Open Payments Change Summary:
Program Years 2013 – 2015
Submission Data Mapping Document

Centers for Medicare & Medicaid Services

January 2019

Version 1.9

Contents

1 Introduction

This document lists the changes made to the Submission Data Mapping Document and/or CSV templates (also known as sample files) since their last publication.

These changes are being provided to applicable manufacturers and applicable group purchasing organizations to aid in data collection activities for Program Years 2013 – 2015. The changes described in this document should **not** be implemented for Program Year 2016 and upcoming years.

The document is organized into the following sections:

- General updates;

- Changes to the four tabs of the Submission Data Mapping Document (General Payments tab, Research Payments tab, Physician Ownership tab, and Allowed Special Characters tab); and

- Changes to CSV templates/sample files.

To understand changes to the Submission Data Mapping Document, follow the steps below:

1. In this document, note which tab in the Submission Data Mapping Document is affected. Then, note the Data Element Name and the Data Element Number (DE#) for a specific entry. The change to the data element is listed below the Data Element Name and Data Element Number.

2. In the Submission Data Mapping Document, select the affected tab and then find the corresponding Data Element Number in Column A. The Data Element Name is listed in Column B.

3. Once you have located the Data Element Name and Data Element Number in the Submission Data Mapping Document, you can match it to the update noted in this document.

2 Changes Made in January 2019

2.1 Changes to the Submission Data Mapping Document

This section of the Change Summary Document lists the changes made to the Submission Data Mapping Document in January 2019. This will create version 1.9 of the Submission Data Mapping Document, as shown in its Revision Log tab.

This Submission Data Mapping Document should be used when submitting payments or other transfers of value for Program Years 2013 - 2015 only. To submit payments or other transfers of value for Program Year 2016 and upcoming years, refer to the Program Year 2016 and upcoming years Submission Data Mapping Document.

2.1.1 General Updates

- None

2.1.2 Changes to the General Payments (**Non-Research) Tab**

- **Data Element Name: Total Amount of Payment (DE#33)**

  - Updated Validation Rules (Column E) to read:

    Validated against data type, format, and field size (columns D, E, G)

    Can have up to 10 digits before the decimal and 2 digits after the decimal.
    Note that the decimal and 2 digits after the decimal are optional

    The value in this field cannot be 0.00. The value entered must be greater than zero dollars

- **Data Element Name: Date of Payment (DE#34)**

  - Updated Definition/Description (Column C) to read:

    If reporting a singular payment, report the date of payment or other transfer of value

    If reporting EITHER a series of payments OR an aggregated set of payments, record the date of the first payment to the covered recipient in this reporting year

2.1.3 Changes to the Research Payment Tab

- **Data Element Name: Total Amount of Research Payment (U.S. Dollars) (DE#34)**

  - Updated Field Size (Column G) to read: ≤ 13 Char (including decimal point)

  - Updated Validation Rules (Column H) to read:

    Validated against data type, format, and field size (columns D, E, G)

    Can have up to 10 digits before the decimal and 2 digits after the decimal.
    Note that the decimal and 2 digits after the decimal are optional

    The value in this field cannot be 0.00. The value entered must be greater than zero dollars

2.1.4 Changes to the Physician Ownership Tab

- **Data Element Name: Dollar Amount Invested (DE#27)**

  - Updated Field Size (Column G) to read: ≤ 13 Char (including decimal point)

  - Updated Validation Rules (Column H) to read:

    Validated against data type, format, and field size (columns D, E, G)

    Can have up to 10 digits before the decimal and 2 digits after the decimal. Note that the decimal and 2 digits after the decimal are optional

    The Dollar Amount Invested cannot be 0.00 if the Value of Interest (DE#28) is also 0.00

- **Data Element Name: Value of Interest (DE#28)**

- Updated Field Size (Column G) to read: ≤ 13 Char (including decimal point)

- Updated Validation Rules (Column H) to read:

Validated against data type, format, and field size (columns D, E, G)

Can have up to 10 digits before the decimal and 2 digits after the decimal. Note that the decimal and 2 digits after the decimal are optional

The Value of Interest cannot be 0.00 if the Dollar Amount Invested (DE#27) is also 0.00

2.1.5 Allowed Special Characters Tab

No changes were made to the Allowed Special Characters tab

3   Changes Made to CSV Templates

No changes were made to the CSV templates (also known as sample files)