

<b>CMS Manual System</b>	<b>Department of Health &amp; Human Services (DHHS)</b>
<b>Pub 100-20 One-Time Notification</b>	<b>Centers for Medicare &amp; Medicaid Services (CMS)</b>
<b>Transmittal 431</b>	<b>Date: January 16, 2009</b>
	<b>Change Request 6279</b>

**SUBJECT: Jurisdiction 3 A/B MAC Merge of the Part B Arizona, Montana and Utah CICS Production and User Acceptance Testing Regions**

**I. SUMMARY OF CHANGES:** In order to maximize efficiency and cost savings from the MAC implementation, CMS needs to merge the Part B Arizona, Utah and Montana workloads into a single CICS region for production, with a separate single CICS region for user acceptance testing (UAT).

**New / Revised Material**

**Effective Date: June 1, 2009**

**Implementation Date: April 6, 2009**

*Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.*

**II. CHANGES IN MANUAL INSTRUCTIONS:** (N/A if manual is not updated)  
R=REVISED, N=NEW, D=DELETED-*Only One Per Row.*

<b>R/N/D</b>	<b>Chapter / Section / Subsection / Title</b>
N/A	

**III. FUNDING:**

SECTION A: For Fiscal Intermediaries and Carriers: Not Applicable.

SECTION B: For Medicare Administrative Contractors (MACs):

The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

**IV. ATTACHMENTS:**

**One-Time Notification**

*\*Unless otherwise specified, the effective date is the date of service.*

# Attachment – One-Time Notification

Pub. 100-20	Transmittal: 431	Date: January 16, 2009	Change Request: 6279
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**SUBJECT: Jurisdiction 3 A/B MAC Merge of the Part B Arizona, Montana and Utah CICS Production and User Acceptance Test Regions**

**Effective Date:** June 1, 2009

**Implementation Date:** April 6, 2009

## I. GENERAL INFORMATION

**A. Background:** When the J3 A/B Medicare Administrative Contractor (MAC) became operational, its Part B workloads were set up in several different processing and testing regions. In order to maximize efficiency and cost savings from the MAC implementation, CMS needs to merge the Part B Arizona, Utah and Montana workloads into a single CICS region for production, with a separate single CICS region for user acceptance testing (UAT).

The J3 MAC will continue to process its Part B North Dakota, South Dakota and Wyoming workloads in a separate CICS production and UAT region. The Multi Carrier System (MCS) cannot accommodate more than 4 state workloads in a single CICS region.

The assignment of Part B provider identification numbers (PINS) has traditionally been a contractor activity. In the past, some contractors that processed multiple workloads may have assigned PINS without regard to whether the same PIN was already being used in another CICS region under its control. In addition, different contractors generally did not coordinate their assignment of PINS, so they might have inadvertently assigned the same PIN to two different providers in different service areas. This was not a concern as long as the CICS regions remained separate. However, a MAC cannot accommodate more than one of the same PIN in a single merged CICS region. In this case, the Part B Montana and Utah CICS regions contain duplicate PINS.

Change Request (CR) 6199 provides for an approach to address this issue. As explained in both that CR and the following business requirements, the MCS maintainer will provide a program to identify duplicate PINS within the CICS regions that will be merged, the J3 A/B MAC will assign replacement PINS to both duplicate numbers, and the MCS maintainer will replace all references to the existing duplicate PINS within MCS with those new numbers. This CR repeats all of the business requirements from CR 6199 for informational purposes only and any BRs associated with CR 6199 will be handled as part of that CR.

This is the first Part B merge that will utilize this methodology. In the future, we anticipate undertaking additional Part B merges using the same MCS system changes needed for this merge.

The CMS Healthcare Integrated General Ledger Accounting System (HIGLAS) business owner is currently developing a methodology to merge HIGLAS Organizations. Until that process has been implemented, we only plan to merge CICS regions that have not yet been transitioned to HIGLAS. (The Part B AZ, UT and MT Part B CICS regions have not yet been transitioned to HIGLAS.)

The J3A/B MAC contract is currently held by Noridian

Its address is:

901 40<sup>th</sup> Street South  
 Fargo, ND 58103

**B. Policy: N/A**

**II. BUSINESS REQUIREMENTS TABLE**

Use "Shall" to denote a mandatory requirement

Number	Requirement	A / B  M A C	D M E  M A C	F I  I E R	C A R R I E R	R H I	Shared-System Maintainers				OTHER
							F I S S	M C S	V M S	C W F	
6279.1	The EDS EDC shall create the necessary CICS regions for both user acceptance testing (UAT) and production to accommodate the merge of the Part B Arizona, Montana, and Utah workloads into a single production and a single user acceptance testing (UAT) region.										EDS EDC
6279.1.1	The EDS EDC shall provide all support needed to successfully implement the new merged production and test regions, including (but not limited to) production support, testing, the running of split/merge and related software supplied by the MCS maintainer, and coordination.										EDS EDC
6279.2	The MCS shared system maintainer shall provide any necessary support to accomplish the merge, including software to perform the split/merge.							X			
6279.3	The J3 A/B MAC shall serve as the project lead. It shall coordinate and provide project management, testing, and any other services needed to successfully accomplish the merge.										J3 A/B MAC
6279.3.1	The J3 A/B MAC shall perform the testing required to validate the merged production region and the EDC shall support that testing.										EDS EDC, J3 A/B MAC
6279.4	As per CR 6199, BR 6199.1 the MCS maintainer shall provide the A/B MAC with file compare software that will produce a list of duplicate PINs found on the MCS Provider Eligibility file between the							X			J3 A/B MAC





Number	Requirement	A / B  M A C	D M E  M A C	F I	C A R R I E R	R H I	Shared-System Maintainers				OTHER
							F I S S	M C S	V M S	C W F	
	for practice locations associated to those PINs received from MCS, as per 6279.5.1.										
6279.6.7	PECOS shall not modify the format of the current MCS export file generated nightly by PECOS.										PECOS
6279.6.8	PECOS shall not programmatically re-trigger enrollment records to MCS as part of CR 6199.										PECOS
6279.6.9	PECOS shall not change the status of the enrollment record prior to or after processing is complete.										PECOS
6279.6.10	PECOS shall not process duplicate PINs on enrollment records where the duplicate PIN (Section 1) and associated practice location(s) (Section 4) are both already end-dated.										PECOS
6279.6.11	PECOS shall process duplicate PINs on enrollment records where the duplicate PIN (Section 1) is end-dated and exists on an active practice location.										PECOS
6279.6.12	PECOS shall not specify a primary practice location for Medicare Part B enrollment records.										PECOS
6279.6.13	The PECOS Maintainer shall support a 2 month test window.										PECOS
6279.7	MCS shall utilize its split/merge software logic to replace all references to the duplicate PINS within MCS with appropriate references to the newly assigned replacement PINS.							X			
6279.8	MCS shall assign the provider start date belonging to the duplicate PIN to the newly assigned replacement PIN.							X			
6279.9	The J3 A/B MAC shall review and where necessary update all interface and non-base files & applications with appropriate references to the newly assigned replacement PINS, including but not limited to its EDI front end and its free /low cost EDI billing software.										J3 A/B MAC
6279.10	As per CR 6199, BR 6199.5, MCS shall modify its PECOS extract logic to bypass the duplicate PINS that have been end dated in PECOS so that MCS will not produce merge errors for these PINS. (All other PECOS end dated PINS shall continue to be							X			

Number	Requirement	A / B  M A C	D M E  M A C	F I  M A C	C A R R I E R	R H I	Shared-System Maintainers				OTHER
							F I S S	M C S	V M S	C W F	
	subjected to the merge error logic within MCS.)										
6279.11	As per CR 6199, BR 6199.6, MCS shall provide a mechanism to prevent the J3 A/B MAC from incorrectly adding the duplicate PIN to the MCS provider file.							X			
6279.12	As soon as possible and no later than 90 days prior to the effective date of the CR, the J3 A/B MAC shall send a copy of the file referred to in BR 6279.5.1 to the NPI Crosswalk maintainer via <a href="mailto:Patricia.Ruther@cms.hhs.gov">Patricia.Ruther@cms.hhs.gov</a> .  Please note that this is for informational purposes only and that the actions being taken by the PECOS maintainer will result in appropriate updates being made to the NPI crosswalk.										J3 A/B MAC  NPI CROSSWA LK Maintainer
6279.12.1	In addition to the newly assigned replacement PINS, the NPI Crosswalk shall continue to carry the duplicate PINS. Please note that this will not impact contractor operations or payment										NPI CROSSWA LK Maintainer
6279.13	The MCS Maintainer shall provide the J3 A/B MAC with a listing of the data elements for which it requires mappings to the J3 A/B MAC's current configuration.							X			J3 A/B MAC
6279.13.1	The J3 A/B MAC shall prepare mappings between the existing production and UAT regions and the configuration it wishes to use in the new merged region. This information shall be communicated to the MCS maintainer via DATAINs provided for in the MCS split/merge software.										J3 A/B MAC
6279.13.2	The MCS maintainer shall use the mappings supplied by the J3 A/B MAC to convert history (including purged history that had been converted to MCS) to reflect the mappings to be used in the new merged region.							X			
6279.14	Following the merge, the J3 A/B MAC shall continue to process and report upon the workload using the appropriate MAC state specific workload numbers.										J3 A/B MAC
6279.15	Following the merge into the single CICS production and UAT regions, all shared										BESS, CAFm,



#### IV. SUPPORTING INFORMATION

**A. For any recommendations and supporting information associated with listed requirements, use the box below:**

*Use "Should" to denote a recommendation.*

X-Ref Requirement Number	Recommendations or other supporting information:

**B. For all other recommendations and supporting information, use this space:**

**This CR is necessary in order for the CMS to realize significant additional efficiencies and cost savings from the J3 A/B MAC transition.**

#### V. CONTACTS

**Pre-Implementation Contact(s):** Scott Levine ([Scott.Levine@cms.hhs.gov](mailto:Scott.Levine@cms.hhs.gov) or 212-616-2337) and Steven Felsenberg ([Steven.Felsenberg@cms.hhs.gov](mailto:Steven.Felsenberg@cms.hhs.gov) or 410-786-2693).

**Post-Implementation Contact(s):** Scott Levine ([Scott.Levine@cms.hhs.gov](mailto:Scott.Levine@cms.hhs.gov) or 212-616-2337) and Steven Felsenberg ([Steven.Felsenberg@cms.hhs.gov](mailto:Steven.Felsenberg@cms.hhs.gov) or 410-786-2693).

#### VI. FUNDING:

**A. Title XVIII contractors: N.A.**

**B. For Medicare Administrative Contractors (MAC):**

The contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the Statement of Work (SOW). The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.