

**MEDICARE ADVANTAGE (MA) APPLICATION SUBMISSION REQUIREMENT SCENERIOS FOR CY 2007**

1. All MA applications are due to CMS no later than March 20, 2006 5:00 PM EST.
2. CCP RPPO applicants must offer Part D benefits and must, therefore, submit a separate Part D application in addition to a Part C application.
3. Private Fee-For-Service (PFSS) plans may offer Part D benefits. Any PFSS plan that wished to offer Part D benefits for 2007 must submit a separate Part D application in addition to any Part C application being filed.
4. Cost contractors may offer Part D benefits. Any Cost Contractor that wishes to offer Part D benefits for 2007 must submit a separate Part D application.
5. Due to a two-year moratorium enacted under the Medicare Modernization Act (MMA), CMS will not accept any new initial CCP application for entities to offer a local PPO for 2007.
6. Also due to the MMA moratorium, currently contracted entities offering local PPO products may not expand their PPO service areas for 2007.
7. Entities with Cost Contracts that are open for enrollment may not operate Medicare Advantage products with a service area that overlaps the service area of the Cost Contract.
8. Consistent with current law and regulations, CMS will accept no new initial cost contract applications.
9. Cost contractors that seek to expand their service area should contact Central Office for guidance.
10. Scenario 5 is limited to those employer/union-only groups who wish to directly contract with Medicare to offer coverage to its Medicare-eligible actives and retirees.

**SCENARIO 1:** A new organization/legal entity that does not currently have a Medicare Advantage contract with CMS but would like offer a Medicare Advantage Plan with Part D benefits. The organization must submit the following information for the appropriate application type:

<b>Application Type</b>	<b>Submission Requirements</b>
Coordinated Care Plan with Part D (HMO, HMO/POS, or PSO)	<ol style="list-style-type: none"> <li>1. MA CCP Initial Application,</li> <li>2. MA-Part D Application</li> <li>3. 2007 EGWP MA Application (if applicable)</li> </ol>
Coordinate Care Plan with Part D & SNP	<ol style="list-style-type: none"> <li>1. MA CCP Initial Application (including the SNP section)</li> <li>2. MA-Part D Application</li> <li>3. 2007 EGWP MA Application (if applicable)</li> </ol>
PFFS with Part D	<ol style="list-style-type: none"> <li>1. PFFS Initial Application</li> <li>2. MA-Part D Application</li> <li>3. PFFS payment grid terms and conditions</li> <li>4. 2007 EGWP MA Application (if applicable)</li> </ol>
Regional PPO with Part D	<ol style="list-style-type: none"> <li>1. Regional PPO Initial Application</li> <li>2. MA-Part D Application</li> <li>3. 2007 EGWP MA Application (if applicable)</li> </ol>

**SCENARIO 2:** A brand new organization/legal entity that does not currently have a Medicare Advantage contract with CMS but would like to offer a new MA Plan without Part D benefits. The organization must submit the following information for the appropriate application type:

<b>Application Type</b>	<b>Submission Requirements</b>
PFFS	<ol style="list-style-type: none"> <li>1. PFFS Initial Application</li> <li>2. PFFS payment grid and terms &amp; conditions</li> <li>3. 2007 EGWP MA Application (if applicable)</li> </ol>
Medicare Savings Account (MSA)	<ol style="list-style-type: none"> <li>1. MSA Initial Application</li> <li>2. 2007 EGWP MA Application (if applicable)</li> </ol>

**SCENARIO 3: A current MA contractor would like to offer a different (HMO, HMO POS, PFFS, MSA or RPPO) type of MA Healthcare plan. A with a new contract number is required. The MA contractor must submit the following information for the appropriate requested plan type:**

Current Contracted Plan	Requested Plan Type	Submission Requirements
HMO, or HMO w/POS	PSO, PFFS, Regional PPO, and/or a MSA	<ol style="list-style-type: none"> <li>1. The appropriate MA Initial Application for the requested plan type</li> <li>2. MA-Part D Application (if offering Part D)</li> <li>3. 2007 EGWP MA Application (if applicable)</li> </ol>
PSO	HMO, HMOPOS, PFFS, Regional PPO, and/or a MSA	<ol style="list-style-type: none"> <li>1. The appropriate MA Initial Application for the requested plan type</li> <li>2. MA-Part D Application (if offering Part D)</li> <li>3. 2007 EGWP MA Application (if applicable)</li> </ol>
PFFS	HMO, HMO POS, PSO, Regional PPO, and/or a MSA	<ol style="list-style-type: none"> <li>1. The appropriate MA Initial Application for the requested plan type</li> <li>2. MA-Part D Application (if offering Part D)</li> <li>3. 2007 EGWP MA Application (if applicable)</li> </ol>
Regional PPO	HMO, HMO POS, PSO, and/or a MSA	<ol style="list-style-type: none"> <li>1. The appropriate MA Initial Application for the requested plan type</li> <li>2. MA-Part D Application (if offering Part D)</li> <li>3. 2007 EGWP MA Application (if applicable)</li> </ol>
1876 Cost Plan	HMO, HMO POS, PSO, Regional PPO, and/or a MSA	<ol style="list-style-type: none"> <li>1. The appropriate MA Initial Application for the requested plan type</li> <li>2. MA-Part D Application (if applicable)</li> <li>3. 2007 EGWP MA Application (if applicable)</li> <li>4. 2007 EGWP Cost Plan Sponsor Application (if applicable and the Cost plan did not offer an EGWP in 2006)</li> </ol>

**SCENARIO 4:** A current MA contractor would like to expand its contracted service area using the same legal entity and same contract number. The MA contractor must submit the following information for the appropriate service area expansion (SAE) application:

SAE Application Type	Submission Requirements
Coordinated Care Plan (HMO, HMOPOS, or PSO)	<ol style="list-style-type: none"> <li>1. MA CCP SAE application</li> <li>2. Part D SAE Application</li> <li>3. 2007 EGWP MA application ( if applicable and entity did not offer in 2006); or</li> <li>4. 2007 EGWP SAE application (if entity offered an EGWP in 2007 and intends to expand the EGWP service area)</li> </ol>
Coordinated Care Plan w/Special Needs Plan (HMO, HMO/POS, or PSO)	<ol style="list-style-type: none"> <li>1. MA CCP SAE application (including SNP section)</li> <li>2. Part D SAE Application</li> <li>3. 2007 EGWP MA application ( if applicable and entity did not offer in 2006); or</li> <li>4. 2007 EGWP SAE application (if entity offered an EGWP in 2007 and intends to expand the EGWP service area)</li> </ol>
PFFS	<ol style="list-style-type: none"> <li>1. PFFS SAE Application</li> <li>2. PFFS payment grid terms and conditions</li> <li>3. Part D SAE Application</li> <li>4. 2007 EGWP MA Application ( if applicable and entity did not offer in 2006); or</li> <li>5. 2007 EGWP SAE Application (if entity offered an EGWP in 2007 and intends to expand the EGWP service area)</li> </ol>
1876 Cost Plan	<p>(Note: Cost Plans should contact their Central Office plan manager for requirements. A MA Cost contractor can't expand its Cost Plans into the same service area as the MA plan) If an Cost Plan SAE is allowed and the product includes Part D, then the Part D SAE Application is required.</p>

**SCENARIO 5:** A brand new employer/union-only entity that does not currently have a MA contract with CMS but would like to offer a new Direct Employer/Union MA plan. The organization must submit the following information for the appropriate application type:

Application Type	Submission Requirements
Employer/Union Direct Contract MA-PFFS Only	<ol style="list-style-type: none"> <li>1. Direct MA-PFFS Application Package</li> </ol>
Employer/ Union Direct Contract MA-PD-PFFS	<ol style="list-style-type: none"> <li>1. Direct MA-PFFS Application Package</li> <li>2. Direct MA-PD Application</li> </ol>