principal diagnosis of rehabilitation (Rehabilitation Value Set).
- An organ transplant (Kidney Transplant Value Set, Bone Marrow Transplant Value Set, Organ Transplant Other Than Kidney Value Set).
- A potentially planned procedure (Potentially Planned Procedure Value Set) without a principal acute diagnosis (Acute Condition Value Set).

Example 1 For a member with the following acute inpatient stays, exclude stay 1 as an Index Hospital Stay.

- *Stay 1 (January 30–February 1 of the measurement year):* Acute inpatient discharge with a principal diagnosis of COPD.
- *Stay 2 (February 5–7 of the measurement year):* Acute inpatient discharge with a principal diagnosis of maintenance chemotherapy.

Example 2 For a member with the following acute inpatient stays, exclude stays 2 and 3 as Index Hospital Stays in the following scenario.

- *Stay 1 (January 15–17 of the measurement year):* Acute inpatient discharge with a principal diagnosis of diabetes
- *Stay 2 (January 30–February 1 of the measurement year):* Acute inpatient discharge with a principal diagnosis of COPD.

- *Stay 3 (February 5–7 of the measurement year):* Acute inpatient discharge with an organ transplant.
- *Stay 4 (February 10–15 of the measurement year):* Acute inpatient discharge with a principal diagnosis of rehabilitation.

Step 6 Calculate continuous enrollment.

Step 7 Assign each acute inpatient stay to one age and gender category. Refer to Table PCR-A-2/3 and Table PCR-B-3.

Risk Adjustment Determination

For each IHS, use the following steps to identify risk adjustment categories based on presence of surgeries, discharge condition, comorbidity, age and gender.

Surgeries Determine if the member underwent surgery during the inpatient stay. Download the list of codes from the NCQA Web site (Table HCC-Surg) and use it to identify surgeries. Consider an IHS to include a surgery if at least one procedure code in Table HCC-Surg is present from any provider between the admission and discharge dates.

Discharge Condition Assign a discharge Clinical Condition (CC) category code to the IHS based on its primary discharge diagnosis, using Table PCR-DischCC. For acute-to-acute transfers, use the transfer's primary discharge diagnosis.

Exclude diagnoses that cannot be mapped to Table PCR-DischCC.

Comorbidities

Step 1 Identify all diagnoses for encounters during the classification period. Include the following when identifying encounters:

- Outpatient visits (Outpatient Value Set).
- Observation visits (Observation Value Set).
- Nonacute inpatient encounters (Nonacute Inpatient Value Set).
- Acute inpatient encounters (Acute Inpatient Value Set).
- ED visits (ED Value Set).

Exclude the primary discharge diagnosis on the IHS.

Step 2 Assign each diagnosis to one comorbid Clinical Condition (CC) category using Table CC—Comorbid.

Exclude all diagnoses that cannot be assigned to a comorbid CC category. For members with no qualifying diagnoses from face-to-face encounters, skip to the Risk Adjustment Weighting section.

All digits must match exactly when mapping diagnosis codes to the comorbid CCs.

Step 3 Determine HCCs for each comorbid CC identified. Refer to Table HCC—Rank.

For each stay's comorbid CC list, match the comorbid CC code to the comorbid CC code in the table, and assign:

- The ranking group.
- The rank.
- The HCC.

For comorbid CCs that do not match to Table HCC—Rank, use the comorbid CC as the HCC and assign a rank of 1.

Note: One comorbid CC can map to multiple HCCs; each HCC can have one or more comorbid CCs.

Step 4 Assess each ranking group separately and select only the highest ranked HCC in each ranking group using the *Rank* column (1 is the highest rank possible).

Drop all other HCCs in each ranking group, and de-duplicate the HCC list if necessary.

Example Assume a stay with the following comorbid CCs: CC-15, CC-19 and CC-80 (assume no other CCs).

- CC-80 does not have a map to the ranking table and becomes HCC-80.
- HCC-15 is part of Ranking Group 1 and HCC-19 is part of Ranking Groups Diabetes 1–Diabetes 4. Because CC-15 is ranked higher than CC-19 in Ranking Group Diabetes 1, the comorbidity is assigned as HCC-15 for Ranking Group 1. Because CC-19 is ranked higher in Ranking Groups Diabetes 2–4, the comorbidity is assigned as HCC-19 for these ranking groups.
- The final comorbidities for this discharge are HCC-15, HCC-19 and HCC-80.

Example: Table HCC—Rank

Ranking Group	CC	Description	Rank	HCC
NA	CC-80	Congestive Heart Failure	NA	HCC-80
Diabetes 1	CC-15	Diabetes With Renal or Peripheral Circulatory Manifestation	1	HCC-15
	CC-16	Diabetes With Neurologic or Other Specified Manifestation	2	HCC-16
	CC-17	Diabetes With Acute Complications	3	HCC-17
	CC-18	Diabetes With Ophthalmologic or Unspecified Manifestation	4	HCC-18
	CC-19	Diabetes Without Complications	5	HCC-19
Diabetes 2	CC-16	Diabetes With Neurologic or Other Specified Manifestation	1	HCC-16
	CC-17	Diabetes With Acute Complications	2	HCC-17
	CC-18	Diabetes With Ophthalmologic or Unspecified Manifestation	3	HCC-18
	CC-19	Diabetes Without Complication	4	HCC-19
Diabetes 3	CC-17	Diabetes With Acute Complications	1	HCC-17
	CC-18	Diabetes With Ophthalmologic or Unspecified Manifestation	2	HCC-18
	CC-19	Diabetes Without Complication	3	HCC-19
Diabetes 4	CC-18	Diabetes With Ophthalmologic or Unspecified Manifestation	1	HCC-18
	CC-19	Diabetes Without Complication	2	HCC-19

Step 5 Identify combination HCCs listed in Table HCC—Comb.

Some combinations suggest a greater amount of risk when observed together. For example, when diabetes *and* CHF are present, an increased amount of risk is evident. Additional HCCs are selected to account for these relationships.

Compare each stay's list of unique HCCs to those in the *HCC* column in Table HCC—Comb and assign any additional HCC conditions.

For fully nested combinations (e.g., the diabetes/CHF combination is nested in the diabetes/CHF/renal combination), use only the more comprehensive pattern. In this example, only the diabetes/CHF/renal combination is counted.

For overlapping combinations (e.g., the CHF, COPD combination overlaps the CHF/renal/diabetes combination), use both sets of combinations. In this example, both CHF/COPD and CHF/renal/diabetes combinations are counted.

Based on the combinations, a member can have none, one or more of these added HCCs.

Example For a stay with comorbidities HCC-15, HCC-19 and HCC-80 (assume no other HCCs), assign HCC-901 in addition to HCC-15, HCC-19 and HCC-80. This *does not* replace HCC-15, HCC-19 or HCC-80.

Example: Table HCC—Comb

Combination: Diabetes and CHF			
Comorbid HCC	Comorbid HCC	Comorbid HCC	Combination HCC
HCC-15	HCC-80	NA	HCC-901
HCC-16	HCC-80	NA	HCC-901
HCC-17	HCC-80	NA	HCC-901
HCC-18	HCC-80	NA	HCC-901
HCC-19	HCC-80	NA	HCC-901

Risk Adjustment Weighting

For each IHS, use the following steps to identify risk adjustment weights based on presence of surgeries, discharge condition, comorbidity, age and gender.

Note: The final weights table will be released on November 3, 2014.

Step 1 For each IHS with a surgery, link the surgery weight.

- For Medicare product lines ages 18–64: Use Table PCR-MA-OtherWeights-Under65.
- For Medicare product lines ages 65 and older: Use Table PCR-MA-OtherWeights-65plus.
- For commercial product lines: Use Table PCR-Comm-OtherWeights.

Step 2 For each IHS with a discharge CC Category, link the primary discharge weights.

- For Medicare product lines ages 18-64: Use Table PCR-MA-DischCC-Weight-Under65.
- For Medicare product lines ages 65 and older: Use Table PCR-MA-DischCC-Weight-65plus.
- For commercial product lines: Use Table PCR-Comm-DischCC-Weight.

Step 3 For each IHS with a comorbidity HCC Category, link the weights.

- For Medicare product lines ages 18–64: Use Table PCR-MA-ComorbHCC-Weight-Under65.
- For Medicare product lines ages 65 and older: Use Table PCR-MA-ComorbHCC-Weight-65plus.
- For commercial product lines: Use Table PCR-Comm-ComorbHCC-Weight.

Step 4 Link the age and gender weights for each IHS.

- For Medicare product lines ages 18–64: Use Table PCR-MA-OtherWeights-Under65.
- For Medicare product lines ages 65 and older: Use Table PCR-MA-OtherWeights-65plus.
- For commercial product lines: Use Table PCR-Comm-OtherWeights.

Step 5 Identify the base risk weight.

- For Medicare product lines ages 18–64: Use Table PCR-MA-OtherWeights-Under65.
- For Medicare product lines ages 65 and older: Use Table PCR-MA-OtherWeights-65plus.
- For commercial product lines: Use Table PCR-Comm-OtherWeights to determine the base risk weight.

Step 6 Sum all weights associated with the IHS (i.e., presence of surgery, primary discharge diagnosis, comorbidities, age, gender and base risk weight).

Step 7 Use the formula below to calculate the adjusted probability of a readmission based on the sum of the weights for each IHS.

$$\text{Adjusted probability of readmission} = \frac{e^{(\sum \text{WeightsForIHS})}}{1 + e^{(\sum \text{WeightsForIHS})}}$$

OR

$$\text{Adjusted probability of readmission} = [\exp(\text{sum of weights for IHS})] / [1 + \exp(\text{sum of weights for IHS})]$$

Note: “Exp” refers to the exponential or antilog function.

Step 8 Use the formula below and the adjusted probability of readmission calculated in step 7 to calculate the variance for each IHS.

$$\text{Variance} = \text{Adjusted probability of readmission} \times (1 - \text{Adjusted probability of readmission})$$

Example: If the adjusted probability of readmission is 0.1518450741 for an IHS, then the variance for this IHS is 0.1518450741 x 0.8481549259 = 0.1287881476.

Note: The variance is calculated at the IHS level. Organizations must sum the variances for each age/gender and total category when populating the Total Variance cells in the reporting tables.

Sample Table: PCR—Risk Adjustment Weighting

Member ID*	Admiss. Counter	Base Risk Weight	Age	Gender	Age and Gender Weight	Surgical Weight	ICD-9 Diagnosis Code	Discharge CC		HCC-PCR		Sum of Weights	Adjusted Probability	Variance
								Category	Weight	Category	Weight			
1250	1	-1.08883	67	Female	0.1000	-0.2800	250.4	15	0.0700	20	0.1400	-0.8600	0.2976	0.2090
										25	0.2000			
4010	1	-1.08883	50.00	Male	0.1200	NA	007.4	5	0.0300	NA	NA	-0.9400	0.2811	0.2021
4010	2	-1.08883	50.00	Male	0.1200	NA	298.00	77	0.0600	5	0.0100	-0.5700	0.3615	0.2308
										47	0.3300			

*Each Member ID field with a value represents a unique IHS.

Numerator At least one acute readmission for any diagnosis within 30 days of the Index Discharge Date.

- Step 1** Identify all acute inpatient stays with an admission date on or between January 2 and December 31 of the measurement year.
- Step 2** **Acute-to-acute transfers:** Keep the original admission date as the Index Admission Date, but use the transfer's discharge date as the Index Discharge Date.
- Step 3** Exclude acute inpatient hospital discharges with a principal diagnosis of pregnancy (Pregnancy Value Set) or a principal diagnosis for a condition originating in the perinatal period (Perinatal Conditions Value Set).
- Step 4** For each IHS, determine if any of the acute inpatient stays have an admission date within 30 days after the Index Discharge Date.

Reporting: Denominator

Count the number of IHS for each age, gender and total combination and enter these values into the reporting table.

Reporting: Risk Adjustment

- Step 1** Calculate the average adjusted probability for each IHS for each age, gender and total combinations and the overall total.

Organizations must calculate the probability of readmission for each hospital stay within the applicable age and gender group to calculate the average (which is reported to NCQA). For the total age/gender category, the probability of readmission for all hospital stays in the age/gender categories must be averaged together; organizations cannot take the average of the average adjusted probabilities reported for each age/gender.

- Step 2** Round to four decimal places using the .5 rule and enter these values into the reporting table.

Note: Do not take the average of the cells in the reporting table.

Example For the “18–44” age category:

- Identify all IHS by 18–44 year-old males and calculate the average adjusted probability.
- Identify all IHS by 18–44 year-old females and calculate the average adjusted probability.
- Identify all IHS by all 18–44 year-olds and calculate the average adjusted probability.

Repeat for each subsequent group.

- Step 3** Calculate the total (sum) variance for each age, gender and total combinations and the overall total.

- Step 4** Round to four decimal places using the .5 rule and enter these values into the reporting table.

Reporting: Numerator

Count the number of IHS with a readmission within 30 days for each age, gender and total combination and enter these values into the reporting table.

Note

- Organizations may not use Risk Assessment Protocols to supplement diagnoses for calculation of the risk adjustment scores for this measure. The PCR measurement model was developed and tested using only claims-based diagnoses and diagnoses from additional data sources would affect the validity of the models as they are current implemented in the specification.

Table PCR-A-2/3: Plan All-Cause Readmissions Rates by Age and Risk Adjustment

Age	Count of Index Stays (Denominator)	Count of 30-Day Readmissions (Numerator)	Observed Readmissions (Num/Den)	Average Adjusted Probability	Total Variance	O/E Ratio (Observed Readmissions/Average Adjusted Probability)	Lower Confidence Interval (O/E Ratio)	Upper Confidence Interval (O/E Ratio)
18-44	_____	_____	_____	_____	_____			
45-54	_____	_____	_____	_____	_____			
55-64	_____	_____	_____	_____	_____			
Total	_____	_____	_____	_____	_____	_____	_____	_____

Table PCR-B-3: Plan All-Cause Readmissions Rates by Age and Risk Adjustment

Age	Count of Index Stays (Denominator)	Count of 30-Day Readmissions (Numerator)	Observed Readmissions (Num/Den)	Average Adjusted Probability	Total Variance	O/E Ratio (Observed Readmissions/Average Adjusted Probability)	Lower Confidence Interval (O/E Ratio)	Upper Confidence Interval (O/E Ratio)
65-74	_____	_____	_____	_____	_____			
75-84	_____	_____	_____	_____	_____			
85+	_____	_____	_____	_____	_____			
Total	_____	_____	_____	_____	_____	_____	_____	_____