

Instructions for reading the Section 5506 Final Awards spreadsheet - Round 4:

This spreadsheet contains the results of the FTE resident cap slot redistributions under the **fourth round** of section 5506 of the ACA, “Preservation of Resident Cap Positions from Closed Hospitals” due to the closure of **Peninsula Hospital Center** in Far Rockaway, NY on April 9, 2012.

On the second tab of this spreadsheet, you will find the following information:

- Column A contains the CMS Certification Numbers (CCNs), sorted in ascending order.
- Column B contains the total IME slots awarded to the hospital. There are no section 422 of the MMA slots associated with the IME awards.
- Column I contains the total DGME slots awarded to the hospital. There are both non-section 422 of the MMA and section 422 slots associated with the DGME awards.
- Column J contains the portion of the DGME slots awarded to the hospital that are not section 422 slots - they are associated with the non-section 422 of the MMA portion of Peninsula Hospital Center's DGME resident cap.
- Column K contains the portion of the DGME slots awarded to the hospital that are associated with the increase that Peninsula Hospital Center received under section 422 of the MMA, and are to be paid using the appropriate locality-adjusted national average Per Resident Amount (see 42 CFR 413.77(g) and 78 FR May 10, 2013, p. 27638).
- Columns C-H and columns L-S contain various effective dates.

Please note that a hospital may be receiving FTE resident cap slots with staggered effective dates for various reasons. First, some of the effective dates of the slots awarded are tied to the closure date of the closed hospital. Second, section 5506 requires “no duplication of FTE slots.” That is, because some hospitals received temporary FTE resident cap slots under the regulations at 42 CFR 413.79(h) associated with displaced residents, no permanent FTE resident cap slots may be assigned under section 5506 until those temporary FTE resident cap slots have expired. Third, some of the effective dates of the slots awarded are intended for use on an as needed basis. That is, the slots will become effective when the hospital can demonstrate to the Medicare contractor that the slots associated with a new program or program expansion are actually filled, and therefore, are needed as of a particular date. (Please refer to the August 31, 2012 final rule for a more detailed explanation of this policy (77 FR 53437-53445)). Fourth, when the closed hospital has both non-section 422 of the MMA resident cap slots and section 422 resident cap slots, the non-section 422 cap slots are awarded first, followed by the section 422 cap slots (see 75 FR 72228 November 24, 2010).

Once you find the provider number you are looking for, please read across the entire row to find all of the effective dates for the hospital’s total section 5506 IME and DGME slots awarded, respectively. Please also note whether the award amount in a particular column is or is not associated with section 422 of the MMA cap slots. The DGME section 422 award slots are to be separately reported on your Medicare cost report and paid using the appropriate locality-adjusted national average Per Resident Amount (see 42 CFR 413.77(g) and 77 FR August 31, 2012, p. 53448). The sum of all the IME amounts in the IME effective date fields equals the total IME slots awarded to the hospital in

column B. Similarly, the sum of all the DGME amounts in the DGME effective date fields equals the total DGME slots awarded to the hospital in column I.