

## **Medicare Enrollment Guidance for Physicians that Infrequently Receive Reimbursement from the Medicare Program**

Traditionally, most physicians have enrolled in the Medicare program to furnish covered services to Medicare beneficiaries. However, with the implementation of Section 6405 of the Affordable Care Act, some physicians will need to enroll in the Medicare program for the sole purpose of certifying or ordering services for Medicare beneficiaries. These physicians do not send claims to a Medicare contractor for the services they furnish.

In the process of implementing the provisions contained in the Affordable Care Act, we have become aware of several unique enrollment issues for certain types of physicians or practitioners. Specifically, we have modified the process of enrollment to accommodate the special circumstances of the following individual physicians and practitioners:

- Physicians employed by the Department of Veterans Affairs
- Physicians employed by the Public Health Service
- Physicians employed by the Department of Defense Tricare program
- Physicians employed by Federally Qualified Health Centers (FQHCs), Rural Health Clinics (RHCs) or Critical Access Hospitals (CAHs)
- Physicians in a Fellowship
- Dentists, including oral surgeons

This document provides guidance to dentists, physicians working at the Veterans Administration, Department of Defense, or the Public Health Service, physicians in a fellowship, and physicians working at an RHC, FQHC or CAH.

### **How can I verify whether I am already enrolled in PECOS?**

If a physician is concerned or uncertain about whether s/he is actually enrolled in the Provider Enrollment, Chain and Ownership System (PECOS), s/he can review the Ordering and Referring file found in the download section of the “OrderingReferringReport” tab (see link below) on the Medicare Provider and Supplier Web Site.

[http://www.cms.gov/MedicareProviderSupEnroll/06\\_MedicareOrderingandReferring.asp#TopOfPage](http://www.cms.gov/MedicareProviderSupEnroll/06_MedicareOrderingandReferring.asp#TopOfPage).

Providers and suppliers can check with the ordering or referring physician to see if the physician is currently seeing Medicare patients and the physician’s claims are being paid. Until we advise otherwise, we will not implement changes that would automatically reject claims based on orders and referrals made by providers or suppliers that lack an approved enrollment record in PECOS.

**I am a physician employed by the Department of Veterans Affairs, Department of Defense Tricare program, by the Public Health Service, an FQHC, an RHC, or a CAH. Do I need to enroll in PECOS to order and refer items or services for Medicare beneficiaries?**

Yes, but we have abbreviated the enrollment process and documents for physicians employed by the Department of Veterans Affairs, the Public Health Service, the Department of Defense Tricare program, an FQHC, an RHC, or a CAH. However, because this is a unique solution to enrollment for a specific set of physicians, our systems will not accommodate the abbreviated forms on-line. Therefore, any physician employed by the Department of Veterans Affairs, the Public Health Service, the Department of Defense Tricare program, an FQHC, an RHC, or a CAH, who is not already enrolled in PECOS, must use the **paper enrollment** application process and do the following:

- Complete the following sections of the paper CMS-855I, “Medicare Enrollment Application for Physicians and Non-Physician Practitioners;”

Section 1 – Basic Information (they would be a new enrollee)

Section 2 – Identifying Information (section 2A, 2B, 2D and if appropriate 2H and 2K)

Section 3 – Final Adverse Actions/Convictions

Section 13 – Contact Person

Section 15 - Certification Statement (must be signed and dated—blue ink recommended)

- Include a cover letter with the application form stating the physician is enrolling for the sole purpose of ordering and referring items or services for a Medicare beneficiary to other provider and suppliers and cannot be reimbursed for services performed, and
- Mail the completed form to the designated Medicare enrollment contractor

**(Note:** Physicians who are employed by the Department of Veterans Affairs, the Public Health Service, the Department of Defense Tricare program, an RHC, FQHC, or CAH are **not required** to include the Electronic Funds Authorization Agreement (CMS-588) or the Medicare Physician and Supplier Agreement (CMS-460) with the enrollment form.)

We have developed specific instructional materials for physicians employed by an FQHC, RHC, or CAH. This material can be found at:

<http://www.cms.gov/Transmittals/2010Trans/itemdetail.asp?filterType=dual,%20keyword&filterValue=6696&filterByDID=0&sortByDID=2&sortOrder=descending&itemID=CMS1234056&intNumPerPage=10>

### **I am a physician in a fellowship program. Do I need to enroll in PECOS?**

If you are a physician in a fellowship, and licensed in the State, you can enroll in Medicare for the sole purpose of ordering or referring items or services for Medicare beneficiaries. To enroll as a “referring and ordering physician-only” you would need to complete the abbreviated enrollment application form in the same way as other physicians (VA, DoD, PHS, FQHC, RHC CAH) who are enrolling to order and refer only. If you elect to enroll to order and refer only, you would not be enrolled in Medicare for the purpose of providing Medicare services to Medicare

beneficiaries. In order to provide covered services to Medicare beneficiaries, a physician would need to complete the full enrollment application either on-line or in hard copy.

We have developed specific instructional materials for residents and physicians on a fellowship. This material can be found at:

<http://www.cms.gov/Transmittals/2010Trans/itemdetail.asp?filterType=dual,%20keyword&filterValue=6696&filterByDID=0&sortByDID=2&sortOrder=descending&itemID=CMS1234056&intNumPerPage=10>

## **I am an Oral Surgeon or Dentist – How do I Enroll in PECOS?**

Dentists, including oral surgeons, must enroll in the Medicare program to receive reimbursement for services furnished to Medicare beneficiaries or to order covered items or services for Medicare beneficiaries. Oral surgeons would complete the same paper forms, or on-line application, as any other practitioner enrolling in PECOS.

If you elect to enroll as a “referring and ordering physician-only”, you would need to complete the abbreviated enrollment application form in the same way as other physicians (VA, DoD, PHS, FQHC, RHC CAH) who are enrolling to order and refer only. If you elect to enroll to order and refer only, you would not be enrolled in Medicare for the purpose of providing Medicare services to Medicare beneficiaries.

In order to provide covered services to Medicare beneficiaries, a dentist, including oral surgeons, would need to complete the full enrollment application either on-line or in hard copy.

Note: In completing the enrollment application portion dealing with specialty, oral surgeons would check the “oral surgery (dentist only)” box found in section 2 of the Medicare enrollment application and any other dentist would check the box titled, “Undefined Physician Type” and specify that they are a dentist in the space provided. In the near future, we will revise the Medicare enrollment application to add “Dentist” as a physician specialty.

## **Internet-based PECOS**

Physicians and practitioners who are employed by the Department of Veterans Affairs, the Defense Department, the Public Health Service, an RHC, FQHC, or CAH must complete the paper enrollment application that has been modified and shortened to accommodate the special situation of these professionals. All other physicians and practitioners who furnish services to Medicare beneficiaries must enroll in the Medicare program to receive reimbursement and order/refer in the Medicare program.. For those physicians and practitioners using the on-line process, we have developed a document that will help you through the PECOS enrollment process. It will be easier to complete the process if you review this document before you begin the enrollment process.

- The document titled, “*Internet-based PECOS -- Getting Started Guide for Physicians and Non-Physician Practitioners*” can be found at:

<http://www.cms.hhs.gov/MedicareProviderSupEnroll/downloads/GettingStarted.pdf> . It will help you navigate the electronic enrollment application.

- Although you are permitted to complete your enrollment application in hard copy, it will be easier and quicker if you use the Internet-based Provider Enrollment, Chain and Ownership System (PECOS) to complete the Medicare enrollment process. The Internet-based PECOS application is completed via the web at <https://pecos.cms.hhs.gov/pecos/login.do>. Again, it will be easier to complete the application if you have first reviewed the “*Internet-based PECOS -- Getting Started Guide for Physicians and Non-Physician Practitioners.*”

After submitting an enrollment application via Internet-based PECOS, you must:

- Print, sign and date (blue ink recommend) the Certification Statement(s), and
- Mail the Certification Statement(s) and applicable supporting documentation to the designated Medicare contractor.

The Medicare contractor will not be able to begin to process your enrollment application until it receives a signed and dated Certification Statement.

### **Additional Medicare Enrollment Information**

To ask a provider enrollment question, contact the Medicare contractor for your State. Medicare provider enrollment contact information for each State can be found at:

[http://www.cms.gov/MedicareProviderSupEnroll/downloads/contact\\_list.pdf](http://www.cms.gov/MedicareProviderSupEnroll/downloads/contact_list.pdf)

To report Internet-based PECOS navigation, access, or printing problem with Internet-based PECOS, contact the EUS Help Desk at 1-866-484-8049 or send an e-mail to the EUS Help Desk to [EUSupport@cgi.com](mailto:EUSupport@cgi.com).

For additional information regarding the Medicare enrollment process, visit [www.cms.gov/MedicareProviderSupEnroll](http://www.cms.gov/MedicareProviderSupEnroll). Of course, if you have any additional questions about the Medicare enrollment process, you can contact the designated Medicare contractor for your state.