

CMS Manual System	Department of Health & Human Services (DHHS)
Pub 100-20 One-Time Notification	Centers for Medicare & Medicaid Services (CMS)
Transmittal 1639	Date: March 24, 2016
	Change Request 9168

Transmittal 1570, dated November 6, 2015, is being rescinded and replaced by Transmittal 1639 to update Attachment I. Also, the pre-implementation contact information has been changed. All other information remains the same.

SUBJECT: Reporting Principal and Interest Amounts When Refunding Previously Recouped Money on the Remittance Advice (RA)

I. SUMMARY OF CHANGES: It has been reported to CMS that the current practice of reporting principal and interest amounts for all related claims on the Remittance Advice (RA) as one lump sum amount is creating problems for the provider community as this is not conducive to post payment properly. Providers have the money but are not enable to identify the claim and/or the refund of principal and interest paid by Medicare. This Change Request (CR) instructs the Shared System Maintainers (SSMs) and contractors how to report the principal and interest separately and also provide individual claim information. At this time the instructions only apply to SSMs under HIGLAS. CMS, including HIGLAS, conducted calls with the contractors and SSMs and came to consensus regarding a solution to manage this issue. Additionally, at present, this solution impacts only the Shared Systems that currently are under HIGLAS.

The CR applies to ERA only and please refer to CR 7499 that instructed to report the Patient Control # received on the (original) claim in PLB03-2. If the patient control # is not available, use HICN.

EFFECTIVE DATE: April 1, 2016 - Analysis & Design and July 1, 2016 - Testing & Final Implementation - FISS, HIGLAS, and A/B MACs; July 1, 2016 - MCS

**Unless otherwise specified, the effective date is the date of service.*

IMPLEMENTATION DATE: April 4, 2016 - Analysis & Design and July 5, 2016 - Testing & Final Implementation - FISS, HIGLAS, and A/B MACs; July 5, 2016 - MCS

Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.

II. CHANGES IN MANUAL INSTRUCTIONS: (N/A if manual is not updated)

R=REVISED, N=NEW, D=DELETED-Only One Per Row.

R/N/D	CHAPTER / SECTION / SUBSECTION / TITLE
N/A	N/A

III. FUNDING:

For Medicare Administrative Contractors (MACs):

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is

not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

IV. ATTACHMENTS:

One Time Notification

Number	Requirement	Responsibility								
		A/B MAC		D M E M A C	Shared- System Maintainers				Other	
		A	B		H H H	F I S S	M C S	V M S		C W F
9168.3	FISS shall update software PC Print to reflect these changes in reporting.					X				
9168.4	FISS and MCS shall follow the mapping document (Attachment II) attached to the CR to crosswalk HIGLAS PLB codes to the HIPAA PLB codes reported on the remittance advice.					X	X			
9168.5	Contractors shall combine PLBs into one single PLB on the Standard Paper Remittance (SPR).						X			
9168.6	Contractors shall map PLB codes WO and L6 to the refunds section of the SPR.					X				

III. PROVIDER EDUCATION TABLE

Number	Requirement	Responsibility				
		A/B MAC			D M E M A C	C E D I
		A	B	H H H		
9168.7	MLN Article: A provider education article related to this instruction will be available at http://www.cms.gov/Outreach-and-Education/Medicare-Learning-Network-MLN/MLNMattersArticles/ shortly after the CR is released. You will receive notification of the article release via the established "MLN Matters" listserv. Contractors shall post this article, or a direct link to this article, on their Web sites and include information about it in a listserv message within 5 business days after receipt of the notification from CMS announcing the availability of the article. In addition, the provider education article shall be included in the contractor's next regularly scheduled bulletin. Contractors are free to supplement MLN Matters articles with localized information that would benefit their provider community in billing and administering the Medicare program correctly.	X	X	X		

IV. SUPPORTING INFORMATION

Section A: Recommendations and supporting information associated with listed requirements:

"Should" denotes a recommendation.

X-Ref Requirement Number	Recommendations or other supporting information:
	N/A

Section B: All other recommendations and supporting information: N/A

V. CONTACTS

Pre-Implementation Contact(s): Sumita Sen 410-786-5755 or sumita.sen@cms.hhs.gov

Post-Implementation Contact(s): Contact your Contracting Officer's Representative (COR).

VI. FUNDING

Section A: For Medicare Administrative Contractors (MACs):

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

ATTACHMENTS: 2

Attachment I – Refunds with Principal and Interest on Previously Recouped Money

<u>Steps</u>	<u>Process</u>	<u>Stakeholder</u>
Step 1	Claim Adjustment is created and sent on the HIGLAS 837 interface file.	Shared System
Step 2	Claim Adjustment/Overpayment Accounts Receivable (AR) is created.	HIGLAS
Step 2a	HIGLAS shall enable a new DFF to capture the Patient Control Number (PCN) on 837 overpayments.	HIGLAS
Step 3	Claim Adjustment/Overpayment AR is recouped.	HIGLAS
Step 4	Provider appeals Overpayment.	Provider
Step 5	Appeal decision is favorable for Provider.	Appeals Department
Step 6	Suppressed or Tape to Tape adjustment is recorded, and the adjustment appears on the Provider remittance using PLB code J1 notifying the Provider of the appeal being finalized.	Shared System
Step 7	Claim Adjustment/Overpayment AR is adjusted to reflect the refund and appeal decision.	HIGLAS
Step 8	Both HIGLAS and Shared System shall have the ability to process multiple AP refunds/935 ALJ Interest refunds for the same AR invoice number.	HIGLAS/Shared System
Step 8a	<p>One Manual Accounts Payable (AP) Refund invoice shall be created to refund one or more Claim Adjustment/Overpayment AR numbers that were denied (fully or partially).</p> <p>A new Sub Invoice Type DFF value shall be used to identify this Manual AP Refund invoice.</p> <p>The principal and interest against each Claim Adjustment/Overpayment AR number shall be captured in a new custom form for the Manual AP Refund invoice.</p> <p>The principal and interest shall also be displayed on the AP Invoice Workbench with inquiry capability.</p> <p>HIGLAS shall validate that the AR invoice number exists in HIGLAS for the Provider/NPI. If the AR invoice number does not exist in HIGLAS, the AP Refund invoice shall not be accepted or saved.</p> <p>CNC receivable shall be included in the new refund process, and HIGLAS shall report the Original Invoice claim number for the CNC receivable refund when the Original invoice for CNC exists in the Org.</p> <p>Note: HIGLAS and Shared System shall follow the existing M1 refund process when the AR number is not known.</p>	HIGLAS/Shared System

Attachment I – Refunds with Principal and Interest on Previously Recouped Money

<u>Steps</u>	<u>Process</u>	<u>Stakeholder</u>
Step 8b	<p>One Manual AP 935 ALJ Refund invoice shall be created to refund one or more Claim Adjustment/Overpayment AR numbers that were denied (fully or partially).</p> <p>Existing Sub Invoice Type DFF value 'PL_935' shall be used to identify this Manual AP 935 ALJ Interest Refund invoice.</p> <p>The Interest refund amount against each Claim Adjustment/Overpayment AR number shall be captured in a new custom form for the Manual AP 935 ALJ Refund invoice.</p> <p>Interest refund details shall also be displayed on the AP Invoice Workbench with inquiry capability.</p> <p>HIGLAS shall validate that the AR invoice number exists in HIGLAS for the Provider/NPI. If the AR invoice number does not exist in HIGLAS, the AP 935 ALJ Interest Refund invoice shall not be accepted or saved.</p>	HIGLAS
Step 8c	<p>The new refund process shall be used only for the Provider refunds. Beneficiary and Third Party payee are excluded from process.</p>	HIGLAS
Step 9	<p>AP invoice is sent on the 835 interface file, and Shared System receives the PLB segment with the Claim Adjustment/Overpayment AR number.</p>	HIGLAS/Shared System
Step 9a	<p>Claim Adjustment/Overpayment AR Invoice number shall be within the PLB segment (Position 26-50) of the 835 interface file. HIGLAS has a 50 character limitation.</p> <p>Two new PLB codes (WW/RU) shall be defined to report the principal and interest refund amounts.</p> <p>HIGLAS 835 process shall report the principal and interest refund amounts from the AP Invoice. This applies only for the AP Invoices with the new Sub Invoice Type.</p> <p><u>HIGLAS PLB Details - Reporting Principal Refunds</u> PLB03-1: WW to report overpayment recovery (negative sign for the amount in PLB04) being refunded PLB03-2 Positions 1 – 25: AP Invoice Number PLB03-2 Positions 26 – 50: Claim Adjustment/Overpayment AR Number PLB 04: Refund Amount (Principal Refund Amount)</p> <p><u>HIGLAS PLB Details - Reporting Interest Refunds</u></p>	HIGLAS

Attachment I – Refunds with Principal and Interest on Previously Recouped Money

<u>Steps</u>	<u>Process</u>	<u>Stakeholder</u>
	PLB03-1: RU to report interest paid (negative sign for the amount in PLB04) PLB03-2 Positions 1 – 25: AP Invoice Number PLB03-2 Positions 26 – 50: Claim Adjustment/Overpayment AR Number PLB04: Interest Amount on Refund	
Step 9b	HIGLAS shall continue to use PLB code PL for the 935 ALJ Interest Refund invoice. HIGLAS shall report the Claim Adjustment/Overpayment AR number at the PLB03-2 Positions 26 – 50. <u>HIGLAS PLB Details – Reporting 935 ALJ Interest Refunds</u> PLB03-1: PL to report 935 ALJ interest paid (negative sign for the amount in PLB04) PLB03-2 Positions 1 – 25: AP Invoice Number PLB03-2 Positions 26 – 50: Claim Adjustment/Overpayment AR Number PLB04: 935 ALJ Interest Amount on Refund	HIGLAS
Step 9c	HIGLAS and Shared System shall use the existing PLB code PL and reporting requirements for AP 935 ALJ Interest Refund invoices created prior to CR 9168 implementation. <u>HIGLAS PLB Details – Reporting 935 ALJ Interest Refunds</u> PLB03-1: PL to report 935 ALJ interest paid (negative sign for the amount in PLB04) PLB03-2 Positions 1 – 25: AP Invoice Number PLB03-2 Positions 26 – 50: <blank> PLB04: 935 ALJ Interest Amount on Refund	HIGLAS/Shared System
Step 10	Shared System crosswalks the Claim Adjustment/Overpayment AR number sent on the HIGLAS 835 interface file to the Suppressed Claim Adjustment or Tape to Tape Adjustment number in Shared System.	Shared System
Step 11	Shared System shall use the information from the Suppressed Claim Adjustment or Tape to Tape number and add to the remittance the required data for Provider (i.e., Suppressed DCN/Claim Adjustment AR Number and PCN or HIC, if PCN is unavailable) with PLB codes WO and L6 for identifying the principal and interest refund amounts, respectively.	Shared System
Step 11a	When the AR number is not found in Shared System (e.g., adjustment purged from history), the WO/L6 shall be generated on the remittance with only the AR number that was sent with the HIGLAS 835 WW/RU/PL transaction.	Shared System

Attachment I – Refunds with Principal and Interest on Previously Recouped Money

<u>Steps</u>	<u>Process</u>	<u>Stakeholder</u>
Step 11b	The Shared System shall use the AR number that was sent with the HIGLAS 835 WW/RU transaction for non-935 claim adjustment/overpayment ARs.	FISS
Step 12	Except where noted in the steps below, HIGLAS and Shared System shall follow the existing PLB reporting process for voids/reissues.	HIGLAS/Shared System
Step 12a	<p>When the payment is voided and reissued (FISS only) for the Manual AP Refund invoice/935 ALJ Interest Refund invoice, HIGLAS shall report the reissued payment for the remaining balance with the void and reissue PLB code VC at the invoice level (lump sum invoice amount) without the Claim Adjustment/Overpayment AR number.</p> <p>Note: In Part A, Manual AP Refund invoice/935 ALJ Interest Refund invoice can participate in netting. When a check is voided in HIGLAS, the reissue shall be for the remaining balance only.</p>	HIGLAS/Shared System
Step 12b	<p>When the payment is voided and reissued (MCS only) for the Manual AP Refund invoice, HIGLAS shall report the reissued payment with PLB code M1 and the lump sum invoice amount without the Claim Adjustment/Overpayment AR number. This applies only to the AP Refund invoice that was originally reported with PLB code WW/RU.</p> <p><u>HIGLAS PLB Details – Reporting Void/Reissue for AP Refund Invoice</u> PLB03-1: M1 to report Void/Reissue (negative sign for the amount in PLB04) PLB03-2 Positions 1 – 25: AP Invoice Number PLB03-2 Positions 26 – 50: Voided HIGLAS Check Number (positions 26-37) PLB04: Reissued Payment Amount (lump sum invoice amount)</p>	HIGLAS/Shared System
Step 12c	<p>When the payment is voided and reissued (MCS only) for the 935 ALJ Interest Refund invoice, HIGLAS shall report the reissued payment with PLB code PL at the Invoice level (lump sum amount) without the Claim Adjustment/Overpayment AR number.</p> <p><u>HIGLAS PLB Details – Reporting Void/Reissue for 935 ALJ Interest Refund Invoice</u> PLB03-1: PL to report Void/Reissue (negative sign for the amount in PLB04)</p>	HIGLAS/Shared System

Attachment I – Refunds with Principal and Interest on Previously Recouped Money

<u>Steps</u>	<u>Process</u>	<u>Stakeholder</u>
	PLB03-2 Positions 1 – 25: AP Invoice Number PLB03-2 Positions 26 – 50: Voided HIGLAS Check Number (positions 26-37) PLB04: Reissued Payment Amount (lump sum invoice amount)	
Step 13	Except where noted in the steps below, HIGLAS and Shared System shall follow the existing PLB reporting process for holds/releases.	HIGLAS/Shared System
Step 13a	When the Manual AP Refund/AP 935 ALJ Refund invoice is placed on Hold, HIGLAS shall report the Invoice PLB code WW for principal and RU for interest or PL for 935 ALJ Interest with the principal/interest break-up and Claim Adjustment/Overpayment AR number, and the Hold PLB code at the Invoice level (lump sum amount). The Hold PLB code shall not have Claim Adjustment/Overpayment AR number details. When the hold is released, HIGLAS shall report the release PLB code (i.e., FR/PR/PL) at the Invoice level (lump sum amount). Per the current design, Claim Adjustment/Overpayment AR number shall not be reported.	HIGLAS/Shared System
Step 13b	When the DNF hold (MCS only) is released for the Manual AP Refund invoice, HIGLAS shall report the release with PLB code M1 at the Invoice level (lump sum amount) without the Claim Adjustment/Overpayment AR number. This applies only to the AP Refund invoice that was originally reported with PLB code WW/RU. <u>HIGLAS PLB Details – Reporting DNF hold release for AP Refund Invoice</u> PLB03-1: M1 to report DNF release (negative sign for the amount in PLB04) PLB03-2 Positions 1 – 25: AP Invoice Number PLB03-2 Positions 26 – 50: HIGLAS (Pseudo) Check Number (Positions 26 – 37) PLB04: AP Invoice Amount (lump sum invoice amount)	HIGLAS/Shared System

Examples of the new HIGLAS 835 PLB reporting requirements:

AP Refund with Principal and Interest Break-Up

Transaction Set 1:

Payment Amount: \$190.00

Attachment I – Refunds with Principal and Interest on Previously Recouped Money

PLB Code PLB03-1	PLB03-2: 1-25 positions (Refund AP Invoice Number)	PLB03-2 26-50 positions (Claim Adjustment/ Overpayment AR Number)	Amount	Comments
WW	AP12345	AR56789	-100	WW is Principal Refund for AR56789
RU	AP12345	AR56789	-10	RU is Interest Refund for AR56789
WW	AP12345	AR34343	-25	WW is Principal Refund for AR34343
RU	AP12345	AR34343	-5	RU is Interest Refund for AR34343
WW	AP12345	AR99923	-50	Only Principal Refund for AR99923

AP Refund with 935 ALJ Interest

Transaction Set 2:

Payment Amount: \$95.00

PLB Code PLB03-1	PLB03-2: 1-25 positions (Refund AP Invoice Number)	PLB03-2 26-50 positions (Claim Adjustment/ Overpayment AR Number)	Amount	Comments
PL	AP56789	AR56789	-20	PL is 935 ALJ Interest Refund for AR56789
PL	AP56789	AR34343	-25	PL is 935 ALJ Interest Refund for AR34343
PL	AP56789	AR99923	-50	PL is 935 ALJ Interest Refund for AR99923

Part A: AP Refund – Partial Hold and Release

Transaction Set 1:

HIGLAS Check Number: 12345

Payment Amount: \$95.00

Attachment I – Refunds with Principal and Interest on Previously Recouped Money

PLB Code PLB03-1	PLB03-2: 1-25 positions (Refund AP Invoice Number)	PLB03-2 26-50 positions (Claim Adjustment/ Overpayment AR Number)	Amount	Comments
WW	AP12345	AR56789	-100	WW is Principal Refund for AR56789
RU	AP12345	AR56789	-10	RU is Interest Refund for AR56789
WW	AP12345	AR34343	-25	WW is Principal Refund for AR34343
RU	AP12345	AR34343	-5	RU is Interest Refund for AR34343
WW	AP12345	AR99923	-50	Only Principal Refund for AR99923
G2	AP12345		95	Hold PLB Code for Partial Hold - CMS Request

When the Hold is released

Transaction Set 1:

Payment Amount: \$95.00

PLB Code PLB03-1	PLB03-2: 1-25 positions (Refund AP Invoice Number)	PLB03-2 26-50 positions (HIGLAS Check Number)	Amount	Comments
PR	AP12345	12345	-95	Release PLB Code

Part B: AP Refund – Full Hold and Release

Transaction Set 1:

HIGLAS Check Number: 12345

Payment Amount: \$0.00

Attachment I – Refunds with Principal and Interest on Previously Recouped Money

PLB Code PLB03-1	PLB03-2: 1-25 positions (Refund AP Invoice Number)	PLB03-2 26-50 positions (Claim Adjustment/ Overpayment AR Number)	Amount	Comments
WW	AP12345	AR56789	-100	WW is Principal Refund for AR56789
RU	AP12345	AR56789	-10	RU is Interest Refund for AR56789
WW	AP12345	AR34343	-25	WW is Principal Refund for AR34343
RU	AP12345	AR34343	-5	RU is Interest Refund for AR34343
WW	AP12345	AR99923	-50	Only Principal Refund for AR99923
D6	AP12345		190	Hold PLB Code for Fraud and Abuse

When the Hold is released

Transaction Set 1:

Payment Amount: \$190.00

PLB Code PLB03-1	PLB03-2: 1-25 positions (Refund AP Invoice Number)	PLB03-2 26-50 positions (HIGLAS Check Number)	Amount	Comments
FR	AP12345	12345	-190	Release PLB Code

UPDATED PLB CODES TO REPORT ON THE 835 and HIGLAS AND HIPAA PLB CODE CROSSWALK

			Previous FISS 835 PLB Code Usage	Previous MCS 835 PLB Code Usage	Previous VMS 835 PLB Code Usage	HIPAA PLB Codes for 835 v40101 and v5010 A1- PLB03-1	ASC X12 835 PLB Code Description	Comments
	HIGLAS PLB X-01 code	Code Meaning - HIGLAS						
1	93	935 Cross Reference Netting	WO			FB/WO	Forward Balancing/Overpayment Recovery	Follow CR 6870 - for using FB and WO at step I and Step II
2	94	935 Relationship Netting	WO			FB/WO	Forward Balancing/Overpayment Recovery	Follow CR 6870 - for using FB and WO at step I and Step II
3	95	935 Settlement Cross Reference Netting	WO			FB/WO	Forward Balancing/Overpayment Recovery	Follow CR 6870 - for using FB and WO at step I and Step II
4	96	935 Settlement Relationship Netting	WO			FB/WO	Forward Balancing/Overpayment Recovery	Follow CR 6870 - for using FB and WO at step I and Step II
5	A1	Provider Awardee Convener Model 1 BPCI Transaction (does NOT own Episode Initiators)				LE/WU	Levy/Unspecified Recovery	CR8440
6	A2	Provider Awardee Convener Model 2 BPCI Transaction (does NOT own Episode Initiators)				LE/WU	Levy/Unspecified Recovery	CR8440
7	A3	Provider Awardee Convener Model 3 BPCI Transaction (does NOT own Episode Initiators)				LE/WU	Levy/Unspecified Recovery	CR8440
8	A4	Provider Awardee Convener Model 3 BPCI Transaction (does NOT own Episode Initiators)				LE/WU	Levy/Unspecified Recovery	CR8440
9	AA	PIP CAP PT	CV			CV	Capital Passthrough	PIP Capital Passthrough
10	AB	non-PIP CAP PT	CV			CV	Capital Passthrough	non-PIP Capital Passthrough
11	AC	PIP DME PT	DM			DM	Direct Medical Education Passthrough	PIP Direct Medical Education
12	AD	non-PIP DME PT	DM			DM	Direct Medical Education Passthrough	non-PIP Direct Medical Education
13	AE	PIP Kidney PT	OA			OA	Organ Acquisition Passthrough	PIP Kidney
14	AF	non-PIP Kidney PT	OA			OA	Organ Acquisition Passthrough	non-PIP Kidney
15	AG	PIP Bad Debt PT	BD			BD	Bad Debt Adjustment	PIP Bad Debt Adjustment
16	AH	non-PIP Bad Debt PT	BD			BD	Bad Debt Adjustment	Non-PIP Bad Debt Adjustment
17	AL	PIP non-Pys Anest PT	LS			LS	Lump Sum	PIP Non-Physician Anesthetists
18	AM	non-PIP non-Phy Anest PT	LS			LS	Lump Sum	non-PIP Non-Physician Anesthetists
19	AN	PIP ROE PT	RE			RE	Return on Equity	PIP ROI
20	AO	non-PIP ROE PT	RE			RE	Return on Equity	non-PIP ROI
21	AP	PIP SNF PT	PI			PI	Periodic Interim Payment	
22	AQ	non-PIP SNF PT	C5			C5	Temporary Allowance	Non-PIP SNF Settlement Payments
23	AS	Affiliate Withholdings - Settlement	OB			OB	Offset for Affiliated Providers	
24	AW	Affiliate Withholdings	E3			E3	Withholding	Affiliate Withholding

Codes assigned to report
 Federally mandated recoupment/bonus payment:
 LE
 TL
 WU

UPDATED PLB CODES TO REPORT ON THE 835 and HIGLAS AND HIPAA PLB CODE CROSSWALK

	HIGLAS PLB X-01 code	Code Meaning - HIGLAS	Previous FISS 835 PLB Code Usage	Previous MCS 835 PLB Code Usage	Previous VMS 835 PLB Code Usage	HIPAA PLB Codes for 835 v40101 and v5010 A1- PLB03-1	ASC X12 835 PLB Code Description	Comments
25	BN	EHR Demo		BN		BN	Bonus	Demonstration Project (CR 6603)
26	C1	Non-Provider Awardee Convener Model 1 BPCI Transaction (does NOT own Episode Initiators)				LE/WU	Levy/Unspecified Recovery	CR8440
27	C2	Non-Provider Awardee Convener Model 2 BPCI Transaction (does NOT own Episode Initiators)				LE/WU	Levy/Unspecified Recovery	CR8440
28	C3	Non-Provider Awardee Convener Model 3 BPCI Transaction (does NOT own Episode Initiators)				LE/WU	Levy/Unspecified Recovery	CR8440
29	C4	Non-Provider Awardee Convener Model 4 BPCI Transaction (does NOT own Episode Initiators)				LE/WU	Levy/Unspecified Recovery	CR8440
30	CV	Converted Invoices	L3	Internal Use Only		L3	Penalty	PR Conversion
31	D1	Full Hold - Unfiled Cost Report	50			50	Late Charge	Late Filing of Cost Report
32	D2	Full Hold - Unfiled 838	L3			L3	Penalty	PW Unfiled 838
33	D3	Full Hold - Rejected Cost Report	L3			L3	Penalty	PWRejected Cost Report
34	D4	Full Hold - Failure to comply Auditors	L3			L3	Penalty	PW Failure to comply Auditors
35	D5	Full Hold - DNF	L3	WO		—		RA not created
36	D6	Full Hold - Fraud and Abuse	L3	WO		L3	Penalty	PWFraud and Abuse
37	D7	Full Hold - Other/Misc	L3	WO		L3	Penalty	PW Other/Misc
38	D8	Full Hold - AP System Hold	L3	WO		L3	Penalty	PWAP Hold
39	D9	Full Hold - Terminated	L3			L3	Penalty	PWTerminated
40	DG	Converted DNF - Pseudo Check		Internal Use Only		—		No RA
41	DM	Debit Memo	L3	WO		E3	Withholding	Withholding per Debit Memo
42	DP	Converted Negotiable Checks		Internal Use Only		—		No RA
43	DR	DNF Hold Release	L3	Internal Use Only		L3	Penalty	PR DNF
44	E1	Episode Initiator Model 1 BPCI Transaction				LE/WU	Levy/Unspecified Recovery	CR8440
45	E2	Episode Initiator Model 2 BPCI Transaction				LE/WU	Levy/Unspecified Recovery	CR8440
46	E3	Episode Initiator Model 3 BPCI Transaction				LE/WU	Levy/Unspecified Recovery	CR8440
47	E4	Episode Initiator Model 4 BPCI Transaction				LE/WU	Levy/Unspecified Recovery	CR8440
48	FB	Full Hold - Bankruptcy	L3	WO		L3	Penalty	PW Bankruptcy
49	FC	Full Hold - CMS Request	L3	WO		L3	Penalty	PW CMS Request
50	FS	BPCI Funds Switch Invoice						No RA
51	FR	Full Hold Release	L3	B2		L3	Penalty	PR
52	G2	Partial Hold - CMS Request	L3	WO		L3	Penalty	PW CMS Request
53	G3	Partial Hold - Bankruptcy	L3	WO		L3	Penalty	PWBankruptcy
54	G4	Partial Hold - Unfiled Cost Report	L3			L3	Penalty	PWUnfiled Cost Report
55	G5	Partial Hold - Unfiled 838	L3			L3	Penalty	Unfiled 838 (Credit Balance Report)
56	H1	Manual Invoices - Cost Settlement Report	C5			C5	Temporary Allowance	Cost Report Settlement

Codes assigned to report

Federally mandated recoupment/bonus payment:

LE
TL
WU

UPDATED PLB CODES TO REPORT ON THE 835 and HIGLAS AND HIPAA PLB CODE CROSSWALK

	HIGLAS PLB X-01 code	Code Meaning - HIGLAS	Previous FISS 835 PLB Code Usage	Previous MCS 835 PLB Code Usage	Previous VMS 835 PLB Code Usage	HIPAA PLB Codes for 835 v40101 and v5010 A1- PLB03-1	ASC X12 835 PLB Code Description	Comments
57	H2	HITECH Recoupment				TL	Third Party Liability	HITECH Recoupment HIT XXXX Phone # XXX-XXX-XXXX
58	HB	HPSA	E3	B2		BN	Bonus	HPSA Bonus
59	IR	TPP - IRS Levy	IR	WO		IR	Internal Revenue Service Withholding	
60	L1	TPP - IRS Backup	IR	WO		IR	Internal Revenue Service Withholding	
61	L2	TPP - Garnishments	WU	WO		CS	Adjustment	PW Garnishments
62	L3	Third Party Payment - including Attorneys	Internal Use Only	Internal Use Only		-		No RA
63	L4	TPP - Child Support	WU	WO		CS	Adjustment	PW Child Support
64	L5	TPP - Alimony	WU	WO		CS	Adjustment	PW Alimony
65	L6	TPP - Secondary Corporation	WU	WO		CS	Adjustment	PW Secondary Corporation
66	L7	TPP - Change of Ownership	WU	WO		CS	Adjustment	Change of Ownership
67	L8	Accelerated/Advance Recoupments Applications	AP	WO		WO	Overpayment Recovery	Advance Recoupment Application
68	LE	Lump Sum Bonus Payment for the Physician Pay for Reporting (P4R) Program and ERx Initiative Payment		LE		LE	Levy	PQRI and ERx (CR6624) Bonus Payment
69	LS	Lump Sum Bonus Payment for the Physician Pay for Reporting (P4R) Program (valid for transactions built before January 4, 2010 only)		LE		LE	Levy	PQRI Bonus Payment
70	M1	Manual Invoices - Refunds	72	B2		72	Authorized return	Refunds - Manual Invoices
71	M2	Manual Invoices - Penalty Release	L3	B2		L3	Penalty	PR Penalty Release
72	M3	Manual Invoices - Insurance Companies	C5	B2		C5	Temporary Allowance	Manual Invoices
73	M4	Manual Invoices - Other	C5	B2		C5	Temporary Allowance	Manual Invoices
74	MA	Manual Invoices - Accelerated/Advance Payment	AP	B2		AP	Acceleration of Benefits	Manual Invoices - Accelerated/Advance Payment
75	MC	Manual Invoices - PIP	PI			PI	Periodic Interim Payment	
76	ML	Manual Invoices - Interim Rate Review	C5			C5	Temporary Allowance	Interim Rate Review
77	N1	Non-Provider Awardee Convener Model 1 BPCI Transaction (owns SOME or ALL Episode Initiators)				LE/WU	Levy/Unspecified Recovery	CR8440
78	N2	Non-Provider Awardee Convener Model 2 BPCI Transaction (owns SOME or ALL Episode Initiators)				LE/WU	Levy/Unspecified Recovery	CR8440
79	N3	Non-Provider Awardee Convener Model 3 BPCI Transaction (owns SOME or ALL Episode Initiators)				LE/WU	Levy/Unspecified Recovery	CR8440
80	N4	Non-Provider Awardee Convener Model 4 BPCI Transaction (owns SOME or ALL Episode Initiators)				LE/WU	Levy/Unspecified Recovery	CR8440
81	NA	Manual Non Claim Invoices - HI Positive Distribution	Internal Use Only			-		No RA
82	NB	Manual Non Claim Invoices - HI Negative Distribution	Internal Use Only			-		No RA
83	NC	Manual Non Claim Invoices - SMI Positive Distribution	Internal Use Only			-		No RA
84	ND	Manual Non Claim Invoices - SMI Negative Distribution	Internal Use Only			-		No RA

Codes assigned to report

Federally mandated recoupment/bonus payment:

LE
TL
WU

UPDATED PLB CODES TO REPORT ON THE 835 and HIGLAS AND HIPAA PLB CODE CROSSWALK

	HIGLAS PLB X-01 code	Code Meaning - HIGLAS	Previous FISS 835 PLB Code Usage	Previous MCS 835 PLB Code Usage	Previous VMS 835 PLB Code Usage	HIPAA PLB Codes for 835 v40101 and v5010 A1- PLB03-1	ASC X12 835 PLB Code Description	Comments
85	NR	Manual Invoices - PT	C5			C5	Temporary Allowance	
86	P1	Provider Awardee Convener Model 1 BPCI Transaction (owns SOME or ALL Episode Initiators)				LE/WU	Levy/Unspecified Recovery	CR8440
87	P2	Provider Awardee Convener Model 2 BPCI Transaction (owns SOME or ALL Episode Initiators)				LE/WU	Levy/Unspecified Recovery	CR8440
88	P3	Provider Awardee Convener Model 3 BPCI Transaction (owns SOME or ALL Episode Initiators)				LE/WU	Levy/Unspecified Recovery	CR8440
89	P4	Provider Awardee Convener Model 4 BPCI Transaction (owns SOME or ALL Episode Initiators)				LE/WU	Levy/Unspecified Recovery	CR8440
90	PL	Manual 935 ALJ Interest Refund invoice	PL	PL		L6	Interest Owed	'Code meaning – HIGLAS' and 'Previous MCS 835 PLB Code Usage' changed from previous version
91	PO	Partial Hold - Other/Misc	L3	WO		L3	Penalty	PW Other/Misc
92	PP	PIP	PI			PI	Periodic Interim Payment	
93	PR	Partial Hold - Release	L3	B2		L3	Penalty	PR Penalty Release
New	RU	Interest Refund				L6	Interest Owed	
94	S1	Single Awardee Model 1 BPCI Transaction				LE/WU	Levy/Unspecified Recovery	CR8440
95	S2	Single Awardee Model 2 BPCI Transaction				LE/WU	Levy/Unspecified Recovery	CR8440
96	S3	Single Awardee Model 3 BPCI Transaction				LE/WU	Levy/Unspecified Recovery	CR8440
97	S4	Single Awardee Model 4 BPCI Transaction				LE/WU	Levy/Unspecified Recovery	CR8440
98	TD	Manual Invoices - Tentative Settlement	C5			C5	Temporary Allowance	Tentative Settlement
99	TL	TOPS	IS			IS	Interim Settlement	
100	VC	Voids - Reissue Invoices	CS	Internal Use Only		CS	Adjustment	Reissued Invoice
101	VD	Voids - Reissue Debit Memo	CS	WO?		CS	Adjustment	Reissued Debit Memo
102	VO	Void - Reissue Interest Information	CS			CS	Adjustment	Reissued Interest
103	WO	AR/AP Netting Offset	E3	WO		WO	Overpayment Recovery	AR/AP Netting
104	WR	Void - Reissue Split Pay	C5			C5	Temporary Allowance	Reissue Split Pay
105	WS	Settlement Withholding	L3			E3	Withholding	Settlement Withholding
106	WU	FPLP Tax Withholding	WU	WU		LE/WU	Levy	1) TREASURY TAX WITHHOLD Treasury telephone xxx-xxx-xxxx 2) Any other Federally mandated payment/recoupment

Codes assigned to report
 Federally mandated recoupment/bonus payment:
 LE
 TL
 WU

UPDATED PLB CODES TO REPORT ON THE 835 and HIGLAS AND HIPAA PLB CODE CROSSWALK

	<u>HIGLAS PLB X-01 code</u>	<u>Code Meaning - HIGLAS</u>	<u>Previous FISS 835 PLB Code Usage</u>	<u>Previous MCS 835 PLB Code Usage</u>	<u>Previous VMS 835 PLB Code Usage</u>	<u>HIPAA PLB Codes for 835 v40101 and v5010 A1- PLB03-1</u>	<u>ASC X12 835 PLB Code Description</u>	<u>Comments</u>
New	WW	Principal Refund				WO	Overpayment Recovery	The amount in PLB 04 should be negative. And include identifying nos. in PLB03-2
107	ZZ	FPLP Non-tax Withholding	ZZ	ZZ		WU/LE		1) TREASURY NON-TAX WITHHOLD Treasury telephone xxx-xxx-xxxx 2) Any other Federally mandated payment/recoupment
111	RH	Full Hold - Revalidation Hold						No RA
112	IP	MAPC Demonstration Shared Savings payment				BN	Bonus	
NON-HIGLAS USERS								
113				AP		AP	Acceleration of Benefits	Advance Payment
114					CS	-		Correction and Reversal at the claim/line level
115				FB		FB	Forward Balance	Over Payment
116					CS	FB/WO	Withholding	Follow CR 6870 - for using FB and WO at step I and Step II for 935 Recoupment
117					IR	IR	Internal Revenue Service Withholding	
118				J1		J1	Non-reimbursable	Adjustment per Demonstration Project
119					AP	AP	Acceleration of Benefits	Payment to withheld because it has been determined that the provider/supplier is on Do Not Forward (DNF) or investigated for fraud.
120				L6	L6	L6	Interest Owed	Interest paid on claims in this 835
121					WO	WO	Overpayment Recovery	AR/AP Netting
ADD-ON-PAYMENTS								
122			CS			CS		Outlier
123			CS			CS/HM		Hemo. HM is a new code available in 5010
124			CS			CS		New Technology
125			LS			LS		Indirect Medical Education

Codes assigned to report
 Federally mandated recoupment/bonus payment:
 LE
 TL
 WU