

<b>CMS Manual System</b>	Department of Health & Human Services (DHHS)
<b>Pub 100-08 Medicare Program Integrity</b>	Centers for Medicare & Medicaid Services (CMS)
Transmittal 161	Date: SEPTEMBER 29, 2006
	Change Request 5281

**SUBJECT: Update the Common Working File (CWF) Consistency, Utilization and A/B Crossover Edit Requirements to Use National Provider Identifiers (NPIs) in Place of Unique Physician Identification Numbers (UPINs)**

**I. SUMMARY OF CHANGES:** This instruction requests CWF to implement system changes to update its existing consistency, utilization and A/B crossover edits. Updates will be made to allow NPI UPINs when available to be submitted along with, or in place of the Legacy UPIN. This instruction is effective with process date October 2, 2006.

**NEW/REVISED:**

**EFFECTIVE DATE: OCTOBER 1, 2006**

**IMPLEMENTATION DATE: OCTOBER 2, 2006**

*Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.*

**II. CHANGES IN MANUAL INSTRUCTIONS: (N/A if manual is not updated)**

R/N/D	CHAPTER / SECTION / SUBSECTION / TITLE
N/A	

**III. FUNDING:**

No additional funding will be provided by CMS; contractor activities are to be carried out within their FY 2006 operating budgets.

**IV. ATTACHMENTS:**

**One-Time Notification Attachment**

*\*Unless otherwise specified, the effective date is the date of service.*

# Attachment – One-Time Notification

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**SUBJECT: Update the Common Working File (CWF) Consistency, Utilization and A/B Crossover Edit Requirements to Use National Provider Identifiers (NPIs) in Place of Unique Physician Identification Numbers (UPINs)**

## I. GENERAL INFORMATION

**A. Background:** This instruction uses the data analysis developed from Pub. 100-08, Transmittal 129, Change Request (CR) 4166, dated November 4, 2005, to establish the business requirements that will be used to implement CWF system changes to accept NPIs in lieu of UPINs effective May 23, 2007. CR 4166 instructed shared system maintainers to conduct analysis regarding the impact of accepting NPIs in lieu of UPINs in their respective systems and identify situational and downstream users that could also be affected by that change.

This instruction requests CWF to implement system changes to update its existing consistency, utilization and A/B crossover edits. Updates will be made to allow NPI reporting along with, or instead of a UPIN, particularly for new physicians who will not even be assigned a UPIN after May 22, 2007. This instruction is effective October 2, 2006. With this instruction CWF is only making modifications to allow the NPI to be submitted on claims and to enable those claims to be processed with or without a UPIN.

Additional instructions will be issued in a future release that will request CWF to create new consistency, utilization and A/B crossover edits to set when only NPIs may be submitted on claims and UPINs are no longer to be reported. As part of the future instruction, CWF will also modify the paid claims history list (PCHL) screen to add NPI fields, to add a NPI field to the claim detail, and to add two additional modifier fields. New utilization edits will be created to replace existing utilization edits that currently read the PCHL.

The final part of that instruction will be for CWF to address changes associated with the Deceased UPIN File and to modify Trailers '06', '08', '16', '33', and '39'.

**B. Policy:** The administrative simplification provisions of the Health Insurance Portability and Accountability Act of 1996 (P.L. 104-191), require the Secretary of Health and Human Services to adopt a national standard identifier for covered health care providers for use in national health care systems. CMS published a Final Rule on January 23, 2004, that announced the NPI as the standard identifier.

## II. BUSINESS REQUIREMENTS

*"Shall" denotes a mandatory requirement*





5281.6.1	CWF shall not validate the claim for editing of 05x5 if no Legacy UPIN is present.							X	
5281.7	CWF shall bypass consistency error code C030 when an HUCM record is submitted and the Legacy Ordering Physician UPIN is not present, but the NPI Ordering Physician UPIN is present.							X	
5281.7.1	CWF shall not validate the claim for editing of C030 if no Legacy UPIN is present							X	









### III. PROVIDER EDUCATION

Requirement Number	Requirements	Responsibility ("X" indicates the columns that apply)									
		FI	RHHI	Carrier	DMERC	Shared System Maintainers				Other	
						F I S S	M C S	V M S	C W F		
	None										

### IV. SUPPORTING INFORMATION AND POSSIBLE DESIGN CONSIDERATIONS

A. Other Instructions: N/A

X-Ref Requirement #	Instructions

B. Design Considerations: N/A

X-Ref Requirement #	Recommendation for Medicare System Requirements

C. Interfaces: N/A

D. Contractor/Shared System Maintainers Financial Reporting /Workload Impact: N/A

E. Dependencies: N/A

F. Testing Considerations: N/A

### IV. SCHEDULE, CONTACTS, AND FUNDING

<p><b>Effective Date*: October 1, 2006</b>  <b>Implementation Date: October 2, 2006</b></p> <p><b>Pre-Implementation Contact(s):</b>          Gerald Wright (410) 786-5798</p>	<p><b>No additional funding will be provided by CMS: contractor/shared system maintainers activities shall be carried out within their FY</b></p>
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<b>Post-Implementation Contact(s):</b> Gerald Wright (410) 786-5798	<b>2007 current operating budgets.</b>
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