

CMS Manual System Department of Health &  
Human Services (DHHS)  
Pub 100-06 Medicare Financial Centers for Medicare &  
Management Medicaid Services (CMS)  
Transmittal 126 Date: JULY 13, 2007  
Change Request 5642

SUBJECT: Manual Revision Re: MSN Workload Reporting

I. SUMMARY OF CHANGES: This CR manualizes information previously shown in the paper manuals that was never transferred into the IOM. This information pertained to the CMS-1565 and CMS-1566 and instructed contractors to enter the number of MSNs mailed to beneficiaries during the month.

NEW / REVISED MATERIAL

EFFECTIVE DATE: \*January 1, 2008

IMPLEMENTATION DATE: January 7, 2008

Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.

II. CHANGES IN MANUAL INSTRUCTIONS: (N/A if manual is not updated)

R=REVISED, N=NEW, D=DELETED-Only One Per Row.

R/N/D Chapter / Section / Subsection / Title

R 6/20.4/Body of Report

R 6/30.7/Body of Report

R 6/130.4/Part C - Miscellaneous Claims Data

R 6/200/Exhibits

III. FUNDING:

No additional funding will be provided by CMS; Contractor activities are to be carried out within their FY 2008 operating budgets.

IV. ATTACHMENTS:

Business Requirements

Manual Instruction

\*Unless otherwise specified, the effective date is the date of service.

Attachment - Business Requirements

Pub. 100-06 Transmittal: 126 Date: July 13, 2007 Change Request: 5642  
SUBJECT: Manual Revision Re: MSN Workload Reporting  
EFFECTIVE DATE: January 1, 2008  
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I. GENERAL INFORMATION

A. Background: This CR manualizes information previously shown in the paper manuals that was never transferred into the IOM.

B. Policy: This information pertained to the CMS-1565 and CMS-1566 which instructed contractors to enter the number of MSNs mailed to beneficiaries during the month.

II. BUSINESS REQUIREMENTS TABLE

Use "Shall" to denote a mandatory requirement

Number Requirement Responsibility (place an "X" in each applicable column)

A  
/  
D  
M  
F  
I  
C  
A  
D  
M  
R  
H  
Shared-System  
Maintainers  
OTHER  
B  
M  
A  
C  
E  
M  
A  
C  
R  
R  
I  
E  
R  
E  
R  
C  
H  
I  
F  
I  
S

S  
M  
C  
S  
V  
M  
S  
C  
W  
F

5642.1 Contractors shall enter the number of MSNs mailed to beneficiaries during the month into the CMS-1565 or CMS-1566 as applicable.

X XX XX X X

III. PROVIDER EDUCATION TABLE

Number Requirement Responsibility (place an "X" in each applicable column)

A  
/  
B  
M  
A  
C  
D  
M  
E  
M  
A  
C  
F  
I  
C  
A  
R  
R  
I  
E  
R  
D  
M  
E  
R  
C  
R  
H  
H  
I  
Shared-System  
Maintainers  
OTHER

F  
I  
S  
S  
M  
C

S  
V  
M  
S  
C  
W  
F  
None.

IV. SUPPORTING INFORMATION

A. For any recommendations and supporting information associated with listed requirements, use the

box below:

Use "Should" to denote a recommendation.

X-Ref

Requirement

Number

Number

Recommendations or other supporting information:

N/A

B. For all other recommendations and supporting information, use this space:

V. CONTACTS

Pre-Implementation Contact(s): Ken Frank (410.786.5659)

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Post-Implementation Contact(s): Ken Frank (410.786.5659)

kenneth.frank@cms.hhs.gov

VI. FUNDING

A. For Fiscal Intermediaries, Carriers, and the Durable Medical Equipment Regional Carrier (DMERC),

use only one of the following statements:

No additional funding will be provided by CMS; contractor activities are to be carried out within their FY 2008

operating budgets.

B. For Medicare Administrative Contractors (MAC), use the following statement:

The contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does

not construe this as a change to the Statement of Work (SOW). The contractor is not obligated to incur costs in

excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting

Officer. If the contractor considers anything provided, as described above, to be outside the current scope of

work, the contractor shall withhold performance on the part(s) in question and immediately notify the

Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance

requirements.

#### 20.4 - Body of Report

(Rev. 126; Issued: 07-13-07; Effective: 01-01-08; Implementation: 01-07-08)

##### SECTION A: INITIAL BILL PROCESSING OPERATION

The intermediary completes every type of bill column (1 through 6) for each reporting item as described below. It includes data on all bills received for initial processing from providers (including all RHCs) directly or indirectly through a RO, another intermediary, etc. It also includes data on demand bills and no-pay bills submitted by providers with no charges and/or covered days/visits. It does not include:

- Bills received from institutional providers if they are incomplete, incorrect, or inconsistent, and consequently returned for clarification. Individual controls are not required for them;
  - Adjustment bills;
  - Misdirected bills transferred to a carrier or another intermediary;
  - HHA bills where no utilization is chargeable and no payment has been made, but which it has requested only to facilitate record keeping processes (There is no CMS requirement for HHAs to submit no payment non-utilization chargeable bills.); and
  - Bills paid by an HMO and processed by the intermediary.
- Claims submitted by HHAs under the HH PPS with three-digit classification 3-29 or 3-3-9 are processed as adjustments to a previously submitted RAP record. However, the intermediary counts both HHPPS RAPs and claims as initial bills for this report. It does not exempt HH PPS claims as adjustments.
- Opening Pending

Line 1 - Pending End of Last Month - The system will pre-fill the number pending from line 13 on the previous month's report.

Line 2 - Adjustments - If it is necessary to revise the pending figure for the close of the previous month because of inventories, reporting errors, etc., the intermediary enters the adjustment. It reports bills received near the end of the reporting month and placed under computer control sometime after the reporting month as bills received in the reporting month and not as bills received in the following month. In the event that some bills may not have been counted in the proper month's receipts, it counts them as adjustments to the opening pending in the subsequent month.

It enters on line 2 any necessary adjustments, preceded by a minus sign for negative adjustments, as appropriate.

Line 3 - Adjusted Opening Pending - The system will sum line 1 + line 2 to calculate the adjusted opening pending.

#### Receipts

Line 4 - Received During Month - The intermediary enters the total number of bills received for initial processing during the month. It counts all bills immediately upon receipt regardless of whether or not they are put into the processing operation with the exception of those discussed below.

NOTE: It counts bills submitted by providers electronically after they have passed

intermediary consistency edits. Prior to that time, it may return these bills or the

entire tape reel (where magnetic tape is the medium of submission) without counting them as "received." However, once the bills or tapes have passed

consistency edits and are counted as received, it uses the actual receipt date, not

the date the edits are passed, in calculating pending and processing times.

If a bill belonging to one of the above-excluded categories is inadvertently counted as an initial bill received (e.g., certain adjustment bills unidentifiable at the time of receipt), the intermediary subtracts it from the receipt count when the bill is correctly identified.

Line 5 - Electronic Media Bills - The intermediary reports the net number of bills included on line 4 which were received in paperless form via electronic media from providers or their billing agencies and read directly into the intermediary claims processing system. It does not count on this line bills that it received in hardcopy and entered using an Optical Character Recognition (OCR) device. It does not count any bills received in hardcopy and transferred into electronic media by any entity working for it directly or under subcontract.

#### Clearances

Line 6 - Total CWF Bills (7 + 8) - The intermediary reports the number of initial bills

(described in lines 7 and 8 below) processed through CWF and posted to CWF history. It does not include bills sent to CWF and rejected, unless they were resubmitted and posted to CWF history in the reporting month. It reports these bills in the month that it moves the bill to a processed location in the intermediary system after receipt of the host's response to pay or deny.

Line 7 - Payment Approved (CWF) - The intermediary enters the number of initial bills for which it approved some payment and for which the CWF host responded accepting the intermediary determination. It includes bills for which it approved payment in full or in part as a result of a determination that both the beneficiary and the provider were without fault (liability waiver). (See The Medicare Claims Processing Manual, Chapter 10, Limitation on Liability.) The intermediary reports here those fully adjudicated,

approved-for-payment bills for which it has received a response from the host and are holding only due to the payment floor.

Line 8 - No Payment Approved (CWF) - The intermediary enters the number of initial bills processed through CWF during the month for which it approved no payment. It reports here those bills for which payment is not made because the deductible has not yet been met and payment is therefore applied to the deductible.

Line 9 - Total Non-CWF Bills (10 + 11) - The intermediary reports the number of initial bills (described in lines 10 and 11 below) processed outside CWF. Non-CWF bills are those either rejected by or not submitted to CWF that the intermediary finally adjudicates outside of CWF and therefore, are not posted to its history in the reporting month. The intermediary reports these bills as non-CWF, even if it plans to submit an informational record in the future. It reports such bills in the month in which it made the determination as to their final disposition.

It does not include home health bills where no utilization is chargeable and no payment has been made, but which it requested only to facilitate record keeping processes.

Line 10 - Payment Approved (Non-CWF) - The intermediary enters the number of initial bills processed outside CWF for which it approved some payment. It includes bills for which it approved payment in full or in part as a result of a determination that both the beneficiary and the provider were without fault (liability waiver). (See The Medicare Claims Processing Manual, Chapter 10, Limitation on Liability.)

Line 11 - No Payment Approved (Non-CWF) - The intermediary enters the number of initial bills processed outside CWF during the month for which it approved no payment.

Line 12 - Total Processed - The intermediary reports the sum of lines 6 and 9.

NOTE: It reports as processed on line 12 those bills it has moved to a processed location after being accepted by the host and is holding only due to the payment floor. However, for pages 2-12 of this report, it reports these bills as processed in the month during which the scheduled payment date falls (which may be in a subsequent reporting period).

The intermediary reports HMO bills it paid on line 12 and on pages 2-12. It does not report those bills paid by HMOs and processed by the intermediary on line 12 or on pages 2-12. It reports such HMO paid bills only on line 39 of page 1.

#### Closing Pending

Line 13 - Pending End of Month - The system will calculate the number of bills pending at the end of the month by adding line 3 (adjusted opening pending) to line 4 (receipts) and subtracting line 12 (total processed). The intermediary does not report as pending those bills that it has moved to a processed location after being accepted by the

host and is holding only due to the payment floor. It reports such bills as processed on line 12.

Line 14 - Pending Longer Than 1 Month - The intermediary reports the number of bills included in line 13 pending longer than 1 month, i.e., those received prior to the reporting month but not processed to completion by the end of the reporting month. For example, for the reporting month of October 2001, it reports the number of bills pending at the end of October 2001 which had been received prior to October 1, 2001. It excludes bills received in the reporting month.

Line 15 - Pending Longer Than 2 Months - The intermediary reports the number of bills included in line 13 pending longer than 2 months, i.e., those received prior to the month preceding the reporting month but not processed to completion by the end of the reporting month. For example, for the reporting month of October 2001, it reports the number of bills pending at the end of October 2001 that had been received prior to September 1, 2001. It excludes bills received in the reporting month and one month prior to the reporting month.

#### Bill Investigations

Line 16 - Bill Investigations Initiated - The intermediary enters the number of initial bills that, for purposes of processing the claim to completion, required outside contact (via telephone, correspondence, or on-site visit) with providers, social security offices, or beneficiaries during the month. This includes contacting outside parties to resolve problems with covered level of care determinations, insufficient medical information or missing, inconsistent, or incorrect items on the bill. It does not count routine submissions by providers of additional medical evidence with bills as investigations in themselves. It counts only the number of bills requiring investigation, not the number of contacts made. It excludes bills reported as investigated in a prior month from this count even if the investigation continued into the reporting month. It does not count as bills investigated those returned to providers because they were incomplete, incorrect or inconsistent, and consequently were not counted as "receipts."

#### SECTION B: ADJUSTMENT BILLS

This section includes data on the number of adjustment bills processed and pending for the reporting month, including those generated by providers, PROs, or as a result of MSP or other activity. In reporting adjustment bills, the intermediary counts only the number of original bills requiring adjustment, not both the debit and credit. The total PRO adjustment bills reported as processed on lines 18 and 23 must equal the number reported as processed on CROWD Form Z, Monthly PRO Adjustment Bill Report.

Claims submitted by HHAs under the HH PPS with three-digit classification 3-2-9 or 33-9 are processed as adjustments to a previously submitted RAP record. However, both HHPPS RAPs and claims are counted as initial bills. The intermediary does not report HH PPS claims as adjustments.

## Clearances

Line 17 - Total CWF Processed (18+19+20+21) - The intermediary reports the number of adjustment bills processed through CWF during the month. It counts adjustment bills as processed in final only when acceptance from CWF is received. Since §3664 precludes the processing of a utilization adjustment bill until CWF accepts the bill upon which the adjustment action is based, no utilization adjustment billing action may be processed until CWF has accepted the original bill.

Line 18 - PRO Generated (CWF) - The intermediary reports the number of adjustment bills included in line 17 which were generated by PROs.

Line 19 - Provider Generated (CWF) - The intermediary reports the number of adjustment bills included in line 17 which were generated by providers.

Line 20 - MSP (CWF) - The intermediary reports the number of adjustment bills included in line 17 which were generated as a result of MSP activity.

Line 21 - Other (CWF) - The intermediary reports the number of adjustment bills included in line 17 which were generated by other than PROs, providers, or MSP activity.

It includes HMO adjustments where the HMO acted as an intermediary and made payment on the initial bill.

Line 22 - Total Non-CWF Processed (23+24+25+26) - The intermediary reports the number of adjustment bills that it processed outside of CWF during the month. It counts such adjustment bills as processed in final only when no further action is required.

If it receives an adjustment bill from a provider when the original bill is still in its possession, it takes the final adjustment action on the original bill before it is submitted to CWF. It counts the adjustment bill as cleared when acceptance of the original bill is received from CWF.

Line 23 - PRO Generated (Non-CWF) - The intermediary reports the number of adjustment bills included in line 22 which were generated by PROs.

Line 24 - Provider Generated (Non-CWF) - The intermediary reports the number of adjustment bills included in line 22 which were generated by providers.

Line 25 - MSP (Non-CWF) - The intermediary reports the number of adjustment bills included in line 22 which were generated as a result of MSP activity.

Line 26 - Other (Non-CWF) - The intermediary reports the number of adjustment bills

included in line 22 that were generated by other than PROs, providers, or MSP activity.

It includes HMO adjustments where the HMO acted as an intermediary and made payment on the initial bill.

Pending

Line 27 - Total Pending (28+29+30+31) - The intermediary reports the number of adjustment bills which were not processed to completion by the end of the reporting month.

Line 28 - PRO Generated - The intermediary reports the number of adjustment bills included in line 27 which were not processed to completion by the end of the reporting month and which were generated by PROs.

Line 29 - Provider Generated - The intermediary reports the number of adjustment bills included in line 27 which were not processed to completion by the end of the reporting month and which were generated by providers.

Line 30 - MSP - The intermediary reports the number of adjustment bills included in line 27 which were not processed to completion by the end of the reporting month and which were generated by MSP activity.

Line 31 - Other - The intermediary reports the number of adjustment bills included in line 27 which were not processed to completion by the end of the reporting month and which were generated by it or by a source other than PROs, providers, or MSP activity. It includes HMO adjustments not processed to completion where the HMO acted as an intermediary and made payment on the initial bill.

#### SECTION C: MEDICAID CROSSOVER BILLS

This section presents data on the volume of Medicaid crossover bills sent to Medicaid State agencies or their fiscal agents.

#### Clearances

Line 32 - Transmitted to State Agencies - The intermediary enters the total number of Medicaid crossover bills transmitted to State agencies or their fiscal agents in the reporting month.

Line 33 - Transmitted Electronically - The intermediary enters the number of bills included in line 32 which were transmitted via electronic media to State agencies or their fiscal agents.

#### SECTION D: MISCELLANEOUS DATA

## INQUIRIES

This section presents data on the volume of provider or beneficiary inquiries that were processed during the reporting month. Include only processed inquiries dealing with

Medicare bill processing issues. These issues correspond to the workload budgeted under line 1 of the CMS-1523 budget form.

The intermediary counts inquiries as follows:

Beneficiary - It counts one per contact (telephone, walk-in, or written), regardless of the number of bills being questioned. For example, if a letter from a beneficiary requests information on the status of one or more bills, it counts the response (interim or final) as one written beneficiary inquiry. It counts each completed reply, terminated telephone conversation, or in-person discussion as processed, regardless of the need for subsequent contact on the same issue. Responses resulting from additional intermediary followup or analysis, or from recontacts by the beneficiary, are separate inquiries. Beneficiary inquiries include those made by anyone on behalf of the beneficiary, except by a provider.

Provider - The intermediary counts one per contact (telephone, walk-in, or written). For example, if a provider calls or writes to obtain the status of 3, 6, or 10 separate bills, it count the response as 1 provider telephone or written inquiry.

It includes or excludes beneficiary and provider inquiries as follows:

- It counts as inquiries requests for Medicare information from beneficiaries or providers or their representatives that are directed to it for response.
- It does not count processed inquiries that are concerned solely with its line of business.
- It does not count inquiries concerned with professional relations activities.
- It does not count inquiries related solely to payment issues, MR or utilization review, MSP, audits, etc. These are areas for which it receives separate Medicare funding. This exclusion achieves comparability with the CMS-1523 budget form.
- It counts voice inquiries captured electronically as telephone inquiries, and electronic mail inquiries as written inquiries. It counts electronic inquiries only if the response is provided by telephone or in writing and requires its involvement. It does not count electronic inquiries if the provider can directly access its system to determine bill status.
- It counts Congressional inquiries according to whether they were made on behalf of a beneficiary or provider.
- It counts inquiries made by ROs or SSA district offices only if they concern a Medicare bill and are made on behalf of a beneficiary or provider.

- It counts misdirected telephone inquiries referred to another source for a final response. It does not count misdirected written inquiries.
- It does not count inquiries that are, in fact, explicit or implicit requests for reconsiderations or hearing. See Medicare Claims Processing Manual, Chapter 30, Beneficiary Correspondence and Appeals. for specifics on what is a request for reconsideration or review.
- It reports the number of inquiries from beneficiaries (column 2) and providers (column 3) processed during the reporting month, as follows:  
Line 34 - Total - It reports in the appropriate column the total number of inquiries processed.

Line 35 - Telephone Inquiries - It reports in the appropriate column the total number of telephone inquiries processed.

Line 36 - Walk-in Inquiries - It reports in the appropriate column the total number of walk-in contacts processed.

Line 37 - Written Inquiries - It reports in the appropriate column the total number of written inquiries responded to.

#### OPTICAL CHARACTER RECOGNITION BILLS

Line 38 - Total Bills Received - It enters the total number of bills that it received in hardcopy and entered using an OCR device. It does not count these bills as electronic media bills on line 5, page 1, or in column 8, pages 2-11.

#### BILLS PAID BY HMOs

Line 39 - Total HMO Bills Processed - It enters the number of bills that were paid by HMOs and processed by it during the reporting month. It reports HMO bills paid by it on line 12 but does not report such bills on line 39.

#### MEDICARE SUMMARY NOTICES (MSNs)

Line 40 - Total MSNs Mailed - It enters the number of MSNs mailed to beneficiaries during the reporting month.

### 30.7 - Body of Report

(Rev. 126; Issued: 07-13-07; Effective: 01-01-08; Implementation: 01-07-08)

#### SECTION F: INTEREST PAYMENT DATA

The intermediary reports on Page 22 of the CMS-1566 data on the bills on which it paid interest because it paid the bills after the required payment date per §9311 of the Omnibus Budget Reconciliation Act of 1986. Counts of bills processed reflect their status as of the last workday of the reporting calendar month. The intermediary bases data shown on reliable counts of all bill processing activity and not on estimates. It reports data on initial bills only. Note that HH PPS RAPs with three-digit classification code 32-2 or 3-3-2 with dates of service 10/01/2000 and greater are not subject to interest payment and should be excluded from this section. The intermediary includes all bills requiring interest payments in the month. It reports bills in the month the scheduled date of payment falls. See The Medicare Claims Processing Manual, Chapter 1, General Billing Requirements for a discussion of interest payments and the definition of scheduled payment date.

It the report for each column as follows:

- Column 1 - Total - It includes data for all bills for which interest payments were made in the reporting month.
- Column 2 - Hospital - Of the bills reported in column 1, it shows in column 2 data for CMS-1450s submitted by hospitals for inpatient or outpatient services with the following two-digit classification codes in Form Locator 4:
  - 1-1 (inpatient hospital)
  - 1-2 (inpatient hospital - Part B benefits)
  - 1-3 (outpatient hospital)
  - 1-4 (hospital - other Part B benefits)
  - 4-1 (Religious Nonmedical Health Care Hospital - inpatient)
  - 4-2 (Religious Nonmedical Health Care Hospital - inpatient Part B benefits)
  - 4-3 (Religious Nonmedical Health Care Hospital - outpatient)
  - 4-4 (Religious Nonmedical Health Care Hospital - inpatient other)
  - 8-3 (Outpatient hospital surgical procedures - ASC)
- Column 3 - SNF--Of the bills reported in column 1, it shows in column 3 data for CMS- 1450s submitted with the following two-digit classification codes in Form Locator 4:
  - 1-8 (hospital swing-bed)
  - 2-1 (SNF - inpatient)
  - 2-2 (SNF - inpatient Part B benefits)
  - 2-3 (SNF - outpatient)

2-4 (SNF - other Part B benefits)  
2-8 (SNF-swing-bed)  
5-1 (Religious Nonmedical Health Care SNF - inpatient)  
5-2 (Religious Nonmedical Health Care SNF - inpatient Part B benefits)  
5-3 (Religious Nonmedical Health Care SNF - outpatient)  
5-4 (Religious Nonmedical Health Care SNF - inpatient other)

- Column 4 - HHA - Of the bills reported in column 1, it shows in column 4 data for CMS-1450s with the following two digit classification codes in Form Locator 4: 3-2, 3-3, and 3-4.
- Column 5 - Hospice - Of the bills reported in column 1, it shows in column 5 data for CMS-1450s with the following two-digit classification codes in Form Locator 4: 8-1 and 8-2.
- Column 6 - Remainder - Of the bills reported in column 1 it shows in column 6 data for all CMS-1450s not included in columns 2-5 (including provider and independent RHCs).

On line 1, it shows the number of claims on which it paid interest in the reporting month.

It reports on line 2 the number of claims included in line 1 for which it made payment

one day after the required payment date (e.g., the required payment date is 25 days in FY

1999). Data for lines 3-10 are similar to those for line 2. It calculates the number of days

late by subtracting the Julian date of receipt of the bill from the Julian scheduled payment

date and then subtracting the required payment date (i.e., 25 in FY 1999). If the bill is

paid in the year following the year of receipt, it adds 365 or 366 (if the year of receipt is a

leap year) to the result, as appropriate.

On line 11, it shows the amount paid in interest on the bills reported in line 1. See The

Medicare Claims Processing Manual, Chapter 1, General Billing Requirements on how to

calculate interest payments. On lines 12-20 it shows the amounts paid in interest for bills

reported in lines 2-10, respectively. It shows payment amounts on lines 11-20 to the

nearest penny, including the decimal point.

EXHIBIT 1

Form CMS 1566 - Medicare Program Intermediary Workload Report, Page 1

Intermediary Name: Reporting Period:

Intermediary Number: Number of Working Days:

SECTION A:

INITIAL BILL PROCESSING

TOTAL

(1)

INPATIENT

(2)

OUTPATIENT

(3)

SNF

(4)

HHA

(5)

OTHER

(6)

Opening Pending

1. Opening Pending

2. Adjustments (+ or -)

3. Adj Opening Pending

Receipts

4. Received during Month

5. Electronic Media

Clearances

6. Total CWF Bills

7. Payment Approved

8. No Payment Approved

9. Total Non-CWF Bills

10. Payment Approved

11. No Payment Approved

12. Total Processed

Closing Pending

13. Pending End of Month

14. Longer than 1 Month

15. Longer than 2 Months

Exhibit 1 (Cont.)

Form CMS 1566 - Medicare Program Intermediary Workload Report, Page 1

Intermediary Name: Reporting Period:  
Intermediary Number: Number of Working Days:

SECTION A:

INITIAL BILL PROCESSING

TOTAL

(1)

INPATIENT

(2)

OUTPATIENT

(3)

SNF

(4)

HHA

(5)

OTHER

(6)

Bill Investigations

16. Investigations Init

SECTION B: ADJUSTMENT BILLS

CWF Clearances

17. Total CWF Processed

18. PRO Generated

19. Provider Generated

20. MSP

21. Other

Non-CWF Clearances

22. Total Non-CWF Prcsd

23. PRO Generated

24. Provider Generated

25. MSP

26. Other

Exhibit 1 (Cont.)

Form CMS 1566 - Medicare Program Intermediary Workload Report, Page 1

Intermediary Name: Reporting Period:

Intermediary Number: Number of Working Days:

SECTION B: ADJUSTMENT BILLS

TOTAL

(1)

INPATIENT

(2)

OUT

PATIENT

(3)

SNF

(4)

HHA

(5)

OTHER

(6)

Pending

27. Total Pending

28. PRO Generated

29. Provider Generated

30. MSP

31. Other

SECTION C: MEDICAID

CROSSOVER BILLS

Clearances

32. Trans to St Agencies

33. Trans Electronically

SECTION D:

MISCELLANEOUS DATA TOTAL BENEFICIARY PROVIDER

Inquiries

34. Total Inquiries

35. Telephone

36. Walk-In

37. Written

Exhibit 1 (Cont.)

Form CMS 1566 - Medicare Program Intermediary Workload Report, Page 1

Intermediary Name: Reporting Period:

Intermediary Number: Number of Working Days:

SECTION D:

MISCELLANEOUS DATA

TOTAL

(1) (2)

INPATIENT OUTPATIENT

(3)

SNF

(4)

HHA

(5)

OTHER

(6)

OCR Bills

38. Total Received

Bills Paid by HMOs

39. Total Processed

Medicare Summary Notices

40. Total MSNs Mailed

EXHIBIT 2

SECTION E(1): CLAIMS PROCESSING TIMELINESS - ALL CLAIMS

Form CMS 1566 - Medicare Program Intermediary Workload Report, Pages 2-11

Intermediary Number: Bill Type: Report Month:

\*\*\*\*\* \*\*PAID\*\* \*\*\*\*\* \*\*NOT PAID\*\*

\*\*Non-PIP\*\* \*\*PIP\*\*

DAYS TO

PROCESS

TOTAL

(1)

CLEAN

(2)

OTHER

(3)

CLEAN

(4)

OTHER

(5)

CLEAN

(6)

OTHER

(7)

EMC

(8)

1.1

2. 2

3. 3

4. 4

5. 5

6. 6

7. 7

8. 8

9. 9

10. 10

11. 11

12. 12

Exhibit 2 (Cont.)

SECTION E(1): CLAIMS PROCESSING TIMELINESS--ALL CLAIMS

Form CMS 1566 - Medicare Program Intermediary Workload Report, Pages 2-11

Intermediary Number: Bill Type: Report Month:

\*\*\*\*\* \*\*PAID\*\* NOT PAID

\*\*Non-PIP\*\* \*\*PIP\*\*

DAYS TO

PROCESS

TOTAL

(1)

CLEAN

(2)

OTHER

(3)

CLEAN

(4)

OTHER

(5)

CLEA

N

(6)

OTHE

R

(7)

EMC

(8)

13. 13

14. 14

15. 15

16. 16

17. 17

18. 18

19. 19

20. 20

21. 21

22. 22

23. 23

Exhibit 2 (Cont.)

SECTION E(1): CLAIMS PROCESSING TIMELINESS--ALL CLAIMS

Form CMS 1566 - Medicare Program Intermediary Workload Report, Pages 2-11

Intermediary Number: Bill Type: Report Month:

\*\*\*\*\* \*\*PAID\*\* \*NOT PAID\*

\*\*Non-PIP\*\* \*\*\*\*PIP\*\*\*\*

DAYS TO  
PROCESS

TOTAL

(1)

CLEAN

(2)

OTHER

(3)

CLEAN

(4)

OTHER

(5)

CLEA

N

(6)

OTHE

R

(7)

EM

C

(8)

24. 24

25. 25

26. 26

27. 27

28. 28

29. 29

30. 30

31. 31

32. 32

33. 33

Exhibit 2 (Cont.)

SECTION E(1): CLAIMS PROCESSING TIMELINESS--ALL CLAIMS

Form CMS 1566 - Medicare Program Intermediary Workload Report, Pages 2-11

Intermediary Number: Bill Type: Report Month:

\*\*\*\*\* \*\*PAID\*\* \*\*NOT PAID\*\*

\*\*Non-PIP\*\* \*\*PIP\*\*

DAYS TO

PROCESS

TOTAL

(1)

CLEAN

(2)

OTHER

(3)

CLEAN

(4)

OTHER

(5)

CLEA

N

(6)

OTHE

R

(7)

EMC

(8)

34. 34-45

35. 46-60

36. 61-90

37. 91+

38. Total

39. Mean

PT

CMS-1566, Page

Page number and bill type to be reported as follows:

Page 2 - Inpatient Hospital (INP) Page 7 - CORF (COR)  
Page 3 - Outpatient (OUT) Page 8 - ESRD (ERD)  
Page 4 - SNF (SNF) Page 9 - Lab (LAB)  
Page 5 - HHA (HHA) Page 10 - Other (OTH)  
Page 6 - Hospice (HPC) Page 11 - Total (TOT)

EXHIBIT 4

SECTION F: INTEREST PAYMENT DATA

Form CMS 1566 - Medicare Program Intermediary Workload Report, Page 22

Intermediary Number: Report Month:

BILLS/PAYMENTS

DAYS LATE

TOTAL

(1)

HOSPITAL

(2)

SNF

(3)

HHA

(4)

HOSPICE

(5)

REMAINDER

(6)

1. Total Bills

2. 1

3. 2

4. 3

5. 4

6. 5

7. 6-15

8. 16-30

9. 31-60

10. 61+

11. Total Paid

12. 1

13. 2

14. 3

15. 4

Exhibit 4 (Cont.)

SECTION F: INTEREST PAYMENT DATA

Form CMS 1566 - Medicare Program Intermediary Workload Report, Page 22

Intermediary Number: Report Month:

BILLS/PAYMENTS

DAYS LATE

TOTAL

(1)

HOSPITAL

(2)

SNF

(3)

HHA

(4)

HOSPICE

(5)

REMAINDER

(6)

16. 5

17. 6-15

18. 16-30

19. 31-60

20. 61+

130.4 - Part C - Miscellaneous Claims Data

(Rev. 126; Issued: 07-13-07; Effective: 01-01-08; Implementation: 01-07-08)

Medicaid Crossover Claims - This part of the report represents data on the volume of Medicaid crossover claims.

Line 28 Number Transferred to State Agencies - The carrier enters the total number of Medicaid crossover claims transferred to State agencies or their fiscal agents in the reporting month.

Line 29 Number Transferred Electronically - The carrier enters the total number of Medicaid crossover claims reported in line 28 which were transferred in the reporting month to State agencies, or their fiscal agents, via electronic media.

Optical Character Recognition Claims

Line 30 Total Claims - The carrier enters the number of claims received in hardcopy and entered using an OCR device. It does not count these claims as EMC claims on line 7, page 1, or in column 6, pages 2-9.

Medicare Summary Notices (MSNs)

Line 31 Total MSNs Mailed - The carrier enters the number of MSNs mailed to beneficiaries during the reporting month.

200 - Exhibits

(Rev. 126; Issued: 07-13-07; Effective: 01-01-08; Implementation: 01-07-08)

Exhibit 1 -Medicare Program Carrier Performance Report- Page 1

MEDICARE PROGRAM CARRIER PERFORMANCE REPORT- Page 1

Carrier Number

Report Period

( Month/Yr)

Working

Days

Number and Type of Claim

Reporting Item Total

(1)

Assigned

(2)

Unassigned

(3)

A. Monthly Workload Operations

OPENING PENDING

1. Claims Pndg End of Last Mo.

2. Adjustments (Show + or -)

3. Adjusted Opening Pending

RECEIPTS

4. Tot. Clms. Rcvd. During Mo.

5. Transferred to Other Carrier

6. Net Number of Claims Received

7. Electronic Media Claims Recvd.

CLAIMS PROCESSED

8. Total CWF Claims

9. Claims Paid

10. Claims Applied To Deductible

11. Claims Denied

12. Total Non-CWF Claims

13. Claims Approved

14. Claims Denied

15. Total Claims Processed

16. Replicate Claims Processed

Exhibit 1 (Cont.)

MEDICARE PROGRAM CARRIER PERFORMANCE REPORT- Page 1 (cont)

Carrier Number

Report Period

( Month/Yr)

Working

Days

Number and Type of Claim

Reporting Item Total

(1)

Assigned

(2)

Unassigned

(3)

CLOSING PENDING

17. Claims Pending at End of Month

DISTRIBUTION OF DAYS ELAPSED SINCE RECEIPT

18. 1 - 15 Days

19. 16 - 30 Days

20. 31 - 60 Days

21. 61 - 90 Days

22. Over 90 Days

CLAIMS INVESTIGATIONS

23. No. of Clms. Invest. During Mo.

B. INQUIRIES TOTAL BENEFICIARY PROVIDER

24. Tot. No. Processed During Mo.

25. Telephone

26. Walk-In Contact

27. Written

C. MISCELLANEOUS CLAIMS

DATA

MEDICAID CROSSOVER CLAIMS

28. No. Transferred to St. Agencies

29. No. Transferred Electronically

Exhibit 1 (Cont.)

MEDICARE PROGRAM CARRIER PERFORMANCE REPORT- Page 1 (cont)

Carrier Number

Report Period

( Month/Yr)

Working

Days

Number and Type of Claim

Reporting Item Total

(1)

Assigned

(2)

Unassigned

(3)

OPTICAL CHARACTER RECOGNITION

CLMS.

30. Total Claims

MEDICARE SUMMARY NOTICES

31. Total MSNs Mailed

Form CMS-1565

Exhibit 2 - Medicare Program Carrier Performance Report - Form CMS-1565,  
Pages 2-9

MEDICARE PROGRAM CARRIER PERFORMANCE REPORT -  
FORM CMS-1565, Pages 2-9  
CARRIER WORKLOAD REPORT - PAGE \_\_\_\*\_\_\_  
PART - D (1) CLAIMS PROCESSING TIMELINESS - ALL CLAIMS  
CARRIER ID\_\_\_\_\_ TYPE OF CLAIM\_\_\_\_\_ \* \_\_\_\_\_ REPORT MO. \_\_\_\_\_

PAID NOT PAID  
LINE NO./DAYS TOTAL

(1)  
CLEAN  
(2)  
OTHER  
(3)  
CLEAN  
(4)  
OTHER  
(5)  
EMC  
(6)  
1 1  
2 2  
3 3  
4 4  
5 5  
6 6  
7 7  
8 8  
9 9  
10 10  
11 11  
12 12  
13 13  
14 14  
15 15  
16 16

17 17  
18 18  
19 19  
20 20  
21 21  
22 22  
23 23  
24 24  
25 25  
26 26  
27 27  
28 28  
29 29

Exhibit 2 (Cont.)

PAID NOT PAID  
LINE NO./DAYS TOTAL  
(1)  
CLEAN  
(2)  
OTHER  
(3)  
CLEAN  
(4)  
OTHER  
(5)  
EMC  
(6)  
30 30  
31 31  
32 32  
33 33  
34 34-45  
35 46-60  
36 61-90  
37 91+  
38 Tot 1-37  
39 Mean Pt

CMS-1565 Page \*\_\*

\* PAGE NUMBER AND TYPE OF CLAIM ARE TO BE REPORTED AS FOLLOWS:

Page 2-Assigned Physician  
Page 3-Assigned DME  
Page 4-Assigned Lab  
Page 5-Assigned Ambulance  
Page 6-Assigned Other  
Page 7-Unassigned  
Page 8-Participating Physician  
Page 9-All Claim

Exhibit 3 - Adjustments for CPEP CPT

EMC PAID

EMC NOT

PAID

ADJUSTMENTS FOR CPEP CPT

LINE NO./DAYS CLEAN

(1)

OTHER

(2)

CLEAN

(3)

CALCULATIONS:

1 1 CWF

Claims which were  
beyond carrier control due  
to CWF.

2 2

3 3

4 4

5 5 A. EMC clean claims

Processed beyond EMC6 6

7 7

8 8 B. Paper clean claims

Processed beyond Paper  
ceiling

9 9

10 10

11 11 C. All claims processed

Beyond 60 days \_\_\_\_12 12

13 13 WAIVER

Claims paid under the  
floor For which the carrier  
had a waiver from CMS.

14 14

15 15

16 16

17 17 D. EMC clean claims

Paid under EMC floor18 18

19 19

20 20 E. Paper clean claims

Paid under paper floor21 21

22 22

23 23 F. All EMC claims paid

under EMC floor and all  
paper claims paid under  
paper floor \_\_\_\_\_

24 24

25 25

26 26

27 27

28 28

29 29

30 30

31 31

32 32



Exhibit 3 (Cont.)

EMC PAID EMC  
NOT PAID  
LINE NO./DAYS CLEAN  
(1)  
OTHER  
(2)  
CLEAN  
(3)  
CALCULATIONS:  
34 34-45  
35 46-60  
36 61-90  
37 91+  
38 Tot 1-37  
39 Mean Pt

CMS-1565 Page \*\_\*

\* PAGE NUMBER AND TYPE OF CLAIM ARE TO BE REPORTED AS FOLLOWS:  
Page 10-Participating Physician (PAR)  
Page 11-Total (TOT)

Exhibit 4 - Carrier Workload Report - Part-E - Interest Payment Data  
CARRIER WORKLOAD REPORT - PAGE \_\_\_\*\_\_\_  
PART-E - INTEREST PAYMENT DATA

CARRIER ID  
REPORT MONTH

LINE NO  
CLAIM/PAYMENT  
LATE DAYS  
TOTAL

(1)

ASTD

PHYS

(2)

ASTD

DME

(3)

ASTD

LAB

(4)

ASTD

AMB

(5)

ASTD

OTHER

(6)

UNASTD

(7)

PARTIC.

PHYS

(8)

1. No. of Claims
2. 1 Day late
3. 2 Days Late
4. 3 Days Late
5. 4 Days Late
6. 5 Days Late
7. 6-15 A Late
8. 16-30 A Late
9. 31-60 A Late
10. 61+ A Late
11. Amount paid
12. 1 Day late
13. 2 Days Late
14. 3 Days Late
15. 4 Days Late
16. 5 Days Late
17. 6-15 A Late
18. 16-30 A Late
19. 31-60 A Late
20. 61+ A Late

Exhibit 5 - Carrier Workload Report - Part F - All Trunks Busy (ATB)

CARRIER WORKLOAD REPORT  
PART F - ALL TRUNKS BUSY (ATB)

ALL TRUNKS BUSY  
CARRIER ID \_\_\_\_\_ REPORT MONTH \_\_\_\_\_

LOCAL  
CALLS

(1)

TOLL FREE  
CALLS

(2)

1. PERCENT OF ATB

2. NUMBER OF BENEFICIARY CALLS ANSWERED IN  
120 SECONDS

3. TOTAL NUMBER OF  
BENEFICIARY CALLS RECEIVED

4. % OF BENEFICIARY CALLS ANSWERED IN 120  
SECONDS

EXPLANATION FOR FAILURES:

