

DEPARTMENT OF HEALTH & HUMAN SERVICES  
Centers for Medicare & Medicaid Services  
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Baltimore, Maryland 21244-1850



Center for Medicaid and State Operations/Survey and Certification Group

Ref: S&C-07-08

**DATE:** December 21, 2006

**TO:** State Survey Agency Directors

**FROM:** Director  
Survey and Certification Group

**SUBJECT:** Forms CMS-1856 and 1893E for Occupational Therapy Services

#### Memorandum Summary

- Form CMS-1856 has changes in Sections II and VII.
- Form CMS-1856 will no longer be printed.
- Form HCFA-1893E is obsolete.

The purpose of this letter is to provide guidance to Regional Office (RO) and State Agency (SA) personnel regarding the use of Form CMS-1856.

#### **Background**

Over the last several years, data contained in Form HCFA-1893E, Outpatient Physical Therapy Survey Report Crucial Data Extract, was deleted by the Centers for Medicare & Medicaid Services (CMS) as it was no longer considered pertinent to the survey process. However, one section on that form that sought to capture information related to occupational therapy services continued to be used.

We determined that the information related to occupational therapy services could be captured on the Form CMS-1856 and that Form CMS-1893E could be terminated.

#### **Discussion**

Form CMS-1856 has been updated in two sections. Section II (Services Provided) now contains box number 3 for Occupational Therapy and box number 4 to indicate all services are provided. Section VII has been added to include Occupational Therapy services.

Effective January 1, 2007, Form CMS-1893E will become obsolete.

Form CMS-1856 will no longer be printed. It will be available on the CMS Forms website as well as in ASPEN effective January 1, 2007. **Please note:** if Section II, Box 3, is the only service checked, this applicant does not meet the definition of a provider of outpatient physical therapy and speech-language pathology services (OPT). In order to be Medicare certified as an OPT, the provider **must** provide physical therapy and/or speech-language pathology services. It **may** provide occupational therapy services in addition to either physical therapy or speech-language pathology services.

For questions on this memo, please contact Georgia Johnson at (410) 786-6859 or e-mail at [georgia.johnson@cms.hhs.gov](mailto:georgia.johnson@cms.hhs.gov).

**Effective Date:** January 1, 2007. Please ensure that all appropriate staff are fully informed within 30 days of the date of this memorandum.

**Training:** The information contained in this announcement should be shared with all survey and certification staff, their managers, the state/RO training coordinators.

/s/

Thomas E. Hamilton

cc: Survey and Certification Regional Office Management (G-5)