



Center for Clinical Standards and Quality/Survey & Certification Group

Ref: S&C: 16-09-ALL

DATE: March 11, 2016

TO: State Survey Agency Directors

FROM: Director
Survey and Certification Group

SUBJECT: Certification Number (CCN) State Codes –State Operations Manual (SOM)
Section 2779A Revisions

Memorandum Summary

- **SOM Section 2779A:** The Centers for Medicare & Medicaid Services (CMS) has made revisions to this section in the SOM which provides guidance regarding the numbering system for CCNs for Medicare-participating providers and suppliers. The revision, specifically in Section 2779A1 for Medicare providers reflects the addition of new State Codes.
- **Additional State Codes:** Due to a lack of available CCNs for some providers wishing to enroll or modify their current certification in Medicare, additional State codes are being added to the Automated Survey Processing Environment (ASPEN), the Accrediting Organization System for Storing User Recorded Experiences (ASSURE), as well as Medicare payment processing systems, effective April 4, 2016.

Background

The CCN is used to identify each separately certified Medicare provider or supplier. It is used to track provider agreements and cost reports. The national provider identifier (NPI) and provider transaction account number (PTAN) are tied to the CCN. The CCN for providers and suppliers paid under Medicare Part A have six digits. The first two digits identify the State in which the provider is located. The last four digits identify the type of facility.

CMS has nearly exhausted all available CCNs for certain facility types in some States. Once all CCN ranges available for a facility type are exhausted for the State, new assignments start over using the next State code assigned. If an additional State code has not been previously assigned, CMS must process a request for a new State code.

New State Codes

State codes are programmed into all CMS data systems that use the CCN for tracking, and the data requirements are extensive. Currently, systems are being updated to accept 17 new State codes effective April 4, 2016.

The 17 States being assigned new State Codes are:

- Arkansas
- California
- Colorado
- Connecticut
- Georgia
- Louisiana
- Massachusetts
- New Jersey
- New Mexico
- North Carolina
- Oklahoma
- Oregon
- Puerto Rico
- South Carolina
- Tennessee
- Texas
- Washington

If all CCNs for any provider or supplier are exhausted, new CCNs cannot be assigned for that specific provider or supplier type until a new State Code has been assigned, and all information systems updated to accept the new CCNs. New providers or suppliers are unable to bill for services without a functional CCN.

The CMS SOM Sections 2779A and 2779A1 are being revised to reflect the new State Codes. Surveyor tracking systems (ASPEN and ASSURE) as well as Medicare payment processing systems are being updated simultaneously in order to accept the new State Codes.

Additionally, four additional States will be assigned new State Codes in October 2016. A CMS S&C Policy Memorandum will announce those assignments prior to the implementation date.

Contact: Questions regarding the content of this memo should be sent to hospitalscg@cms.hhs.gov.

Effective Date: April 4, 2016. This policy should be communicated with all survey and certification staff, their managers and the State/Regional Office training coordinators within 30 days of this memorandum.

/s/

Thomas E. Hamilton

Attachment - CCN State Codes – SOM Section 2779A Revisions

cc: Survey and Certification Regional Office Management

CMS Manual System
Pub. 100-07 State Operations
Provider Certification

**Department of Health &
Human Services (DHHS)**
**Centers for Medicare &
Medicaid Services (CMS)**

Transmittal**Date:**

SUBJECT: Revisions to the State Operations Manual (SOM) Chapter 2.

I. SUMMARY OF CHANGES: This transmittal includes revisions to SOM Chapter 2, Sections 2779A and 2779A1. The revisions reflect the addition of new CMS Certification Numbers (CCN) State Codes. Simultaneous changes are being made to the CMS electronic payment systems.

NEW/REVISED MATERIAL - EFFECTIVE DATE*: April 4, 2016
IMPLEMENTATION DATE: April 4, 2016

Disclaimer for manual changes only: The revision date and transmittal number apply to the red italicized material only. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.

II. CHANGES IN MANUAL INSTRUCTIONS: (N/A if manual not updated.)
(R = REVISED, N = NEW, D = DELETED) – (Only One Per Row.)

| R/N/D | CHAPTER/SECTION/SUBSECTION/TITLE |
|--------------|---|
| R | 2/2779A/Numbering System for CMS Certification Numbers (CCN) |
| R | 2/2779A1/CCN for Medicare Providers |
| | |

III. FUNDING: No additional funding will be provided by CMS; contractor activities are to be carried out within their FY 2016 operating budgets.

IV. ATTACHMENTS:

| | |
|----------|--------------------------------------|
| | Business Requirements |
| X | Manual Instruction |
| | Confidential Requirements |
| | One-Time Notification |
| | Recurring Update Notification |

***Unless otherwise specified, the effective date is the date of service.**

2779A - Numbering System for CMS Certification Numbers (CCN) **(Rev.)**

Effective in 2007, the CCN replaced the term Medicare Provider Number, Medicare Identification Number or OSCAR Number. The CCN is used to verify Medicare/Medicaid certification for survey and certification, assessment-related activities and communications. Additionally, CMS data systems use the CCN to identify each individual provider or supplier that has or currently does participate in Medicare and/or Medicaid. The RO, not the SA or MAC, assigns the CCN and maintains adequate controls.

2779A1 –CCN for Medicare Providers **(Rev.)**

The CCN for providers and suppliers paid under *Medicare* Part A have 6 digits. The first 2 digits identify the State in which the provider is located. The last 4 digits identify the type of facility.

Following is a list of all State Codes:

| | | | |
|----------------------|----------------|----------------|----------------|
| Alabama | 01 | New Hampshire | 30 |
| Alaska | 02 | New Jersey | 31, 83 |
| Arizona | 03 | New Mexico | 32, 96 |
| Arkansas | 04, 89 | New York | 33 |
| California | 05, 55, 75, 92 | North Carolina | 34, 86 |
| Colorado | 06, 91 | North Dakota | 35 |
| Connecticut | 07, 81 | Ohio | 36, 72 |
| Delaware | 08 | Oklahoma | 37, 90 |
| District of Columbia | 09 | Oregon | 38, 93 |
| Florida | 10, 68, 69 | Pennsylvania | 39, 73 |
| Georgia | 11, 85 | Puerto Rico | 40, 84 |
| Hawaii | 12 | Rhode Island | 41 |
| Idaho | 13 | South Carolina | 42, 87 |
| Illinois | 14, 78 | South Dakota | 43 |
| Indiana | 15 | Tennessee | 44, 88 |
| Iowa | 16, 76 | Texas | 45, 67, 74, 97 |
| Kansas | 17, 70 | Utah | 46 |
| Kentucky | 18 | Vermont | 47 |
| Louisiana | 19, 71, 95 | Virgin Islands | 48 |
| Maine | 20 | Virginia | 49 |
| Maryland | 21, 80 | Washington | 50, 94 |
| Massachusetts | 22, 82 | West Virginia | 51 |
| Michigan | 23 | Wisconsin | 52 |
| Minnesota | 24, 77 | Wyoming | 53 |
| Mississippi | 25 | Canada | 56 |
| Missouri | 26, 79 | Mexico | 59 |
| Montana | 27 | American Samoa | 64 |

| | | | |
|----------|----|--|----|
| Nebraska | 28 | Guam | 65 |
| Nevada | 29 | Commonwealth of the Northern Marianas Islands | 66 |
| | | Foreign Countries | 99 |
| | | (exceptions: Canada and Mexico) | |

New State codes must only be used when all of the existing assigned numbers have been assigned within each of the CCN ranges available for a facility type.

Assign the last 4 digits, *which identify the facility type*, sequentially from within the appropriate CCN range. *In a State that has more than one State code and/or a facility type that has more than one CCN range available, ensure that all the numbers available in one CCN range have been assigned before implementing the use of a new State code or numbers in a new CCN range.*

For example: You are assigning a CCN to a new Community Mental Health Center (CMHC) in Florida. Florida has three State Codes: 10, 68 and 69 and three number ranges for CMHCs: 1400-1499, 4600-4799, and 4900-4999. You have been using State Code 68 and the CCN range 4900-4999 for CMHC in Florida, and you last assigned 4999 in that State to a CMHC. Given this information, consider the following scenarios:

- Scenario 1. Review the CCN records for Florida to determine if all the numbers in CCN range 4600-4799 were assigned. It is determined that all those numbers were previously assigned in Florida. Therefore, look to CMHC CCN range 1400-1499 as those numbers have not been assigned in Florida; assign 681400 as the CCN for the new CMHC that is being processed.*
- Scenario 2. Review the CCN records for Florida to determine if all the numbers in CCN range 4600-4799 were previously assigned in Florida. It is determined that some, but not all, of the numbers in that CCN range were assigned. The last number in that range that was assigned was 4701. Assign 684702 as the CCN for the new CMHC that is being processed.*
- Scenario 3. Review the CCN records for Florida to determine if all the numbers in CCN range 4600-4799 were previously assigned in Florida. It is determined that all the numbers in that range were previously assigned in Florida. It is then determined after a review of the CCN records that all of the numbers in CCN range 1400-1499 were previously assigned in Florida. Look to see if all three CMHC CCN ranges for State Code 10 have been assigned. It is determined that all three CCN ranges have been previously assigned. Then look to State Code 69. You find that no CCN for CMHC in Florida has been assigned under State Code 69. The new CCN that will be assigned to the new Florida CMHC will be 691400 because it is the first CCN range under State Code 69 listed for CMHCs. However, if it is determined that one of the CMHC CCN ranges under State Code 69 has been previously assigned, begin with the next available number in that CCN range.*

NOTE: Once the remaining pool of two digit State numeric codes are exhausted, CMS will implement a two digit alpha-numeric code system for State Codes. For example: A0, A1, A2, ..., B0, B1, B2, ..., Z8, and finally Z9). This numbering system will provide a pool of 260 new State Codes for future use.

Use the following *CCN ranges* for the *facility* types indicated:

| | |
|-----------|---|
| 0001-0879 | Short-term (General and Specialty) Hospitals |
| 0880-0899 | Reserved for hospitals participating in ORD demonstration project |
| 0900-0999 | Multiple Hospital Component in a Medical Complex (Numbers Retired) |
| 1000-1199 | Federally Qualified Health Centers (<i>also CCN range 1800-1989</i>) |
| 1200-1224 | Alcohol/Drug Hospitals (Numbers Retired) |
| 1225-1299 | Medical Assistance Facilities |
| 1300-1399 | Critical Access Hospitals |
| 1400-1499 | Continuation of Community Mental Health Centers (<i>also CCN ranges 4600-4799 and 4900-4999</i>) |
| 1500-1799 | Hospices |
| 1800-1989 | Federally Qualified Health Centers (<i>also CCN range 1000-1199</i>) |
| 1990-1999 | Religious Non-medical Health Care Institutions (formerly Christian Science Sanatoria (Hospital Services)) |
| 2000-2299 | Long-Term <i>Care</i> Hospitals (Excluded from <i>IPPS</i>) |
| 2300-2499 | Hospital- <i>b</i> ased Renal Dialysis Facilities |
| 2500-2899 | Independent Renal Dialysis Facilities |
| 2900-2999 | Independent Special Purpose Renal Dialysis Facility <u>1/</u> |
| 3000-3024 | Formerly Tuberculosis Hospitals (Numbers Retired) |
| 3025-3099 | Rehabilitation Hospitals (Excluded from <i>IPPS</i>) |
| 3100-3199 | Home Health Agencies (<i>also CCN ranges 7000-8499 and 9000-9799</i>) |
| 3200-3299 | Continuation of Comprehensive Outpatient Rehabilitation Facilities (<i>also CCN ranges 4500-4599 and 4800-4899</i>) |
| 3300-3399 | Children's Hospitals (Excluded from <i>IPPS</i>) |
| 3400-3499 | Continuation of Rural Health Clinics (Provider-based) (<i>also CCN ranges 3975-3999 and 8500-8899</i>) |
| 3500-3699 | Hospital- <i>b</i> ased Satellite Renal Dialysis Facilities |
| 3700-3799 | Hospital- <i>b</i> ased Special Purpose Renal Dialysis Facility <u>1/</u> |
| 3800-3974 | Rural Health Clinics (Free- <i>s</i> tanding) (<i>also CCN range 8900-8999</i>) |
| 3975-3999 | Rural Health Clinics (Provider- <i>b</i> ased) (<i>also CCN ranges 3400-3499 and 8500-8899</i>) |

- 4000-4499 Psychiatric Hospitals (Excluded from *IPPS*)
- 4500-4599 Comprehensive Outpatient Rehabilitation Facilities (*also CCN ranges 3200-3299 and 4800-4899*)
- 4600-4799 Community Mental Health Centers (*also CCN ranges 1400-1499 and 4900-4999*)
- 4800-4899 Continuation of Comprehensive Outpatient Rehabilitation Facilities (*also CCN ranges 3200-3299 and 4500-4599*)
- 4900-4999 Continuation of Community Mental Health Centers (*also CCN ranges 1400-1499 and 4600-4799*)
- 5000-6499 Skilled Nursing Facilities
- 6500-6989 Outpatient Physical Therapy Services
- 6990-6999 Numbers Reserved (formerly Christian Science Sanatoria (Skilled Nursing Services))
- 7000-8499 Continuation of Home Health Agencies (*also CCN ranges 3100-3199 and 9000-9799*)
- 8500-8899 Continuation of Rural Health Clinics (Provider-based) (*also CCN ranges 3400-3499 and 3975-3999*)
- 8900-8999 Continuation of Rural Health Clinics (Free-standing) (*also CCN range 3800-3974*)
- 9000-9799 Continuation of Home Health Agencies (*also CCN ranges 3100-3199 and 7000-8499*)
- 9800-9899 Transplant Centers
- 9900-9999 Reserved for Future Use
- 1/ *Special Purpose Renal Dialysis Facilities (SPRDFs – these facilities will be assigned the same CCN whenever they are recertified)*

NOTE: Religious Nonmedical Health Care Institutions (RNHCI) are not certified by SAs. The *CCN* for RNHCIs are assigned by the Boston *CMS* RO.

EXCEPTION - Organ procurement organizations (OPOs) are assigned a 6-digit alphanumeric *CCN*. The first 2 digits identify the State *Code*. The third digit is the alpha character “P.” The remaining 3 digits are the unique facility identifier.