

CMS Manual System	Department of Health & Human Services (DHHS)
Pub 100-08 Medicare Program Integrity	Centers for Medicare & Medicaid Services (CMS)
Transmittal 162	Date: SEPTEMBER 29, 2006
	Change Request 5329

SUBJECT: Coding Change to MCS to Accept NPI From PECOS Extract File

This change request (CR) rescinds and fully replaces Transmittal 154, CR 5059, dated July 28, 2006.

I. SUMMARY OF CHANGES: The NPI is a new data element which will be collected as a part of the Medicare enrollment process as of May 15, 2006. The Medicare Claims System (MCS) must make necessary coding changes to be able to accept the NPI from the daily PECOS extract file as of January 1, 2007.

NEW/REVISED MATERIAL

EFFECTIVE DATE: JANUARY 1, 2007

IMPLEMENTATION DATE: JANUARY 2, 2007

Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.

II. CHANGES IN MANUAL INSTRUCTIONS: (N/A if manual is not updated)

R/N/D	CHAPTER / SECTION / SUBSECTION / TITLE
N/A	

III. FUNDING:

No additional funding will be provided by CMS; contractor activities are to be carried out within their FY 2007 operating budgets.

IV. ATTACHMENTS:

One-Time Notification

**Unless otherwise specified, the effective date is the date of service.*

Attachment – One-Time Notification

Pub. 100-08	Transmittal: 162	Date: September 29, 2006	Change Request 5329
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SUBJECT: Coding Change to MCS to Accept NPI From PECOS Extract File

This change request (CR) rescinds and fully replaces Transmittal 154, CR 5059, dated July 28, 2006.

The MCS Claims Layout Spreadsheet Option 1 attachment is changed. All other information remains the same.

I. GENERAL INFORMATION

A. Background: Provider Enrollment Chain and Organization System (PECOS) Release 4.3 (currently scheduled for January 2007) will complete necessary coding changes to PECOS to enable the National Provider Identifier (NPI) to be transmitted, via the daily PECOS extract file, to the Medicare Claims System (MCS). Currently, MCS is not coded to accept this new Medicare Identifier from the (PECOS) extract.

B. Policy: Collection and retention of CMS 855 enrollment data has been cleared through a Paperwork Reduction Act Notice in the “Federal Register”. The authority for the various types of data to be collected is found in multiple sections of the Social Security Act and Code of Federal Regulations. Specifically in Sections 1816, 1819, 1833, 1834, 1842, 1861, 1866 and 1891 of the Act and 42 CFR Subchapter E.

II. BUSINESS REQUIREMENTS

“Shall” denotes a mandatory requirement

“Should” denotes an optional requirement

Requirement Number	Requirements	Responsibility (“X” indicates the columns that apply)								
		F I	R H I	C H I	D R I	Shared System Maintainers				Other
					F I S S	M C S	V M S	C W F		
5329.1	The MCS shall conduct all necessary analysis to identify all coding changes that will be required for the MCS in order for them to accept the validated NPI from the daily data extract sent from PECOS to MCS.			X			X			PECOS

Requirement Number	Requirements	Responsibility (“X” indicates the columns that apply)								
		F I	R H I	C a r r i e r	D M E R C	Shared System Maintainers				Other
						F I S S	M C S	V M S	C W F	
5329.2	The MCS shall implement all necessary coding changes to enable the system to accept the validated NPI from the PECOS daily extract file.			X			X			PECOS
5329.2.1	The MCS shall conduct all necessary testing to validate effective implementation of coding changes.			X			X			PECOS
5329.3	The MCS shall place the validated NPI from PECOS on the MCS Master Provider File.			X			X			PECOS
5329.4	The MCS shall ensure that the validated NPI from PECOS is not editable by their end users on any MCS interface screen.			X			X			
5329.5	The MCS shall ensure that the validated NPI from PECOS can not be overwritten from any other data source.			X			X			PECOS

III. PROVIDER EDUCATION

Requirement Number	Requirements	Responsibility (“X” indicates the columns that apply)								
		F I	R H I	C a r r i e r	D M E R C	Shared System Maintainers				Other
						F I S S	M C S	V M S	C W F	
	None.									

IV. SUPPORTING INFORMATION AND POSSIBLE DESIGN CONSIDERATIONS

A. Other Instructions:

X-Ref Requirement #	Instructions
5329.6	Utilize the attached updated PECOS Provider Enrollment Export layout for analysis and design.

B. Design Considerations: N/A

X-Ref Requirement #	Recommendation for Medicare System Requirements

C. Interfaces: Updated PECOS Provider Enrollment Export Layout File

D. Contractor Financial Reporting /Workload Impact: N/A

E. Dependencies: N/A

F. Testing Considerations:

- Testing should validate that MCS can continue to accept an extract file from PECOS and process successfully.
- The MCS test region should retrieve the export data created from PECOS, load the data into the MCS test region and validate that the data was applied to MCS successfully.
- The Part B data centers should retrieve the export data created from PECOS, load the data into their MCS test region and validate that the data was applied to MCS successfully.

V. SCHEDULE, CONTACTS, AND FUNDING

<p>Effective Date*: January 1, 2007</p> <p>Implementation Date: January 2, 2007</p> <p>Pre-Implementation Contact(s): Alisha Banks (410) 786-0671 Alisha.Banks@cms.hhs.gov</p> <p>Post-Implementation Contact(s): Alisha Banks (410) 786-0671 Alisha.Banks@cms.hhs.gov</p>	<p>No additional funding will be provided by CMS; contractor activities are to be carried out within their FY 2007 operating budgets.</p>
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*Unless otherwise specified, the effective date is the date of service.

Attachment



Creating Solutions for Government Innovation

PECOS MCS Enrollment Record (Carrier) Export Layout

Doc ID: MAIN-4.3.0-DES-12285-v0.40

Prepared for



Centers for Medicare & Medicaid Services

August 2006

Revision Log

The table below provides a log of each revision of the document that has been issued.

Version	Description	Author	Issue Date	Reviewer	Review Date	Deadline Date
v0.10	Initial draft	CK	6/2006			
v0.20	<ul style="list-style-type: none"> updates based on changes to PECOS add high-level information about PECOS application 	ED	8/2006	GC	8/2006	
v0.30	Move filler from end of record into space left behind from removal of COUNTY field in the Practice Location child record	ED	8/2006			
v0.40	Remove NPI Indicator field from the Practice Location child record – adjust filler and displacements accordingly.	ED	9/2006	RM	8/8/06	

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Introduction

Multi-Carrier System (MCS) is the national standard claims processing system used to process Medicare Part B and individual provider claims. With the implementation of Release 4.3.0, PECOS will add the National Provider Identifier (NPI) and information associated to the NPI in the extract file that is sent to the MCS application.

1.1 Document Purpose

The purpose of this document is to describe the format of the PECOS MCS Enrollment Record (Carrier) Export file and the triggers that result in an enrollment record's inclusion in the daily extract file that is sent to MCS.

1.2 Assumptions

The following is a list of project assumptions associated with this effort:

- The PECOS team is not responsible for program changes at MCS which are required in order to process the new layout.

1.3 Document Layout

The remainder of this document is organized as follows:

- Chapter 2: PECOS MCS Enrollment Record (Carrier) File—This chapter describes the file structure and metrics of the claims export file.
- Chapter 3: PECOS MCS Enrollment Record (Carrier) File Layout—This chapter describes the layout of the MCS Claims Export File.
- Appendix A: This appendix has a list of valid enrollment status codes, and enrollment status reason codes that are associated to them.
- Appendix B: This appendix outlines the changes that will be made in this release, and includes before and after snapshots of the portions of the file that will be modified.

2 PECOS MCS Enrollment Record (Carrier) Extract File

The chapter describes the file structure of the PECOS MCS Enrollment Record (Carrier) Extract file. Files are generated on a nightly basis by the CMS mainframe for all enrollment records where the export switch in the PECOS Enrollment Status table has been set to 'Y'. The switch is set when a carrier moves a record to one of the five final statuses, and is switched back to 'N' after the record has been written to the extract file. The PECOS MCS Enrollment Record (Carrier) Extract File is actually multiple files, one for each carrier ID. Network Data Mover (NDM) is used to transfer the file(s) to the appropriate claim system, based on the carrier ID in the file name.

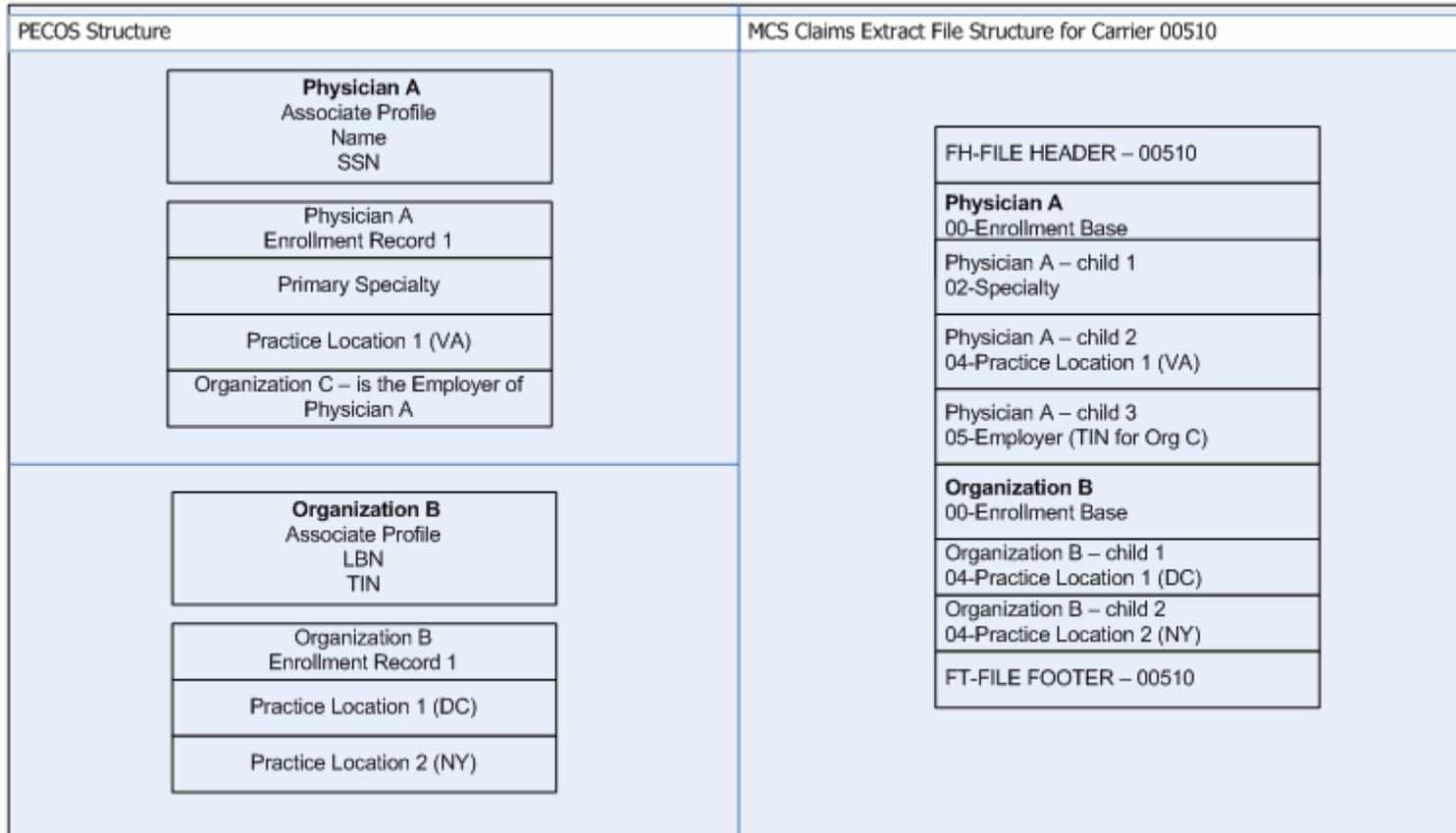
2.1 File Structure

The PECOS MCS Enrollment Record (Carrier) Extract file consists of the file header record, the file trailer record, the enrollment base record, and eleven possible enrollment child records for each enrollment record. Not all child records will be sent with every enrollment base record. Child records are dependent on the type of provider/supplier. The enrollment base record includes some data fields for which only a single value can exist – these fields are extracted from the PECOS Associate. Enrollment child records include data fields for which multiple current values can exist.

Each header, base, and trailer record has a length of 821 characters using spaces as filler when necessary. Child record data has a length of 501 characters, which is combined with blank filler spaces to create a record with a total of 821 characters.

The diagram below is a visual representation of the structure of the PECOS MCS Enrollment Record (Carrier) Extract file in relation to the structure of records within PECOS. The example depicts two individual enrollments within PECOS, and represents carrier extract files.

Exhibit 2-1 File Structure Example



2.1.1 File Header and Footer Records

The header record is the first record included with each extract file. Records between the file header and trailer record represent the extracted enrollment records for that specific CMS contractor.

The footer, or trailer record, is the last record included with each extract file. This record indicates the end of the file.

2.1.2 Enrollment Base Record

The enrollment base record has record type '00' and is sent for every enrollment record. The enrollment base record includes some data fields for which only a single value can exist, some of these fields are associate level while other fields are unique enrollment fields. Because an enrollment base record is sent for each enrollment, a file may contain more than one enrollment base record for a single associate in certain cases, and the fields extracted from the associate would be the same on all of these enrollment base records.

2.1.3 Enrollment Child Record

There are a total of eleven types of child records for the PECOS MCS Enrollment Record (Carrier) Extract file, representing the data contained in PECOS associated to the enrollment. All eleven types of child records have the fields: record type, Medicare Contractor ID, create date, PECOS Associate Control (PAC) ID, and enrollment ID, in addition to the child record information that is specific for each type. Sections 3.4.1 through 3.4.11 detail the fields unique to each child record type. The following child records will always be sent when sending a base Enrollment Record: Medicare ID, Enrollment Status, Practice Location, Special Payment and UPIN.

2.1.3.1 Types of Enrollment Child Records

There are eleven child records that may be included in the file with an enrollment base record. In addition to the child records that are always included (Medicare ID; Enrollment Status; Practice Location; Special Payment; UPIN), an enrollment may have zero, one, or many of each type of child records, depending on the information contained in the PECOS application for the enrollment. The child record types are as follows:

- Hospital Departments
- Specialty
- Medicare Identification
- Practice Location
- Employer
- Member
- Group
- Enrollment Status
- UPIN
- Special Payment
- Par Status

3 PECOS MCS Enrollment Record (Carrier) Extract File Layout

This section describes the PECOS Global Extract file in detail. Layouts are depicted for the Header record, the Trailer record, the Enrollment Base Record, the Child Base record, and the eleven types of possible child records. Each layout contains the following information:

- **ID**—This column can be used to identify a specific item during discussion of this document.
- **Field Description**—Description of the field to be included in the file.
- **Field Name**—The actual name of the field to be included in the file.
- **Start Position**—This is the displacement of the variable in the mainframe file.
- **Format**—This column includes any formatting that applies to the file.
- **Value**—This column contains default values, or possible values if these apply to the field.
- **Notes**—Includes any additional information about the field.

3.1 File Header Record Layout

The Header Record is the first record included with each carrier ID's claims export file. It is created once per carrier ID for that night's extract file. Records between the file header and trailer header represent the exported enrollment records for that specific carrier.

File Header Record Layout						
Description	Field Name	Length	Default Value	Start Position	PECOS Notes	MCS Notes
Record Type	HDR-REC-TYPE	2	"FH"	1		
Carrier ID	HDR-CAR-ID	5		3	All included records were created under identified Carrier ID	This value is compared against the valid carrier ids for the MCS cycle to ensure the correct file(s) were transmitted from the CMS datacenter to the Carrier datacenter.
Create Year	HDR-CREAT-CCYY	4		8		The Create Year, Month, and Day are used to

File Header Record Layout						
Description	Field Name	Length	Default Value	Start Position	PECOS Notes	MCS Notes
Create Month	HDR-CREAT-MM	2		12		verify that the PECOS file for the current cycle date was received and also verify that no days were missed.
Create Day	HDR-CREAT-DD	2		14		
Filler	FILLER	806		16		
Total Length		821				

3.2 File Trailer Record Layout

The trailer record is the last record included with each carrier ID’s claims export file. It is created once per carrier ID for that night’s extract file. The trailer record indicates the end of the file.

File Trailer Record Layout						
Description	Field Name	Length	Default Value	Start Position	PECOS Notes	MCS Notes
Record Type	FILE-RECORD-TYPE	2	"FT"	1		No special MCS processing for this record.
Carrier ID	TRAILER-CARRIER-ID	5		3		
Record Count	FILE-RECORD-COUNT	7		8	The count of records triggered for export; not necessarily the count of records in export file.	
Filler	FILLER	807		15		
Total Length		821				

3.3 Enrollment Base Record Layout

The base record has record type '00' and is sent for every enrollment record. The enrollment base record includes some data fields for which only a single value can exist, representing "associate-level" information.

Enrollment Base Record Layout						
Description	Field Name	Length	Default Value	Start Position	PECOS Notes	MCS Notes
Record Type	BSE-REC-TYPE	2	"00"	1		
Carrier ID	BSE-CAR-ID	5		3		Maps to the MCS Carrier ID field (CARR NO field on PE screen). This is a critical value used in pricing and claims editing logic.
Create Date	BSE-CREAT-DT	8		8		Maps to the MCS Provider Last Update Date field. If we have multiple days of enrollment files coming into the MCS cycle (i.e. Saturday and Monday) and both files contain the same enrollment, MCS will process the enrollment with the latest Create Date.
PAC ID	BSE-PAC-ID	10		16		
Enrollment ID	BSE-ENR-ID	15		26		
Organization Information						
Legal Business Name	BSE-LBA-NAME	70		41	The fields are blank on Individual Records.	Maps to the MCS Provider Special Payment Name for Organization providers. This field is REQUIRED on Organization provider records.
DBA Name	BSE-DBA-NAME	70		111		Not used in MCS
TIN Name	BSE-ORG-TIN	9		181		Maps to the MCS Provider EIN field for Organizations. This field is required for Organizations.
Individual Information:						

Enrollment Base Record Layout						
Description	Field Name	Length	Default Value	Start Position	PECOS Notes	MCS Notes
First Name	FIRST-NAME	25		190	<p>The fields are blank on Organization Records.</p> <p>Legal Business Name and EIN are sent if 855I Section 2D is filled.</p>	Maps to the MCS Provider Practice First Name field for Individual providers. This field is REQUIRED on Individual provider records.
Middle Name	MIDDLE-NAME	25		215		Maps to the MCS Provider Practice Middle Name for Individual providers.
Last Name	LAST-NAME	35		240		Maps to the MCS Provider Practice Last Name field for Individual providers. This field is REQUIRED on Individual provider records.
Name Suffix	NAME-SUFFIX	10		275		Maps to the end of the MCS Provider Practice Last Name field for Individual providers.
Credentials	CREDENTIAL	10		285		Maps to the MCS Provider Practice Name Credential field for Individual providers.
SSN	SSN-IND	9		295		Maps to the MCS Provider SSN field for Individuals. This field is REQUIRED for Individuals.
Legal Business Name	LEGAL-BUSINESS-NAME-IND	60		304		Maps to the MCS Pay To Address Name field. If this field is not greater than spaces, then the Individual First, Middle, Last, Suffix, and Credentials are used for the Pay To Address Name field.
EIN	TIN-IND	9		364		Maps to the MCS Provider EIN field for Individuals. This field is optional.
Supplier Information						
Supplier Type	SUPPLIER-TYPE	2		373		<p>For organizations, when present, maps to the MCS Provider Primary Specialty field (SPC field on PE screen)</p> <p>Note: If this value begins with 'Z', then a '70' is mapped to the MCS Provider primary specialty field.</p> <p>The specialty field in MCS is critical for pricing and other claims processing functions.</p>

Enrollment Base Record Layout						
Description	Field Name	Length	Default Value	Start Position	PECOS Notes	MCS Notes
Non-Physician Information						
Non Physician Specialty	NON-PHYSICIAN-TYPE	2		375		For individuals, maps to the MCS Provider Primary Specialty field (SPC field on PE screen) when a physician specialty is not reported on PECOS record 02 (Specialty Child Record) Note: If this value begins with 'Z', then a '70' is mapped to the MCS Provider primary specialty field. The specialty field in MCS is critical for pricing and other claims processing functions.
Undefined Specialty	NON-SPC-TXT	60		377		Not used in MCS
Specialty Effective Date	NP-SPECIALTY-EFF-DT	8		437	Date the specialty record is collected: yyyymmdd	Maps to the MCS Provider Primary Specialty Effective Date field when the Non Physician Specialty (above) is used as the MCS Specialty. This field is REQUIRED when the Non Physician Specialty field above is used as the MCS Specialty.
Specialty End Date	NP-SPECIALTY-END-DT	8		445	Date not sent if status is in voluntary withdraw.	Maps to the MCS Provider Primary Specialty End Date field when the Non Physician Specialty (above) is used as the MCS Specialty. This field is not required.
Correspondence Address:						
Foreign Code	COR-ADR-FRN-CD	1		453	D for Domestic, F for Foreign	MCS only uses the Correspondence address if this field = 'D' (Domestic).
Line 1 Street Address	COR-ADR-LN1	55		454		Note: The following applies to all of the Correspondence Address fields. The MCS provider file does not contain a separate Correspondence Address. The MCS provider file only contains a Practice Address and a Special Payment Address. However, the PECOS Correspondence address will

Enrollment Base Record Layout						
Description	Field Name	Length	Default Value	Start Position	PECOS Notes	MCS Notes
						<p>be plugged into the MCS Practice and Special Payment addresses if a Practice and Special Payment address are not available for the PIN on the PECOS records.</p> <p>Maps to the MCS Provider Special Payment Address Line 2 (which is the primary address) when there is no Special Payment address for the PIN in PECOS.</p> <p>Maps to the MCS Provider Practice Address Line 2 (which is the primary address) when there is no Practice address for the PIN in PECOS.</p>
Line 2 Street Address	COR-ADR-LN2	55		509		<p>Maps to the MCS Provider Special Payment Address Line 1 (which is the secondary address line) when there is no Special Payment address for the PIN in PECOS.</p> <p>Maps to the MCS Provider Practice Address Line 2 (which is the secondary address line) when there is no Practice address for the PIN in PECOS.</p>
City	COR-ADR-CITY	30		564		<p>Maps to the MCS Provider Special Payment Address City when there is no Special Payment address for the PIN in PECOS.</p> <p>Maps to the MCS Provider Practice Address City when there is no Practice address for the PIN in PECOS.</p>
State	COR-ADR-STATE	2		594	Blank for a foreign address.	<p>Maps to the MCS Provider Special Payment Address City when there is no Special Payment address for the PIN in PECOS.</p> <p>Maps to the MCS Provider Practice Address City when there is no Practice address for the PIN in PECOS.</p>
Foreign-State	COR-ADR-FRN-STATE	30		596	Blank for a domestic address.	Not used in MCS.
Zip-Code	COR-ADR-ZIP	15		626	Blank for a foreign address.	Maps to the MCS Provider Special Payment Zip when there is no Special Payment address for the PIN in PECOS.

Enrollment Base Record Layout						
Description	Field Name	Length	Default Value	Start Position	PECOS Notes	MCS Notes
						Maps to the MCS Provider Practice Address Zip when there is no Practice address for the PIN in PECOS.
Foreign Postal Code	COR-ADR-PCODE	15		641	Blank for a domestic address.	Not used in MCS.
Country	COR-ADR-CNTRY	30		656		Not used in MCS.
Start Date	COR-ADR-SDATE	8		686	Date the correspondence address record is collected	Not used in MCS.
Phone	COR-ADR-PHONE	20		694		Maps to the MCS Provider Special Payment Phone when there is no Special Payment address for the PIN in PECOS. Maps to the MCS Provider Practice Address Phone when there is no Practice address for the PIN in PECOS.
Fax	COR-ADR-FAX	20		714		Not used in MCS.
Email	COR-ADR-EMAIL	80		734		Not used in MCS.
End Date	COR-ADR-EDATE	8		814	If both historical and current correspondence addresses exist, only current one is sent. This means this end date field is never used since an enrollment can't be approved without a current correspondence address.	Not used in MCS.
Total Length		821				

3.4 Enrollment Child Record Layout

This is the layout that all child records follow. All eleven records have the fields: record type, carrier ID, creates date, PAC ID, enrollment ID in addition to the specific child record information. Each of the child record layouts detailed in sections 4.4.1 through 4.4.11 will plug into the field called CHILD-DETAIL, and will contain a total of 501 characters.

Enrollment Child Record Layout						
Description	Field Name	Length	Default Value	Start Position	PECOS Notes	MCS Notes
Record Type	RECORD-TYPE	2	see list *	1		
Carrier ID	CARRIER-ID-CHILD	5		3		
Create Date	CREATION-DATE-CHILD	8		8		
PAC ID	CHLD-PAC-ID	10		16		
Enrollment ID	ENROLLMENT-ID-CHILD	15		26		
Child Record Information (See Layouts Below)	CHILD-DETAIL	501		41		
Filler	FILLER	280		542		
Total Length		821				

* Record Type Values
"01" – HOSPITAL-DEPARTMENTS
"02" – SPECIALTY
"03" – MEDICARE-IDENTIFICATION
"04" – PRACTICE-LOCATION
"05" – EMPLOYER

* Record Type Values
"06" – MEMBERS
"07" – GROUP
"08" – ENROLLMENT STATUS
"09" – UPIN
"10" – SPECIAL PAYMENT
"11" – PAR STATUS

3.4.1 Record 01 Hospital Department Child Record Layout

The record is created when “Hospital Department(s)” is selected as the supplier type on an 855B.

Hospital Department Child Record Layout						
Description	Field Name	Length	Default Value	Start Position	PECOS Notes	MCS Notes
Hospital Department Pin	HSP-DPT-PIN	10		41	PIN of the Hospital Department	Not used in MCS.
Department Specialty	HSP-DPT-SPC-CD	2		51	Specialty of the Department	Not used in MCS.
Department Name	HSP-DPT-NAME	150		53	Name of the Hospital Department	Not used in MCS.
Filler	FILLER	339		203		
Total Length		501				

3.4.2 Record 02 Physician Specialty Child Record Layout

This record is created when the individual selects physician on an 855I. If the physician has one primary and one secondary specialty, one child record for each will be created. Both historical and current specialties are sent.

Physician Specialty Child Record Layout						
Description	Field Name	Length	Default Value	Start Position	PECOS Notes	MCS Notes
Physician Specialty	SPC-PHY-CD	2		41	Physician specialty code.	Maps to the MCS Provider Specialty. This field is critical for pricing and other claims processing.
Undefined Specialty	SPC-UNDFN-TXT	60		43	Name of undefined specialty if Physician Specialty "Undefined Physician Type" is selected in Section 2.	Not used in MCS.
Effective Date	SPC-EFF-DT	8		103	Uses the date the specialty record is created in PECOS: <code>yyyymmdd</code>	Maps to the MCS Provider Specialty Effective date. There is an edit in MCS (123D) that ensures the Effective date of the primary Specialty is Less Than or Equal To the date of service on the claim. Currently, PECOS is sending the Entry Date in this field, which is causing MCS edit 123D to set erroneously. PECOS does not have an actual Specialty Effective Date for the Carriers to key into.
End Date	SPC-END-DT	8		111	End Date entered.	Maps to the MCS Provider Specialty End Date.
Primary/Secondary	SPC-PRMY-SW	1		119	S for secondary and P for primary	Maps to the MCS Primary Specialty indicator field. If MCS receives more than 1 Primary Specialty from PECOS, only the Specialty with the latest Effective date is considered Primary. If 1 or more Physician Specialty Child records are on the PECOS Export file for an Enrollment ID, MCS expects at least 1 Primary Specialty.

Physician Specialty Child Record Layout						
Description	Field Name	Length	Default Value	Start Position	PECOS Notes	MCS Notes
						If no Physician Specialty Child records are present for an Enrollment ID, the Supplier Type or Specialty fields on the BASE record will be mapped to the MCS Specialty field (see these fields on the BASE record for more details).
Filler	FILLER	422		120		
Total Length		501				

3.4.3 Record 03 Medicare ID Child Record Layout

Medicare ID(s) from Section 1 are sent via this child record. Both historical and current Medicare IDs are sent. PECOS sends end dates for the Medicare ID type: PIN ended in Section 1 via this child record.

Medicare ID Child Record Layout						
Description	Field Name	Length	Default Value	Start Position	PECOS Notes	MCS Notes
Medicare ID Type	MED-ID-TYPE	2		41	OS = Oscar UP = Base UPIN (Not collected from section 1) NS = NSC PN = PIN GP = Group PIN EP = Employer PIN PR = RRB PIN (Group RRB and Employer RRB PINs are also sent as PR) NP = NPI	MCS uses the following MED-ID-TYPE values: PN – When this is present, the value in the MED-ID field is used as a Provider Number (PIN) in MCS. If multiple PN records are present on an Enrollment, each one will be used to create a separate provider record in MCS. Each Enrollment Id on the PECOS extract must contain at least 1 PN record, or the enrollment will be ignored. PR – equivalent to the PN record for the RailRoad carrier. Only used by the RailRoad carrier to create a Provider Number in MCS. Each Enrollment Id received by the RailRoad Carrier should contain at least 1 PR record, or the enrollment will be ignored.
Medicare ID	MED-ID	15		43	Medicare ID.	Depending on the value in the MED-ID-TYPE field, this field contains the PIN (PN), RailRoad Carrier PIN (PR), or the UPIN Number (UP).
End Date	MED-ID-END-DT	8		58	Date entered in Section 1 for that Medicare ID	If MED-ID = PN, this field maps to MCS provider End Date (END-DT field on PE screen) if it is greater than zeroes.
Filler	FILLER	476		66		
Total Length		501				

3.4.4 Record 04 Practice Location Child Record Layout

The record is created when the individual/group has practice location(s) attached to the enrollment record. Both historical and current practice locations are sent. No practice location child records should exist for Individual enrollment records with a specialty type of PA or Individuals who are “Reassigning All” if the enrollment records are set up correctly.

Practice Location Child Record Layout						
Description	Field Name	Length	Default Value	Start Position	PECOS Notes	MCS Notes
Practice Location Name	PRACTICE-NAME	35		41	Name of practice location	Maps to the MCS Practice Address Name field for Organization providers. For Group Members, the Group's Practice Location Name maps to the MCS Practice Address Name.
Foreign/Domestic Code	FOREIGN-DOMESTIC-CODE-PRACTICE	1		76	D for domestic, F for foreign	MCS only uses the Practice Location information if this field = 'D' (Domestic).
Line 1 Street Address	PRACTICE-STR-ADR-LINE1	55		77		Maps to the MCS Provider Practice Address Line 2 (which is the primary address line). For Group Members, the Group's Line 1 Street Address maps to the MCS Provider Practice Address Line 2 (which is the primary address line).
Line 2 Street Address	PRACTICE-STR-ADR-LINE2	55		132		Maps to the MCS Provider Practice Address Line 1 (which is the secondary address line). For Group Members, the Group's Line 1 Street Address maps to the MCS Provider Practice Address Line 1 (which is the secondary address line).
City	PRACTICE-CITY	30		187		Maps to the MCS Provider Practice Address City. For Group Members, the Group's Practice City maps to the MCS Provider Practice Address City.
State	PRACTICE-STATE	2		217		Maps to the MCS Provider Practice Address State.

Practice Location Child Record Layout						
Description	Field Name	Length	Default Value	Start Position	PECOS Notes	MCS Notes
						For Group Members, the Group's Practice City maps to the MCS Provider Practice Address State.
Foreign State	PRACTICE-FOREIGN-ST	30		219	State for a foreign address	Not used in MCS.
Zip Code	PRACTICE-ZIP-CODE	15		249		Maps to the MCS Provider Practice Address Zip code. For Group Members, the Group's Practice City maps to the MCS Provider Practice Address Zip Code.
Telephone	PRACTICE-TELEPHONE	20		264		Maps to the MCS Provider Practice Address Phone. For Group Members, the Group's Practice City maps to the MCS Provider Practice Address Phone.
Email	PRACTICE-EMAIL	80		284		Not used in MCS.
Fax	PRACTICE-FAX	20		364		Not used in MCS.
Foreign Postal Code	PRACTICE-POSTAL-CD	15		384	Postal code for a foreign address	Not used in MCS.
Country	PRACTICE-COUNTRY	30		399		Not used in MCS.
NPI	NPI-ID	10		429		
Filler	FILLER	20		439		
Start Date	PRACTICE-START-DATE	8		459	For individuals, collects mmyyyy but exports yyymm01. For Organizations, collects mmddyyyy, exports yyymmdd. This is the system date.	Not used in MCS.
End Date	PRACTICE-END-DATE	8		467	Date entered in	Not used in MCS.

Practice Location Child Record Layout						
Description	Field Name	Length	Default Value	Start Position	PECOS Notes	MCS Notes
					Section 4 Practice Location: yyyymmdd	
Claim Systems PIN	CLAIM-SYS-PIN-PRACTICE	10		475	Claims System PIN associated to Special Payment Address in Section 4	Used to determine which Practice Addresses are associated with the 'PN' PINS.
CLIA Number	CLIA-NUMBER-PRACTICE	15		485	Even though PECOS allows multiple current CLIA #s, only the most current one is sent.	Maps to the MCS Provider CLIA field. This field is sent to CWF on the claim record when present.
FDA-Mammography Number	FDA-MAMMOGRAPHY-PRACTICE	15		500	Even though PECOS allows multiple current FDA s, only the most current one is sent.	Maps to the MCS Provider Mammography field. This field is sent to CWF on the claim record when present.
RRB Indicator	RRB-INDICATOR-PRACTICE	1		515	N if no RRB PIN	Not used in MCS.
RRB PIN	RRB-PIN-PRACTICE	10		516	RRB PIN associated to Special Payment Address in Section 4	Used to determine which Practice Addresses are associated with the 'PR' PINS for the RailRoad Carrier only.
Create Date	CREATE-DATE-PRACTICE	16		526	Creation date of Practice Location in PECOS.	If a PIN has multiple PECOS Practice records, this field is used to determine which one to map to MCS. By default, the Practice Address with the Earliest Create Date is mapped to MCS. If the Practice Create Date is keyed on the MCS V3 screen, then the Practice Address with the matching Create Date will be mapped to MCS.
Total Length		501				

3.4.5 Record 05 Employer Child Record Layout

The record is created for a physician assistant and is populated from employer information in Section 2E, 2F, and 2G.

Employer Child Record Layout						
Description	Field Name	Length	Default Value	Start Position	PECOS Notes	MCS Notes
PAC-ID	PROVIDER-PAC-ID-EMPLOYER	10		41	Employer's PAC ID.	Not used in MCS.
Enrollment ID	ENROLLMENT-ID-EMPLOYER	15		51	Employer's Enrollment ID.	Not used in MCS.
SSN	SSN-EMPLOYER	9		66	Employer SSN entered in 2J. Blank if Employer TIN is entered.	Not used in MCS.
TIN	TIN-EMPLOYER	9		75	Employer TIN entered in 2J. Blank if Employer SSN is entered.	Not used in MCS.
Individual Name	INDIVIDUAL-NAME-EMPLOYER	85		84	Name of individual as Employer. Blank if Employer LBN is entered.	Not used in MCS.
Legal Business Name	LEGAL-BUSINESS-NAME-EMPLOYER	70		169	Name of Group as Employer. Blank if name of Individual Employer is entered.	Not used in MCS.
Effective-Date	EFFECTIVE-DATE-EMPLOYER	8		239	Entered by Carrier in Association Process.	Not used in MCS.
End Date	END-DATE-EMPLOYER	8		247	Entered by Carrier in Dissociation Process.	Not used in MCS.
Filler	FILLER	287		255		

Employer Child Record Layout						
Description	Field Name	Length	Default Value	Start Position	PECOS Notes	MCS Notes
Total Length		501				

3.4.6 Record 06 Member Child Record Layout

The record is created for members reassigning to the organization. If the group has three members, exactly three member child records will be created. Both historical and current members are sent.

Member Child Record Layout						
Description	Field Name	Length	Default Value	Start Position	PECOS Notes	MCS Notes
SSN	SSN-MEMBERS	9		41	SSN of Group Member	Used on the MCS Group / Member Lookup screen to display all PINS with the SSN.
Name	PRACTITIONER-NAME-MEMBERS	85		50	Full name of Group Member	Displayed on the MCS Group / Member lookup screen.
PAC ID	PROVIDER-PAC-ID-MEMBERS	10		135	PAC ID of Group Member	Not used in MCS.
Enrollment ID	ENROLLMENT-ID-MEMBERS	15		145	Enrollment ID of Individual Enrollment containing current or historical association to Organization Record	Not used in MCS.
Effective Date	EFFECTIVE-DATE-MEMBERS	8		160	Entered by carrier in Association process	Displayed on the MCS Group / Member lookup screen.
End Date	END-DATE-MEMBERS	8		168	End Date: Entered by carrier in disassociation process.	Displayed on the MCS Group / Member lookup screen.
Filler	FILLER	366		176		

Member Child Record Layout						
Description	Field Name	Length	Default Value	Start Position	PECOS Notes	MCS Notes
Total Length		501				

3.4.7 Record 07 TIN Child Record Layout

This record is also known as the group child record. This record is created when a provider reassigns benefits to an organization. If an enrollment reassigns to three groups, exactly three TIN child records will be sent. Both current and historical information are sent.

TIN Child Record Layout						
Description	Field Name	Length	Default Value	Start Position	PECOS Notes	MCS Notes
TIN	TIN-GROUPS	9		41	Organization's TIN	Used on the MCS Group / Member Lookup screen to display all PINS with the EIN.
Legal Business Name	LEGAL-BUSINESS-NAME-GROUPS	70		50	Organization's LBN	Displayed on the MCS Group / Member lookup screen.
PAC ID	PROVIDER-PAC-ID-GROUPS	10		120	Organization's PAC ID	Not used in MCS.
Enrollment ID	ENROLLMENT-ID-GROUPS	15		130	Organization's Enrollment ID	Not used in MCS.
Effective Date	EFFECTIVE-DATE-GROUPS	8		145	Date entered for Group Association: yyyyymmdd	Displayed on the MCS Group / Member lookup screen.
End Date	END-DATE-GROUPS	8		153	Date Entered for Group Dissociation: yyyyymmdd	Displayed on the MCS Group / Member lookup screen.
Filler	FILLER	381		161		
Total Length		501				

3.4.8 Record 08 Enrollment Status Child Record Layout

The enrollment status child record is created for specific enrollment status code and enrollment status reason code combinations. It is created for both historical and current enrollment status. The combination of status code and reason code criteria must be met for the child record to be sent. A list of the valid combinations of enrollment status codes and enrollment status reason codes can be found in Appendix A.

Enrollment Status Child Record Layout						
Description	Field Name	Length	Default Value	Start Position	PECOS Notes	MCS Notes
Enrollment Status Code	ENROLLMENT-STATUS-CODE	2		41	Enrollment status code.	If this value is 13 and PECOS record type 03 'PN' record reports a date in the MED ID END DT field, this combination is used to build AR 31 on the MCS Provider Record. An AR 31 on a MCS provider indicates that the provider has Voluntarily Withdrawn from Medicare. A claim edit will set if a Date Of Service after the AR 31 Effective Date (mapped from the PECOS MED ID END DT field) is submitted.
Enrollment Status Reason Code	ENROLLMENT-STATUS-REASON	3		43	Enrollment status reason code.	Not used in MCS.
Effective Date	ENROLLMENT-STATUS-EFF-DT	8		46	Effective date of Enrollment Status: yyyymmdd	Not used in MCS.
End Date	ENROLLMENT-STATUS-END-DT	8		54	End date for Enrollment Status: yyyymmdd. Blank if status is current.	Not used in MCS.
Filler	FILLER	480		62		
Total Length		501				

3.4.9 Record 09 UPIN Child Record Layout

With the creation of new enrollments, the UPIN child record is created and populated in full for the following specialties: physicians (all specialty types), nurse practitioners, clinical nurse specialists, certified nurse midwives, and physician assistants. With the implementation of PECOS R4.2.0, the UPIN child record will be sent with all enrollments. For those that do not fit into the list of specialties above and therefore do not submit to the UPIN Registry, only the following fields will be populated: Date of Birth; Date of Death; Credentials; and State License information (issuing state and license number).

UPIN Child Record Layout					
Description	Field Name	Length	Start Position	PECOS Notes	MCS Notes
Record Code	UPN-REC-CD	1	41	Record code of UPIN Submission. This may be any number/character accepted by the UPIN registry or the letter 'N'.	Maps to the MCS Provider UPIN Record Code field, which is not used in MCS processing.
Record Status	UPN-PHY-STAT	1	42	Record status code of UPIN submission.	Maps to the MCS Provider UPIN Record Code field, which is not used in MCS processing.
Group/Individual Name					
Name	UPN-GRP-NAME	43	43	Name of group	Maps to the MCS Provider UPIN Group Name field. Not used in MCS processing.
Individuals redefine UPIN-NAME					
Last Name	UPN-LNAME	20	43	Individual's last name.	Maps to the MCS Provider UPIN Last Name field. Not used in MCS processing.
First Name	UPN-FNAME	14	63	Individual's first Name.	Maps to the MCS Provider UPIN First Name field. Not used in MCS processing.
Middle Name	UPN-MNAME	6	77	Individual's middle name.	Maps to the MCS Provider UPIN Middle Name field. Not used in MCS processing.
Suffix	UPN-SNAME	3	83	Suffix.	Maps to the MCS Provider UPIN Suffix field. Not used in MCS processing.

UPIN Child Record Layout					
Description	Field Name	Length	Start Position	PECOS Notes	MCS Notes
Special Payment Address	UPN-BLG-ADR	25	86	Pay to address of practice location/PIN submitted to UPIN.	Not used in MCS.
Special Payment City	UPN-BLG-CITY	15	111		Not used in MCS.
Special Payment State	UPN-BLG-STATE	2	126		Not used in MCS.
Special Payment Zip	UPN-BLG-ZIP	9	128		Not used in MCS.
Practice Location Address	UPN-BUS-ADR	25	137	Practice location of address/PIN submitted to UPIN.	Not used in MCS.
Practice Location City	UPN-BUS-CITY	15	162		Not used in MCS.
Practice Location State	UPN-BUS-STATE	2	177		Not used in MCS.
Practice Location Zip	UPN-BUS-ZIP	9	179		Not used in MCS.
Licensing State	UPN-STATE-LIC	2	188	This information is copied over from Section 2 of the enrollment record.	Maps to the MCS Provider UPIN Licensing State field, which is not used in MCS processing.
State License Number	UPN-STATE-LIC-NUM	12	190		Maps to the MCS Provider UPIN State License Number field, which is not used in MCS processing.
Date of Birth	UPN-DOB	8	202	DOB of individual. Blank for Organization record.	Maps to the MCS Provider Date Of Birth field, which is not used in MCS processing.
Medical School	UPN-MED-SCHOOL	5	210		Maps to the MCS Medical School field, which is not used in MCS processing.
Year of Graduation	UPN-GRD-YR	4	215		Maps to the MCS Year of Graduation field, which is not used in MCS processing.
Date of Death	UPN-DEATH-DT	8	219		Maps to the Date of Death field in MCS, (DDATE field on PE screen). When this field is greater than zeroes, an AR 38 is created on the MCS provider record, and Date of Death plus 1 day will be put in the AR 38 Effective Date.

UPIN Child Record Layout					
Description	Field Name	Length	Start Position	PECOS Notes	MCS Notes
					When this field is greater than zeroes, the Date of Death plus 1 day is plugged in the MCS provider End Date (END-DT field on PE screen), unless there is an End Date on the PN record and the date is earlier than the Date of Death. An AR 38 on a MCS provider indicates that the provider is Deceased. A claim edit will set if a Date Of Service on or after the AR 38 Effective Date (which is the Date of Death + 1 day) is submitted.
UPIN Credentials	UPN-CRDNTLS	3	227		Maps to the MCS UPIN Credentials field.
Specialty Code	UPN-PRMRY-SPC	2	230	Primary Specialty code of individual.	Maps to the MCS UPIN Specialty Code field, which is not used in MCS processing.
Board Certified	UPN-PRMRY-BD-CERT	1	232		Maps to the MCS UPIN Board Certified field, which is not used in MCS processing.
Specialty Code	UPN-SEC-SPC	2	233	Secondary specialty of individual.	Maps to the MCS UPIN Secondary Specialty Code field, which is not used in MCS processing.
Board Certified	UPN-SEC-BD-CERT	1	235		Maps to the MCS UPIN Secondary Board Certified field, which is not used in MCS processing.
Sanction Code	UPN-SNCT-CD	1	236		Maps to the MCS UPIN Sanction Code field, which is not used in MCS processing.
Effective Date	UPN-EFF-DT	4	237		Maps to the MCS UPIN Sanction Effective Date field, which is not used in MCS processing.
Years Sanctioned	UPN-YRS-SNCT	2	241		Maps to the MCS UPIN Years Sanctioned field, which is not used in MCS processing.
Practice Type	UPN-RES-INTRN-CD	1	243		Maps to the MCS UPIN Practice Type field, which is not used in MCS processing.
Group Practice Code	UPN-GRP-PRC-IND	1	244		Maps to the MCS UPIN Years Sanctioned field, which is not used in MCS processing.
Participation Code	UPN-PRT-IND	1	245	The par status is collected in Section 2 and copied into this child record.	Maps to the MCS UPIN Par Status field, which is not used in MCS processing. Note: An AR 03 on the MCS file indicates that the provider is Participating, and it includes an Effective and

UPIN Child Record Layout					
Description	Field Name	Length	Start Position	PECOS Notes	MCS Notes
					End Date.
TIN	UPN-TAX-ID	9	246	For a group member without 2D information, Group TIN is sent. For a non-group member without 2D information, SSN is sent. For a non-group member with section 2D filled, EIN in 2D is sent. For a group member with 2D, group's TIN is sent.	Not used in MCS.
PECOS UPIN ID Part 2	UPN-PECOS-ID2	2	255		Not used in MCS.
FILLER	FILLER	3	257		
Claim System Pin	UPN-PROV-NO	14	260	For reassignments, Performing ID from UPIN Page is sent. For RRB export file, RRB PIN is sent.	Used to determine which PECOS UPIN '09' records are associated with the 'PN' PINS (or 'PR' PINS for the Railroad carrier).
UPIN 6-Digit Base	UPN-CD	6	274		Not used in MCS. The UPIN number on the PECOS UP Ident record is mapped to the MCS 6 digit UPIN field.
Error Control Number	UPN-CNTR-NO	10	280		Maps to the MCS UPIN Error Control Number field, which is not used in MCS processing.
Carrier Number	UPN-CAR-NO	5	290		Not used in MCS. We get the Carrier Number from the PECOS BASE record.
Error Code	UPN-ERR-CD	15	295		Maps to the MCS UPIN Error Code field, which is not used in MCS processing.
Record Validated by Outside Source	UPN-DOC-VLDNT	1	310		Maps to the MCS UPIN Validation Flag field, which is not used in MCS processing.
Special Processing	UPN-MPIER-UPD-IND	1	311		Maps to the MCS UPIN Special Processing Code field,

UPIN Child Record Layout					
Description	Field Name	Length	Start Position	PECOS Notes	MCS Notes
Code					which is not used in MCS processing.
Special Processing Text	UPN-SPC-PRCS-DATA	20	312	For a PA record with an individual as the Employer and section 2D filled, the EIN appears here. For a PA record with an individual as the Employer and section 2D is not filled, the Employer's SSN appears here.	Maps to the MCS UPIN Special Processing Date field, which is not used in MCS processing.
UPIN	UPN-UPIN	10	332	10 digit UPIN	The last 4 digits of this number are mapped to the MCS UPIN Location field, which is not used in MCS processing.
PECOS UPIN ID Part 1	UPN-PECOS-ID1	3	342		Not used in MCS.
FILLER	FILLER	197	345		
Total Length		501			

3.4.10 Record 10 Special Payment Child Record Layout

This record is created to store historical and current Special Payment address information. It is sent along with practice location child record. If the enrollment record has two Special Payment addresses, then two Special Payment child records are sent. The Special Payment child record is not sent for PA records, or for records for individuals reassigning all benefits if they are set up correctly. PECOS collects foreign practice locations but does not display them on the UPIN Page.

Special Payment Child Record Layout						
Description	Field Name	Length	Default Value	Start Position	PECOS Notes	MCS Notes
Foreign/Domestic Code	FOREIGN-DOMESTIC-CODE-PAY	1		41	F for foreign, D for domestic	MCS only uses the Special Payment Location information if this field = 'D' (Domestic).
Line 1 Street Address	PAY-TO-STR-ADR-LINE1	55		42		Maps to the MCS Provider Special Payment Address Line 2 (which is the primary address line).
Line 2 Street Address	PAY-TO-STR-ADR-LINE2	55		97		Maps to the MCS Provider Special Payment Address Line 1 (which is the secondary address line).
City	PAY-TO-CITY	30		152		Maps to the MCS Provider Special Payment Address City.
State	PAY-TO-STATE	2		182		Maps to the MCS Provider Special Payment Address City.
Foreign State	PAY-TO-FOREIGN-ST	30		184		Not used in MCS.
Zip Code	PAY-TO-ZIP-CODE	15		214		Maps to the MCS Provider Special Payment Address Zip Code.
Foreign Postal Code	PAY-TO-POSTAL-CD	15		229		Not use in MCS.
Country	PAY-TO-COUNTRY	30		244		Not use in MCS.
Start Date	PAY-TO-START-DATE	8		274	Date Pay To Address was created: yyyymmdd	Not use in MCS.

Special Payment Child Record Layout						
Description	Field Name	Length	Default Value	Start Position	PECOS Notes	MCS Notes
End Date	PAY-TO-END-DATE	8		282	End date entered.	Not use in MCS.
Claim Systems PIN	CLAIM-SYS-PIN-PAY	10		290	Claims PIN entered in Section 4.	Used to determine which Special Payment Addresses are associated with the 'PN' PINS.
RRB Indicator	RRB-INDICATOR-PAY	1		300	'Y' if there is an RRB PIN, 'N' if there is not	Not used in MCS.
RRB PIN	RRB-PIN-PAY	10		301	RRB PIN entered in Section 4	Used to determine which Special Payment Addresses are associated with the 'PR' PINS for the RailRoad Carrier only.
Creation Date	CREATE-DATE-PAY	16		311	Practice Location address creation timestamp: yyyy-mm-dd-hh-nn.	<p>If a PIN has multiple PECOS Special Payment records, this field is used to determine which one to map to MCS. By default, the Special Payment Address with the Latest Create Date is mapped to MCS.</p> <p>If the Special Payment Create Date is keyed on the MCS V3 screen, then the Special Payment Address with the matching Create Date will be mapped to MCS.</p>
Filler	FILLER	215		327		
Total Length		501				

3.4.11 Record 11 PAR Status Child Record Layout

This record is created to store historic, future, and current par status information. For an individual reassigning all benefits to an organization, the claim system uses the organization’s par status. For an individual reassigning some benefits to an organization, the claim system uses the individual’s par status for their own practice locations. The Medicare contractor is responsible for populating PECOS according to these rules, and for setting the enrollment to a final status in order for it to be triggered for export to the claims system.

PAR Status Child Record Layout						
Description	Field Name	Length	Default Value	Start Position	PECOS Notes	MCS Notes
Par Status Indicator	PAR-STATUS-INDICATOR	1		41	Par Status indicator, Yes (Y) or No (N).	
Effective Date	PAR-STATUS-EFF-DT	8		42	Effective date of Par Status: yyyyymmdd	
End Date	PAR-STATUS-END-DT	8		50	End date of Par Status: yyyyymmdd	
Filler	FILLER	484		58		
Total Length		501				

Appendix A: Enrollment Statuses

This appendix includes the enrollment statuses and their associated reasons. The table below includes all the possible enrollment statuses and the associated reason for each status.

Table A-1 *Enrollment Status and Reason Mapping*

Enrollment Status	Status Reason
01: Application in Process	001: Data Entry has started
05: Approval Recommended	047: Approved After 1st Contact 048: Approved After 2nd Contact 049: Approved After 3rd Contact 050: Approved After More Than 3 Contacts 013: Clean Application 051: Developed Outside of Normal Process -- CMS 052: Developed Outside of Normal Process -- OIG 054: Developed Outside of Normal Process -- Other (Specify) 053: Developed Outside of Normal Process -- PSC 055: Sent to Another Contractor 035: Denial Overturned from Appeal 009: Other (Specify)

Enrollment Status	Status Reason
<p>06: Approved</p>	<p>047: Approved After 1st Contact 050: Approved After 2nd Contact 048: Approved After 3rd Contact 049: Approved After More Than 3 Contacts 013: Clean Application 051: Developed Outside of Normal Process -- CMS 052: Developed Outside of Normal Process -- OIG 054: Developed Outside of Normal Process -- Other (Specify) 053: Developed Outside of Normal Process -- PSC 055: Sent to Another Contractor 035: Denial Overturned from Appeal</p>
<p>08: Denial Recommended</p>	<p>014: Business Address Invalid 017: Business Location Not Properly Licensed 019: Debarred/Excluded by Other Federal Agency 051: Developed Outside of Normal Process -- CMS 052: Developed Outside of Normal Process -- OIG 054: Developed Outside of Normal Process -- Other (Specify) 053: Developed Outside of Normal Process -- PSC 036: Denial Upheld from Appeal 015: Excluded from Medicare 038: Falsified Information 060: CMS/Provider Type Requirements Not Met 056: TIN/SSN Not Valid 009: Other (Specify)</p>

Enrollment Status	Status Reason
09: Denied	014: Business Address Invalid 017: Business Location Not Properly Licensed 019: Debarred/Excluded by Other Federal Agency 051: Developed Outside of Normal Process -- CMS 052: Developed Outside of Normal Process -- OIG 054: Developed Outside of Normal Process -- Other (Specify) 053: Developed Outside of Normal Process -- PSC 036: Denial Upheld from Appeal 015: Excluded from Medicare 038: Falsified Information 020: Not a Health Care Delivery System 018: Not Professionally Licensed 016: CMS Supplier Type Requirements Not Met 056: TIN/SSN Not Valid 009: Other (Specify)
21: Opt Out	044: Supplier Requested to Opt Out 009: Other (Specify)
02: Application in Development	006: 1st Contact (Provide Date) 011: 2nd Contact (Provide Date) 057: 3rd Contact (Provide Date) 058: Over 3 Contacts (Provide Date) 009: Other (Specify) (Provide Date)
04: Rejected	012: Applicant Unresponsive 045: Duplicate Application 008: Missing Signature 046: Wrong Contractor/Transfer 009: Other (Specify)
19: Approved—Pending UPIN (Only for some 855I/855R enrollment records)	043: Waiting for UPIN notification 061: Registry Update Successful

Enrollment Status	Status Reason
20: Withdrawal of Application While in Process	024: Applicant Decided to Withdraw Enrollment Application 009: Other (Specify)
07: Open/Process Existing Enrollment	027: Enrollment record being modified
13: Voluntary Withdraw	029: Applicant Voluntarily Withdrew from Medicare Program 059: Deceased 009: Other (Specify)
11: Revoked	014: Business Address Invalid 017: Business Location Not Properly Licensed 019: Debarred/Excluded by Other Federal Agency 051: Developed Outside of Normal Process -- CMS 052: Developed Outside of Normal Process -- OIG 054: Developed Outside of Normal Process -- Other (Specify) 053: Developed Outside of Normal Process -- PSC 015: Excluded from Medicare 038: Falsified Information 020: Not a Health Care Delivery System 018: Not Professionally Licensed 016: Supplier Type Requirements Not Met 009: Other (Specify)
99: Deleted	999: Deleted

Appendix B: Modifications Required for R4.3.0

In order to support the effort to incorporate NPI into the claims process, the layout of one child record will need to be modified in both the PECOS and MCS applications. The change will be made to the Practice Location child record as follows:

B.1 Practice Location Child Record Layout before Release 4.3.0

Practice Location Child Record Layout				
Description	Field Name	Length	Default Val.	Start Position
Practice Location Name	PRACTICE-NAME	35		41
Foreign/Domestic Code	FOREIGN-DOMESTIC-CODE-PRACTICE	1		76
Line 1 Street Address	PRACTICE-STR-ADR-LN1	55		77
Line 2 Street Address	PRACTICE-STR-ADR-LN2	55		132
City	PRACTICE-CITY	30		187
State	PRACTICE-STATE	2		217
Foreign State	PRACTICE-FOREIGN-ST	30		219
Zip Code	PRACTICE-ZIP-CODE	15		249
Telephone	PRACTICE-TELEPHONE	20		264
Email	PRACTICE-EMAIL	80		284
Fax	PRACTICE-FAX	20		364
Foreign Postal Code	PRACTICE-POSTAL-CODE	15		384
Country	PRACTICE-COUNTRY	30		399
County	PRACTICE COUNTY	30		429
Start Date	PRACTICE-START-DATE	8		459
End Date	PRACTICE-END-DATE	8		467
Claim Systems PIN	CLAIM-SYS-PIN-PRACTICE	10		475
CLIA Number	CLIA-NUMBER-PRACTICE	15		485
FDA-Mammography Number	FDA-MAMMOGRAPHY-PRACTICE	15		500
RRB Indicator	RRB-INDICATOR-PRACTICE	1		515
RRB PIN	RRB-PIN-PRACTICE	10		516
Create Date	CREATE-DATE-PRACTICE	16		526
	Total Length	501		

B.2 Practice Location Child Record Layout after Release 4.3.0

Practice Location Child Record Layout				
Description	Field Name	Length	Default Val.	Start Position
Practice Location Name	PRACTICE-NAME	35		41
Foreign/Domestic Code	FOREIGN-DOMESTIC-CODE-PRACTICE	1		76
Line 1 Street Address	PRACTICE-STR-ADR-LN1	55		77
Line 2 Street Address	PRACTICE-STR-ADR-LN2	55		132
City	PRACTICE-CITY	30		187
State	PRACTICE-STATE	2		217
Foreign State	PRACTICE-FOREIGN-ST	30		219
Zip Code	PRACTICE-ZIP-CODE	15		249
Telephone	PRACTICE-TELEPHONE	20		264
Email	PRACTICE-EMAIL	80		284
Fax	PRACTICE-FAX	20		364
Foreign Postal Code	PRACTICE-POSTAL-CODE	15		384
Country	PRACTICE-COUNTRY	30		399
NPI Identification Number	NPI-ID	10		429
Filler	FILLER	20		439
Start Date	PRACTICE-START-DATE	8		459
End Date	PRACTICE-END-DATE	8		467
Claim Systems PIN	CLAIM-SYS-PIN-PRACTICE	10		475
CLIA Number	CLIA-NUMBER-PRACTICE	15		485
FDA-Mammography Number	FDA-MAMMOGRAPHY-PRACTICE	15		500
RRB Indicator	RRB-INDICATOR-PRACTICE	1		515
RRB PIN	RRB-PIN-PRACTICE	10		516
Create Date	CREATE-DATE-PRACTICE	16		526
	Total Length	501		