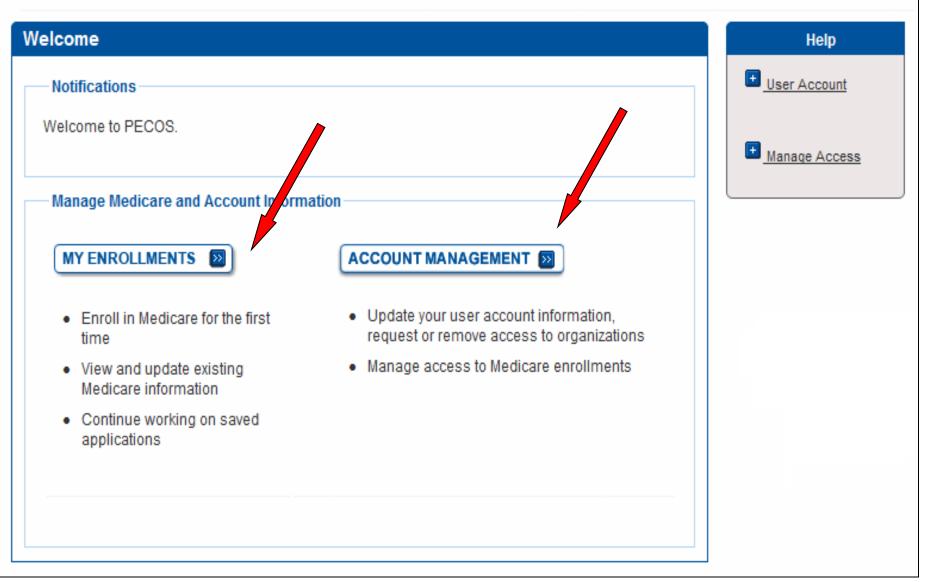
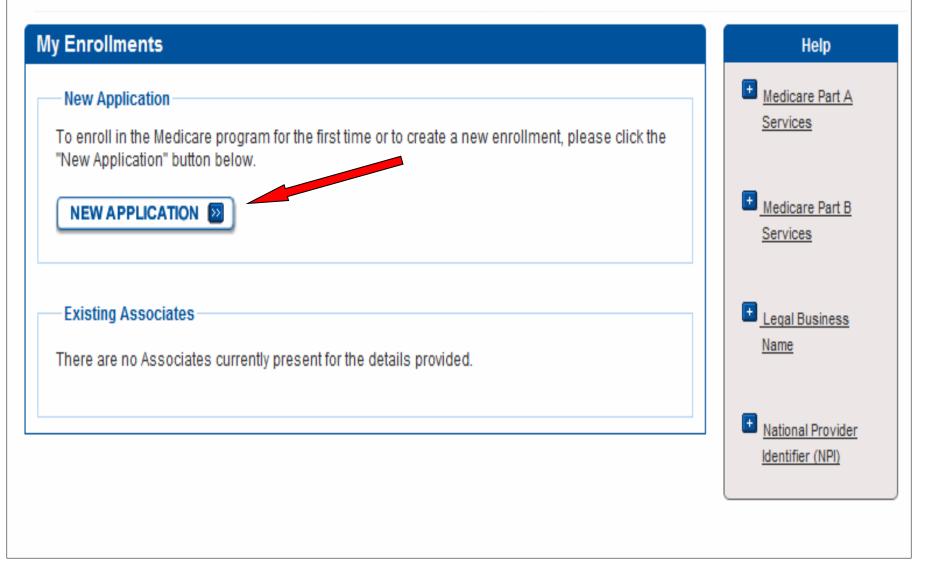
Internet-based Provider Enrollment, Chain and Ownership System (PECOS)

Enrollment Example

Home



Home > My Enrollments



Application Questionnaire	Help
(*) Red asterisk indicates a required field.	Sole Owner
Applicant Description Please select the description that best matches the provider.*	Professional Corporation (PC)
 Sole Owner of a PA, PC or LLC The applicant provides practitioner services through an incorporated business of which he/she is the only owner (the practitioner and business are legally distinct). 	Professional Association (PA)
X Self-Employed The applicant provides healthcare services from a facility that he/she owns/leases/rents (the practitioner and business are legally the same).	 Limited Liability Company (LLC) Disregarded Entity
 Group Member Only The applicant reassigns to a group practice/clinic or individual 	
 Group Member and is Self-Employed The applicant is self-employed and provides healthcare services as an employee of another provider. 	
O Disregarded Entity The applicant provides healthcare services through a business which he/she is the only owner that chooses to be disregarded as separate from the business (The practitioner and business are considered legally the same).	
PREVIOUS PAGE NEXT PAGE	

Home > My Enrollments > Application Questionnaire

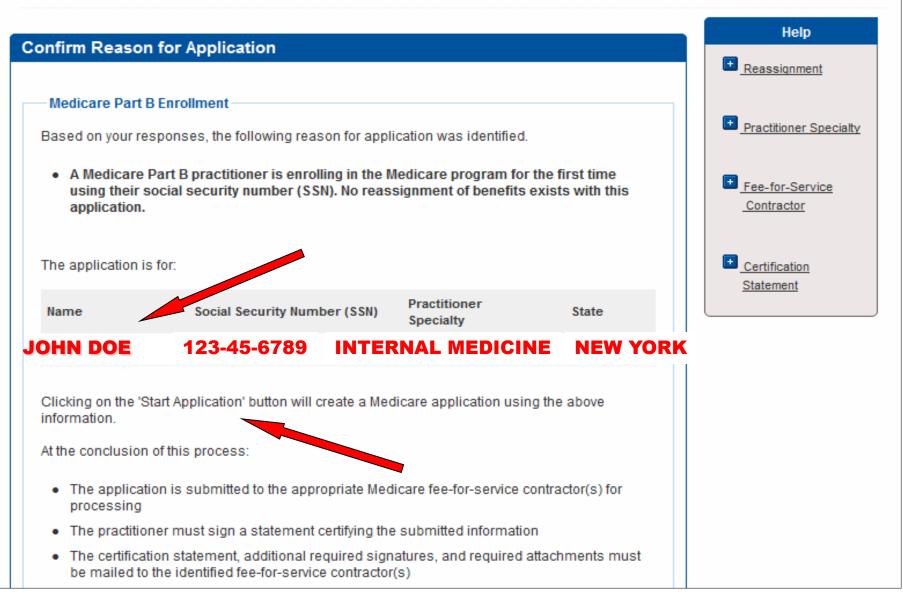
	(*) Red asterisk indicates a required field.
Applicant Identification Information	
First Name*	
JOHN	
Last Name*	
DOE	
123-45-6789	
123-45-6789 123-45-6789	
123-45-6789	
123-45-6789 Date of Birth* mm/dd/yyyy	
123-45-6789 Date of Birth*	
123-45-6789 Date of Birth* mm/dd/yyyy	
123-45-6789 Date of Birth* mm/dd/yyyy	

plication Questionnaire	
- State/Territory Where Healthcare	(*) Red asterisk indicates a required field. Services Rendered
Please select a single state/territory	where the applicant renders healthcare services.
State/Territory*	
	PAGE NEXT PAGE

Home > My Enrollments > Application Questionnaire Application Questionnaire (*) Red asterisk indicates a required field. Primary Medicare Services Rendered Please select the primary Medicare Services rendered by the applicant.* Note: A separate application is required for each primary healthcare service rendered. Part B Physician Specialties* ۲ Ŧ **INTERNAL MEDICINE** Part B Non-physician Specialties* Select Non-Physician Specialty Ŧ Part B Supplier Services* Select Supplier Type -Part A Provider Services* Select Provider Type Undefined Type Specification*

ar individual that will reasive the practition of a
as individual that will reasive the practition of a
or individual that will receive the practitioner's





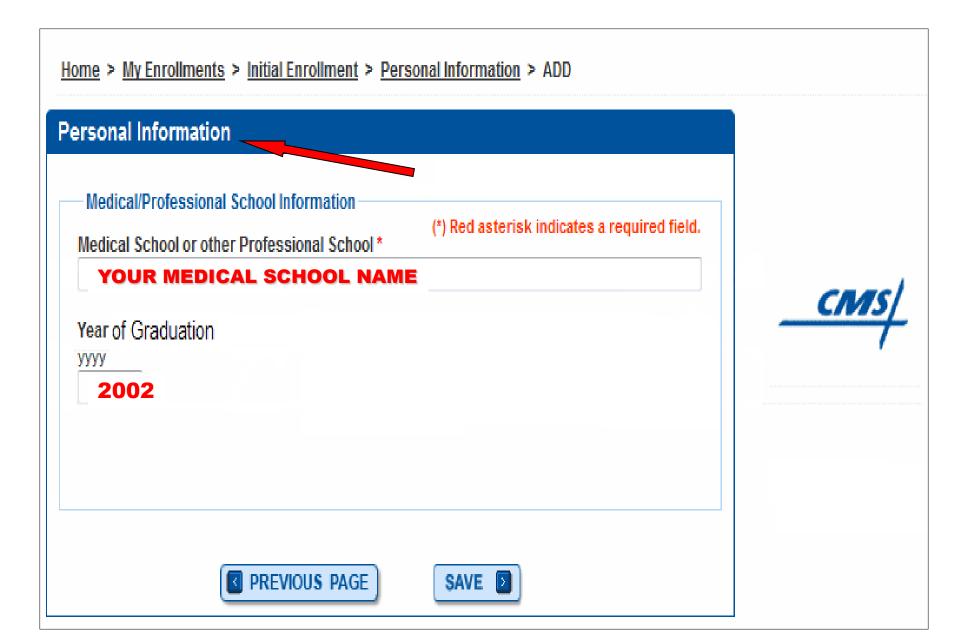
Home > My Enrollments > Initial Enrollment > Personal Information

Personal Information	Help
Topic Summary This topic requests personal and identification information about the applicant. (more information about Personal Information) ADD INFORMATION	Applicant
Personal Information	
No Personal Information has been listed. Please click "Add Information" above.	

Home > My Enrollments > Initial Enrollment > Personal Information > ADD

rsonal Information		Help
Other Name for the Applicant	(*) Red asterisk indicates a required field.	Other Name
Other Name for the Applicant Does the applicant have any other name to supply	2/e.a. former or maiden name	(Individual)
professional name, etc.)*	(e.g. former of marger name,	
Yes		
No		
Type of Other Name *		
Select Type 👻		
Other Type of Name		
Other First Name *		
Other Middle Name		
Other Last Name *		
Other Name Suffix		
Select Suffix		

- Birth Information	(*) Red asterisk indicates a required field
Country of Birth*	
United States	- SELECT
C4_45 D1_4b*	
State of Birth* Select State/Territory	T
Select State/ Territory	
Select State/ Territory	•

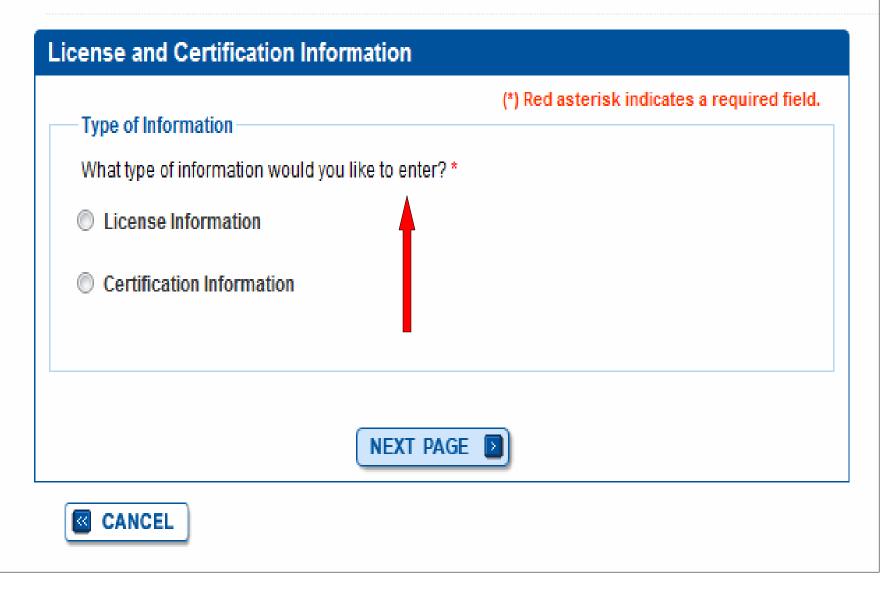


<u>Home</u> > <u>My Enrollments</u> > <u>Initial Enrollment</u> > Personal Information

rsonal Information	Help
Topic Summary	Applicant
This topic requests personal and identification information about the applicant. 🕒 (more not information)	
Personal Information	
xxxxxxxxxxxxxxxxxxxxxx	
Date of Birth: 01/18/1974	
Social Security Number: 123-45-6789	
Gender: Male	
Drug Enforcement Agency (DEA) Number: XXXXXXXXX Country of Birthy United States	
Country of Birth: United States State of Birth: NY	
Medical School or other Professional School:	
Year of Graduation: XXXX	

Topic Summary			 Practitioner Specialty
The practitioner specialty for this enrollment you to identify any secondary specialties for <u>Practitioner Specialty</u>)	_	ation about	<u>Practitioner Type</u> <u>Primary Physician</u>
Practitioner Specialty Information			Specialty
Practitioner Specialties Practitioner Type: Physician			Secondary <u>Physician Specialities</u>
Primary Physician Specialty INTERNAL MEDICINE	Secondary Physician Spe ADD PULMONARY DISEASE CRITICAL CARE (INTENSIVISTS)	DELETE DELETE	

Home > My Enrollments > Initial Enrollment > License and Certification Information > ADD



Home > My Enrollments > Initial Enrollment > Resident/Fellow Status	
Residency/Fellowship Status	Help
(*) Red asterisk indicates a required field. Topic Summary The topic requests information about the applicant's residency or fellowship status. (*) <u>More</u> (*) Red asterisk indicates a required field. (*) Mo ADD INFORMATION	Residency Fellowship
Residency/Fellowship Status Information	
No Residency or Fellowship Status has been listed. Please answer the question above.	

<u>Home > My Enrollments > Initial Enrollment > PAR Status</u>

PAR Status	Help
Topic Summary	PAR Status
This topic requests information to determine if the applicant agrees to accept assignment for all covered services provided to Medicare patients. 😑 (more information about PAR Status)	Fee-for-Service
PAR Status Information	
Does the applicant agree to accept assignment for all covered services provided to Medicare patients? *	
X Yes	
© No	
PAR Status Information	
No PAR Status Information has been listed. Please select the answer to the above question.	

Home > My Enrollments > Initial Enrollment > Correspondence Address

Correspondence Address

Topic Summary

This topic requests information about the correspondence address for the applicant.

(more information about Correspondence Address)

Note: Do not use the contact information of a billing agency, staffing company, or managing organization as the contact information.

Correspondence Address Information

Address: XX XXXXX XXX XXXXX XXXX XX 12345-6789 United States Telephone: (XXX) XXX-XXXX Fax: (XXX) XXX-XXXX



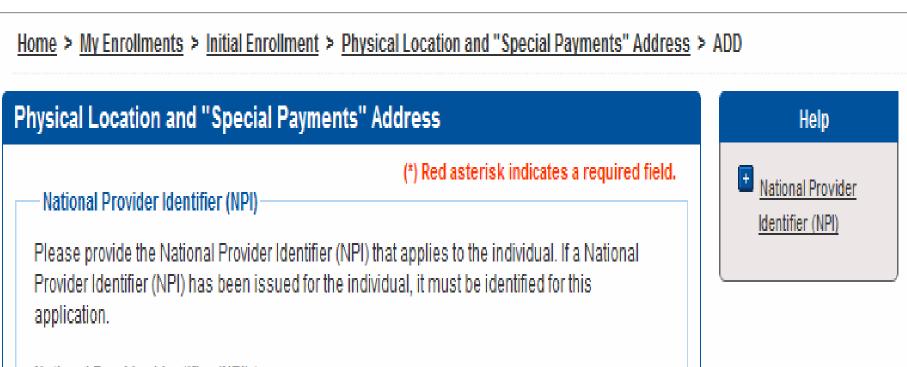
PREVIOUS TOPIC



<u>Home</u> > <u>My Enrollments</u> > <u>Initial Enrollment</u> > Adverse Legal Actions

Adverse Legal Actions	Help
(*) Red aster	erisk indicates a required field.
The topic requests information about adverse legal actions impose (more information about Adverse Legal Actions) Has an adverse legal action ever been imposed against an applic current or former name or business entity? *	• Revocation
Adverse Legal Actions That Must be Reported	Federal Procureme Program
 Any felony conviction under Federal or State law, regardless care related. Any misdemeanor conviction, under Federal or State law, rel an item or service under Medicare or a State health care pro neglect of a patient in connection with the delivery of a health 	lated to: (a) the delivery of gram, or (b) the abuse or
 Any misdemeanor conviction, under Federal or State law, rel embezzlement, breach of fiduciary duty, or other financial mis with the delivery of a health care item or service. 	lated to theft, fraud,
 Any misdemeanor conviction, under Federal or State law, rel with or obstruction of any investigation into any criminal offer Section 1001.101 or 1001.201. 	
 Any misdemeanor conviction, under Federal or State law, rel manufacture, distribution, prescription, or dispensing of a con- 	

 Any misdemeanor conviction, under Federal or State law, related to thefe embezzlement, breach of fiduciary duty, or other financial misconduct in with the delivery of a health care item or service. Any misdemeanor conviction, under Federal or State law, relating to the with or obstruction of any investigation into any criminal offense describ- Section 1001.101 or 1001.201. 	connection
with or obstruction of any investigation into any criminal offense describ Section 1001.101 or 1001.201.	
 Any misdemeanor conviction, under Federal or State law, relating to the manufacture, distribution, prescription, or dispensing of a controlled sul 	
Exclusions, Revocations or Suspensions	
 Any revocation or suspension of a license to provide health care by any authority. This includes the surrender of such a license while a formal d proceeding was pending before a State licensing authority. 	_
Any revocation or suspension of accreditation.	
 Any suspension or exclusion from participation in, or any sanction impo Federal or State health care program, or any debarment from participation Federal Executive Branch procurement or non-procurement program. 	
4. Any current Medicare payment suspension under any Medicare billing n	umber.
ADD INFORMATION 🔊	
No adverse legal actions have been listed. Please answer the question ab	ove.
PREVIOUS TOPIC	NEXT TOPIC 🔊



National Provider Identifier (NPI) *

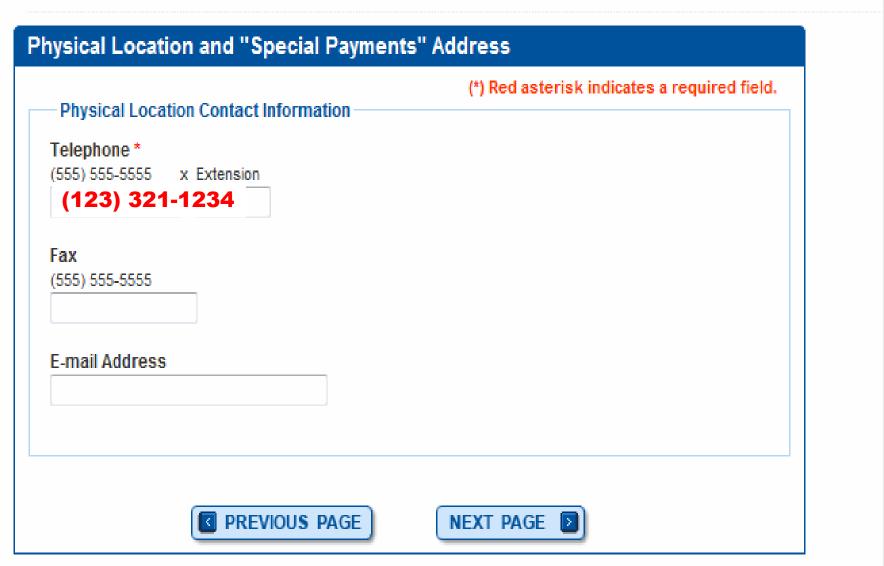
0123456789





	(*) Red asterisk indicates a required field.
Physical Location Address	
Effective Date of Information * mm/dd/yyyy	
Date Begin Practicing at Loca	tion
Location Name *	
John Doe MD	
Address Line 1 *	
10 Oak St.	
Address Line 2	
City *	
Your Town	
State/Territory:* NY	
ZIP Code+4 *	
55555 4444	

uress v	/erification
Address	(*) Red asterisk indicates a required field.
	ess you have provided did not verify with the United States Postal Service (USPS) . We have identified a verified, standardized address that corresponds to the address ded.
Please	select the address that you would like to submit: *
\odot	Verified USPS address: 10 Oak St. Your Town, NY 55555 4444
0	Address you entered: 10 Oak St. Your Town, NY 55555 4444



Physical Location and "Special Payments" Address (*) Red asterisk indicates a required field. You must resolve the following error(s) to continue The Telephone Number must be in the following format (555) 555-5555. Please re-enter the correct number.

 The Fax Number must be in the following format (555) 555-5555. Please re-enter the correct number.

Physical Location Contact Information

Telephone * (555) 555-5555 x Extension

(123) 321-1234

Fax (555) 555-5555

E-mail Address



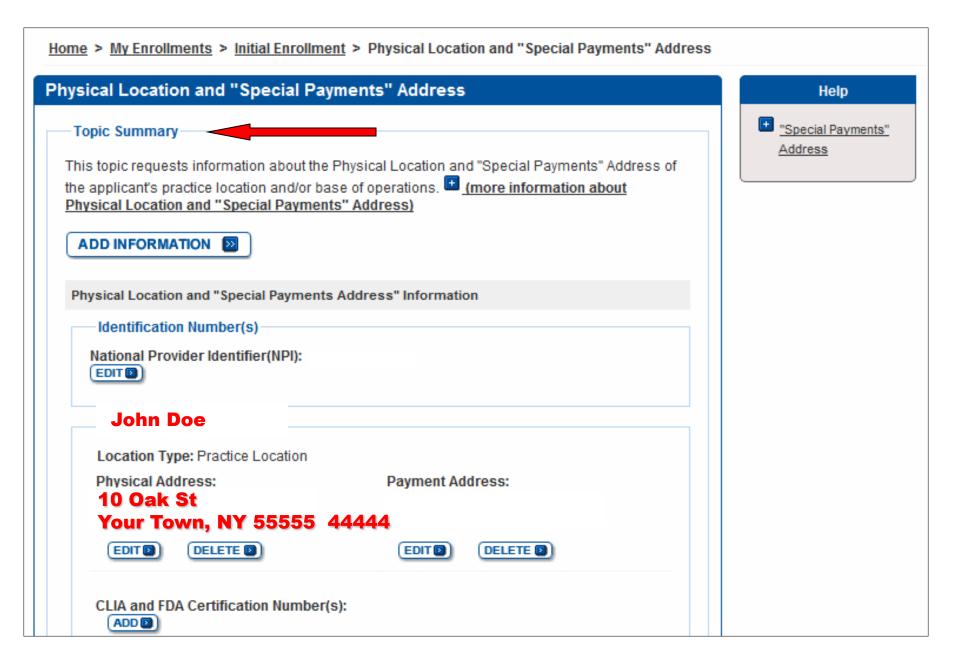


Physical Location and "Special Payments" Address	Help
CLIA Numbers Please provide any CLIA numbers that apply to this physical location.	Clinical Laboratory <u>Improvement</u> <u>Amendments</u>
CLIA Number	(CLIA) Number
ADD MORE D	
Note: Use the Add More button to add more than one CLIA number.	
PREVIOUS PAGE NEXT PAGE	

Physical Location and "Special Payments" Address	Help
FDA Numbers Please provide any FDA/Radiology (Mammography) Certification numbers that apply to this physical location.	<u>FDA/Radiology</u> <u>(Mammography)</u> <u>Certification Number</u>
FDA/Radiology (Mammography) Certification Number	
ADD MORE	
Note: Use the Add More button to add more than one FDA/Radiology (Mammography) Certification number.	
PREVIOUS PAGE NEXT PAGE	

	(*) Red asterisk indicates a required field.
Practice Location Type	
Is this practice location a: *	
Select Type	
Select Type	
Private Practice Office Setting	
Retirement/Assisted living community	
Other he th care facility	
•	
PREVIOUS PAGE	NEXT PAGE []

nysical Location and "Special Payments" Ad	ldress
	(*) Red asterisk indicates a required field.
Country * United States	SELECT
Payment Location Name:	
Effective Date of Information * mm/dd/yyyyy	
Date You Begin Receiving Payment at t	his Location
Address Line 1 *	
Address Line 2	
City *	
State/Territory *	
Select State/Territory	
ZIP Code+4 *	
ZIP Code+4 "	
PREVIOUS PAGE	SAVE D



NORTHERN WESTCHESTER HOSPITAL Location Type: Practice Location Physical Address: Payment Address: EDIT DELETE DELE	
Physical Address: Payment Address:	
CLIA and FDA Certification Number(s):	

endering Healthcare Service	s at the Patient's Home
Topic Summary	(*) Red asterisk indicates a required field
services in a patient's home. You ma	ut the locations where this applicant renders healthcare ay either list your locations individually by the cities or zip y the state. (more information about Rendering <u>s Home)</u>
Does the applicant render health ca	are services in patient's homes?*
Yes	
Rendering Healthcare Services at th	ne Patient's Home
No locations have been listed. Pl	lease answer the question above.

<u>Home > My Enrollments > Initial Enrollment > Individual Control</u>

lividuals with Managing Control		Help
	(*) Red asterisk indicates a required field.	Limited Partnershi
Topic Summary		
This topic requests information about individuals with o control of the applicant. All managing employees for the practice locations listed (more information about Individuals with Managing	d on this enrollment must be reported.	Five Percent (5%) or More Ownersh Control
Does the applicant have any individuals having manage report? *	ging control (managing employees) to	• <u>Partner</u>
Yes		
No		Managing Control
Managing Employees Information		
You have indicated that the applicant does not need managing control. Please click the "Next Topic" butto question above.		

<u>Home</u> > <u>My Enrollments</u> > <u>Initial Enrollment</u> > Patient Records Storage Location

tient Records Storage Location	Help
- Topic Summary	eld.
This topic requests information about where patient medical records are stored. 🕒 (more information about Record Storage Location)	Base of Operation
Where are the patient's medical records stored (for current and former patients)? *	
X At one of the Practice Locations or Base(s) of Operations reported on this enrollment	Provider
At a different location	Independent
	<u>Diagnostic Testino</u> <u>Facilities (IDTF)</u>
Patient Records Storage Location Information	
No patient records storage locations have been listed. Please answer the question above.	<u>Mobile Facilities/</u> <u>Portable Units</u>
PREVIOUS TOPIC NEXT TOPIC	

<u>Home > My Enrollments > Initial Enrollment > Contact Information</u>

The top	Summary
Person	
	Person Information
NO	ontact person has been listed. Please click "Add Information" above.

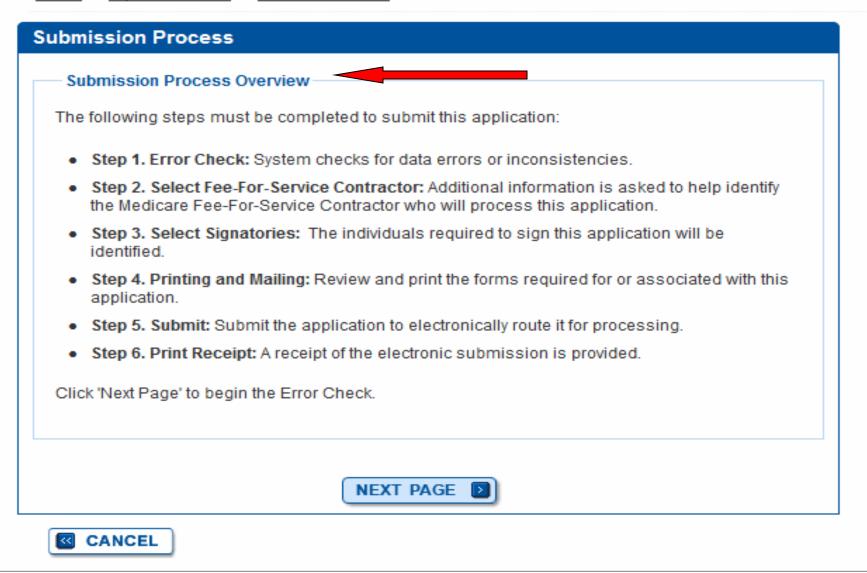
Home > My Enrollments > Initial Enrollment > Contact Information

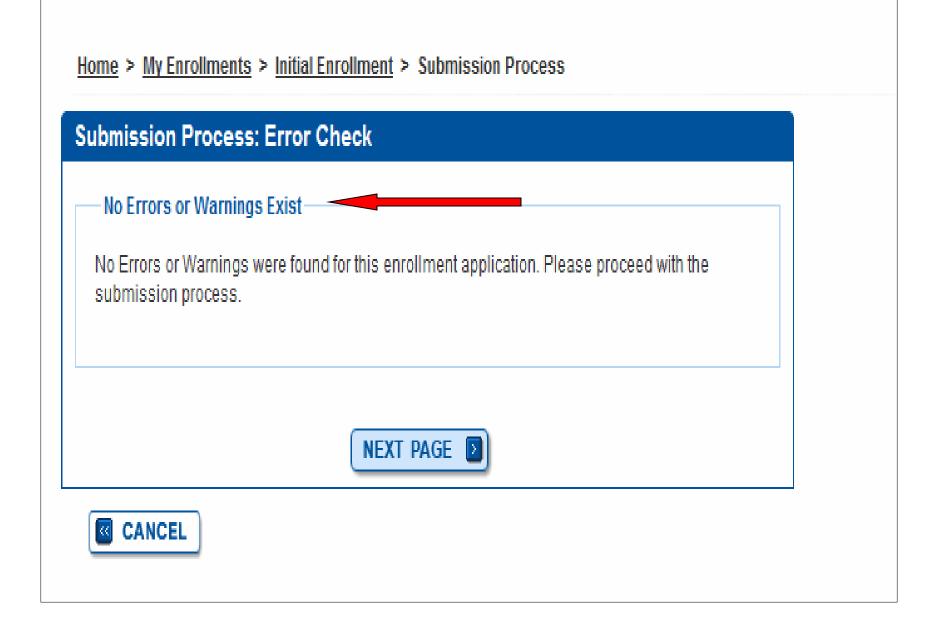
	sts information about the person or persons that the Medicare contractor should estions exist about the application. 📧 (more information about Contact
ADD INFORM	ATION 🔊
Contact Persor	Information
xxxxxxxx	XXXXXXXXXXXX
	(XXX) XXX-XXXX XXXXXXXXXX NY XXXXX-XXXX
Fax: (XXX)	
E-mail Addr	ess: xxxx@xxx.com
EDIT 💽	DELETE D

Home > My Enrollments > Initial Enrollment				
Topics for this Enrollment				
Enrollment ID: XXXXXXXXXXX PacID: XXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXXX				
Practitioner is Enrolling in Medicare for the First Time				
Topics				
	The data required for this enrollment application is grouped into topics. In order to electronically submit this enrollment application, you must complete all of the following topics.			
-	You may view and print this enrollment application at any time during the enrollment process by clicking the View and Print button below.			
This applica	This application is collecting the following topics:			
Completed	Completed Topics			
✓	Personal Information more information about Personal Information			
.∡	Practitioner Specialty more information about Practitioner Specialty			
*	PAR Status Information Importantion about PAR Status Information			
*	Physical Location and "Special Payments" Address Physical Location and "Special Payments" Address			
✓	Rendering Healthcare Services at a Patient's Home The more information about Rendering Healthcare Services at a Patient's Home			

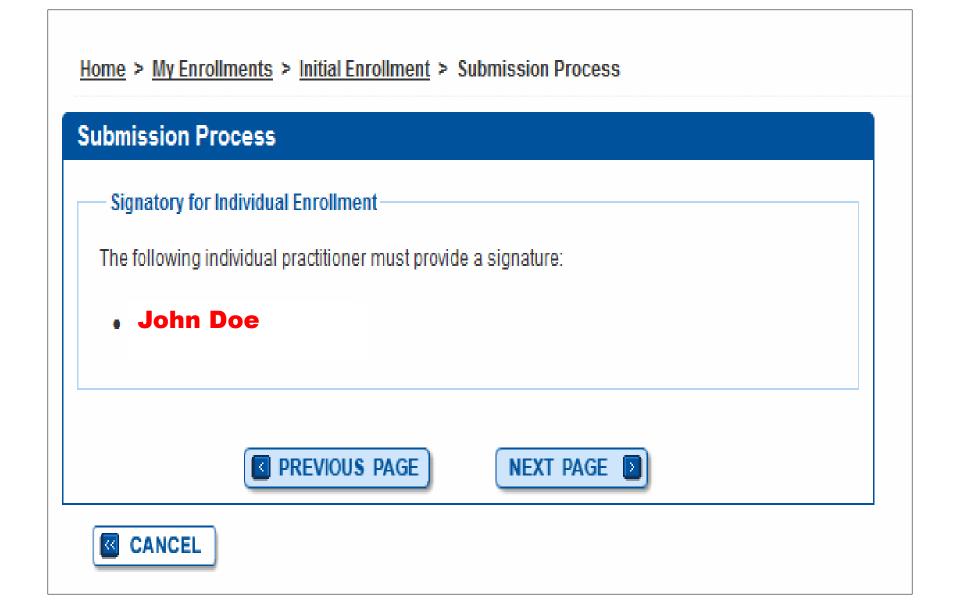
 Physical Location and "Special Payments" Address Rendering Healthcare Services at a Patient's Home more information about Rendering Healthcare Services at a Patient's Home Resident/Fellow Status more information about Resident/Fellow Status Correspondence Address more information about Correspondence Address License and Certification Information more information about License and Certification Information Adverse Legal Actions more information about Adverse Legal Actions Individual Control more information about Individual Control Patient Records Storage Location more information about Patient Records Storage Location Billing Agency more information about Billing Agency 	*	Practitioner Specialty th more information about Practitioner Specialty
 Physical Location and "Special Payments" Address Rendering Healthcare Services at a Patient's Home Resident/Fellow Status more information about Resident/Fellow Status Correspondence Address more information about Correspondence Address License and Certification Information more information about Adverse Legal Actions Adverse Legal Actions more information about Individual Control Patient Records Storage Location more information about Patient Records Storage Location Billing Agency 	✓	PAR Status Information more information about PAR Status Information
Image: Adverse Legal Actions Image: Adverse Legal Actions	✓	Physical Location and "Special Payments" Address
✓ Correspondence Address Imore information about Correspondence Address ✓ License and Certification Information Imore information about Correspondence Address ✓ License and Certification Information Imore information about Correspondence Address ✓ Adverse Legal Actions Imore information about Adverse Legal Actions ✓ Adverse Legal Actions Imore information about Individual Control ✓ Individual Control Imore information about Individual Control ✓ Patient Records Storage Location Storage Location Imore information about Billing Agency	1	
 <u>License and Certification Information</u> more information about Conespondence Address <u>License and Certification Information</u> <u>More information</u> more information about License and Certification Information <u>Adverse Legal Actions</u> more information about Adverse Legal Actions <u>Individual Control</u> more information about Individual Control <u>Patient Records Storage Location</u> more information about Patient Records Storage Location <u>Billing Agency</u> more information about Billing Agency 	1	Resident/Fellow Status 📑 more information about Resident/Fellow Status
✓ Adverse Legal Actions Individual Control Image: more information about Individual Control ✓ Individual Control Image: more information about Individual Control ✓ Patient Records Storage Location Storage Location Image: more information about Patient Records Storage Location ✓ Billing Agency Image: more information about Billing Agency	1	Correspondence Address 🕒 more information about Correspondence Address
✓ Individual Control more information about Individual Control ✓ Patient Records Storage Location Individual Control Indite Individual Control	1	
✓ Patient Records Storage Location more information about Individual Control ✓ Patient Records Storage Location more information about Individual Control ✓ Billing Agency more information about Billing Agency 	1	Adverse Legal Actions 📑 more information about Adverse Legal Actions
Material Records Storage Location Indre more more more about Patient Records Storage Location Image: Storage Location Image: Storage Location Image: Storage	1	Individual Control 🕒 more information about Individual Control
Billing Agency — Thore information about Billing Agency	1	
Contact Person The more information about Contact Person	1	Billing Agency more information about Billing Agency
	1	Contact Person more information about Contact Person

Home > My Enrollments > Initial Enrollment > Submission Process





Home > My Enrollments > Initial Enrollment > Submission Process Medicare Fee-for-Service Contractor Help (*) Red asterisk indicates a required field. Fee-for-Service Medicare Fee-For-Service Contractor Selection Contractor Please select a Fee-For-Service Contractor. The Fee-For-Service Contractor will answer the applicant's questions, process the enrollment application, and pay the applicant's claims. Note: It is recommended that the applicant select the Fee-For-Service Contractor of the Chain Home Office. Fee-For-Service Contractor* NATIONAL GOVERNMENT SERVICES Ŧ PREVIOUS PAGE NEXT PAGE CANCEL



Submission Process

Printing and Mailing Instructions

Each document listed below may be saved to your computer and/or printed for your personal records by clicking the "View and Print" link next to each document. Only the Certification / Authorization Statement(s) and the required supporting documentation must be printed and mailed to the Medicare contractor listed below. Please do not mail a copy of this application to the Medicare contractor if you are submitting it electronically.

- Print Submission Materials: Print all required supporting documents. Click on the "View and Print" link next to "List of Supporting Documentation" below for a list of supporting documentation relevant to this application.
- Mail Items to Fee-For-Service Medicare Contractor: The identified Medicare contractor is
 responsible for processing electronically submitted and mailed materials for this
 enrollment application. In order to complete the processing of your application, mail the
 Certification / Authorization Statement(s) and all required supporting documentation to the
 Medicare contractor listed below within 7 days of your electronic submission. Failure to do
 so may result in a rejection.

NATIONAL GOVERNMENT SERVICES P.O. BOX 4792 SYRACUSE, NY 13221-4792

Action	Document Name
🖾 <u>View and Print</u>	Certification Statement for Individual Practitioners
🖾 <u>View and Print</u>	List of Supporting Documentation
🖵 <u>View and Print</u>	Copy of this Application (For your records only, please do not mail)
🖵 <u>View and Print</u>	CMS-460 Medicare Participating Physician or Supplier Agreement

NATIONAL GOVERNMENT SERVICES P.O. BOX 4792 SYRACUSE, NY 13221-4792

Action	Document Name
🖾 View and Print	Certification Statement for Individual Practitioners
View and Print	List of Supporting Documentation
Uiew and Print	Copy of this Application (For your records only, please do not mail)
View and Print	CMS-460 Medicare Participating Physician or Supplier Agreement

Note:

- For security reasons, Social Security Numbers and the year of birth in Date of Birth fields will
 not appear on the printed Medicare application. If you plan to mail your printed application to
 the Medicare contractor instead of submitting it electronically, please review the application
 and insert the Social Security Numbers and year of birth where they are required but not
 displayed.
- Documents in PDF format require the PAdobe Acrobat Reader®. If you experience
 problems with PDF documents, please PAdobe Acrobat the latest version of the Reader®.

PREVIOUS PAGE





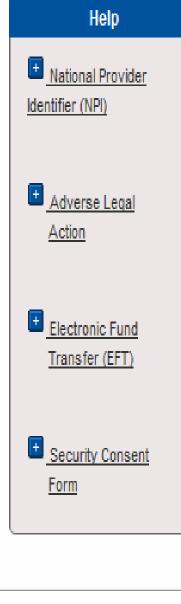
Supporting Documentation for Individual Practitioners

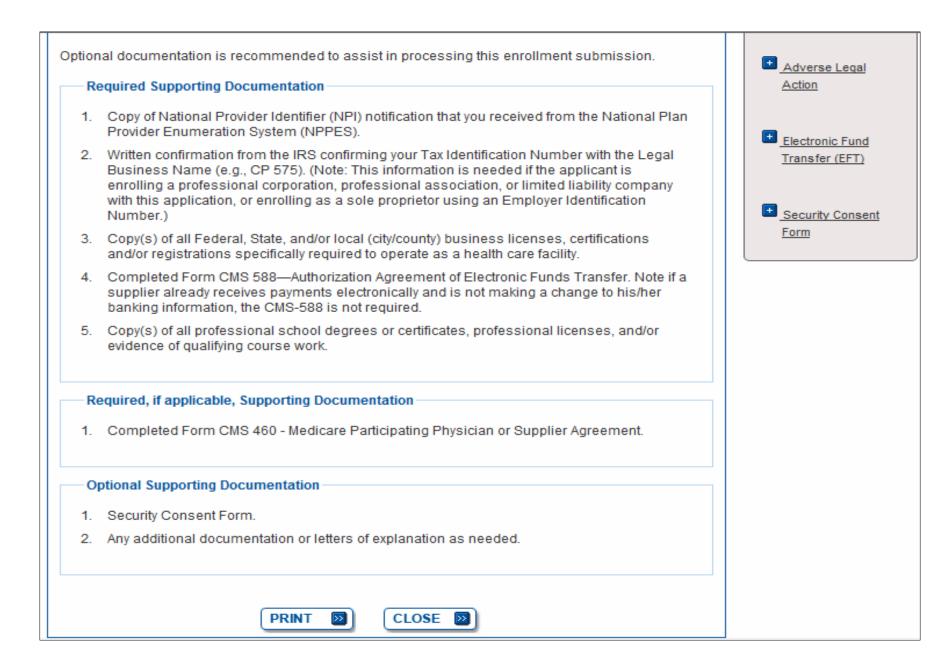
Please mail all applicable supporting documentation to your Medicare fee-for-service contractor. Additional documentation may also be requested by your Medicare fee-for-service contractor to validate information that you have reported in this application.

Optional documentation is recommended to assist in processing this enrollment submission.

Required Supporting Documentation

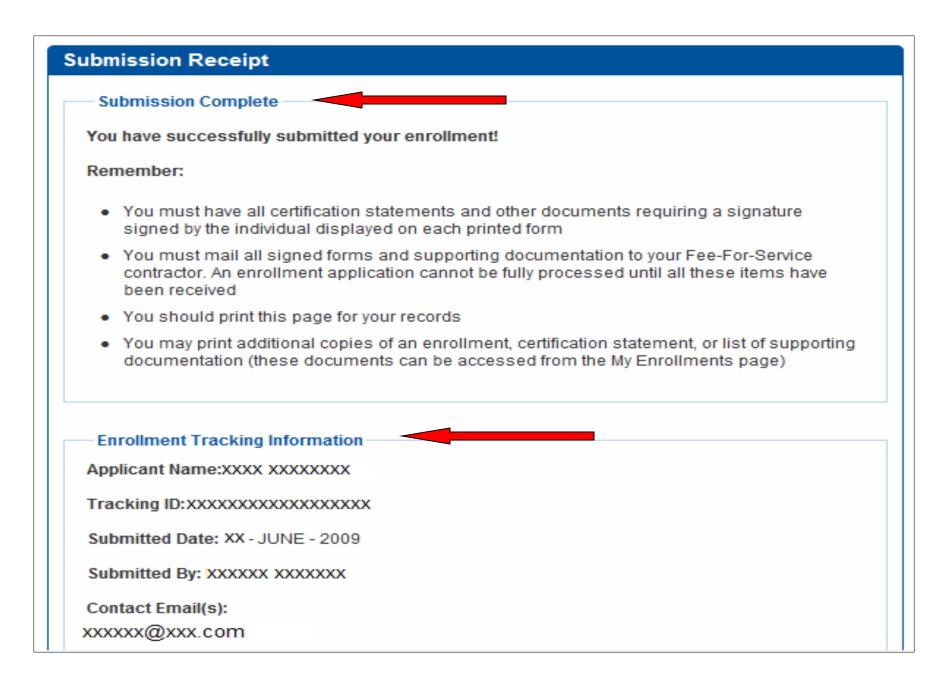
- Copy of National Provider Identifier (NPI) notification that you received from the National Plan Provider Enumeration System (NPPES).
- Written confirmation from the IRS confirming your Tax Identification Number with the Legal Business Name (e.g., CP 575). (Note: This information is needed if the applicant is enrolling a professional corporation, professional association, or limited liability company with this application, or enrolling as a sole proprietor using an Employer Identification Number.)
- Copy(s) of all Federal, State, and/or local (city/county) business licenses, certifications and/or registrations specifically required to operate as a health care facility.
- 4. Completed Form CMS 588—Authorization Agreement of Electronic Funds Transfer. Note if a supplier already receives payments electronically and is not making a change to bic/ber





<u>Home</u> > <u>My Enrollments</u> > <u>Initial Enrollment</u> > Submission Process

Submission Process Help Fee-for-Service Submit Electronically Contractor You are now ready to submit this Medicare Application for processing. Please review the summary below to ensure this is the application and reason you wish to submit. Upon submission, the enrollment information is sent to a fee-for-service contractor for processing. + Tracking ID Any corrections to this application must be coordinated through the Medicare contractor. Applicant Name: John Doe Tracking ID: 111222333444555 Reason(s) for submission: A Medicare Part B practitioner is enrolling in the Medicare program for the first time to bill for Part B services. A reassignment of benefits may exist. PREVIOUS PAGE SUBMIT D



Enrollment Tracking Information

Applicant Name: XXXXX XXXXXXX

Submitted Date: XX - JUNE - 2009

Submitted By: XXXXXX XXXXXX

Contact Email(s):

xxxxxxx@xxxxxx.com

Reason(s) for submission:

 A Medicare Part B practitioner is enrolling in the Medicare program for the first time to bill for Part B services. A reassignment of benefits may exist.

Medicare Contractor(s)

Medicare Contractor(s): The identified contractors are responsible for processing electronically submitted and mailed materials for this enrollment application. If you have more than one contractor, you will need to submit all certification statements and supporting documentation to each contractor.

NATIONAL GOVERNMENT SERVICES P.O. BOX 4792 SYRACUSE NY 13221-4792

Final Step

 Print, sign and date the two-page Certification Statement and mail it along with all requested supporting documentation to the Medicare contractor

Note: Do <u>not</u> mail the CMS-855 paper that can be printed from Internet-based PECOS.

Retain this information for your records.