

CMS Manual System	Department of Health & Human Services (DHHS)
Pub 100-08 Medicare Program Integrity	Centers for Medicare & Medicaid Services (CMS)
Transmittal 177	Date: DECEMBER 1, 2006
	Change Request 5326

SUBJECT: Update the VMS System to Validate National Provider Identifiers (NPIs) in Place of Unique Physician Identification Numbers (UPINs).

I. SUMMARY OF CHANGES: This instruction requests VMS to modify existing edits to use NPI in place of UPINs. The areas identified, but not limited to, include: User controlled editing, hard coded editing systems, claim suspense and development systems, claim and data mapping, storage, editing for X837 and NCPDP, CMN processing system, express claim adjustment processing system, beneficiary information tracking system, claims history data, automated response unit, VMS audit system, VMS quality control systems, provider supplier file systems, profile development system, customary and prevailing charges. CWF processing, adjudication usage of UPIN and claim inquiry by provider numbers. This instruction is effective with process date January 2, 2007.

NEW / REVISED MATERIAL

EFFECTIVE DATE: JANUARY 1, 2007

IMPLEMENTATION DATE: JANUARY 2, 2007

Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.

II. CHANGES IN MANUAL INSTRUCTIONS: (N/A if manual is not updated)

R/N/D	CHAPTER / SECTION / SUBSECTION / TITLE
N/A	

III. FUNDING:

No additional funding will be provided by CMS; contractor activities are to be carried out within their FY 2007 operating budgets.

IV. ATTACHMENTS:

One-Time Notification

**Unless otherwise specified, the effective date is the date of service.*

5326.1	ViPs shall update existing online carrier controlled editing records (EARS) within the Automated Claims Examination System (ACES) to allow for edit creation based on referring physician NPI values where in production today the UPIN is used.				X			X		DME MAC
5326.2	ViPs shall apply these changes to all claims processed on or after 01/02/2007.							X		
5326.3	ViPs shall provide a mechanism for the contractors to perform a scan of their existing EAR records to identify records that currently contain ordering physician UPINs and which may require modification for recording and use of NPIs.							X		
5326.3.1	Contractors shall manually update the EAR records to accommodate an NPI for each ordering physician in addition to or in lieu of a UPIN.				X					DME MAC
5326.4	ViPs shall remove the reference and functionality to the MEDB specific UPIN Cross-reference file within the Area Prevailing, Provider, and Lookup subsystem (APPL/1).							X		
5326.5	ViPS shall remove the reference and functionality pertaining to the Provider-to-NSC cross-reference within the Area Prevailing, Provider, and Lookup subsystem (APPL/3). This functionality will be obsolete once the NPI crosswalk file is implemented.							X		

Requirement Number	Requirements	Responsibility (“X” indicates the columns that apply)								
		FI	RHHI	Carrier	DMERC	Shared System Maintainers				Other
					F I S S	M C S	V M S	C W F		
5326.6	Contractors shall scan their existing SuperOp event records to identify records that currently contain an ordering/referring physician’s UPIN in any edit criteria and determine if change is needed to enable NPIs to be added to the records and used in lieu of UPINs				X					DME MAC

5326.6.1	Contractors shall update the above identified records to reference an ordering/referring physician's NPI, in addition to or in lieu of a UPIN as appropriate.				X					DME MAC
5326.7	ViPs shall update the existing hard coded field table within the Online Quality Control subsystem (OQC) to provide a value for selecting claims by supplier NPI if available.							X		
5326.8	Based on the addition of a new type of Entity Action Record for NPIs in Business Requirement 5326.1, ViPS shall update the System Auditing Function Expert subsystem (SAFE) to be able to use NPIs for auditing purposes.							X		
5326.9	ViPs shall remove functionality associated with the CMN UPIN file that exists today that stores the detailed information pertaining to the referring physician UPIN. This functionality will be obsolete once the NPI crosswalk file is implemented.							X		
5326.10	VMS shall revise the existing sort/filter functionality in the VMS Beneficiary Update and Display Subsystem (BUDS) and Claims History Inquiry by Provider (CHIP) online subsystems so that the referring physician NPI is a valid sort/filter selection in addition to a UPIN if the NPI is available.				X			X		DME MAC

III. PROVIDER EDUCATION

Requirement Number	Requirements	Responsibility ("X" indicates the columns that apply)							
		FI	RHHI	Carrier	DMERC	Shared System Maintainers			
F I S S	M C S					V M S	C W F		
	None								

IV. SUPPORTING INFORMATION AND POSSIBLE DESIGN CONSIDERATIONS

A. Other Instructions: N/A

X-Ref Requirement #	Instructions

B. Design Considerations: N/A

X-Ref Requirement #	Recommendation for Medicare System Requirements

C. Interfaces: N/A

D. Contractor/Shared System Maintainers Financial Reporting /Workload Impact: N/A

E. Dependencies: N/A

F. Testing Considerations: N/A

IV. SCHEDULE, CONTACTS, AND FUNDING

<p>Effective Date*: January 1, 2007</p> <p>Implementation Date: January 2, 2007</p> <p>Pre-Implementation Contact(s): Gerald Wright (410) 786-5798</p> <p>Post-Implementation Contact(s): Gerald Wright (410) 786-5798</p>	<p>No additional funding will be provided by CMS: contractor/shared system maintainers activities shall be carried out within their FY 2007 current operating budgets.</p>
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