



OFFICE OF INFORMATION SERVICES

DATE: November 14, 2006

TO: All Medicare Advantage, Prescription Drug Plan, Cost, PACE, and
Demonstration Organizations Systems Staff

FROM: Henry Chao /s/
Deputy Director, Information Services Design and Development Group

SUBJECT: File Naming Convention Change – ACTION REQUIRED

CMS will be implementing Enterprise File Transfer (EFT) architecture changes over the next several months in an effort to improve data exchanges between Plans and CMS. These changes will ensure standard naming conventions regardless of the target application and ensure the uniqueness of file names, reducing operational overhead currently caused by the potential for duplicate dataset names.

File names for inbound (to CMS) and outbound (from CMS) datasets will be impacted by these changes, with varying impacts to Gentran vs. Connect:Direct (C:D) users. For example, there are no changes to inbound Gentran file names; however, all C:D inbound file names are being modified. File names for both Gentran and C:D outbound files are changing.

Because of the extent of changes applicable to C:D users, file name changes for these organizations will be phased in over a period of time (as described in this memo); these organizations may implement the changes according to their own schedule as long as the changes are completed by the specified date.

The Transmission Inventory (Appendix K of the Plan Communications User Guide) is being modified to reflect the file name changes. A portion of the Transmission Inventory has been attached to this memo to assist you with the transitions to the new inbound file name changes and the Phase I changes described below.

For additional information and details on the EFT Architectural changes, please reference the Enterprise File Transfer (EFT) Infrastructure document at the following link:
http://www.cms.hhs.gov/SystemLifecycleFramework/09_Standards.asp#TopOfPage.

Inbound (to CMS) Files

This information was previously communicated to Plans on the November 3, 2006 memo from Henry Chao titled “Announcement of System Software Changes – November Release”.

Gentran

There are **no** changes to the Gentran inbound file naming convention for any files.

Connect:Direct

Connect:Direct (C:D) users, both mainframe and server, will need to make note of two changes that will impact the inbound file transfer process:

- Job triggers are no longer required; Plans may remove any run task parameters in their C:D jobs.
- All inbound file names have changed as indicated on the attached chart.

It is important to note that CMS is already prepared to support both the old and new inbound file names now. In addition, triggers are also no longer required at this time, regardless of which file name is sent in by the Plan. Plans should begin to transition their systems to these changes immediately; no notification to CMS is required. The transition must be completed no later than January 1, 2007.

Outbound (to Plans) Files

Outbound file names will be changed to the new conventions in two phases:

Phase I focuses on 4Rx and BEQ files. The implementation timeframe begins on January 2, 2007. See below for further details.

Phase II includes all remaining outbound files. The implementation timeframe is tentatively scheduled for April 2007. More specific file name information and timelines will be provided to Plans after the beginning of the year.

Both Gentran and Connect:Direct users must prepare for outbound file name changes.

Phase I File Naming Changes – 4RX and BEQ Response Files

Gentran

Gentran users will receive the BEQ and 4Rx Response Files reflecting the new naming standards as of January 2, 2007. There is no transition period for Gentran.

Please reference the attached transmission inventory document for specific file names.

Connect:Direct

Beginning January 2, 2007, organizations using C:D will be sent two of each outbound file targeted for Phase I – one with the old name and a second with the new name. CMS

will continue to send these outbound files in parallel during a transition period beginning January 2, 2007 and lasting until the organization reports they no longer require the old name or March 15, 2007, whichever comes first. After March 15, 2007, only the new file names will be sent to all organizations. Organizations must contact the MMAHelp Desk to report when they are prepared for CMS to discontinue the parallel processing for all contracts within their purview.

Outbound file names for C:D users are changing to reflect a date and time stamp of the file's creation. This will ensure uniqueness when multiple files from the same system need to be sent to the Plan.

Outbound files will continue to use the site-specified high-level qualifier defined by the Plan at set-up time on the C:D Setup Form. If changes are required to this component, Plans should complete this form (located on the MMAHelp website at: <http://www.cms.hhs.gov/mmahelp/downloads/CDSetupForm.pdf>) and send it to the MMAHelp Desk to schedule the change.

Please reference the attached transmission inventory document for a complete list of affected inbound and outbound file names for C:D users. Again, transition to the new inbound files names must be complete by January 1, 2007; transition to the new outbound names is set to begin on January 2, 2007 and C:D Plans have until March 15, 2007 to complete the change.

Please direct questions or concerns to the MMAHelp Desk at mmahelp@cms.hhs.gov or 1-800-927-8069.

Transmittal	Description	Dataset Naming Conventions	
Dataset naming conventions key: [GUID] = 7 character IACS User ID [RACFID] = 4 character RACF User ID (if no RACFID, insert NONE) [P/T]: P = Production Data T = Test Data [.ZIP] = Appended if the file is compressed	[directory] = optional directory specification from non-mainframe C:D clients pn = Processing number of varying length assigned to the file by Gentran cccc = Contract number Pcccc = Plan Contract Number for C:D	xxxxx = 5 character Contract ID uuuuuuu = 4-7 character transmitter User ID zzzzzzzz = Plan-provided high level qualifier hhmm = hour and minute freq = Frequency code of file ssssss= Sequentially assigned number mmyyyy = Calendar month & year	yyyyymmdd = Calendar year, month & day yymmdd = two digit year, month, day Annnnn & Bnnnnn = MARx batch transaction ID, nnnnnnnnn split into two nodes A...and B ...with leading zeroes as necessary to complete ten character batch ID hlq = High Level Qualifier or Directory per VSAM File
Plan Submittals to CMS			
MARx Enrollment Transaction File Header Enrollment Transaction (Employer & Plan – 60/61) Disenrollment Transaction (51) Plan Elections (PBP Change) Transaction (71) Plan Change Transaction (72) Correction (01)	Enrollment Transaction file to CMS MARx system requesting new enrollment, disenrollment, changes, etc.	Gentran mailbox: ** no change [GUID].[RACFID].MARX.D.xxxxx.FUTURE.[P/T][.ZIP] Note: FUTURE is part of the filename and does not change Connect:Direct: (Current) uuuu.@BGD5050.TRANSFER.DATA Connect:Direct: (starting November 2006) [P/T]#EFT.IN.uuuuuu.MARXTR.DYYMMDD.THHMMSST Note: DYYMMDD.THHMMSST must be coded as shown as it is a literal	
4RX Notification File Header Detail Trailer	File used to provide CMS with additional information on Plan enrollments to support point of sale and other pharmacy related information needs. The file contains CMX Primary payor data (BIN, PCN, Group, Member). The objective is to make available 4Rx data to the TrOOP Facilitator and Coordination of Benefits (COB) contractor beginning 11/15/2005.	Gentran mailbox: **no change [GUID].[RACFID].MBD.D.xxxx.4RX.[P/T][.ZIP] Connect:Direct: (Current) P#MBD.#BTCH4.xxxx.IN.RQST.NDM Connect:Direct: (starting November 2006) [P/T]#EFT.IN.PLxxxx.BEQ4RX.DYYMMDD.THHMMSST Note: DYYMMDD.THHMMSST must be coded as shown as it is a literal	
Batch Eligibility Query (BEQ) Request File Header Detail Trailer	File of transactions submitted by plans to request eligibility information for prospective Plan enrollees. Used to do initial eligibility checks against CMS MBD system to verify member is Part A/B eligible.	Gentran mailbox: ** no change [GUID].[RACFID].MBD.D.xxxx.BEQ.[P/T][.ZIP] Connect:Direct: (Current) P#MBD.#BTCH4.xxxx.IN.RQST.NDM Connect:Direct: (starting November 2006) [P/T]#EFT.IN.PLxxxx.BEQ4RX.DYYMMDD.THHMMSST Note: DYYMMDD.THHMMSST must be coded as shown as it is a literal	

CMS Transmittals to the Plan		
<p>4Rx Response File Header Detail Trailer</p>	<p>File containing records produced as a result of processing the transactions of accepted 4Rx Notification files. Detail records for all submitted records that were successfully processed will contain Processed Flag = Y. Detail records for all submitted records that were not successfully processed contain Processed Flag = N.</p>	<p>CURRENT <u>Gentran mailbox:</u> P#MBD.#RXN4.xxxxx.OUT.RESPONSE.pn <u>Connect:Direct (Mainframe):</u> zzzzzzz.#RXN4.xxxxx.OUT.RESPONSE <u>Connect:Direct (Non-Mainframe):</u> \\directory\P#MBD.#RXN4.xxxxx.OUT.RESPONSE</p> <p>JANUARY 2007 <u>Gentran Mailbox:</u> [P/T].Rxxxx.#RXN4.Dyymmdd.Thhmsst.pn <u>Connect:Direct [Mainframe]:</u> zzzzzzz.Rxxxx.#RXN4.Dyymmdd.Thhmsst <u>Connect:Direct [Non-mainframe]:</u> \\directory\Rxxxx.#RXN4.Dyymmdd.Thhmsst</p>
<p>Batch Eligibility Query (BEQ) Response File Header Detail Trailer</p>	<p>File containing records produced as a result of processing the transactions of accepted BEQ Request files. Detail records for all submitted records that were successfully processed will contain Processed Flag = Y. Detail records for all submitted records that were not successfully processed contain Processed Flag = N.</p>	<p>CURRENT <u>Gentran mailbox:</u> P#MBD.#BQN4.xxxxx.OUT.RESPONSE.pn <u>Connect:Direct (Mainframe):</u> zzzzzzz.#BQN4.xxxxx.OUT.RESPONSE <u>Connect:Direct (Non-Mainframe):</u> \\directory\P#MBD.#BQN4.xxxxx.OUT.RESPONSE</p> <p>JANUARY 2007 <u>Gentran Mailbox:</u> [P/T].Rxxxx.#BQN4.Dyymmdd.Thhmsst.pn <u>Connect:Direct [Mainframe]:</u> zzzzzzz.Rxxxx.#BQN4.Dyymmdd.Thhmsst <u>Connect:Direct [Non-mainframe]:</u> \\directory\Rxxxx.#BQN4.Dyymmdd.Thhmsst</p>