

Appendix I

Patient ID

Minimum Required XML Detail Level Patient Identifiers

Effective with 01/01/05 Discharges

Payment Source (PMTSRCE) is required

Note: This document refers to elements at the XML Detail Level, and does not pertain to the XML Case Level Patient ID and ID Type that uniquely identifies the record. It refers to the MINIMUM identifiers. All identifiers CAN be included if desired.

For discharges 01/01/05 and after, the following rules apply:

If Payment Source (PMTSRCE) is Medicare, then **one** of the following is required:

- 1) Patient HIC # (PTHIC) – Not 9's
- 2) Patient Social Security Number (SOCSEC#) – Not 9's

If Payment Source (PMTSRCE) is **not** Medicare, then **one** of the following is required:

- 1) Patient Social Security Number (SOCSEC#) – Not 9's
- 2) Other Patient Case Identifier (OTPTIDENT) – Not 9's

NOTE: All JCAHO accredited facilities are required to submit a valid Case Identifier (CID) in addition to the above requirements.

| Definition of Valid Format for Patient Identifiers | | | | | | | | | | | | | | | |
|--|--|---------------------|-------------|---|---------------------|---|---------------------|---|---------------------|----|---------------------|----|---------------------|----|---------------------|
| Valid Patient HIC# (Health Insurance Claim number/ Medicare number) | <p>General Rules</p> <ul style="list-style-type: none"> • No embedded dashes or spaces • Must be alphanumeric - exception to rule – if “unknown” then twelve 9’s is acceptable • Alpha characters must be upper case • For alphanumeric values, do not allow all numeric values to be 9’s (except for an “unknown” value). For example do not allow 1 alpha + 999999999, etc. <p>If First Character is Numeric Suffix rules:</p> <ul style="list-style-type: none"> • If length is 10, 11 or 12 AND first character is numeric (0-9), then the first 9 characters must be numeric. • If the length of the HIC# is 11 then the possible combinations are: 9 numeric + 1 alpha + 1 numeric 9 numeric + 2 alpha • Alpha suffixes must be A-F, H, J, K, M, T or W. • If alpha suffix is H, then it must be followed by A, B or C in position eleven (e.g. 123456789HA, 123456789HB or 123456789HC). <p>If First Character is Alpha Prefix rules:</p> <ul style="list-style-type: none"> • If the first character is alpha, there must be 1-3 alpha characters followed by 6 or 9 numbers. For example: <table style="margin-left: 40px; border: none;"> <thead> <tr> <th style="text-align: left;">HIC # length</th> <th style="text-align: left;">Rule</th> </tr> </thead> <tbody> <tr> <td>7</td> <td>1 alpha + 6 numeric</td> </tr> <tr> <td>8</td> <td>2 alpha + 6 numeric</td> </tr> <tr> <td>9</td> <td>3 alpha + 6 numeric</td> </tr> <tr> <td>10</td> <td>1 alpha + 9 numeric</td> </tr> <tr> <td>11</td> <td>2 alpha + 9 numeric</td> </tr> <tr> <td>12</td> <td>3 alpha + 9 numeric</td> </tr> </tbody> </table> <ul style="list-style-type: none"> • For prefixes H, MH, WH, WCH, PH and JA, only a six numeric field is permissible. | HIC # length | Rule | 7 | 1 alpha + 6 numeric | 8 | 2 alpha + 6 numeric | 9 | 3 alpha + 6 numeric | 10 | 1 alpha + 9 numeric | 11 | 2 alpha + 9 numeric | 12 | 3 alpha + 9 numeric |
| HIC # length | Rule | | | | | | | | | | | | | | |
| 7 | 1 alpha + 6 numeric | | | | | | | | | | | | | | |
| 8 | 2 alpha + 6 numeric | | | | | | | | | | | | | | |
| 9 | 3 alpha + 6 numeric | | | | | | | | | | | | | | |
| 10 | 1 alpha + 9 numeric | | | | | | | | | | | | | | |
| 11 | 2 alpha + 9 numeric | | | | | | | | | | | | | | |
| 12 | 3 alpha + 9 numeric | | | | | | | | | | | | | | |
| Patient Social Security Number | <ul style="list-style-type: none"> • Must be in valid format; 9 numbers without hyphens | | | | | | | | | | | | | | |
| Other Patient Case Identifier | <ul style="list-style-type: none"> • Length can be up to 20 alphanumeric characters | | | | | | | | | | | | | | |
| Case Identifier | <ul style="list-style-type: none"> • Length can be up to 9 numeric characters | | | | | | | | | | | | | | |
| Unique Record Key | <ul style="list-style-type: none"> • What fields make a record unique? Provider ID, Patient ID, Patient ID Type, Admit Date and Topic | | | | | | | | | | | | | | |