

OASIS Data Submission Specifications Errata Version 2.12.0

Errata History

- 03/05/2015 Issues 01-07 were added. Note that issues 03-05 are the same as issues A53-A55 from the OASIS Data Submissions Specifications Errata for Versions 2.10 and 2.11 from October 31, 2014. The resolutions to these issues were inadvertently excluded from the Version 2.12 specifications.
- 05/21/2015 Issues 08 and 09 were added.
- 08/31/2015 Issues 10 and 11 were added.
- 10/22/2015 Issue 12 was added.
- 11/06/2015 Issue 01 has been rescinded and Issue 13 was added.
- 11/19/2015 Issue 10 has been rescinded and Issue 14 was added.

Issue ID	Problem	Resolution	Status
01	<p>Critical Issue</p> <p>SPEC_VRSN_CD is assigned a Fatal error when checking valid values. The specification overview states this should be a Warning error.</p> <p>NOTE: This issue has been rescinded. SPEC_VRSN_CD will not be associated with edit -5360 and will remain associated with edit -3060. An additional consistency edit will be added for SPEC_VRSN_CD. See Issue 13 for additional information.</p>	<p>The following edit will be added: Edit -5360 Version Code Values: The version code submitted should match one of the values listed in the "Item Values" table of the Detailed Data Specifications Report.</p> <p>This will be a Warning, Format edit. This edit will be implemented retroactively and will apply to all records, regardless of target date, that are submitted after the ASAP system downtime that is scheduled for 09/20/2015. The item SPEC_VRSN_CD will be removed from edit -3060.</p>	<p>This change will be made in the next version of the OASIS Data Submission Specs.</p>

Issue ID	Problem	Resolution	Status
02	<p>Critical Issue</p> <p>ITM_SET_VRSN_CD is assigned a Fatal error when checking valid values. The specification overview states this should be a Warning error.</p>	<p>The following edit will be added: Edit -5360 Version Code Values: The version code submitted should match one of the values listed in the "Item Values" table of the Detailed Data Specifications Report.</p> <p>This will be a Warning, Format edit. This edit will be implemented retroactively and will apply to all records, regardless of target date, that are submitted after the ASAP system downtime that is scheduled for 09/20/2015. The item ITM_SET_VRSN_CD will be removed from edit -3060.</p>	<p>This change will be made in the next version of the OASIS Data Submission Specs.</p>
03	<p>Edit -3260 currently says the following: DATE ITEM CONSISTENCY: PATTERN 1 Each active item in the list below that contains a valid date (not blank) must be in the specified order.</p> <p>If M0032_ROC_DT is equal to [^], then the following rules apply: M0066_PAT_BIRTH_DT < M1005_INP_DISCHARGE_DT <= M0102_PHYSN_ORDRD_SOCROC_DT <= M0104_PHYSN_RFRL_DT <= M0030_START_CARE_DT <= M1307_OLDST_STG2_ONST_DT <= M0903_LAST_HOME_VISIT <= M0906_DC_TRAN_DTH_DT <= M0090_INFO_COMPLETED_DT <= Current date</p> <p>Item M0104_PHYSN_RFRL_DT should not be included in this edit because the physician referral date can precede the inpatient discharge date (M1005_INP_DISCHARGE_DT). Item M0104_PHYSN_RFRL_DT will therefore be removed from this edit.</p>	<p>Edit -3260 will be revised to say the following: DATE ITEM CONSISTENCY: PATTERN 1 Each active item in the list below that contains a valid date (not blank) must be in the specified order.</p> <p>If M0032_ROC_DT is equal to [^], then the following rules apply: M0066_PAT_BIRTH_DT < M1005_INP_DISCHARGE_DT <= M0102_PHYSN_ORDRD_SOCROC_DT <= M0030_START_CARE_DT <= M1307_OLDST_STG2_ONST_DT <= M0903_LAST_HOME_VISIT <= M0906_DC_TRAN_DTH_DT <= M0090_INFO_COMPLETED_DT <= Current date</p>	<p>This change will be made in the next version of the OASIS Data Submission Specs.</p>

Issue ID	Problem	Resolution	Status
04	<p>Edit -3270 currently says the following: DATE ITEM CONSISTENCY: PATTERN 2 Each active item in the list below that contains a valid date (not blank) must be in the specified order.</p> <p>If M0032_ROC_DT is NOT equal to [^], then the following rules apply: M0066_PAT_BIRTH_DT < M0030_START_CARE_DT <= M1005_INP_DISCHARGE_DT <= M0102_PHYSN_ORDRD_SOCROC_DT <= M0104_PHYSN_RFRL_DT <= M0032_ROC_DT <= M1307_OLDST_STG2_ONST_DT <= M0903_LAST_HOME_VISIT <= M0906_DC_TRAN_DTH_DT <= M0090_INFO_COMPLETED_DT <= Current date</p> <p>Item M0104_PHYSN_RFRL_DT should not be included in this edit because the physician referral date can precede the inpatient discharge date (M1005_INP_DISCHARGE_DT). Item M0104_PHYSN_RFRL_DT will therefore be removed from this edit.</p>	<p>Edit -3270 will be revised to say the following: DATE ITEM CONSISTENCY: PATTERN 2 Each active item in the list below that contains a valid date (not blank) must be in the specified order.</p> <p>If M0032_ROC_DT is NOT equal to [^], then the following rules apply: M0066_PAT_BIRTH_DT < M0030_START_CARE_DT <= M1005_INP_DISCHARGE_DT <= M0102_PHYSN_ORDRD_SOCROC_DT <= M0032_ROC_DT <= M1307_OLDST_STG2_ONST_DT <= M0903_LAST_HOME_VISIT <= M0906_DC_TRAN_DTH_DT <= M0090_INFO_COMPLETED_DT <= Current date</p>	<p>This change will be made in the next version of the OASIS Data Submission Specs.</p>

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05	<p>Because item M0104_PHYSN_RFRL_DT is being removed from Edits -3260 and -3270, new edits are needed to control its value.</p>	<p>The following new edits will be added:</p> <p>Edit -5340 If M0104_PHYSN_RFRL_DT is not equal to [^] and if M0100_ASSMT_REASON=[01], then M0104_PHYSN_RFRL_DT must be less than or equal to M0030_START_CARE_DT and must be greater than M0066_PAT_BIRTH_DT.</p> <p>Edit -5350 If M0104_PHYSN_RFRL_DT is not equal to [^] and if M0100_ASSMT_REASON=[03], then M0104_PHYSN_RFRL_DT must be less than or equal to M0032_ROC_DT and must be greater than M0066_PAT_BIRTH_DT.</p> <p>Both of the new edits will have the following properties: Severity: fatal Edit type: consistency</p>	<p>This change will be made in the next version of the OASIS Data Submission Specs.</p>
06	<p>Item M1011_14_DAY_INP_NA is not currently associated to the format edit -3060, which ensures that a valid code or checklist value (0 or 1) is entered for an item.</p>	<p>Edit -3060 will be applied to item M1011_14_DAY_INP_NA.</p> <p>Edit -3060: VALUES OF CODE AND CHECKLIST ITEMS Only the coded values listed in the "Item Values" table of the Detailed Data Specifications Report may be submitted for this item.</p>	<p>This change will be made in the next version of the OASIS Data Submission Specs.</p>
07	<p>Edit -3340 states the following:</p> <p>If TRANS_TYPE_CD=[1], then CORRECTION_NUM must equal [00].</p> <p>Currently, there is no edit to ensure that correction number is greater than [00] when TRANS_TYPE_CD is equal to 2 or 3.</p>	<p>The following new edit will be added:</p> <p>Edit -3341:</p> <p>If TRANS_TYPE_CD=[2,3], then CORRECTION_NUM must not equal [00].</p> <p>NOTE: The ASAP system will not display this message when the problem occurs, as it will apply edit -3200 first. Edit -3200 ensures that CORRECTION_NUM values are submitted in the correct order. ASAP can do this because it has access to previously submitted assessments. However, this edit can be useful for validation of assessments prior to submission, such as the validation performed by the OASIS Validation Utility Tool (VUT).</p>	<p>This change will be made in the next version of the OASIS Data Submission Specs.</p>

Issue ID	Problem	Resolution	Status
08	<p>Critical Issue</p> <p>In the Access database and the data specifications report, the M1005 items are listed as active on RFAs 04 and 05. This is incorrect – the M1005 items are only active on RFAs 01 and 03.</p>	<p>The itm_mstr table in the Access database will be updated to reflect that items</p> <p>M1005_INP_DISCHARGE_DT M1005_INP_DSCHG_UNKNOWN</p> <p>are <u>not</u> active on RFAs 04 and 05. This will also serve to update the data specifications report.</p>	<p>This change will be made in the next version of the OASIS Data Submission Specs.</p>
09	<p>Items M1051_PVX_RCVD_AGENCY & M1056_PVX_RSN_NOT_RCVD_AGENCY are referred to with the "old" PPV abbreviation in two edits: -5090 & -5100.</p>	<p>Edit -5090 will be changed to read as follows: If M1051_PVX_RCVD_AGENCY=[0], then if M1056_PVX_RSN_NOT_RCVD_AGENCY is active it must not equal [^].</p> <p>Edit -5100 will be changed to read as follows: If M1051_PVX_RCVD_AGENCY=[1], then if M1056_PVX_RSN_NOT_RCVD_AGENCY is active it must equal [^].</p>	<p>This change will be made in the next version of the OASIS Data Submission Specs.</p>
10	<p>Currently Edit -5120 includes items M1306, M1308 and M1309. The edit should also include M1307 and M1320.</p> <p>Edit -5120 currently reads as follows:</p> <p>If M1306_UNHLD_STG2_PRSR_ULCR=[1], then all active items from M1308_NBR_PRSULC_STG2 through M1309_NBR_NEW_WRS_PRSULC_NSTG must not equal [^].</p> <p>NOTE: This issue has been rescinded. M1307_OLDST_STG2_ONST_DT must be excluded from edit -5120, as its value is controlled by the value of M1307_OLDST_STG2_AT_DSCHRG in edit -4030.</p> <p>Edit -4030 reads as follows: If M1307_OLDST_STG2_AT_DSCHRG=[01,NA], then if M1307_OLDST_STG2_ONST_DT is active it must equal [^].</p> <p>See Issue 14 for more information.</p>	<p>Edit -5120 will be revised as follows:</p> <p>If M1306_UNHLD_STG2_PRSR_ULCR=[1], then all active items from M1307_OLDST_STG2_AT_DSCHRG through M1320_STUS_PRBLM_PRSR_ULCR must not equal [^].</p> <p>This update will be made in the ASAP system, jHAVEN, and the OASIS Validation Utility Tool (VUT) by January 2016.</p> <p>NOTE: This change will be <u>retroactive</u> for the OASIS-C1 specifications.</p>	<p>This change will be made in the next version of the OASIS Data Submission Specs.</p>

Issue ID	Problem	Resolution	Status
11	<p>Edit -4800 currently reads as follows:</p> <p>If M2410_INPAT_FACILITY=[NA], then M2420_DSCHRG_DISP must not equal [^].</p> <p>This edit is not needed for the following reasons:</p> <ol style="list-style-type: none"> 1) M2420_DSCHRG_DISP is only active if M0100_ASSMT_REASON (RFA) = 09 2) M2410_INPAT_FACILITY must equal NA if the RFA = 09 3) [^] is not a valid response for M2420_DSCHRG_DISP 	<p>Edit -4800 will be removed from the data specifications.</p> <p>This update will be made in the ASAP system, jHAVEN, and the OASIS Validation Utility Tool (VUT) by January 2016.</p> <p>NOTE: This change will be <u>retroactive</u> for both the OASIS-C and OASIS-C1 specifications.</p>	<p>This change will be made in the next version of the OASIS Data Submission Specs.</p>
12	<p>Edit -4020 reads as follows:</p> <p>If M1306_UNHLD_STG2_PRSR_ULCR=[1], then if M1307_OLDST_STG2_AT_DSCHRG is active it must not equal [^].</p> <p>As a result of the revision of edit -5120 (see issue 10 above), it reads as follows:</p> <p>If M1306_UNHLD_STG2_PRSR_ULCR=[1], then all active items from M1307_OLDST_STG2_AT_DSCHRG through M1320_STUS_PRBLM_PRSR_ULCR must not equal [^].</p> <p>Therefore, edit -4020 is no longer needed.</p>	<p>Edit -4020 will be removed from the data specifications.</p> <p>This update will be made in the ASAP system, jHAVEN, and the OASIS Validation Utility Tool (VUT) by January 2016.</p>	<p>This change will be made in the next version of the OASIS Data Submission Specs.</p>

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13	<p>New direction has been given with respect to the handling of the item SPEC_VRSN_CD. The value for this item <u>must</u> be one of the valid values from the data specifications; otherwise, a FATAL error will result. Furthermore, the SPEC_VRSN_CD value <u>must</u> be the correct matching value for the M0090_INFO_COMPLETED_DT provided within the assessment; otherwise, a FATAL error will occur.</p> <p>As a result of this new direction, issue 01 above has been rescinded.</p>	<p>The item SPEC_VRSN_CD will remain associated with edit -3060, in order to ensure a valid value.</p> <p>In addition, the following edit will be added:</p> <p>Edit -5460: The value for M0090_INFO_COMPLETED_DT must be within the allowable date range specified by the value for SPEC_VRSN_CD. The list of SPEC_VRSN_CD values and their corresponding date ranges are provided in the Overview document for the OASIS Data Specifications.</p> <p>This will be a Fatal, Consistency edit.</p> <p>NOTE: This change will be <u>retroactive</u> for both the OASIS-C and OASIS-C1 specifications.</p>	<p>This change will be made in the next version of the OASIS Data Submission Specs.</p>
14	<p>Currently Edit -5120 includes items M1306, M1308 and M1309. The edit should also include M1307_OLDST_STG2_AT_DSCHRG and M1320_STUS_PRBLM_PRSR_ULCR.</p> <p>Edit -5120 currently reads as follows:</p> <p>If M1306_UNHLD_STG2_PRSR_ULCR=[1], then all active items from M1308_NBR_PRSULC_STG2 through M1309_NBR_NEW_WRS_PRSULC_NSTG must not equal [^].</p> <p>NOTE: This issue replaces Issue 10, which has been rescinded.</p>	<p>Edit -5120 will be revised as follows:</p> <p>If M1306_UNHLD_STG2_PRSR_ULCR=[1], then M1307_OLDST_STG2_AT_DSCHRG and all active items from M1308_NBR_PRSULC_STG2 through M1320_STUS_PRBLM_PRSR_ULCR must not equal [^].</p> <p>This update will be made in the ASAP system, jHAVEN, and the OASIS Validation Utility Tool (VUT) by January 2016.</p> <p>NOTE: This change will be <u>retroactive</u> for the OASIS-C1 specifications.</p>	<p>This change will be made in the next version of the OASIS Data Submission Specs.</p>