Date of Service (DOS) for Clinical Laboratory and Pathology Specimens

Note: This article was updated on November 9, 2017, to reflect current Web addresses. All other content remain the same.

Provider Types Affected

Providers who submit claims to Medicare Administrative Contractors (A/B MACs), formerly known as fiscal intermediaries (FIs) or carriers, for laboratory tests, or the technical component of physician pathology services, provided to Medicare beneficiaries.

Impact on Providers

This article is based on Change Request (CR) 6018 alerting providers that the Centers for Medicare & Medicaid Services (CMS) revised the DOS policy for clinical laboratory tests and added the technical component of physician pathology service effective January 1, 2009. These changes were announced in the final Medicare physician fee schedule rule published in the Federal Register on November 27, 2007 (42 CFR § 414.510).

Key Points of CR6018

The DOS policy as specified in 42 CFR § 414.510 for either a clinical laboratory test or the technical component of physician pathology service is as follows:

- **General Rule:** The DOS of the test/service must be the date the specimen was collected.
- **Variation:** If a specimen is collected over a period that spans two calendar days, then the DOS must be the date the collection ended.
The following two exceptions apply to this DOS policy for either a clinical laboratory test or the technical component of physician pathology service:

1. **DOS for Tests/Services Performed on Stored Specimens:**
   In the case of a test/service performed on a stored specimen, if a specimen was stored for less than or equal to 30 calendar days from the date it was collected, the DOS of the test/service must be the date the test/service was performed only if:
   - The test/service is ordered by the patient’s physician at least 14 days following the date of the patient’s discharge from the hospital;
   - The specimen was collected while the patient was undergoing a hospital surgical procedure;
   - It would be medically inappropriate to have collected the sample other than during the hospital procedure for which the patient was admitted;
   - The results of the test/service do not guide treatment provided during the hospital stay; and
   - The test/service was reasonable and medically necessary for treatment of an illness.

   If the specimen was stored for more than 30 calendar days before testing, the specimen is considered to have been archived and the DOS of the test/service must be the date the specimen was obtained from storage.

2. **DOS for Chemotherapy Sensitivity Tests/Services Performed on Live Tissue:**
   In the case of a chemotherapy sensitivity test/service performed on live tissue, the DOS of the test/service must be the date the test/service was performed only if:
   - The decision regarding the specific chemotherapeutic agents to test is made at least 14 days after discharge;
   - The specimen was collected while the patient was undergoing a hospital surgical procedure;
   - It would be medically inappropriate to have collected the sample other than during the hospital procedure for which the patient was admitted;
   - The results of the test/service do not guide treatment provided during the hospital stay; and
   - The test/service was reasonable and medically necessary for treatment of an illness.

   For purposes of applying the above exception, a “chemotherapy sensitivity test” is defined as a test that requires a fresh tissue sample to test the sensitivity of tumor cells to various chemotherapeutic agents. CMS identifies such tests through program instructions issued to the Medicare contractors.
Additional Information

For complete details regarding this CR please see the official instruction (CR6018) issued to your Medicare FI, A/B MAC, or carrier. That instruction may be viewed by going to http://www.cms.gov/Regulations-and-Guidance/Guidance/Transmittals/downloads/R1515CP.pdf.

If you have questions, please contact your Medicare FI, A/B MAC, or carrier at their toll-free number which may be found at: http://www.cms.gov/Research-Statistics-Data-and-Systems/Monitoring-Programs/provider-compliance-interactive-map/index.html.