Centers for Medicare & Medicaid Services

CMS eXpedited Life Cycle (XLC)

Electronic Retroactive Processing Transmission (eRPT)

Plan User Manual

Version 3.2 FINAL

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1. Introduction

The basic purpose of this document is to provide instructions to Electronic Retroactive Processing Transmission (eRPT) Plan Users to submit retroactive processing transmission documents to Retroactive Processing Contractor (RPC) Reed and Associates. This document provides step-by-step instructions along with screen shots on how to submit a package, upload supporting document, view documents sent by RPC, update a package, search for package and documents etc.

This document also serves as a reference manual for the process to request access to the eRPT web-based application from within the CMS Enterprise Identity Management (EIDM) system.

2. Overview

The eRPT application is a web-based application designed to facilitate and manage the electronic submission, workflow processing, and storage of documentation associated with retroactive enrollment change requests from Medicare Advantage Organizations (MAOs), Medicare Advantage Prescription Drug Plans (MA- PDPs), Cost Plans, Program of All Inclusive Care for the Elderly (PACE), Medicare-Medicaid Plans (MMPs) and Prescription Drug Plans (PDPs). The retroactive change requests include but are not limited to: enrollments, disenrollment, reinstatements, Plan Benefit Package (PBP) changes, Plan Segment changes, State County Code changes (SCC), Low Income Subsidy (LIS), Medicaid, and End Stage Renal Disease (ESRD) submitted by plan/sponsors or a designated submitting organization to RPC.

The eRPT Plan Users will be able to view response documents and Enrollment Data Validation (EDV) Review Packages submitted by RPC via eRPT. The eRPT Plan Users will also have access to respond to EDV Review Packages by uploading supporting documents in the eRPT application.

2.1 Project Diagrams

Figure 1 is a high-level business process diagram of eRPT application implementation.

The eRPT Plan User interacts with the application via the Internet user interface to perform creation and submission of electronic retroactive packages, upload supporting documentation to packages, search and view packages created by the eRPT Plan User, and update or delete a draft submission package, view RPC's response to a package and respond to RPC's request to EDV Review package. All these actions pertain only to those contracts the user has access to.

2.1.1 eRPT Implementation High-Level Business Process Diagram

Figure 1: eRPT Implementation High Level Business Process Diagram

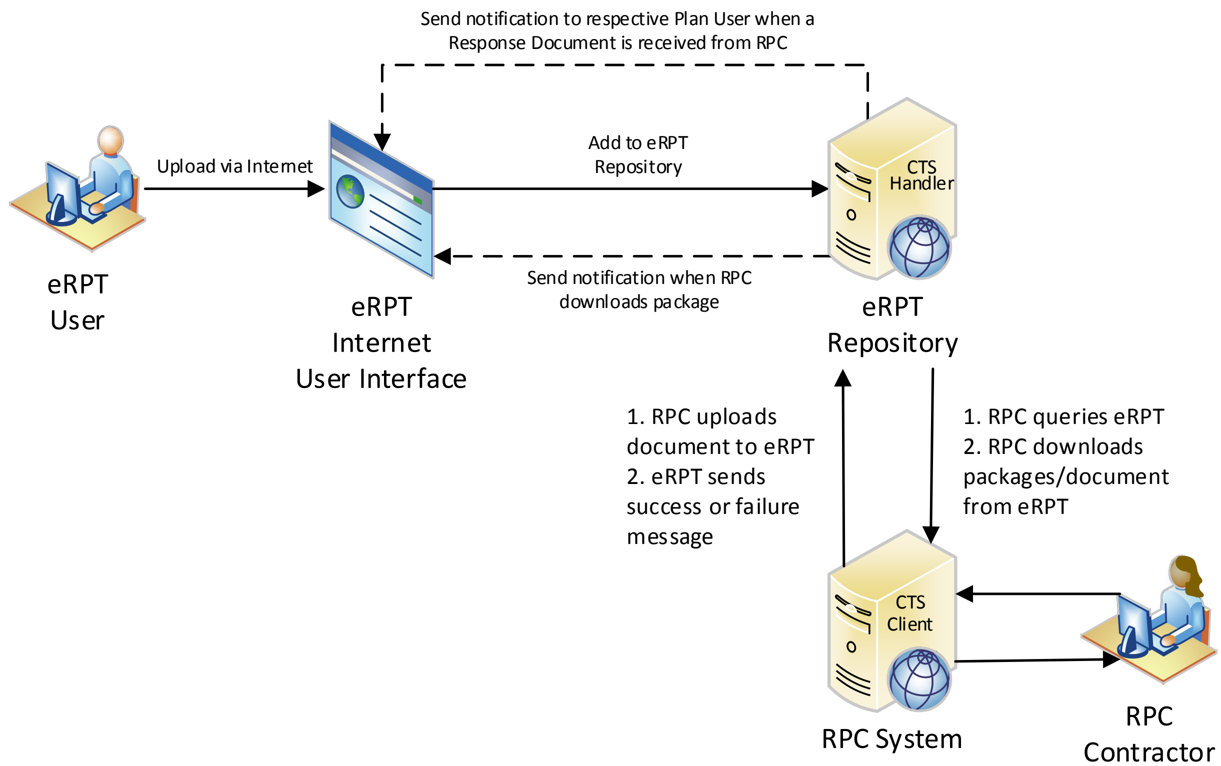


Table 1: eRPT Implementation High Level Business Process Event Description

Events	Description
1.	Plan Representative will submit the Package using the user interface. The eRPT application will capture the time when the Package is submitted. The eRPT user interface will also display a message to the submitter if the document is uploaded successfully. If there is any error during the upload, the user will be notified in the user interface. The user interface will also display the number of documents that were uploaded in the display message.
2.	Packages are retrieved from the eRPT application by the RPC at a defined interval. Based on the requests received by the RPC system eRPT application will send necessary response. RPC contractor can continue with their process after a Package is retrieved from the eRPT application to review all the documents and provide the required Response Documents (FDR, Error Reports etc.).
2.a	Once the Package is retrieved by RPC, a notification is sent out to the Package submitter.
3.	RPC can now upload the Package into to the RPC system, work on the Package and send the necessary response for the Package back to the eRPT application.
3.a	Once a response document is added by RPC to a Package a notification is created and sent out to the respective Plan User.

Note: The dotted line in the Post-eRPT Implementation Process diagram refers to the instance when a notification message will be sent to the respective user within the eRPT application.

2.2 Conventions

This document provides screen prints and corresponding narrative to describe how to request access to eRPT application for an eRPT Plan User role and how to use the different functions from within the application as applicable to the user. When an action is required on the part of the reader, it is indicated by a line beginning with the word "Action:" For example:

Action: Click ***OK***.

Fields or buttons to be acted upon are indicated in bold italics in the Action statement; links to be acted upon are indicated as links in underlined blue text in the Action statement.

Note: The term 'user' is used throughout this document to refer to a person who requires and/or has acquired access to the eRPT application.

2.3 Cautions & Warnings

None.

3. Getting Started

This section provides step-by-step instructions on how to request and gain access to eRPT application.

3.1 Set-up Considerations

CMS screens are designed to be viewed at a minimum screen resolution of 800 x 600. To optimize your access to the eRPT application, perform the following actions:

1. Please disable pop-up blockers prior to attempting access eRPT.
2. Use Internet Explorer, version 9.0 or higher.

3.2 User Access Considerations

There are three user groups for the eRPT application.

1. The first group of users is the Plan users. Plan users will utilize their EIDM user account to access the application via the Internet interface. Please refer to Appendix A for your access to eRPT application.
2. The second and third group of users is the CMS Central Office (CO) and the Regional Office (RO) users. This group will utilize their EIDM user account to access the eRPT application via the Internet or Intranet website provided.

3.3 Accessing the System

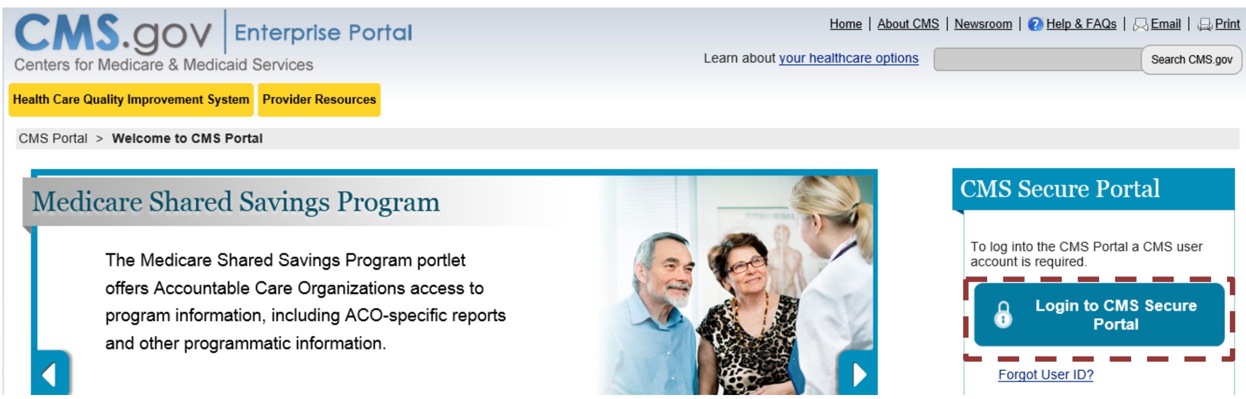
To access eRPT application via the Internet interface, you are required to have an account with CMS EIDM system. Please refer to EIDM User Guide available over the internet at https://www.cms.gov/Research-Statistics-Data-and-Systems/CMS-Information-Technology/IACS/Downloads/IACS-EIDM-Migration-User-Guide.pdf on how to register and create an account in EIDM. The following sub-section (Section 3.3.1) is intended to guide you through the steps involved in requesting access to eRPT application using your EIDM account.

3.3.1 Requesting Access to eRPT Application Within EIDM

To get started, login to CMS Enterprise Portal using your EIDM account as outlined below:

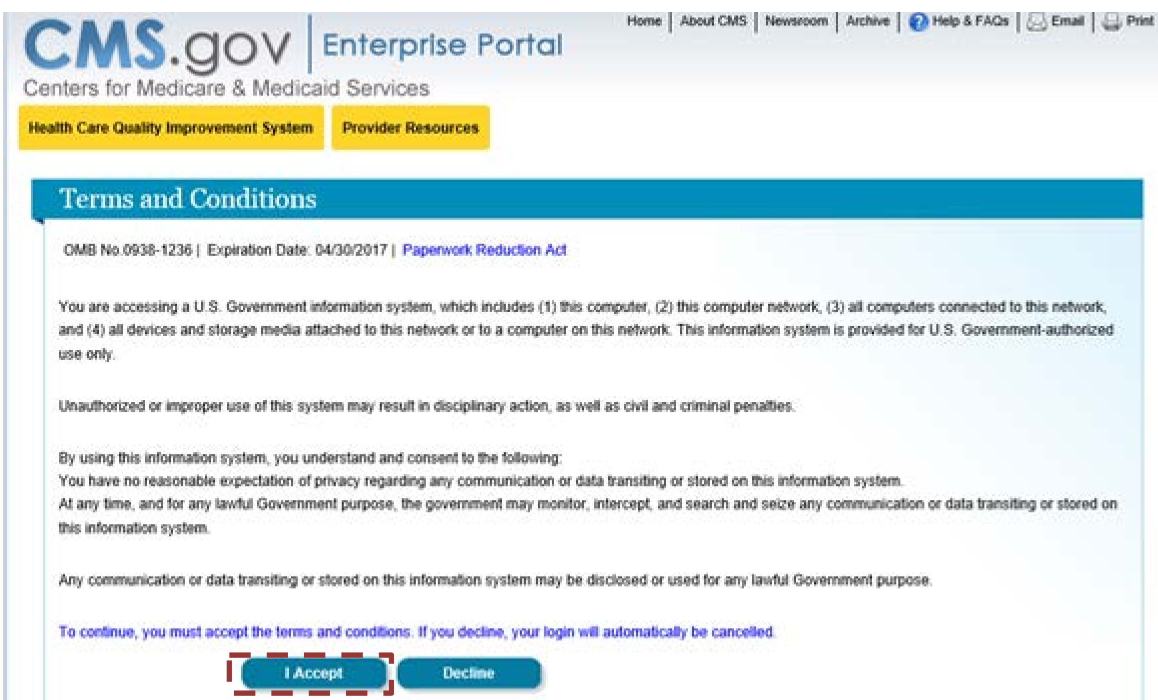
1. **Action**: Open your internet browser (recommended browser is “Internet Explorer”) and type the following URL https://portal.cms.gov that will navigate you to the “CMS Enterprise Portal” homepage.

Figure 2: CMS Enterprise Portal Homepage



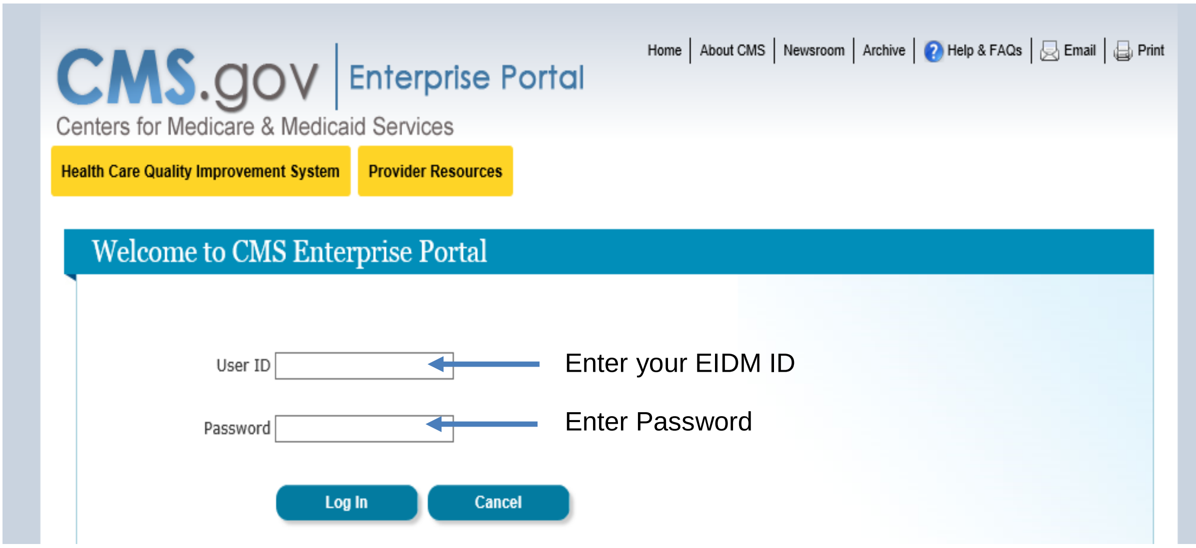
2. **Action**: Click ***Login to CMS Secure Portal*** on the CMS Enterprise Portal homepage.
3. **Action**: Accept the CMS Enterprise Portal “Terms and Conditions” and you are navigated to EIDM login screen.

Figure 3: CMS Enterprise Portal – Terms and Conditions



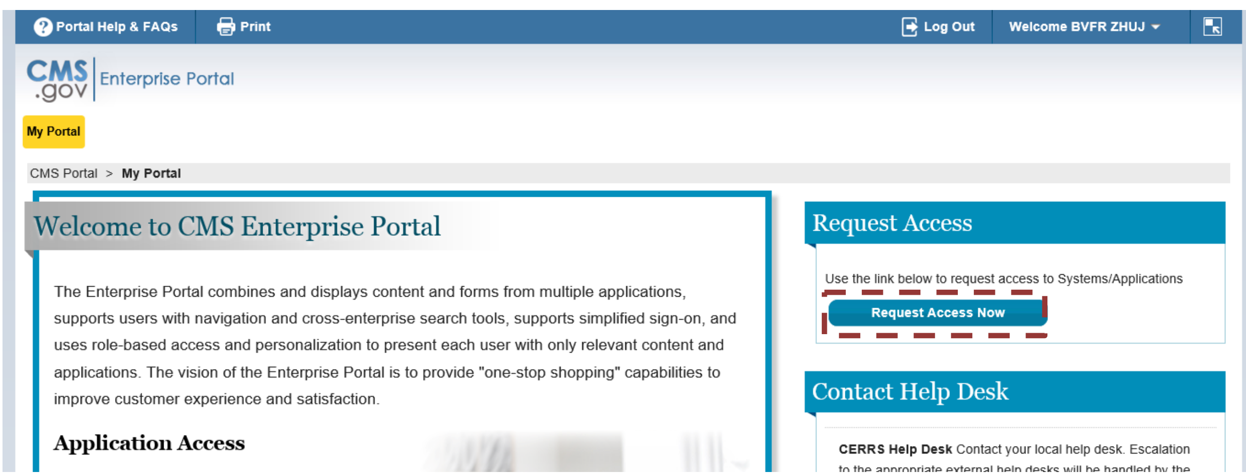
4. **Action**: Enter your EIDM User ID and Password to login to CMS Enterprise Portal and follow the steps below to request access to eRPT.

Figure 4: CMS Enterprise Portal Login



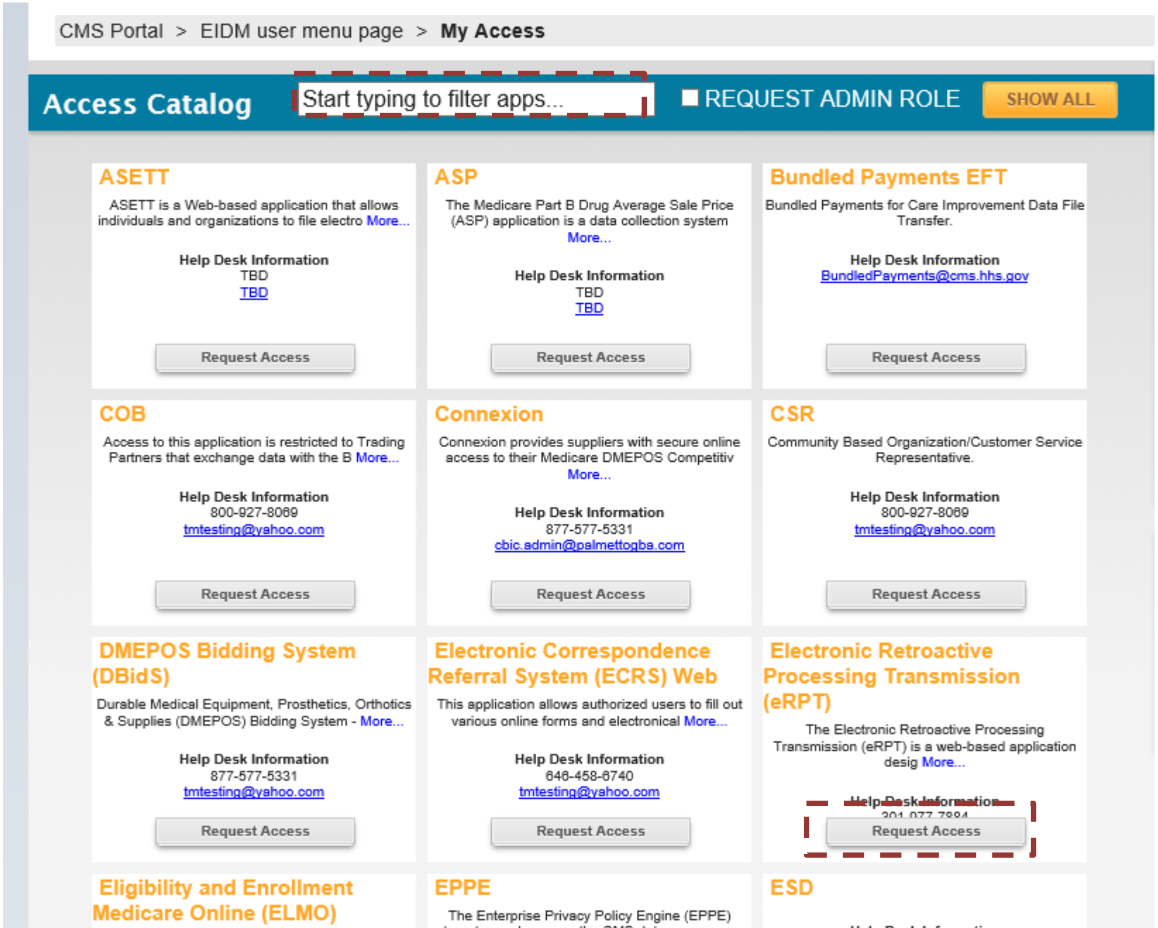
1. **Action**: Click ***Request Access Now***.

Figure 5: CMS Enterprise Portal – Landing Screen



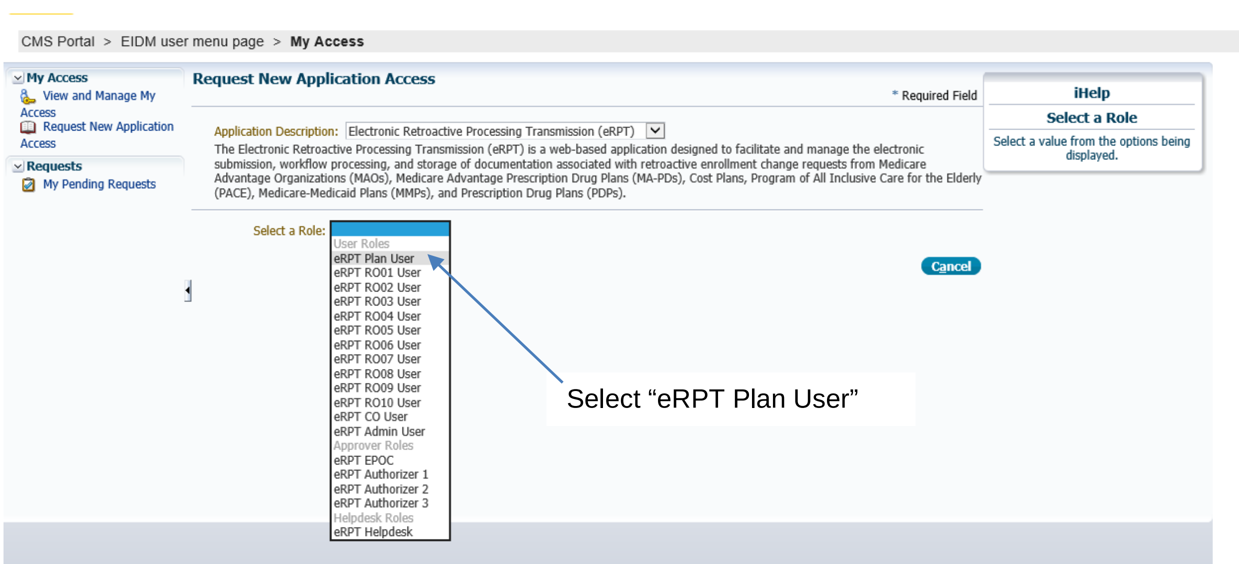
2. **Action**: Click ***Request Access***. You can also use the "Search" field in the "Access Catalog" to search for the eRPT Application block.

Figure 6: CMS Enterprise Portal - My Access



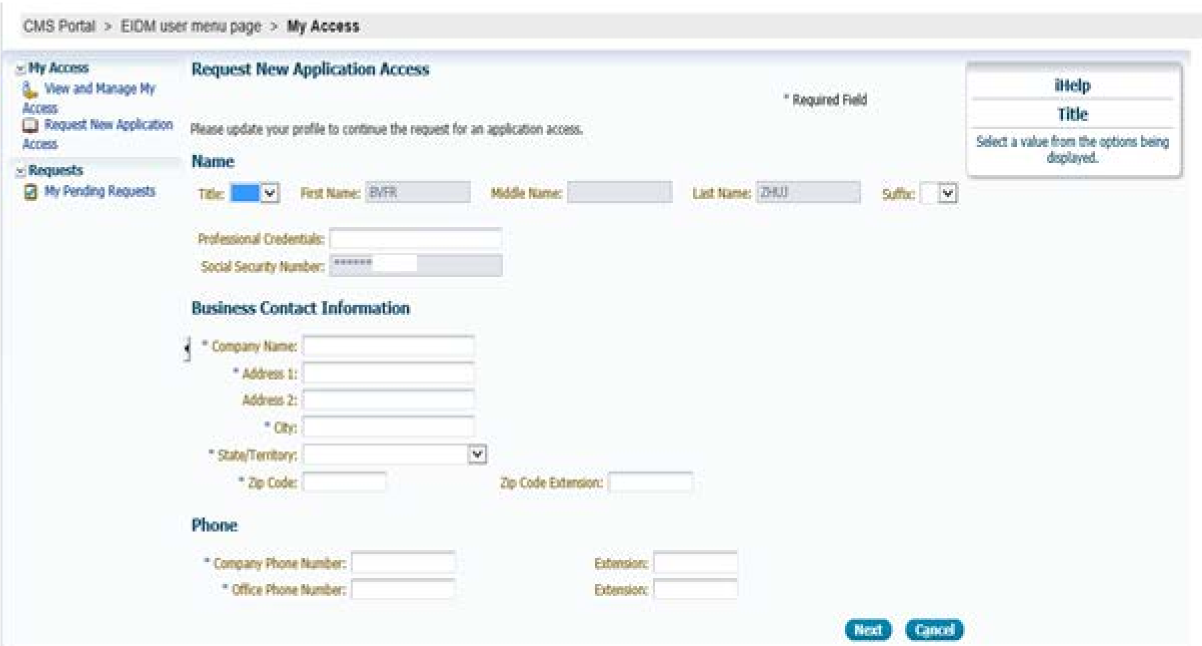
3. **Action:** Select ***eRPT Plan User*** from the ***Select a Role*** drop-down.

Figure 7: Request New Application Access: Selecting eRPT Plan User Role



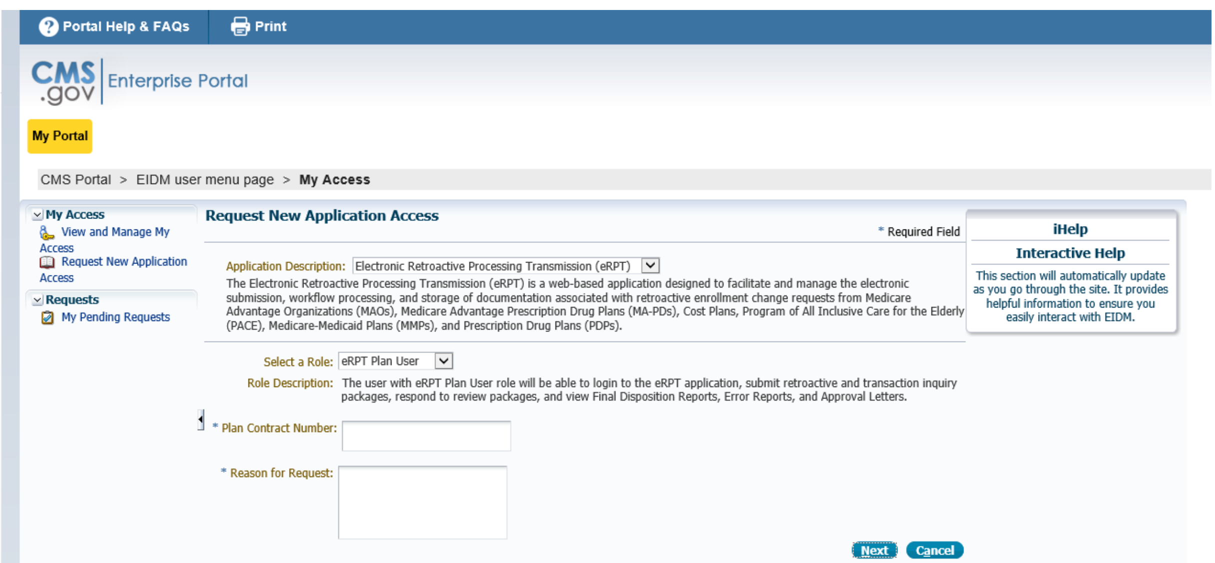
4. **Action**: Provide additional information related to your business and contact information and click ***Next***.

Figure 8: Request New Application Access: Providing Business Contact Information



5. **Action**: You must provide at least one (1) valid plan contract number(s) in the "Plan Contract Number" field to request access to contracts. Valid contracts for eRPT Plan User Role are: Hxxxx, Sxxxx, Exxxx, Rxxxx, and 9xxxx. To enter multiple Plan Contract Numbers, please separate each contract number using a comma. Provide a reason for requesting access to eRPT application and click ***Next***.

Figure 9: Request New Application Access: Requesting appropriate Plan Contract(s)



6. **Action**: Click ***Submit*** to submit your request. Once you submit your request, you will see a tracking number for each plan contract you requested access for. You will also receive an email acknowledging your request.

Figure 10: Request New Application Access Review

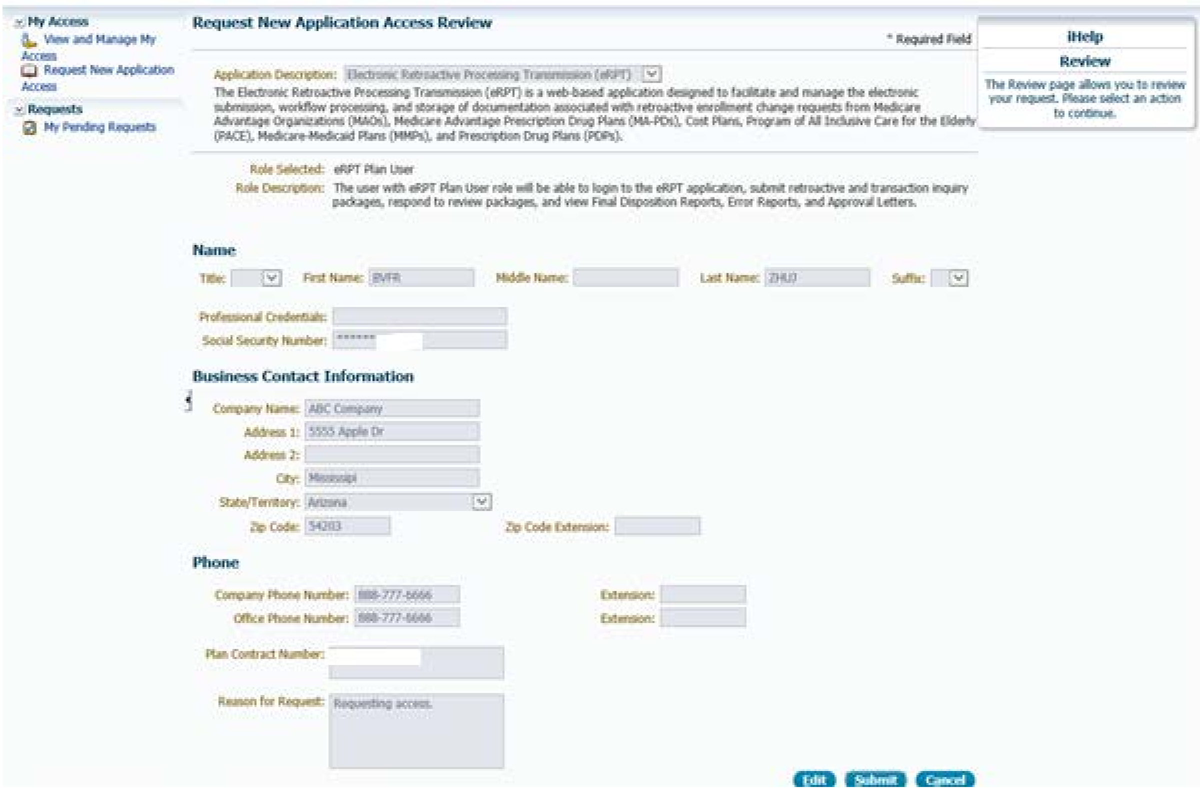
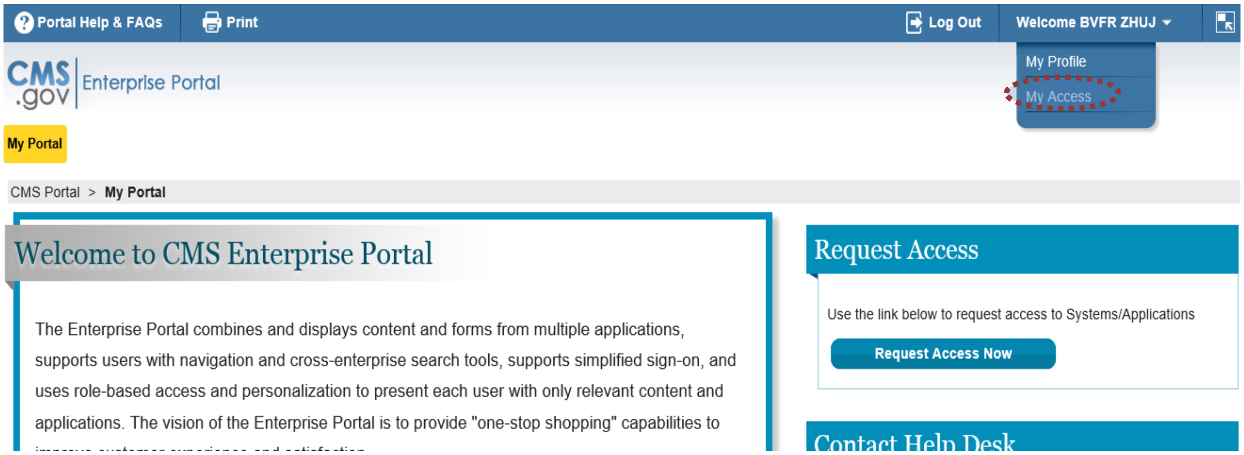


Figure 11: Request New Application Access Acknowledgement

Note: If you want to request new contracts or delete the existing contracts you have access to, please follow the steps below:

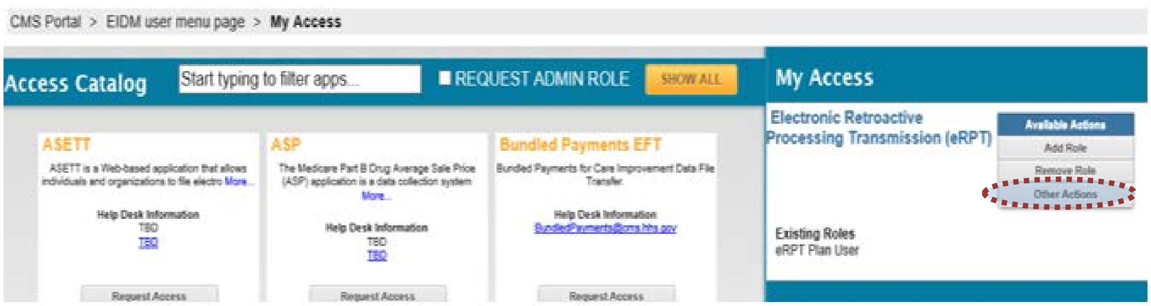
1. **Action:** Select ***My Access*** available in the drop-down list under your name.

Figure 12: Request New Contract(s) and/or Modify Existing Contract(s) – Step 1



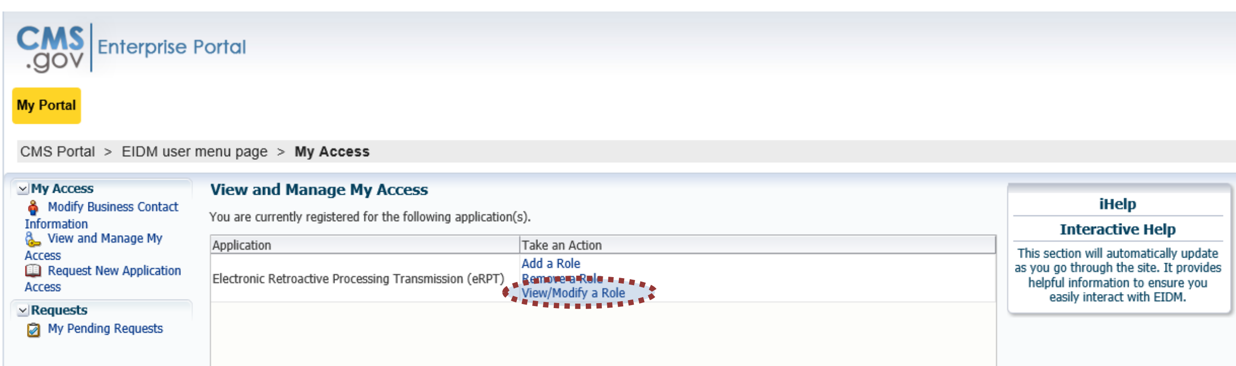
2. **Action:** Click ***Other Actions***.

Figure 13: Request New Contract(s) and/or Modify Existing Contract(s) – Step 2



3. **Action:** Click ***View/Modify a Role*** under ***Take an Action***.

Figure 14: Request New Contract(s) and/or Modify Existing Contract(s) – Step 3

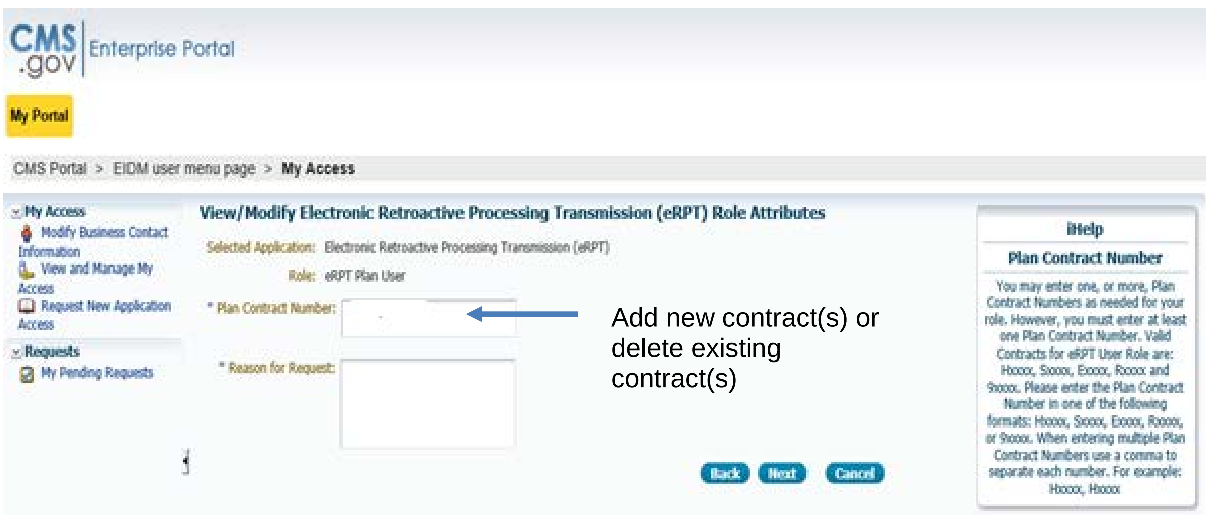


4. **Action:** Click ***Modify Attributes***.

Figure 15: Request New Contract(s) and/or Modify Existing Contract(s) – Step 4

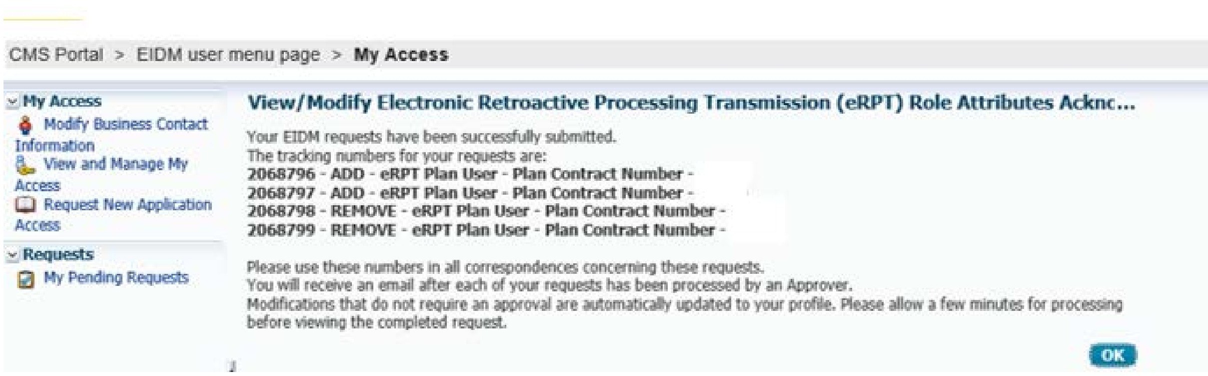
5. **Action:** Update ***Plan Contract Number*** with new contracts if you want to add a new contract to the existing list or delete any existing ones. Provide a reason for the access to those contracts in the "Reason for Request" field and click ***Next*** to proceed with submitting your request.

Figure 16: Request New Contract(s) and/or Modify Existing Contract(s) – Step 5



6. Once you submit your request, you will see an acknowledgement screen.

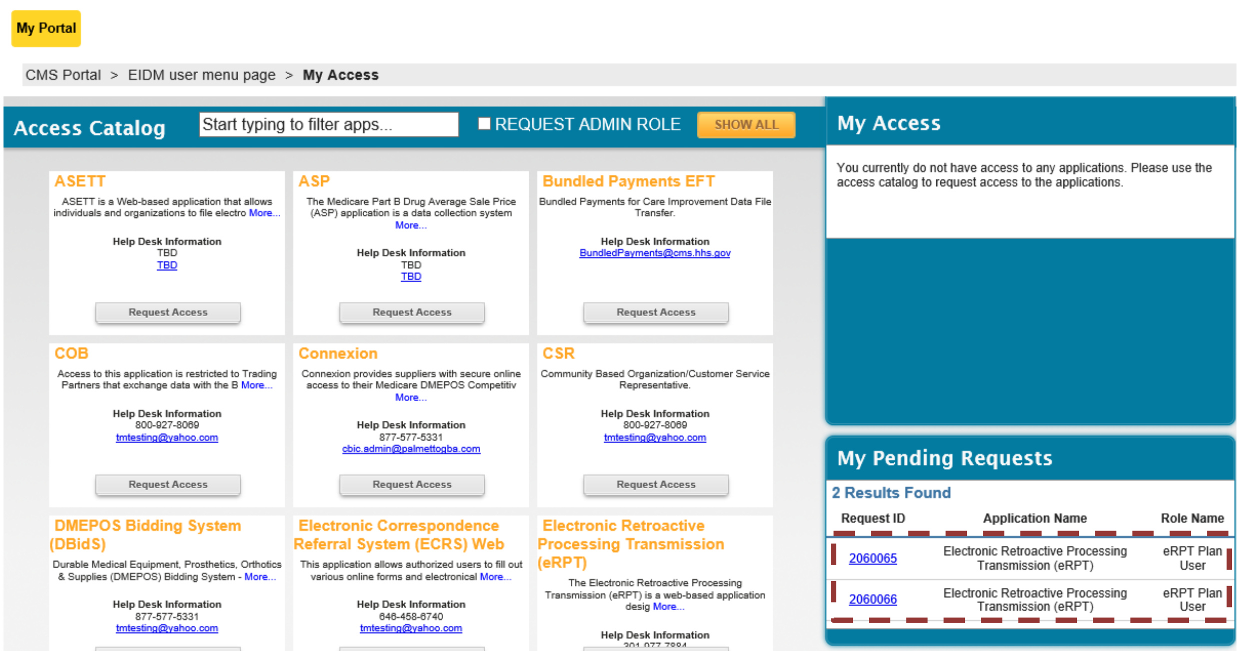
Figure 17: Request New Contract(s) and/or Modify Existing Contract(s) – Step 6



Status of Your Request(s): You can view your pending requests from your ***My Access*** screen and you will receive an email when your request is approved or rejected.

Figure 18: My Access: View Pending Requests

Figure 19: My Access: View Pending Requests



3.3.2 User Replacement

An eRPT Plan User who wishes to take over the role of another eRPT Plan User must conform to the following rules:

1. Should have a valid EIDM User ID and “eRPT Plan User” role within his/her EIDM profile.
2. Should at the least have the same contract numbers.
3. Should create incident ticket with the Medicare Advantage Prescription Drug (MAPD) Help Desk via mapdhelp@cms.hhs.gov or 1-800-927-8069 requesting the same.
4. Should get approvals from the eRPT Business Owner.
5. The existing Plan User (who will be replaced) should drop his/her “eRPT Plan User” role from his/her EIDM profile.

3.3.3 Accessing eRPT Application

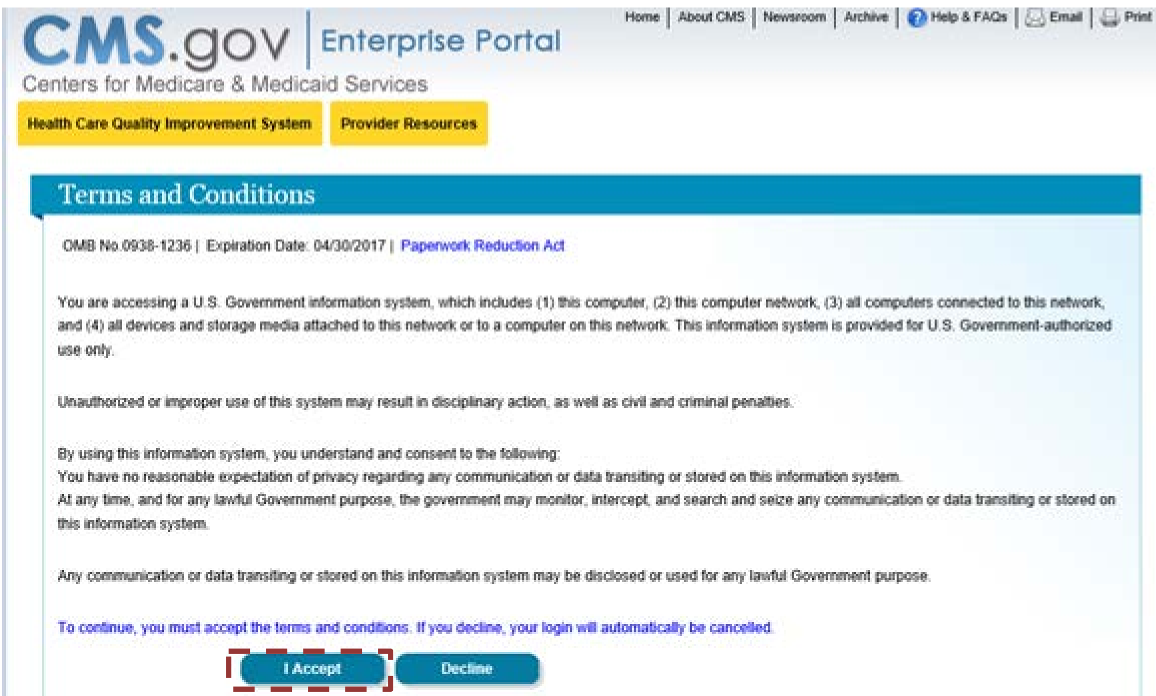
Once your request to access eRPT application is approved by the appropriate approving authority, you will have access to the application specific to those contracts you have requested access for. You will receive an email notification when your request for access is approved or rejected.

To access and login to the eRPT application, please follow the steps below:

1 **Action**: Access the eRPT application using the URL: https://erpt.cms.hhs.gov/erpt/

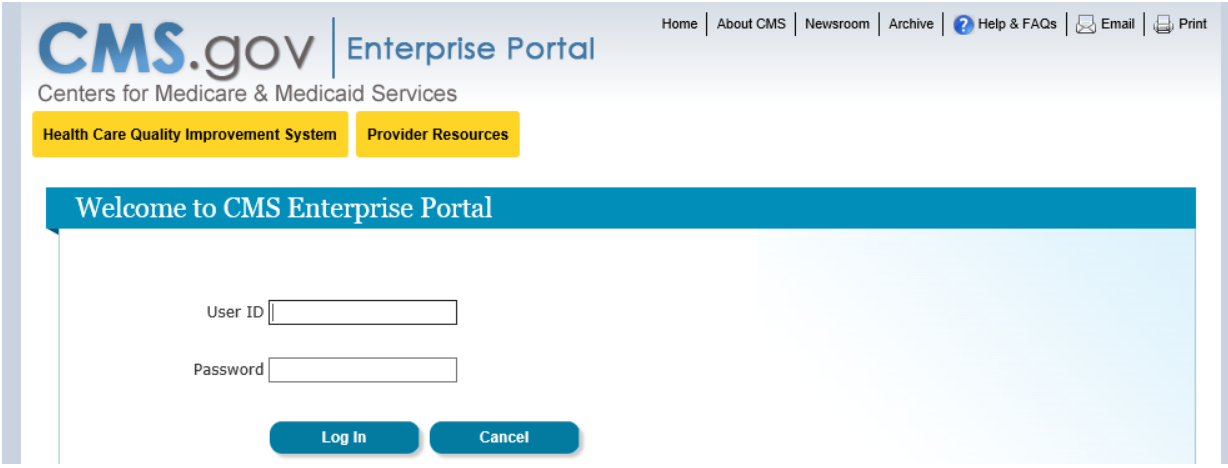
2 **Action**: Upon accessing the above URL, the following ***Terms and Conditions*** screen will be displayed. Please read and click ***I Accept***.

Figure 20: CMS Enterprise Portal - Terms and Conditions



3 **Action**: Enter your EIDM User ID and Password in the appropriate field and click ***Log In***.

Figure 21: CMS Enterprise Portal Login



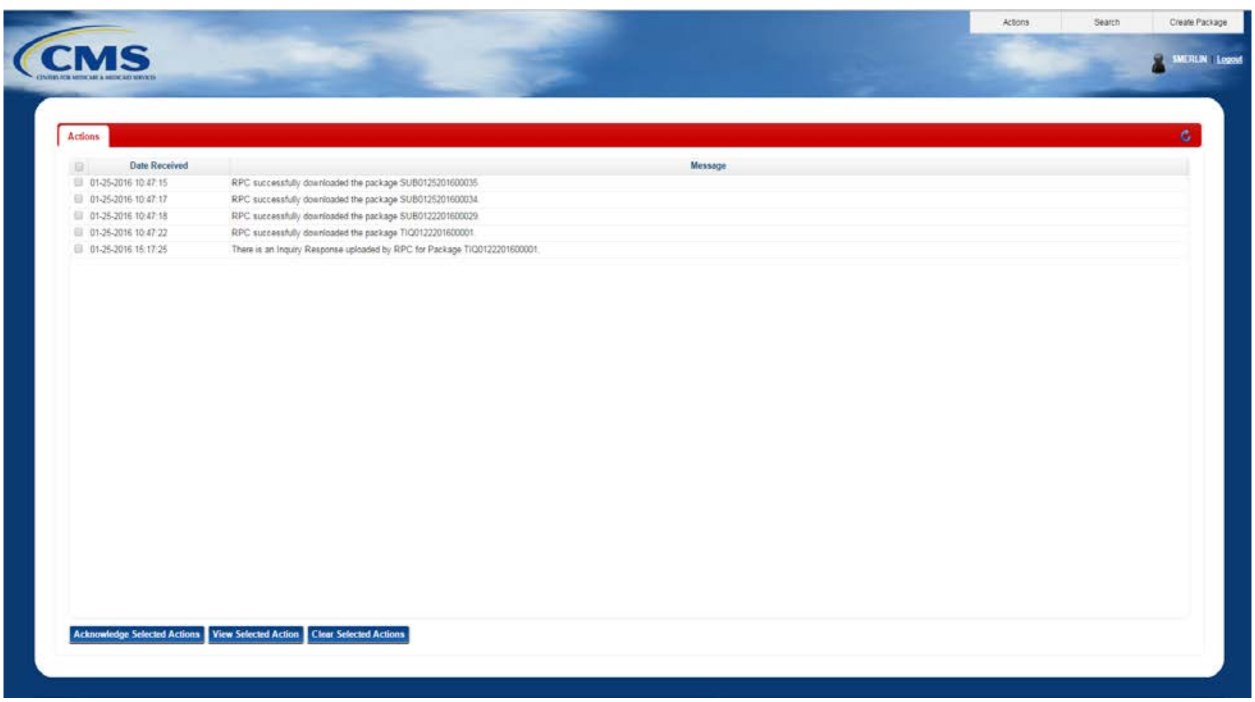
7. If the wrong user credentials are provided, the following error message will be displayed:

Figure 22: Incorrect Login

Incorrect combination of User ID or Password. Please check the information you have entered. If you need further assistance, you may use the "Forgot Password" or the "Forgot User ID" process to help you.

8. On successful login the eRPT Plan User will see the eRPT landing page.

Figure 23: Successful Login – Action List



3.4 System Organization & Navigation

In order to navigate through the website, the user will use the menu options on the top right of the screen. These menus will allow the user to create a Package, search for Packages, and view the Actions. The menu options are specific to the user group access rights.

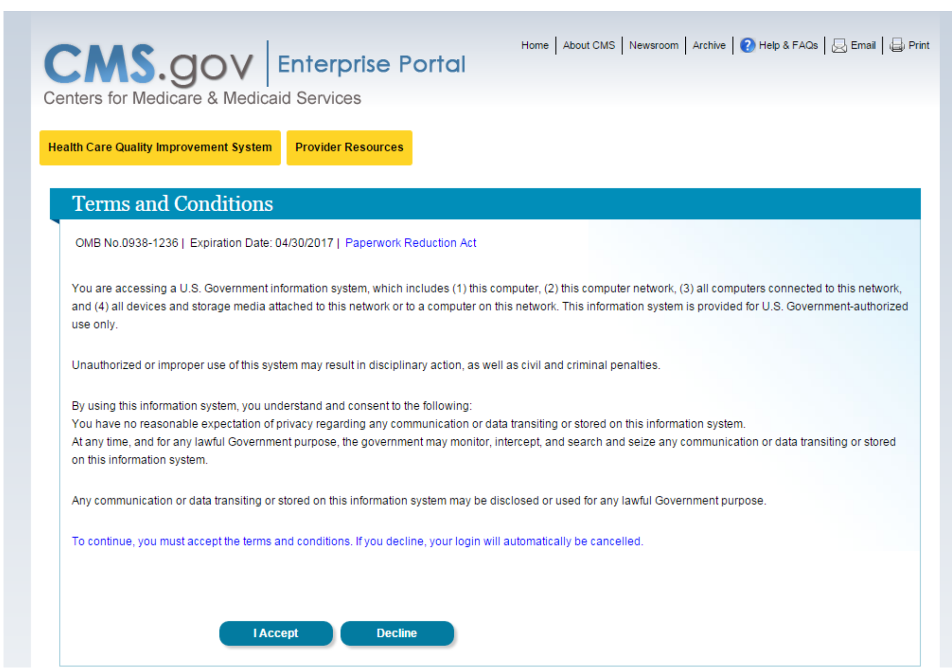
3.5 Exiting the System

In order to exit the system, click ***Logout*** from the top right of the screen. You will see the ***Terms and Conditions*** screen.

Figure 24: Exiting the System

On successful log out you will see the ***Terms and Conditions*** screen.

Figure 25: Exiting the System



Note: To login again the user will need to close the current browser and open a new browser and repeat steps in Section 3.3.2.

4. Using the System

The following sub-sections provide details on how to use the various functions or features of the eRPT application as an eRPT Plan User.

4.1 eRPT Terminology

1 **Package Type** – Package classification representing the type of package submitted by the Plan for processing.

   a. **Submission Package** – Retroactive enrollment-related transactions submitted by a Plan to RPC such as Enrollments, Disenrollments, Reinstatements, LIS Deeming Updates, Medicaid, SCC changes, and Payment Validation.

Note: A Submission Package should not be created to respond to an EDV Review Package.

   b. **Transaction Inquiry Package** – A request submitted to the RPC by a Plan requesting a status on a previously submitted retroactive request.

   c. **Review Package** – EDV requests from the RPC.

Note: All EDV packages should be responded using the Review Package created by the RPC.

2 **Category Code** – A code representing a classification of a retroactive Package type request.

   a. For Submission Package types:

      9. **Category 2** – Timely retroactive enrollment transactions (including Payment Validation Adjustments and Caseworker Approved Complaint Tracking Module (CTM) Cases) that may be submitted to the RPC without additional RO approval. Please refer RPC's Standard Operating Procedure (SOP) on their website for the types of retroactive transactions that do not require RO Approval.

      10. **Category 3** – Untimely (i.e. current calendar month minus 3 months or more) or other (Special Cat 2 Cases, Guidance waivers, Documentation waivers and any other exceptions) retroactive transactions that may be submitted to the RPC requiring RO approval prior to submission. Please refer RPC's SOP on their website for the types of retroactive transactions that require RO Approval.

      11. **CTM** – It is a submission category used when a retroactive request is generated as a result of a complaint filed by a beneficiary or caregiver. This is a new category type for a submission package that is being implemented in this new release of the eRPT application.

      12. **Payment Validation** – this category is used to submit status changes such as Medicaid, LIS, SCC, etc.

      13. **Resubmission** – Timely retroactive enrollment transactions (including Payment Validation Adjustments and Caseworker Approved CTM Cases) that have been previously submitted but not processed as requested by (NPAR) by the RPC and do not require additional RO approval for processing.

      14. **Special** – A customized user Package submitted by the CMS CO Staff or eRPT Plan Users to RPC. A special submission package will need

to be approved by either the CMS CO or RO.

b. Subcategory (Applicable only for Category 3 and Special Submission Package) – A sub-code representing a classification of a retroactive Submission Package type request
   - **LI-NET** – Limited Income Newly Eligible Transition (LI-NET) program provides temporary drug coverage for certain dual Medicare/Medicaid or Supplemental Security Income (SSI) only eligible people who qualify for Medicare's LIS or Extra Help. This program provides immediate and retroactive (if eligible) temporary drug coverage until these beneficiaries have had a chance to enroll in a regular Medicare Part D drug plan.

c. For Review Package Types:
   - **EDV** (this option is available only in search page) – A request submitted by the RPC to a Plan requesting supporting documentation for enrollment related transactions processed by the Plan in MARx. This category value was used historically by RPC to create the EDV package.
   - **EDV-E&D** – Reports with this value in the file name will include sampled Enrollment and Disenrollment transactions that were submitted to MARx via the batch process
     - Enrollment transactions (Transaction Type Code 61) are defined as an action that initially enrolls a beneficiary into a certain plan contract number and Plan Benefit Package (PBP) number.
     - Disenrollments (Transaction Type Code 51) Disenrollment transactions are defined as an action that terminates a beneficiary's enrollment in a given plan.
   - **EDV-CANCELLATIONS** – Reports with this value in the file name will include sampled Enrollment Cancellation and Disenrollment Cancellation transactions that were submitted to MARx via the batch process
     - Enrollment Cancellations (Transaction Type Code 80) are defined as an action initiated by the beneficiary to cancel an enrollment transaction
     - Disenrollment Cancellations (Transaction Type Code 81) are defined as an action that cancels a previously submitted disenrollment, leaving no gap in coverage for the beneficiary.
   - **EDV-MARX_UI** – Reports with this value in the file name will include sampled Enrollment, Disenrollment, Enrollment Cancellation, and Disenrollment Cancellation transactions that were submitted via the MARx User Interface (UI).
   - **EDV-RACS** – Reports with this value in the file name will include sampled Residence Address Change (RAC) transactions that were submitted via either the MARx UI or the batch-submission process
   - **RACs** (Transaction Type Code 76) are updates to member's residence address listed in MARx that ultimately determines the SCC used in the payment calculation.

3 **Approval Authority** (applicable only to Category 3 and Special Submission package) – The office selected by the package creator to approve the package. Following are the options:

- Central Office
- Regional Office

4 **Regional Office** (applicable only to Category 3 and Special Submission package) – The regional office overseeing the package creator's parent organization:

- CMS Regional Office 01 - Boston
- CMS Regional Office 02 - New York
- CMS Regional Office 03 - Philadelphia
- CMS Regional Office 04 - Atlanta
- CMS Regional Office 05 - Chicago
- CMS Regional Office 06 - Dallas
- CMS Regional Office 07 - Kansas City
- CMS Regional Office 08 - Denver
- CMS Regional Office 09 - San Francisco
- CMS Regional Office 10 - Seattle

5 **Parent Organization** – Name of the Parent Organization to which the Package or document belongs.

6 **Status** – Status of the Package. The following are the different Package status values and definitions that can be set on a Package:

- **Draft** – When a Package has been created but not yet submitted within the eRPT application.
- **Pending Approval** – When a Package is submitted by the eRPT Plan User but is awaiting an Approval Letter from CMS RO Account Manager or CMS CO. This status is applicable only for a Category 3 and Special Submission Package.
- **Open** – When a Submission Package is submitted to eRPT and ready for the RPC to download or when a Review Package is uploaded for a Plan User to respond.
- **Completed** – When a review Package is submitted by the eRPT Plan User with all of the supporting Response documents.
- **Downloading** – When the RPC is downloading the Package.
- **In Process** – When the RPC is processing the Package.
- **Closed** – When a retroactive Package processing has been completed by the RPC the Package status will be marked as closed.

7 **Contract ID** – A unique five-character alphanumeric identifier assigned by CMS.

8 **Count** – The total number of transactions by contract listed in the RPC Submission spreadsheet. The count includes all transactions across each worksheet within the spreadsheet.

9 **Package ID** – A unique system-generated identifier assigned to each retroactive Package request.

10 **Created by** – The eRPT User ID of the user who created the Package. In this document, the user who created the Package is referred to as the ''Package Creator.''

11 **Proxy ID** – A list of persons authorized to act on behalf of an eRPT Plan User responsible for creating and submitting a retroactive plan submission package.

4.2 eRPT User Interface Menu

On a successful login to eRPT, the user can see the following menu options based on their access.

4.2.1 Search

On the Search Package screen, the users can search for Packages and documents in eRPT. Depending on the type of user logging into the eRPT there are restrictions on the Packages and document that can be retrieved and viewed by the user. Users with Plan access will be able to view the following:

- The Packages that have been created by them, or packages where they are included as proxy.
- Response documents (For example: FDR, Error Report) for their respective contracts.
- Review Packages for their respective contracts.

The drop-down selection lists and free-form data entry fields allow the user to make selections that will customize their returned results in the Results grid. The search screen provides the user with following search criteria and options:

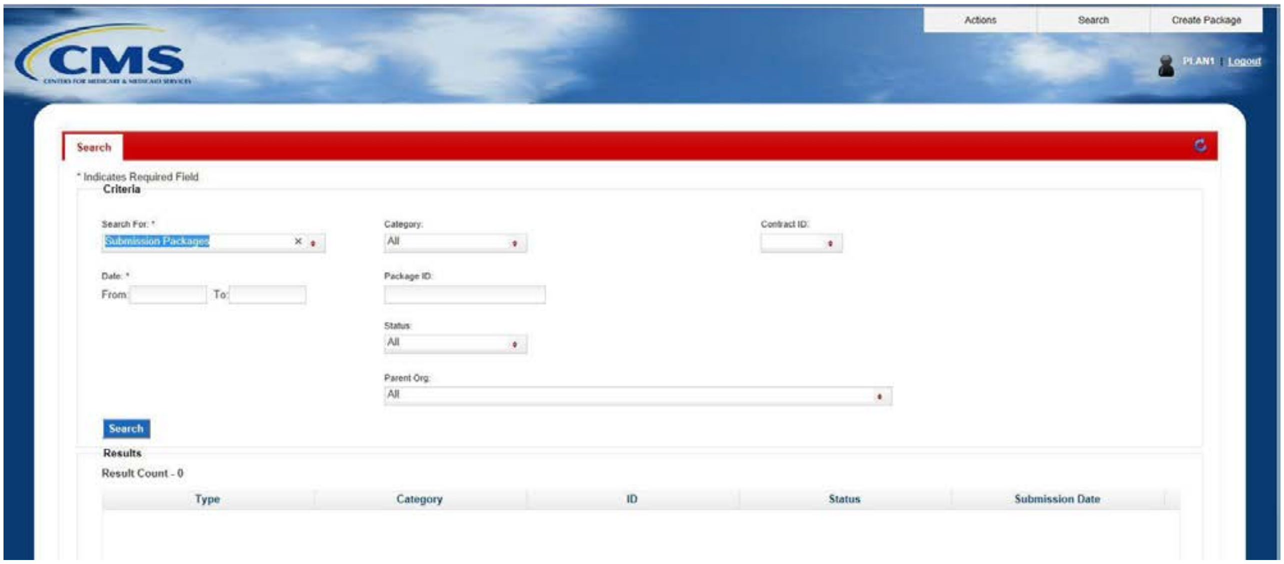
1. Search For:
    a. Packages:
        - Submission Packages
        - Transaction Inquiry Packages
        - Review Packages (e.g. EDV Review)
    b. Response Documents:
        - FDR
        - Error Reports
        - Letters
            - Approval Letter
        - Follow-on FDR
2. **Date** – This is a mandatory entry field and should be used by the user to select the date range in which the Package was saved or submitted. The search will automatically look for the dates based on the Package status. If the user is searching for a draft or Pending RO Approval Package, the eRPT application will look for Packages based on the Package's creation date. If the user is searching for a submitted Package, (with a status of Open or Closed or Rejected or In Process or Downloading) the eRPT application will look for Packages based on the Package submitted date.
    - From
    - To
3. **Package ID** – If the user knows the specific ID of the Package they are trying to find they should enter it free form.
4. **Category** – The category code values are dynamically populated based on the Search-For selection made by the user as shown below:
    a. Submission Package:
        - Category 2
        - Category 3
        - CTM
        - Payment Validation
        - Resubmission

   - Special
   b. Review Package:
      - Enrollment Data Validation
      - EDV - E&D
      - EDV - CANCELLATIONS
      - EDV - MARX_UI
      - EDV - RACS
5. **Status** – A drop-down containing Package status values. The status values are dynamically populated based on Search-For and Category selection made by the user as shown below (refer section 4.1 or section 4.3.8 for status description)
   a. **Submission Package** - Category 2, Resubmission, CTM and Payment Validation
      - Draft
      - Open
      - Downloading
      - In Process
      - Closed
   b. **Submission Package** - Category 3 and Special
      - Draft
      - Pending Approval
      - Open
      - Rejected
      - Downloading
      - In Process
      - Closed
   c. Transaction Inquiry Package
      - Draft
      - Open
      - Downloading
      - In Process
      - Closed
      - Review Package
      - Open
      - Completed
      - In Process
      - Downloading
      - Closed
6. **Parent Organization** – All Plan Parent Organizations will be listed.

Note: If your Parent Organization is not available in the drop-down please contact the MAPD Help Desk.

7. **Contract ID** – If the user knows the specific contract ID they are trying to find they should enter it free form. This field is applicable only for Response documents.

Figure 26: Search



4.2.2 Create a Package

In eRPT, the Plan user can use the Create-Package screen to create the following types of Package:

- Submission Package
- Transaction Inquiry Package

The term ‘Package’ refers to a request submitted by Medicare Managed Care or PDPs for RPC to process. A Package within the eRPT application will consist of three main parts:

- **Package Details** – Information about the Package such as Package Type, Category, Parent Organization etc.
- **Submission Documents**
  - For Submission & Transaction Inquiry Package - All of the supporting documents that are required by the RPC to process the Package (refer to the RPC website for details).
  - For Review Package - All the supporting documents that are submitted by RPC for the review (refer to the RPC website for details).
- **Response Documents**
  - For Submission & Transaction Inquiry Package - Documents that are added by the RPC after processing the Package.
  - For Review Package - Supporting documents that are submitted by eRPT Plan Users for the EDV review request.

Each of the Packages created within the eRPT application will be assigned a unique identifier called a Package ID. The supporting documentation required for a Package will vary, depending on the type and category of the Package. A user will need to upload all the required documents to a Package for successful submission of the Package to the eRPT application. Appendix D lists all the document types that are required to submit a Package.

The Packages created in the eRPT application will follow different workflows based on the Package Type and Category Type.

4.2.2.1 General Workflow

A Submission (Category 2, CTM, Payment Validation, and Resubmission) or Transaction Inquiry Package follows the general workflow. The following are the steps:

- The Package is created and submitted by an eRPT Plan User or CMS CO User.
- The Package is downloaded by the RPC.
- The Package creator will receive a notification about Package being downloaded by the RPC.
- The RPC will begin adding FDRs and Error Reports to the Package for a particular Plan Contract.
- The respective eRPT Plan user or Package Creator will receive a Notification in their eRPT account, so it is important that these individuals check their accounts regularly.
- When the RPC completes processing the Package they will mark the Package status as *Closed*.

4.2.2.2 Submission-Category 3 and Special Package Workflow

A Submission Package (Category 3 and Special) follows a slightly different workflow and requires action from the RO Account Manager or CMS Central Office upon Package submission by Plan User. Following are the steps:

- The Submission -Category 3 or Special Package is created and submitted by the package creator.
- If the package is a LI-NET submission, the package creator is required to select the Subcategory value.
- For a LI-NET submission, the package creator is not required to select the approval authority.
- If the package is not a LI-NET submission, the package creator is required to select the approving authority (CMS CO or RO) and RO Number (RO01 – RO10).
- The Package is searched by CMS RO Account Manager or CMS CO User or accessed via actions to add the Approval Letter or Reject the Package.
- If CMS RO Account Managers or CMS CO User adds the Approval Letter the workflow steps are as shown below:
  - The Package is downloaded by the RPC.
  - The Package creator will receive a Notification about Package being downloaded by the RPC.
  - The RPC will begin adding FDRs and Error Reports to the Package for a particular Plan Contract.
  - The respective eRPT Plan user will receive a Notification in their eRPT account and an email notification.
  - When the RPC completes processing the Package they will mark the Package status as Closed.
- If CMS RO Account Managers or CMS CO rejects the Package the workflow steps are shown below:
  - The approver will be required to add the rejection notes to reject the package.
  - The Package creator receives the Notification in their eRPT account.
  - The Package creator will need to create a new Package.

The LI-NET submission will be approved by the Central Office.

4.2.2.3 Review Package Workflow

A Review Package is a Package created by either a CMS CO User or the RPC requesting eRPT Plan Users to provide additional information to perform a review of previously submitted transactions by a Plan to ensure they comply with CMS Guidelines. Review Packages include EDV Reviews, as designated by CMS. Unlike the Submission Package and Transaction Inquiry Package the Review Package follows a different process. Following are the steps:

- The RPC or CMS CO User creates a Review Package for a particular Plan Contract and transaction type.
- Action/Notification is sent to the respective users in their eRPT account, who have access to the contract.
- The eRPT Plan User views the notification.
- The eRPT Plan User responds to the Package by providing all the required Response documents within seven business days of the request.
- The eRPT Plan User submits a response to the Review Package.
- The RPC will download and process the Package.
- When the RPC completes processing, the Package will be marked as Closed.

Note: A Submission Package should not be created to respond to an EDV Review Package. All EDV packages should be responded using the Review Package created by the RPC.

4.3 eRPT Plan User Functions

In eRPT, a Plan user will be able to create, view, update, delete a draft package, track, and respond to Review Packages. The eRPT Plan User will also be able to view Response documents that are added to the Package by the RPC.

The following are the types of Packages that can be created by the eRPT Plan User via the User Interface:

- Submission Package
  - Category 2
  - Category 3
  - CTM
  - Payment Validation
  - Resubmission
  - Special
- Transaction Inquiry Package

In following sub sections, we will discuss the steps to:

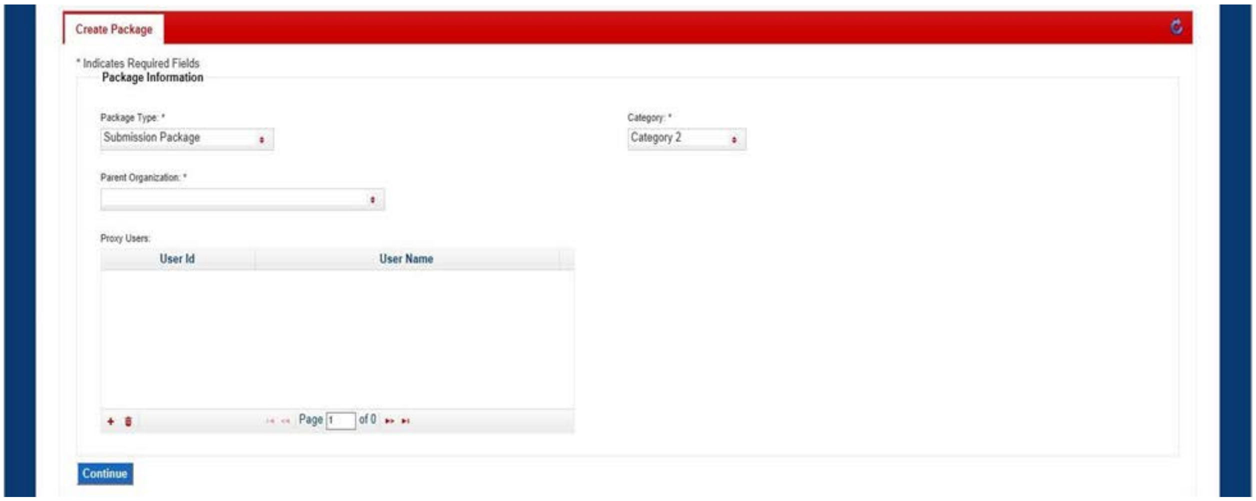
- Create Package - Submission Package
- Create Package - Transaction Inquiry Package
- Search a Package
- View a Package
- Update a Package
- Delete a Draft Package
- Tracking a Package
- View Response Documents added by the RPC via Actions
- Search & View Documents
- Add Response Documents to Review Package

4.3.1 Create Package – Submission Package

Note: An Enrollment Data Validation Review package should not responded by creating a Submission Package. Please refer to section 4.3.11.3 to respond to an Enrollment Data Validation Review package.

1. **Action**: Login to the eRPT application.
2. **Action**: Click ***Create Package***.

Figure 27: Create Package - Submission Package

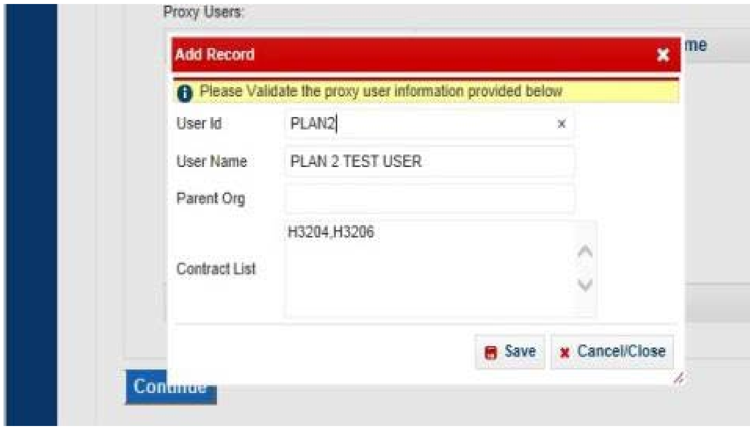


3. **Action**: Complete the details for the Submission Package:
   a. **Package Type** – Submission Package.
   b. **Category** – Select the respective category code from the drop-down.
   c. **Approval Authority** (applicable only to Category 3 and Special Submission Packages) – Select a value from the drop-down.
   d. **Regional Office** (applicable only to Category 3 and Special Submission Packages) – Select a value from the drop-down.
   e. **Parent Organization** – multiple organization selection list.
   f. **Proxy Users** – optional field:
      i. User ID – Search for the user that you want to be a proxy
      ii. User Name – Enter the number of transactions.
4. **Action**: To add proxy information select the **+** sign in the contract grid and pop-up window will appear.

Figure 28: Create Package - Submission Package Proxy User ID Lookup

5. **Action**: The eRPT Plan User will enter the User ID of the designated proxy and click ***Search***. The result will be displayed.

Figure 29: Create Package - Submission Package Proxy User ID Lookup Results

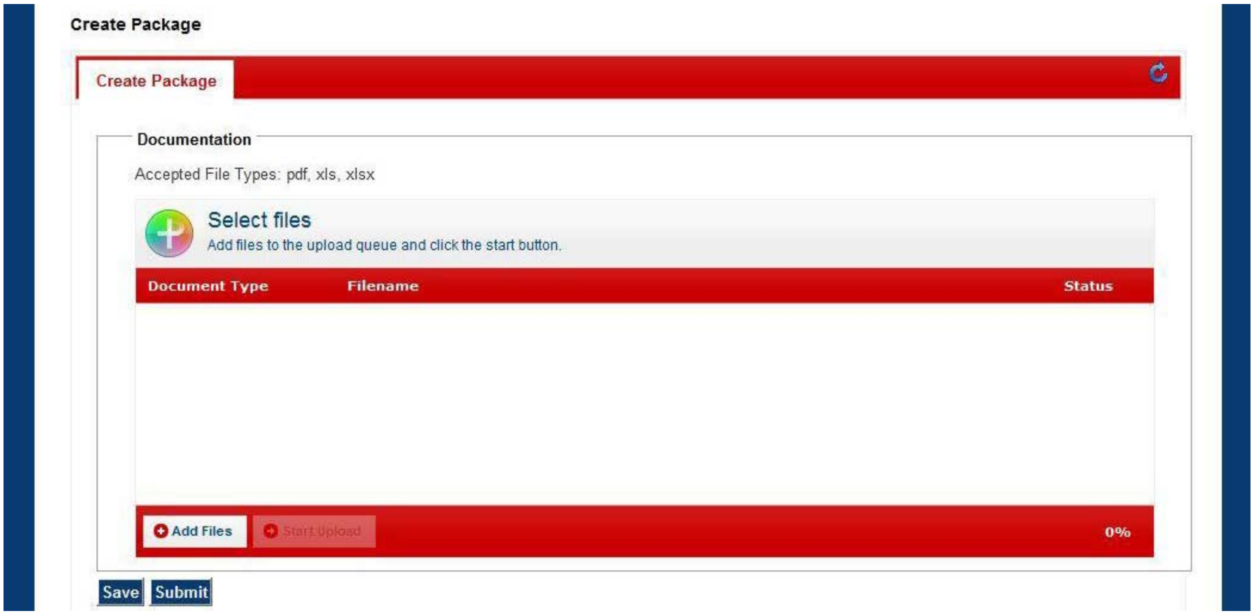


6. **Action**: Click ***Save***. The proxy information will be added in the Proxy Users grid.

Figure 30: Create Package - Submission Package Proxy User Grid

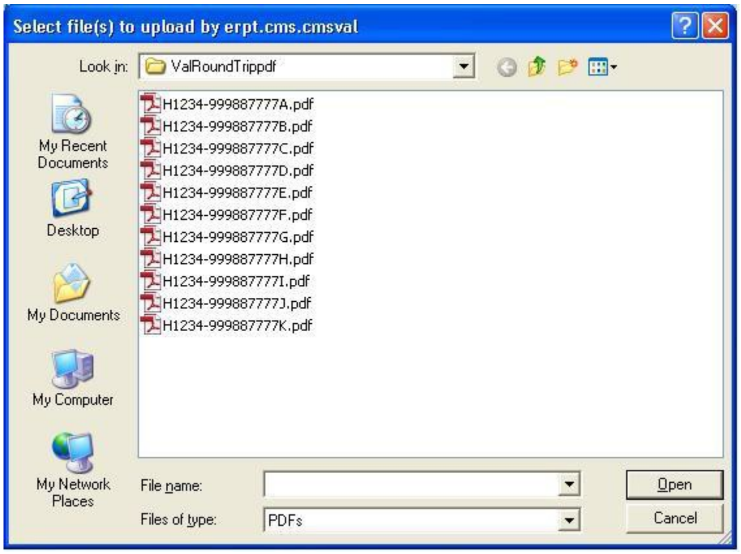
7. **Action**: Repeat steps 4 to 6 to add additional proxy users (three per package). If the ***Add Record*** window remains open after entering all proxy information, click ***Cancel*** at the bottom or ***X*** at the top of the window.
8. **Action**: To delete proxy information from the Proxy Users grid:
   a. **Action**: Select the row in the proxy user grid. The proxy user will be highlighted.
   b. **Action**: Click the trash can icon. The proxy user will be deleted.
9. **Action**: After entering all required information for Package creation, click ***Continue***.
10. The ***Documentation*** screen will be displayed. The user can ***Add Files*** to a Package.

Figure 31: Create Package - Submission Package Documentation Screen



11. **Action**: Click ***Add Files***. A Windows Explorer pop-up window opens.

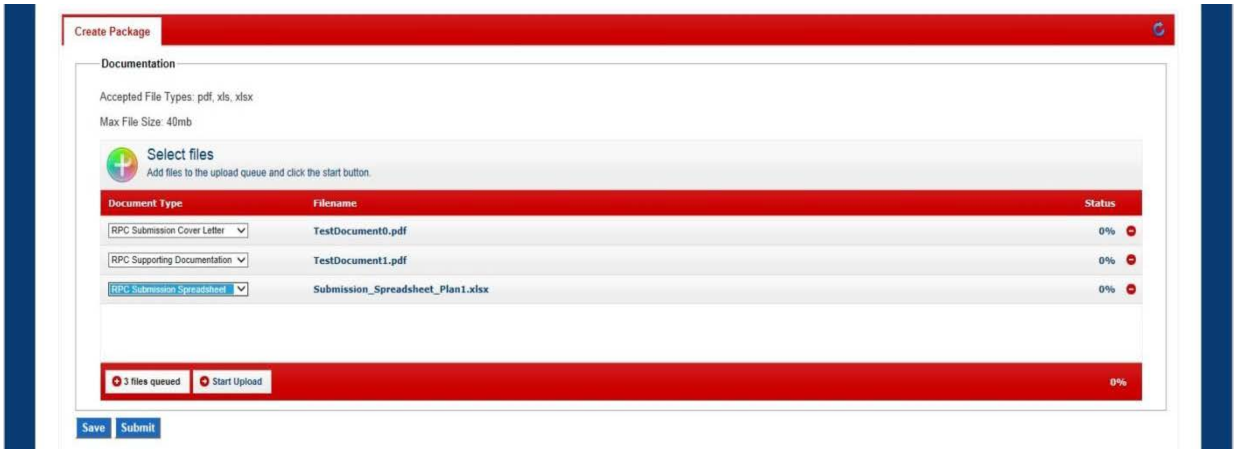
Figure 32: Create Package - Submission Package Select Files



12. **Action**: Select the files you want to add and click ***Open***. The selected document(s) will display in the user interface:

Note: Refer to the RPC website for the file naming conventions.

Figure 33: Create Package - Submission Package Files to Upload



13. **Action**: Select the appropriate ***Document Type*** for each document (refer to Table 9 to view the appropriate values). The default for all documents will be 'RPC Supporting Documentation' when creating a Submission Package.

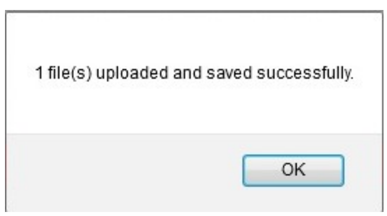
Note: The default Document Type value will vary based on the Package Type and process step.

14. **Action**: Click ***Start Upload***. The user must upload at least one document for each of the following document types for successful submission of the Package:
    - RPC Submission Cover Letter (PDF file).
    - RPC Submission Spreadsheet (XLS or XLSX file).
    - RPC Supporting Documentation (PDF file(s)).

Note: Acceptable file types for uploading are Portable Document Format (PDF) and Excel format (.XLS and .XLSX). The format "XLSM" is not supported by the eRPT. Please refer to Section 4.5 for steps to convert an XLSM format document.

15. Upon successful upload, the file upload message is displayed.

Figure 34: Create Package - Submission Package Files to Upload

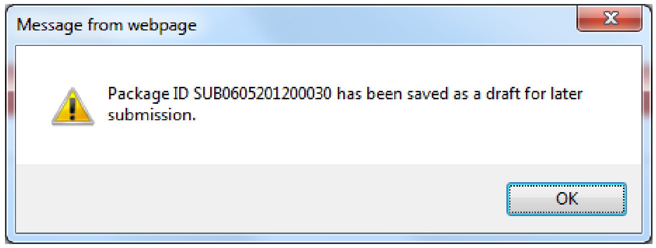


16. **Action**: Click ***OK***.

Note: The user can either ***Save*** or ***Submit*** the Package. No documents have to be uploaded to **Save**. Documents must be uploaded to ***Submit***.

17. Action: Click ***Save*** to store a draft Package. The Draft Submission message is displayed.

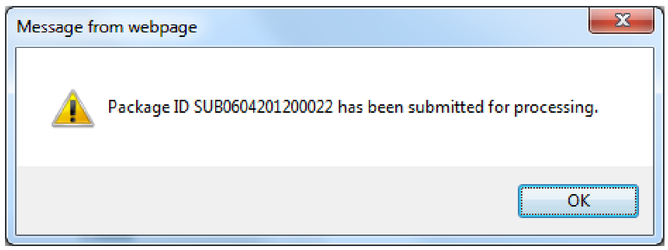
Figure 35: Create Package - Submission Package Save as Draft



Note: A saved Package is retrieved through Search for Packages with 'Draft' status.

18. **Action**: Click ***OK***.
19. **Action**: To submit a Package click ***Submit*** to see the Package Submitted message:

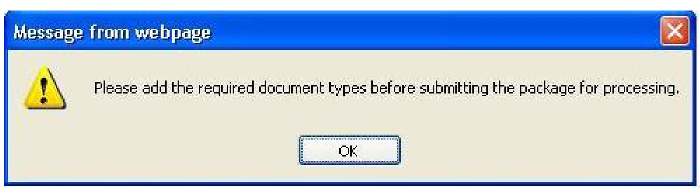
Figure 36: Create Package - Submission Package Submitted for Processing



Note: A submitted Package can be retrieved through Search for Packages with 'Open' status.

20. **Action**: Click OK. If required documents for the Package have not been added before submitting the Package a warning will display.

Figure 37: Create Package - Submission Package Required Documents Warning



21. **Action**: if the required documents warning is received, click ***OK*** and return to add the required documents and submit the Package (steps 10 to 19).

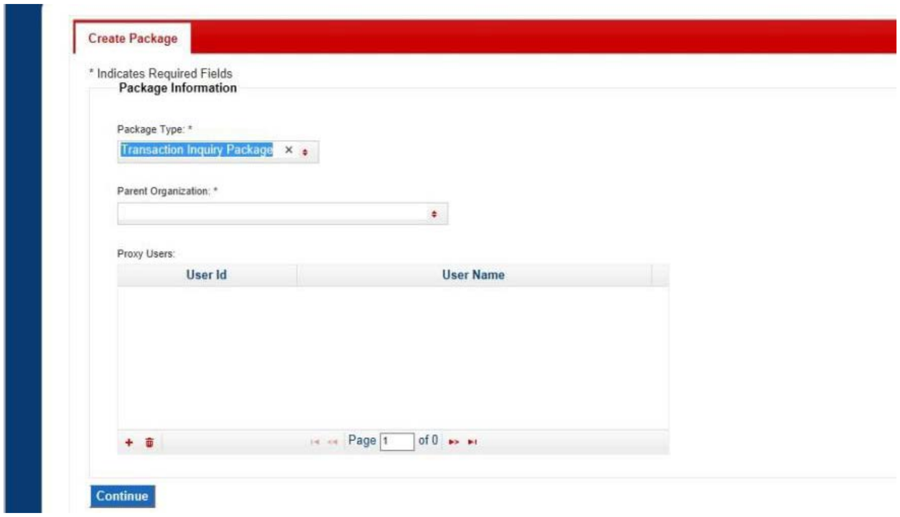
4.3.2 Create Package – Transaction Inquiry Package

Note: An EDV Review package should not be responded by creating a Submission Package. Please refer section 4.3.11.3 to respond to an Enrollment Data Validation Review package.

1. **Action**: Login to the eRPT application.
2. **Action**: Click ***Create Package***.
3. **Action**: This screen allows the user to enter details for the Transaction Inquiry Package. Enter:
    a. **Package Type** – Select Transaction Inquiry from the drop-down.
    b. **Parent Organization** – The organization to which the Package belongs.
    c. **Proxy Users** – optional field:
        i. **User ID** – Search for the user that you want to be a proxy.
        ii. **User Name** – Enter the number of transactions.

Note: If the user's Parent Organization does not display, please contact the MAPD Help Desk at mapdhelp@cms.hhs.gov or 1-800-927-8069 to create a ticket.

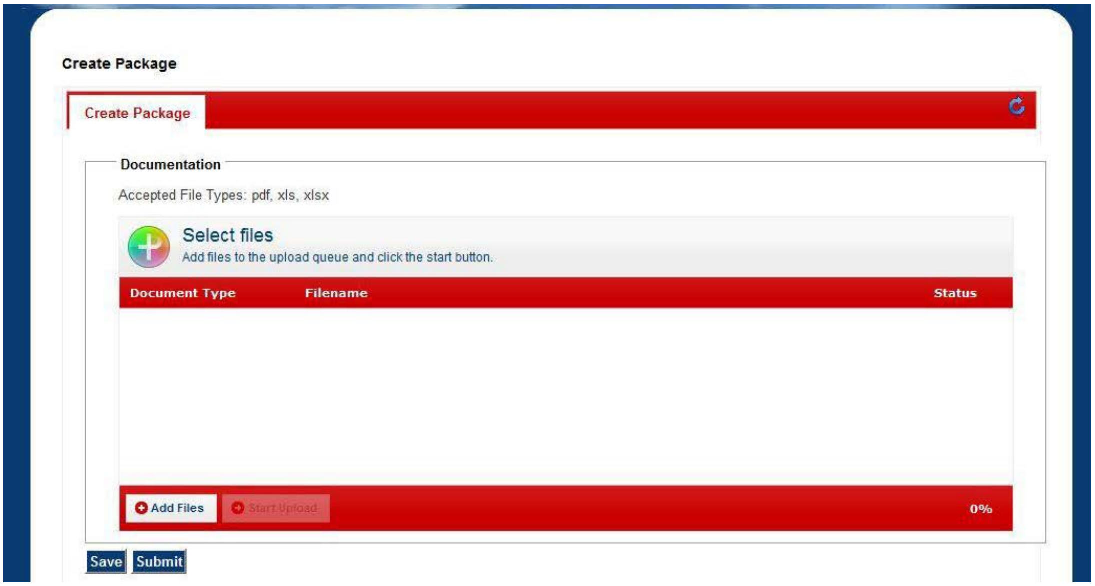
Figure 38: Create Package – Transaction Inquiry



4 To enter Proxy Users for the package, follow the same steps as described in Section 4.3.1, Create Package – Submission Package.

5 **Action**: After entering all the information required for the Package click ***Continue***. The Documentation screen will be displayed. The user can add supporting documents to a Package.

Figure 39: Create Package – Transaction Inquiry Select Files



6 **Action**: Click ***Add Files***. The pop-up window for the user to select documents is displayed. To upload XLS documents select Excel 97-2003 Workbook from ***Save-As***. To upload 'XLSX' documents select Excel Workbook. When the ***Excel 97-2003 Workbook*** is selected, all XLS documents within the local folder will be displayed.

Figure 40: Create Package – Transaction Inquiry Select XLS Files Only

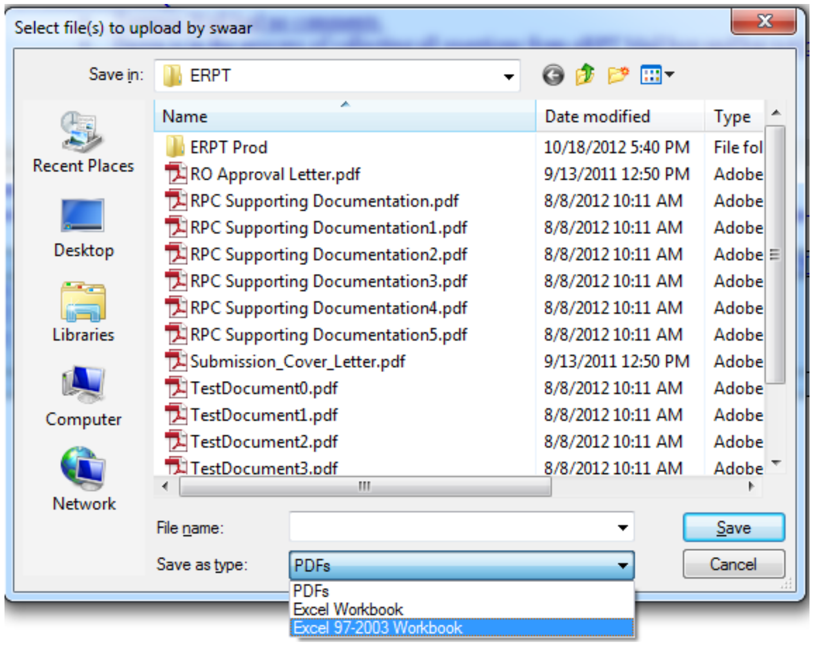
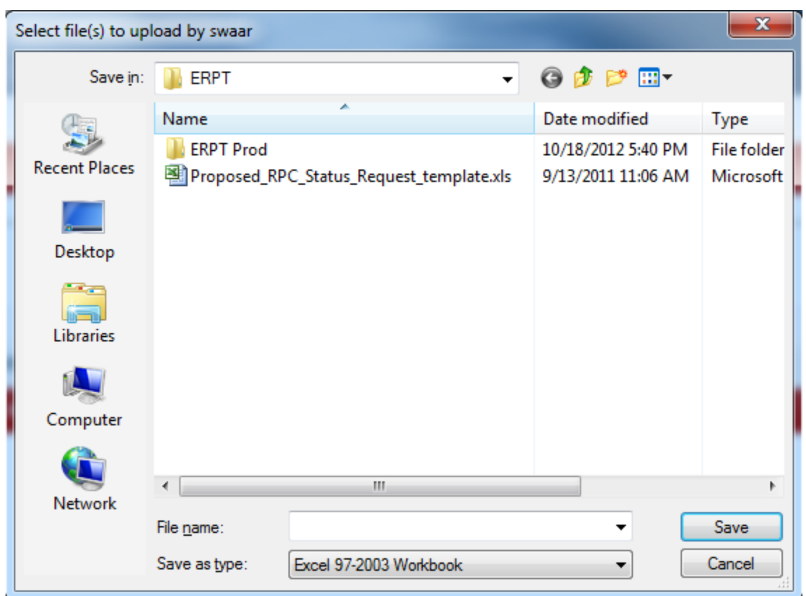
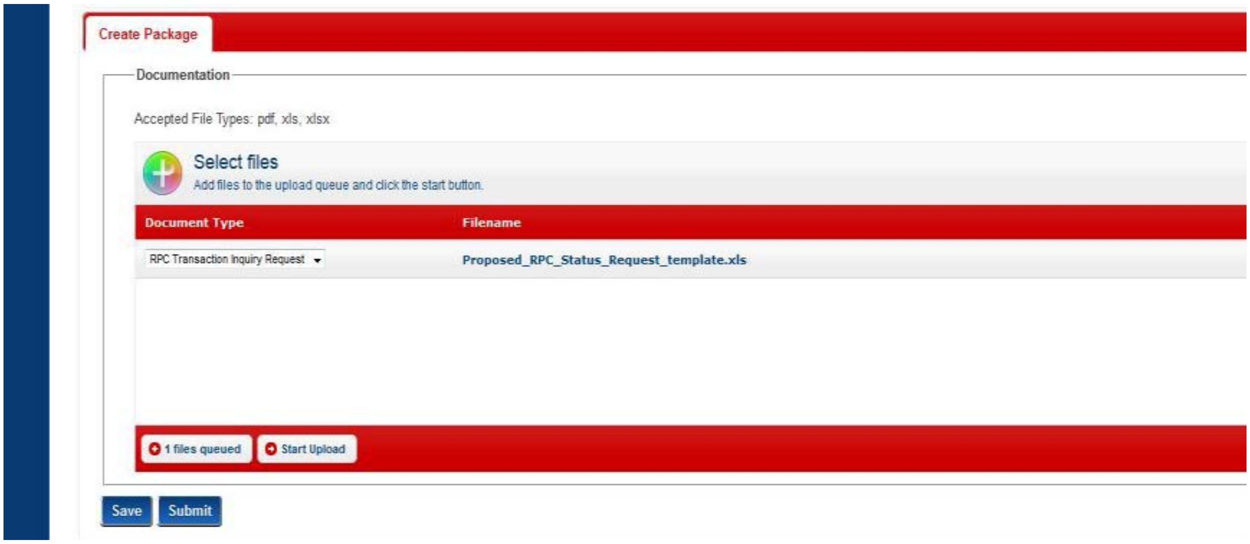


Figure 41: Create Package – Transaction Inquiry Only XLS Files Shown



7 **Action**: Select the files you want to add for the document and click **Save**. The selected document will display in the user interface.

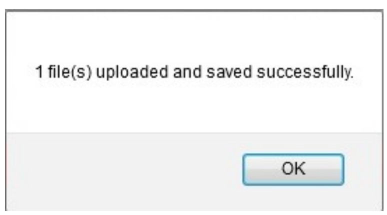
Figure 42: Create Package – Transaction Inquiry Selected Documents Shown



8 **Action**: Select the appropriate **Document Type** for each document. The default value is 'RPC Transaction Inquiry Request' when creating a Submission Package. This is the only value available for this process. The default varies based on the Package Type and step in the process.

9 **Action**: Click **Start Upload**. It is not submitted until the user receives a successful upload message.

Figure 43: Create Package - Transaction Inquiry File Upload Success

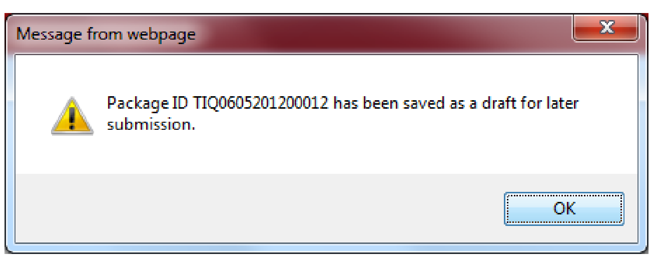


10 **Action**: Click ***OK***.

Note: The user can ***Save*** the draft Package or **Submit** the Package.

11 **Action**: To save a Package as Draft and NOT submit, click **Save**.

Figure 44: Create Package - Transaction Inquiry Save Package as Draft

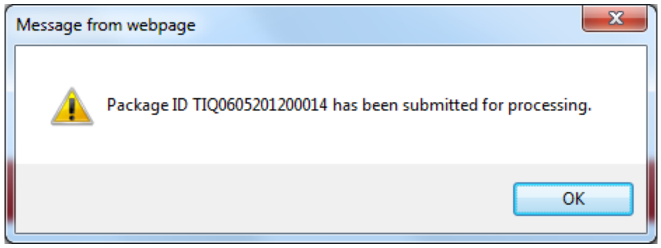


Note: A saved Package can be retrieved by searching for Packages with 'Draft' status. No documents are required to be uploaded prior to saving a draft package.

12 **Action**: Click ***OK***.

13 **Action**: Click ***Submit*** to submit a Package for processing.

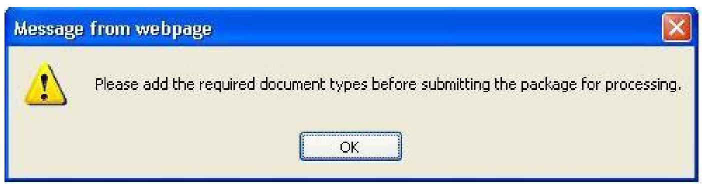
Figure 45: Create Package - Transaction Inquiry Submit a Package for Processing



Note: A submitted Package can be retrieved searching for Packages with ‘Open’ status.

14 **Action**: Click ***OK***. If all the required documents have not been uploaded before submitting the Package the following pop-up will be displayed.

Figure 46: Create Package - Transaction Inquiry Package Upload Warning



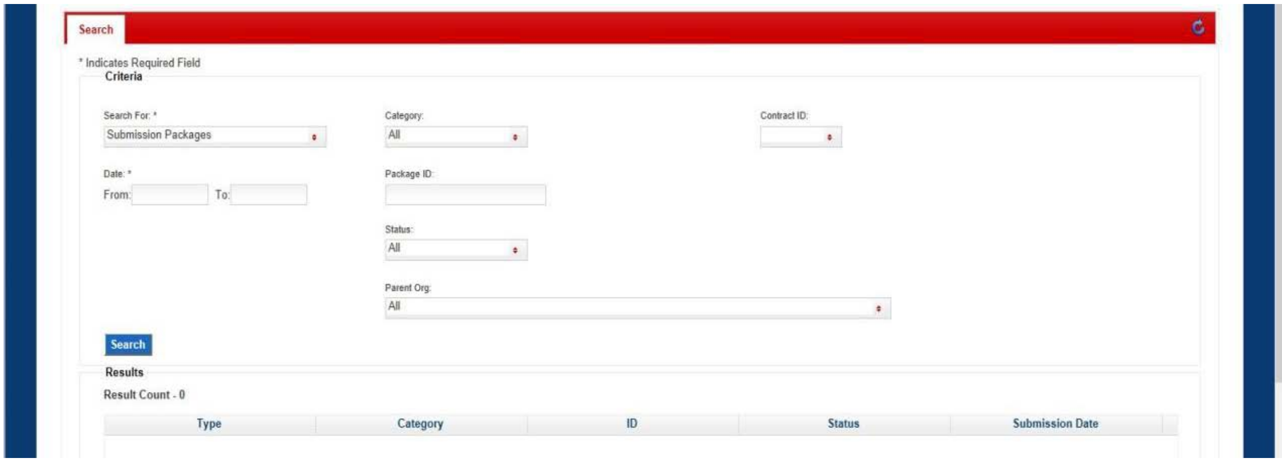
15 Action: If this warning is received, click ***OK***, add the required documents, and submit the Package again.

4.3.3 Search Package

Note: For our example we will search for a Draft Submission Package. The required fields on the Search page are marked with an asterisk (*).

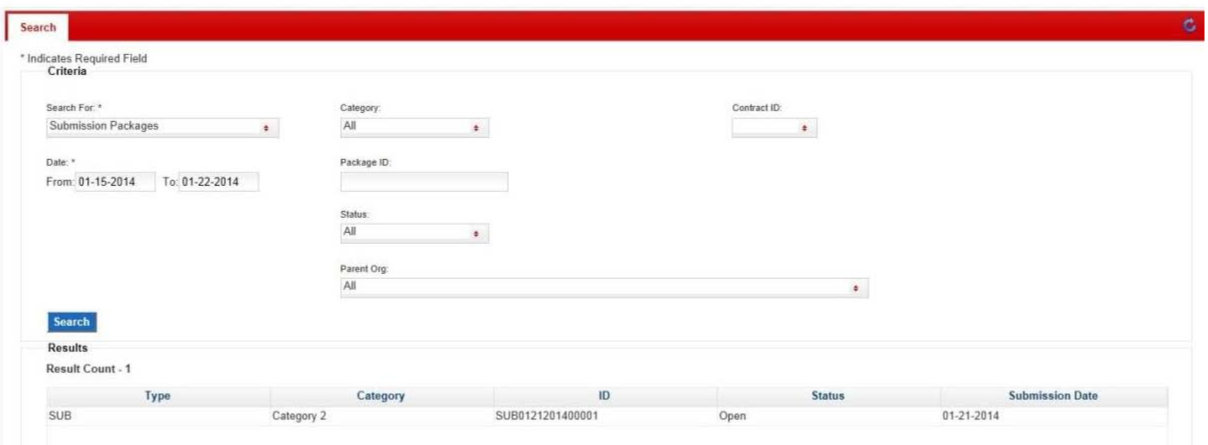
1. **Action**: Login to the eRPT application.
2. **Action**: Click ***Search*** from the top right corner of the screen.
3. **Action**: Select the following options for Search Criteria:
   a. **Search For** – Select Submission Package from the dropdown.
   b. **Date**:
      i. **From** – Enter the beginning date for search.
      ii. **To** – Enter the end date for search.
   c. **Package ID** – For this example, leave it blank.
   d. **Category** – Category 2 (this is the default for Submission Package Search).
   e. **Status** – Select ***Draft*** from the drop-down.
   f. **Parent Organization** – Select ***All*** from the drop-down.
   g. **Contract ID** – Enter the Contract ID if you want to restrict your search to a specific contract.

Figure 47: Search Package



4. **Action**: Click ***Search***. If there are any matching results the values will be displayed in the results grid. An eRPT Plan User will only see Packages the user has access to, in the results grid.

Figure 48: Search Package - Results



5. Section 4.3.4 discusses how to view a Package retrieved in a Search.
6. If the search does not have any results to display a pop-up will be displayed.

Figure 49: Search Package – No Results

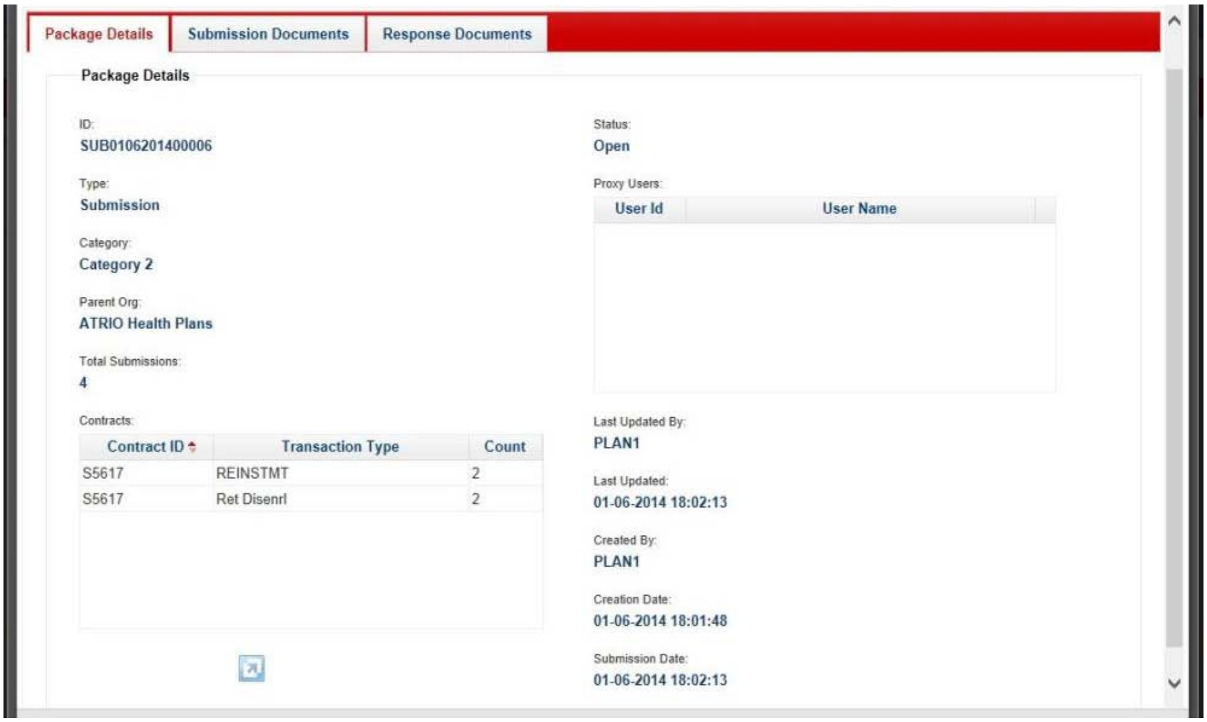
7. **Action**: Click ***OK*** and perform a new search.

4.3.4 View a Package

1 **Action**: Login to the eRPT application.
2 **Action**: Search for Packages as shown in Section 4.3.3.
3 **Action**: Double-click any Package in the result grid to view it. The ***Package Details*** tab will be displayed.

Note: Based on the Package status you may see a button on the top right corner of the “Package Details” tab.

Figure 50: View Package



4 **Action**: Click the ***Submission Documents*** tab to view all the documents that were submitted during Package submission.

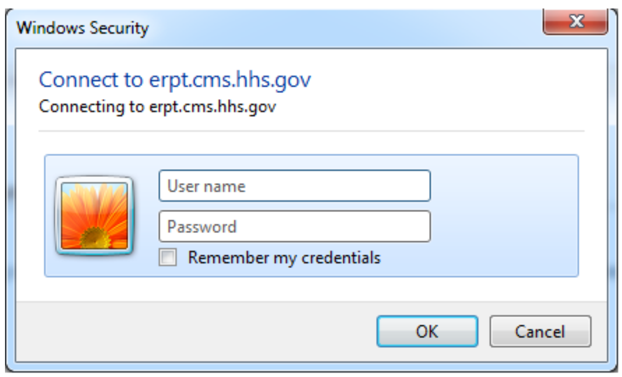
Note: Depending on the Package type and category code, the document types available may differ. Refer to Appendix C for selections available under Submission Documents.

Figure 51: View Package

5 **Action**: To view the available documents, click ***Package Documents*** to expand the selection to the list of documents.

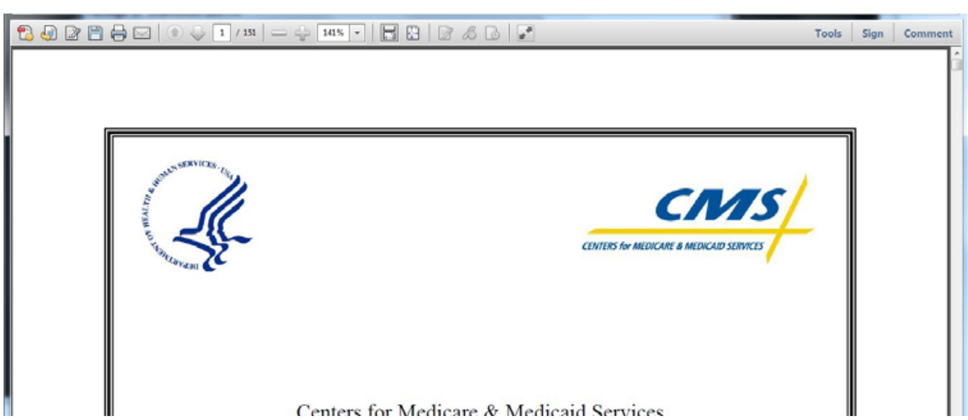
6 **Action**: Double-click the document row of the document you want to view. If the Windows Security pop-up is seen, click ***Cancel***.

Figure 52: View Package – Windows Security



7 The document will open.

Figure 53: View Package – Windows Security

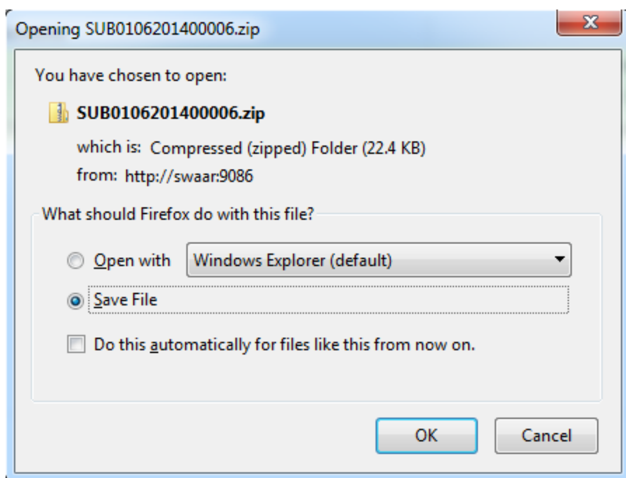


8 To download documents, select the check box of each and click **Download Selected Documents**.

Figure 54: View Package – Windows Security

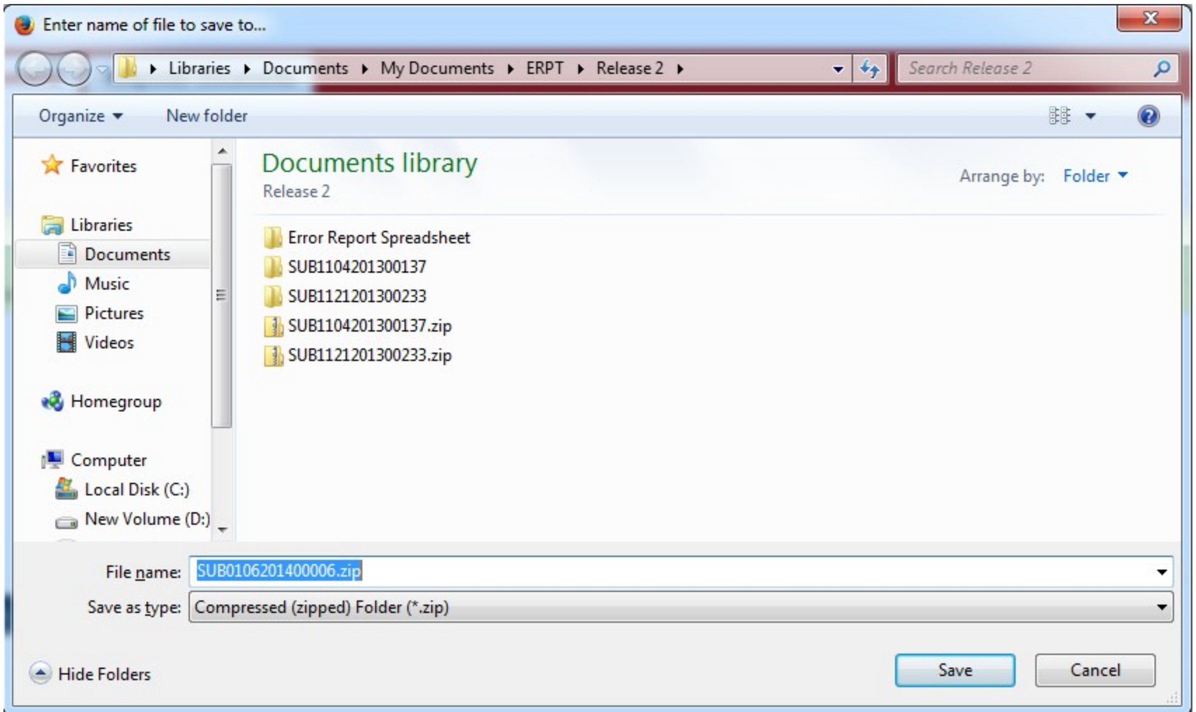
9 A pop-up window will display to ***Open with*** or ***Save File***.

Figure 55: View Package – Download Selected Document



10 Action: Ensure the ***Save File*** radio button is selected and Click **OK**. Select the location to save the files.

Figure 56: View Package – Select Location to Download Files

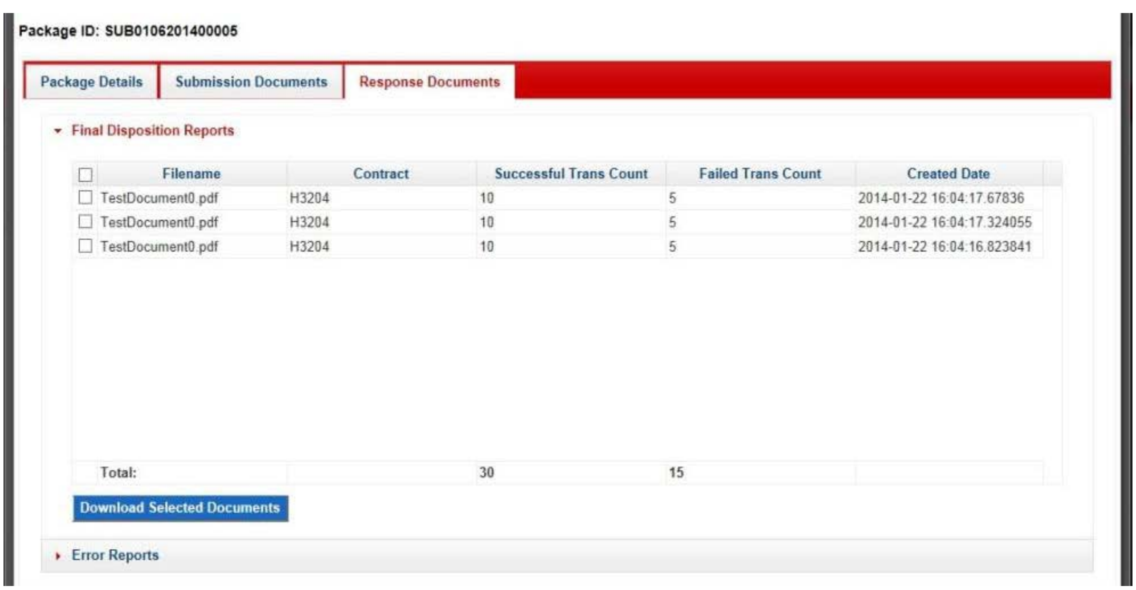


11 **Action**: Ensure the location is correct and click ***Save***. The documents will be saved on the local computer.

12 **Action**: Click the ***Response Documents*** tab to view all Response Documents submitted by the RPC contractor.

Note: Response documents are not be available if the status is marked Draft, Pending RO Approval, or Open. Additionally, Response documents are only visible to users with access to those documents.

Figure 57: View Package – View Response Documents



13 **Action**: To view the documents, click ***Final Disposition Reports*** or ***Error Reports*** to expand the selection to the list of documents.

Note: Document types displayed under Response Documents will vary based on the Package type. Refer to Appendix C for selections available under Response Documents.

14 **Action**: Double-click the document row to view. The open document can be saved to your local computer by clicking the Save icon.

Figure 58: View Package – View Response Documents

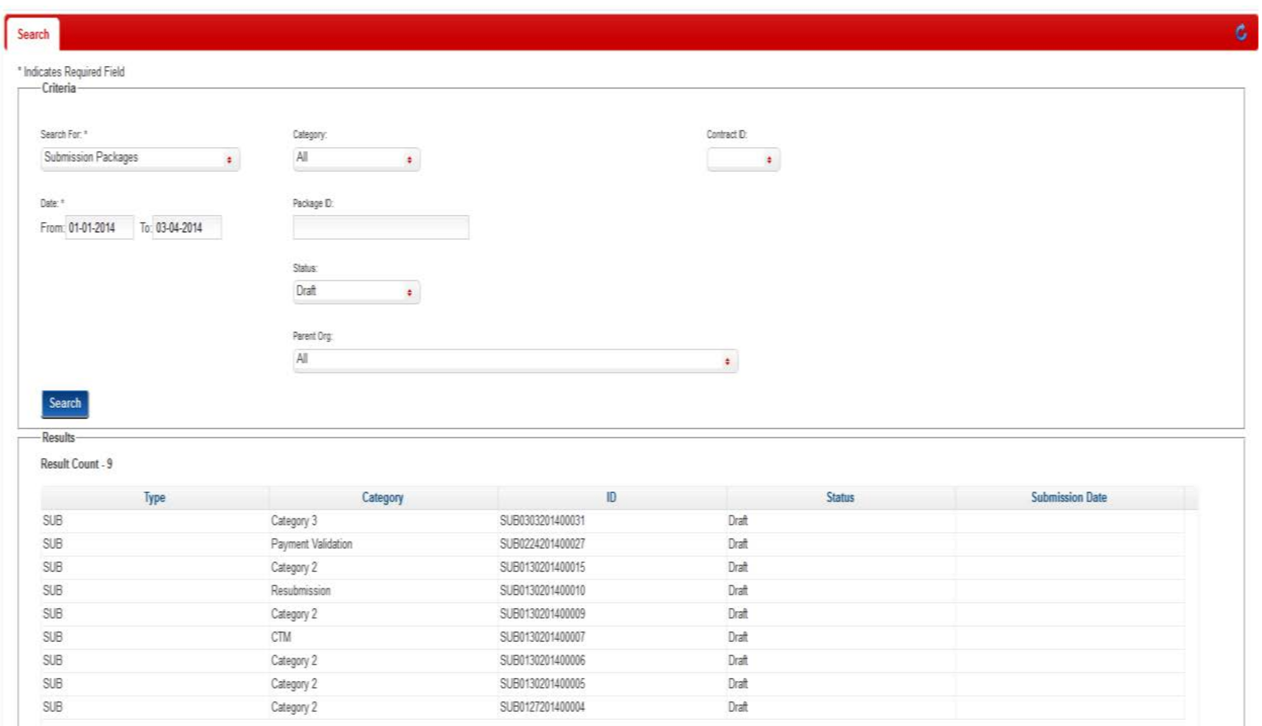
15 **Action**: to save multiple documents locally, repeat steps 8 to 11.

4.3.5 Update a Package

Note: Only Packages in 'Draft' status can be updated.

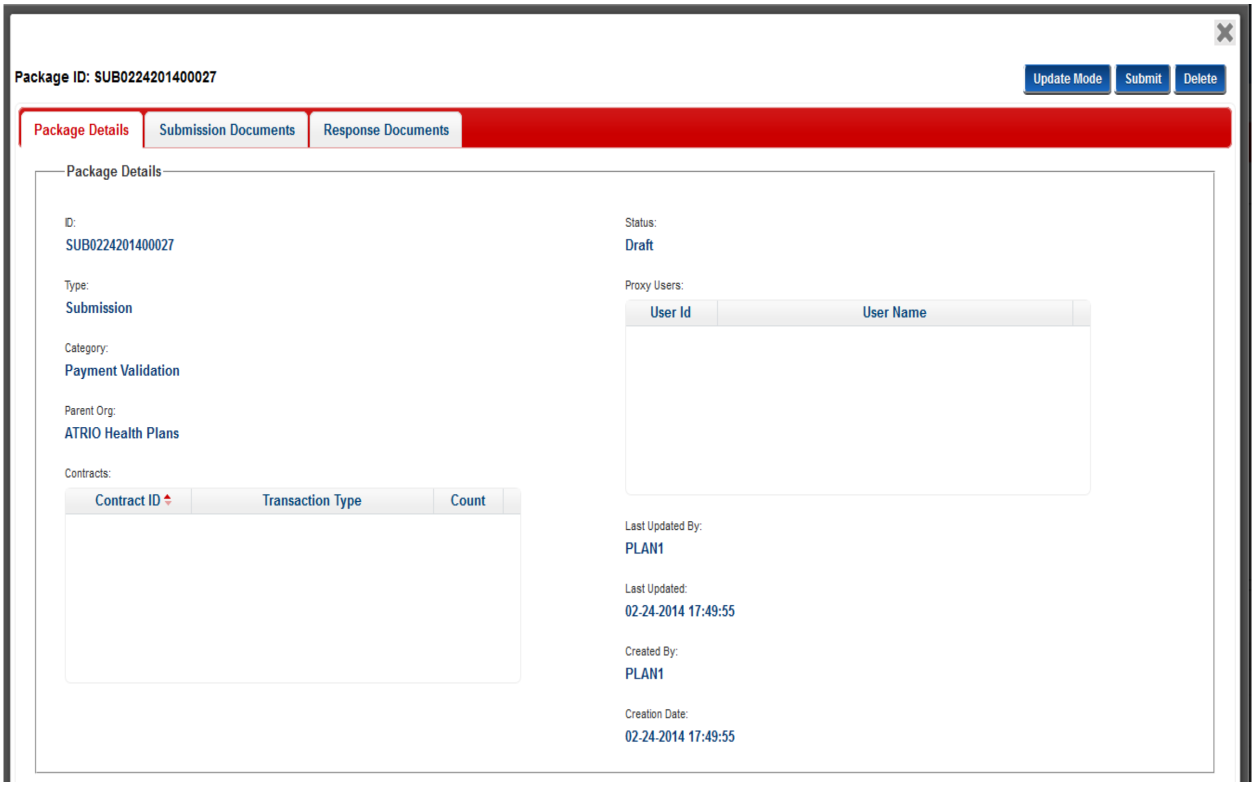
1. **Action**: Login to the eRPT application.
2. **Action**: Click ***Search***.
3. Action: Enter the search criteria shown in Section 4.3.3 to retrieve the Package. Click ***Search***. The results display in the result grid.

Figure 59: Update Package – Search



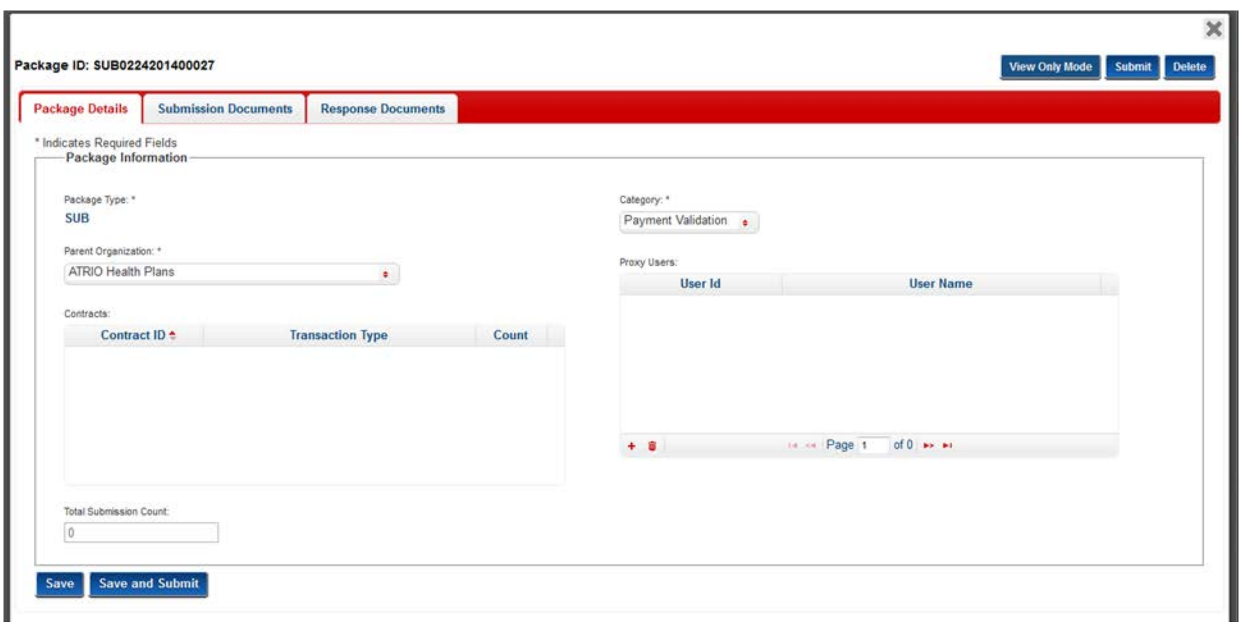
4. **Action**: Double-click the Package you want to update.

Figure 60: Update Package – Switch to Update Mode



5. **Action**: Click **Update Mode** from the top right corner of the Package screen.

Figure 61: Update Package – Update Mode

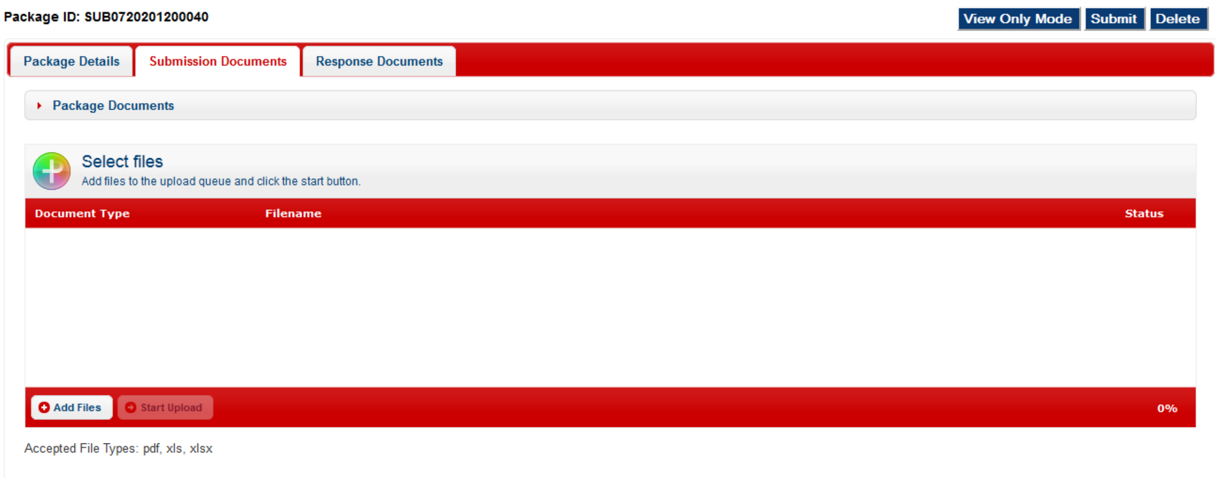


6. **Action**: Click the Package Details tab to update the attributes.
7. **Action**: Click ***Save***.

Note: After updating the attributes, the Package must be saved. Do not exit without saving.

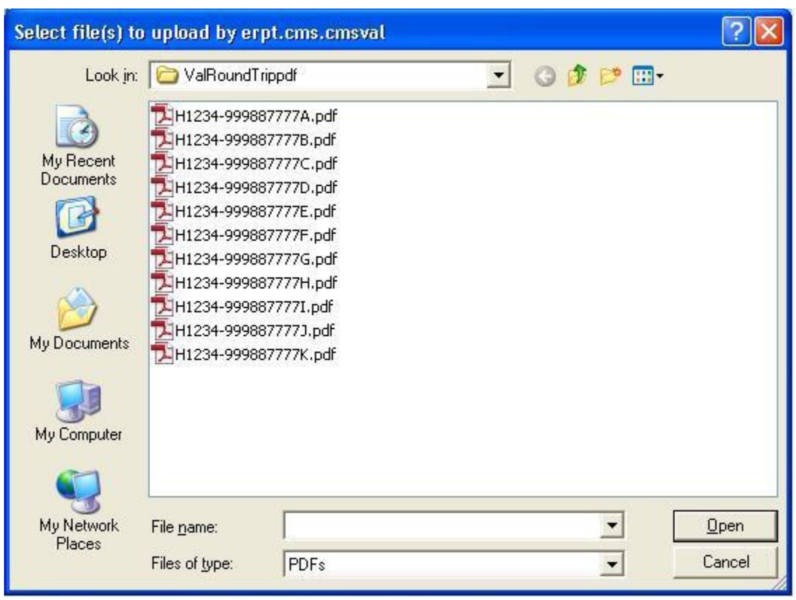
8. **Action**: Add additional documents through the **Submission Documents** tab.

Figure 62: Update Package – Select Submission Documents



9. **Action**: Select **Add Files** and a pop-up window will be displayed.

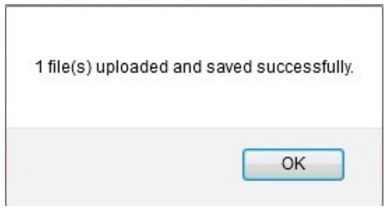
Figure 63: Update Package – Select Files



10. **Action**: Select the files you want to add to the Package and click ***Save***. The selected document will display in the user interface.
11. **Action**: Select the appropriate **Document Type** value from the drop-down and select click **Start Upload**. The user interface will display the successful upload message.

Note: The message in the pop-up will display the number of documents that were uploaded.

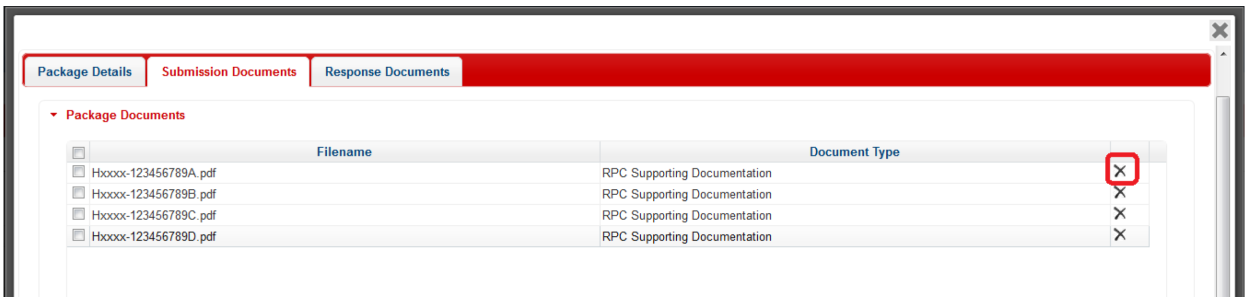
Figure 64: Update Package – Select Files Successful Upload



Note: Acceptable file types for uploading are PDF, XLS, and XLSX. The eRPT does not accept the XLSM format (refer to Section 4.5 for instructions to convert XLSM to an acceptable format).

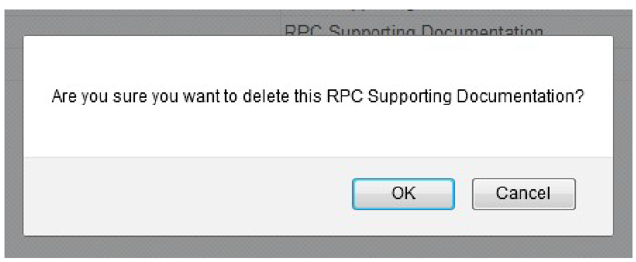
12. To delete documents uploaded to the Package:
    a. **Action**: Click the ***Package Documents*** tab, view the list of documents, and click the delete icon next to the document to delete.

Figure 65: Update Package – Select Files Successful Upload



    b. The delete verification pop-up will display.

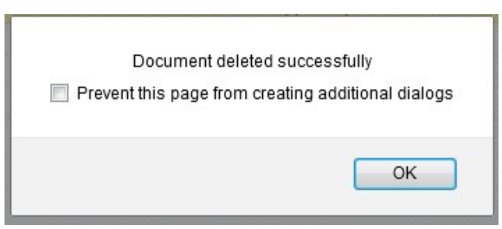
Figure 66: Update Package – Select Files Delete Verification



    c. **Action**: Click ***OK***. The delete confirmation pop-up will display.

Note: The document will be deleted permanently and the user will not need to click Save again.

Figure 67: Update Package – Select Files Delete Confirmation



    d. **Action**: Click ***OK***. The document will disappear from the Submission Documents, Package Documents list.

Note: After making the required updates, the user can submit the Package, close the Package screen, switch to View Mode, or delete the Package.

13. **Action**: To submit the Package, click **Submit** from the top right corner of the Package screen.

Figure 68: Update Package – Submit Package

14. **Action**: To close the Package screen, click the close icon, the ***X***, from the top right of the screen. You can also choose to click outside of the Package screen and the Package will be closed.

Figure 69: Update Package – Close the Package Screen

Note: If the Package screen is closed before the selected documents have been uploaded, the documents will not be saved for the Package. Complete the upload before closing or submitting the Package.

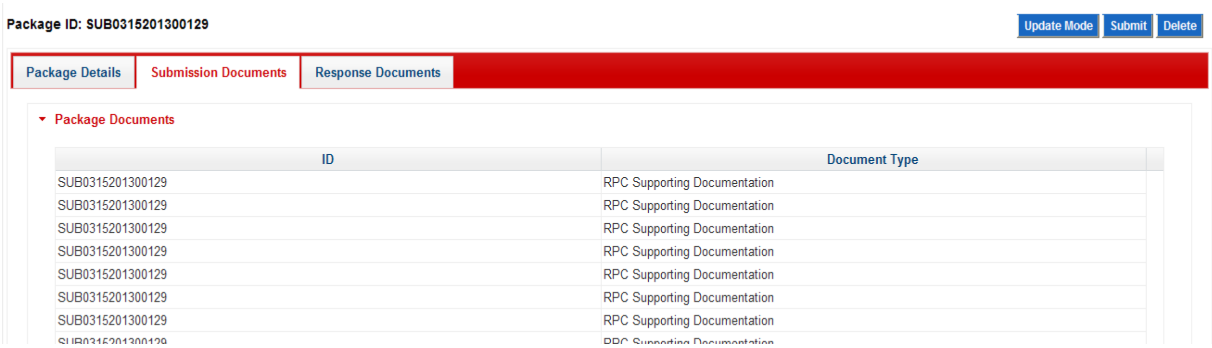
15. **Action**: Click **View Only Mode** from the top right of the screen to switch to that view.

4.3.6 Delete Uploaded Supporting Documentation from a Draft Package

Note: Documents can be only be deleted from Packages in 'Draft' status. In the eRPT application, when documents uploaded to a draft Package are deleted, the action is permanent and the document cannot be retrieved. **Only** the Package Creator can delete these documents.

1. **Action**: Login to the eRPT application.
2. **Action**: Click **Search**.
3. **Action**: Enter search criteria as shown in Section 4.3.3 to retrieve the Package.
4. **Action**: Click ***Search***, open the Package that you want to delete by double-clicking the Package, click the **Submission Documents** tab, and expand the **Package Documents**.

Figure 70: Delete Uploaded Documents on a Draft Package – Submission Documents Screen



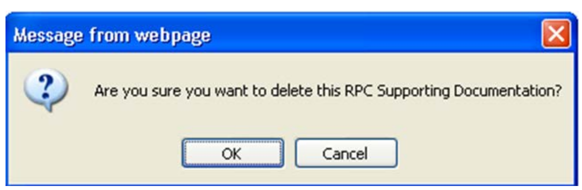
5. **Action**: Click **Update Mode** from the top right corner of the screen. You may need to click the red arrow next to the Package Documents to expand the selection again.

Note: Depending on the speed of the internet users may see a download icon.

Figure 71: Delete Uploaded Documents on a Draft Package – Delete Documents

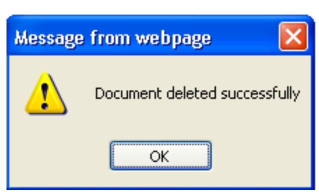
6. **Action**: Click the delete icon, the ***X***, next to the item to be deleted. The delete verification pop-up will display.

Figure 72: Delete Uploaded Documents on a Draft Package – Delete Verification



7. **Action**: Click **OK**. The delete confirmation pop-up will display.

Figure 73: Delete Uploaded Documents on a Draft Package – Delete Confirmation



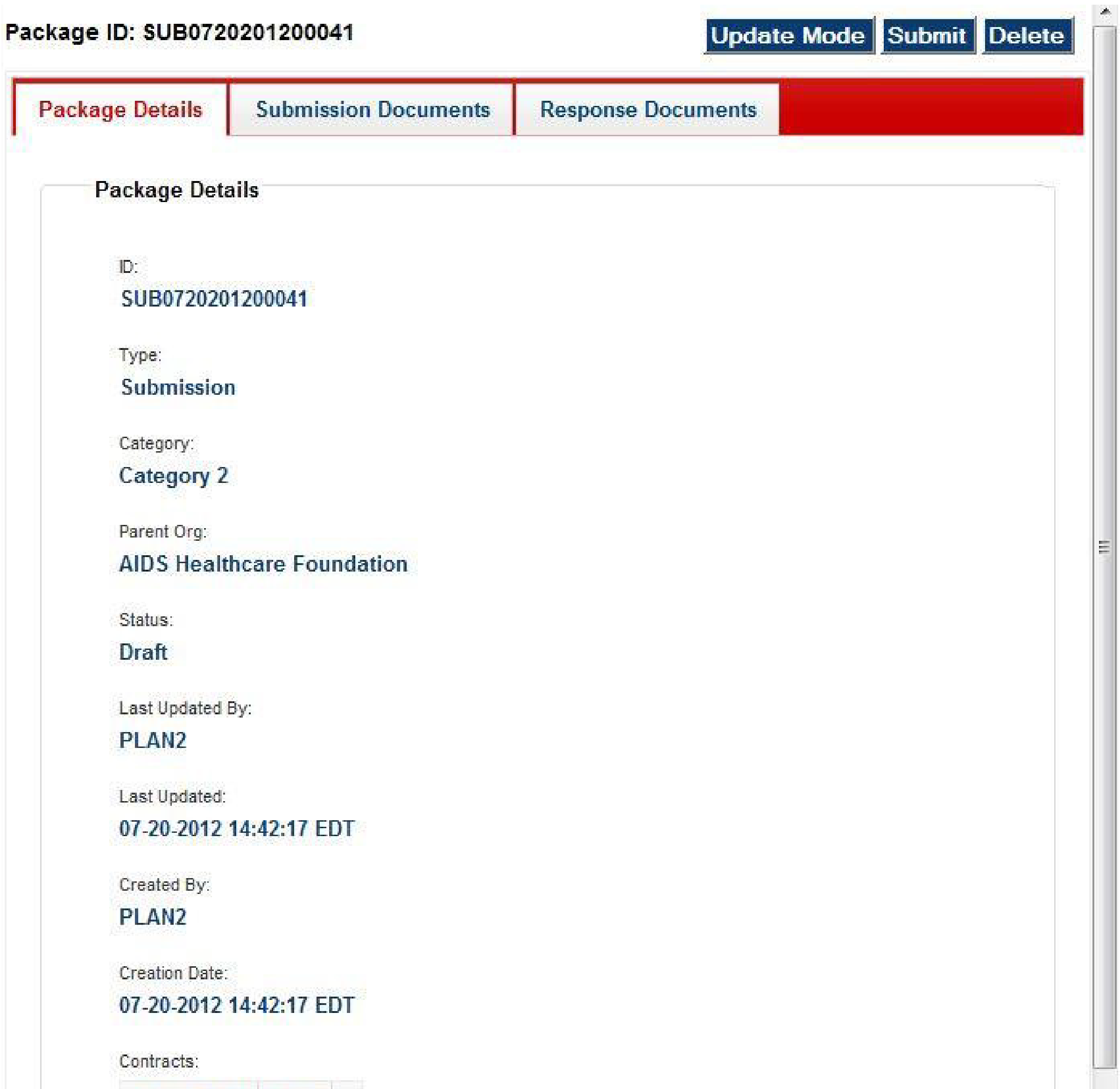
8. **Action**: Click **OK**. The document will be permanently deleted from the eRPT application and disappear from the Submission Documents, Package Documents list.

4.3.7 Delete a Draft Package

Note: Only Packages in 'Draft' status can be permanently deleted. When a Package in Draft status is deleted from the eRPT application it will be permanently deleted from the application and cannot be retrieved. A draft Package can **only** be deleted by the Package Creator.

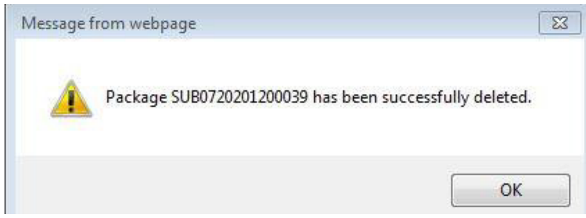
1. **Action**: Login to the eRPT application.
2. **Action**: Click **Search**.
3. **Action**: Enter search criteria as shown in Section 4.3.3 to retrieve the Package.
4. **Action**: Click **Search**. Open the Package you want to delete by double-clicking it.

Figure 74: Delete a Draft Package



5. **Action**: Click **Delete** from the top right hand corner of the screen. The successful package delete message will be displayed.

Figure 75: Delete a Draft Package – Delete Success Message



6. **Action**: Click ***OK***.

4.3.8 Tracking a Package

A Package can be tracked in the eRPT application by referring to the status of the Package. The following are the status values that are supported in the eRPT application:

- **Draft** – When a Package has been created but not yet submitted within the eRPT application.
- **Pending Approval** – When a Package is submitted by the eRPT Plan Users but is awaiting Approval Letter from CMS RO Account Manager or CMS CO. This status is applicable only for a Category 3 and Special Submission Package.
- **Open** – When a Submission Package is submitted to eRPT and ready for the RPC to download or when a Review Package is uploaded for the eRPT Plan User to respond.
- **Completed** – When a EDV review Package is submitted by the eRPT Plan User with all of the Response documents.
- **Downloading** – When the RPC is downloading the Package.
- **In Process** – When the RPC is processing the Package.
- **Closed** – When a Package review has been completed by the RPC, the Package status will be marked as closed.

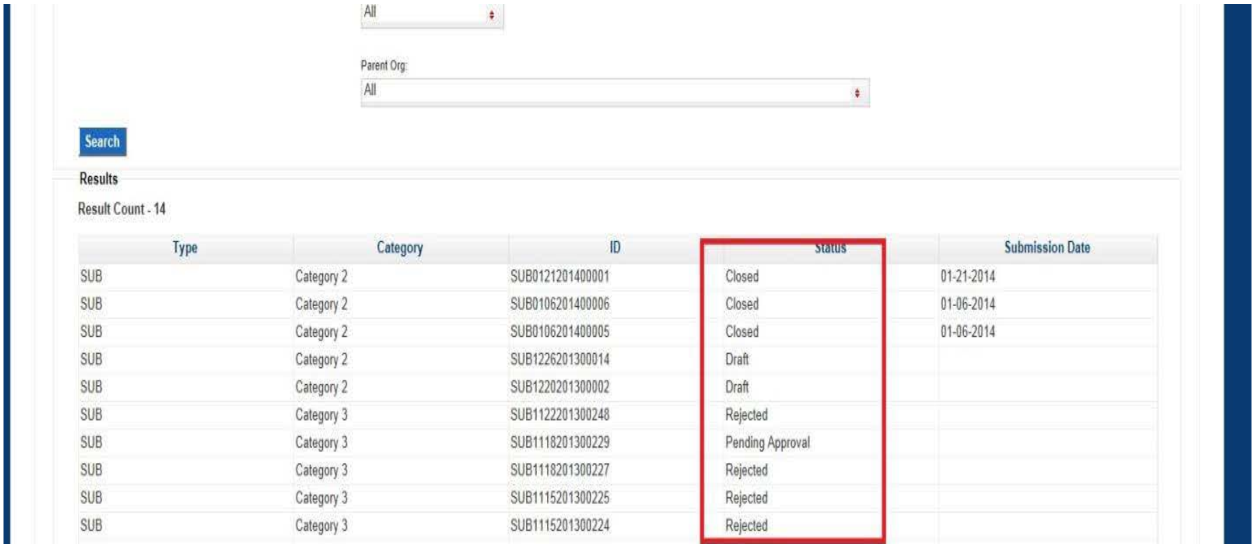
To view the Package Status:

1. **Action**: Click **Search** from the top right of the screen.
2. **Action**: Select Search Criteria:
    a. **Search For** – Select Submission Package from the drop-down.
    b. Date
        i. **From** – Enter the beginning date for search.
        ii. **To** – Enter the end date for search.
    c. **Package ID** – For our example we will leave it blank.
    d. **Category** – Category 2 (Default value for Submission Package Search).
    e. **Status** – Select ***All*** from the drop-down.
    f. **Parent Organization** – Select ***All*** from the drop-down.

Note: The fields required in the search criteria are marked with an asterisk (*).

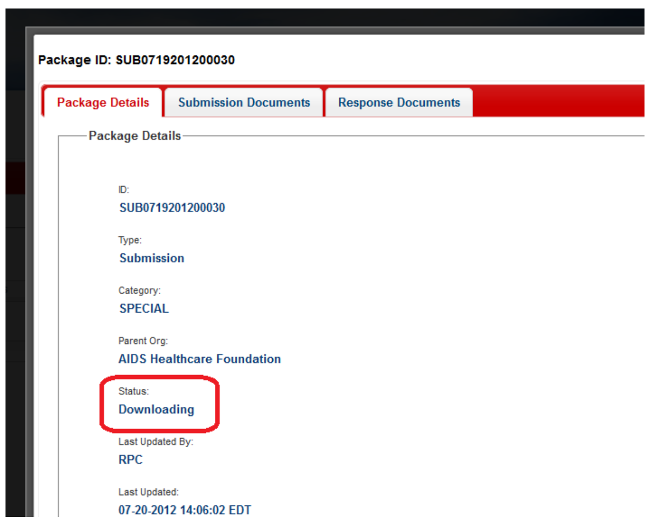
3. **Action**: Click **Search**. The user will view the status of a Package in the Results grid.

Figure 76: View Package Status



4. **Action**: Double-click a Package to view the ***Package Details***. The ***Status*** field confirms the Package is in ***Downloading*** status.

Figure 77: View Package Status – Package Details



4.3.9 View Response Documents Added by the RPC

All Submission and Transaction Inquiry Packages submitted to the eRPT application by the eRPT Plan Users will be available for RPC users to download and provide Response documents. The following are the Response Documents added by RPC for eRPT Plan Users:

- FDR
- RPC Error Report Notification
- RPC File Upload Error Report
- RPC Transaction Inquiry Response

All response documents to a submission Package are added for a particular Plan contract. The response documents added on a package can be viewed only by users who have access to the

Package. When a response document is added by the RPC contractor, the package creator and proxy user will receive a notification within the eRPT application and an email in their email account with similar message. The following are the different notifications the users will receive when a response document is added by RPC for a submission Package:

- There is an FDR(s) uploaded by RPC for Package {0}
- There is an Error Report uploaded by RPC for Package {0}
- There is an Inquiry Response uploaded by RPC for Package {0}.

The Package creator and proxy users will receive the following action when he/she receives a response document from RPC for a Transaction Inquiry Package:

- There is an Inquiry Response uploaded by RPC for Package {0}.

Note: {0} indicates the Package ID.

In addition to the above response documents, the RPC will also add the Follow-on FDR document. The Follow-on FDR document will be an independent document and will not be added to a Package. The Follow-on FDR document will be added for a contract number and all the users who have access to the contract number will receive an action/notification and an email notification and will have access to the document. The eRPT Plan User will receive the following message for follow-on FDR:

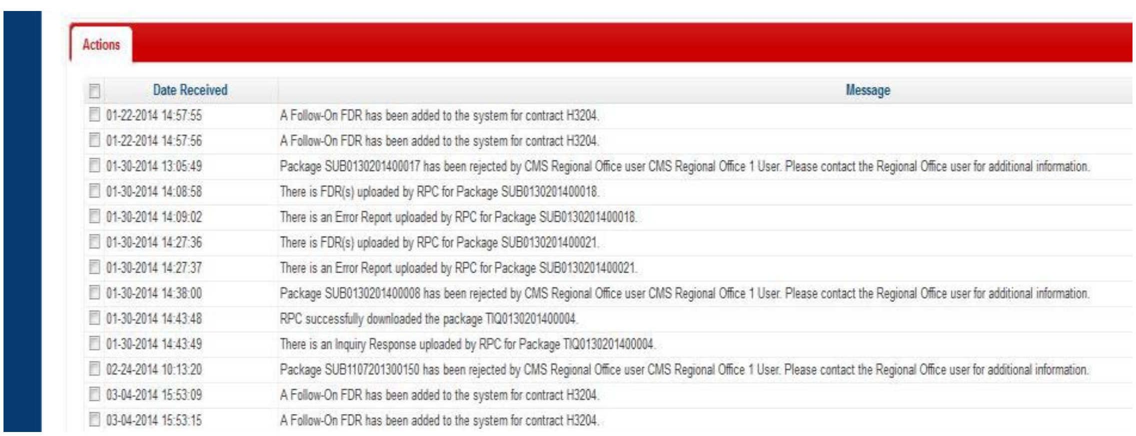
- A Follow-On FDR has been added to the system for contract {0}.

Note: {0} indicates the Contract ID.

To view response documents added by RPC via the action list:

1. **Action**: Login to the eRPT application.
2. The Actions tab will be the landing page for the users and will display the lists of actions for the user.

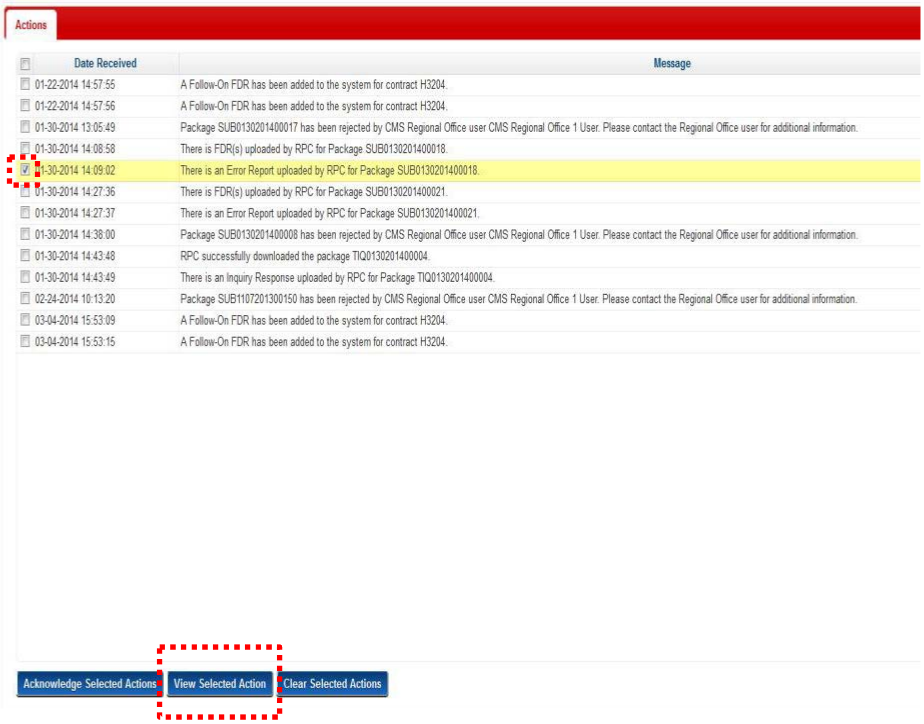
Figure 78: View Response Documents Through Actions


Actions

Date Received	Message
01-22-2014 14:57:55	A Follow-On FDR has been added to the system for contract H3204.
01-22-2014 14:57:56	A Follow-On FDR has been added to the system for contract H3204.
01-30-2014 13:05:49	Package SUB0130201400017 has been rejected by CMS Regional Office user CMS Regional Office 1 User. Please contact the Regional Office user for additional information.
01-30-2014 14:08:58	There is FDR(s) uploaded by RPC for Package SUB0130201400018.
01-30-2014 14:09:02	There is an Error Report uploaded by RPC for Package SUB0130201400018.
01-30-2014 14:27:36	There is FDR(s) uploaded by RPC for Package SUB0130201400021.
01-30-2014 14:27:37	There is an Error Report uploaded by RPC for Package SUB0130201400021.
01-30-2014 14:38:00	Package SUB0130201400008 has been rejected by CMS Regional Office user CMS Regional Office 1 User. Please contact the Regional Office user for additional information.
01-30-2014 14:43:48	RPC successfully downloaded the package TIQ0130201400004.
01-30-2014 14:43:49	There is an Inquiry Response uploaded by RPC for Package TIQ0130201400004.
02-24-2014 10:13:20	Package SUB1107201300150 has been rejected by CMS Regional Office user CMS Regional Office 1 User. Please contact the Regional Office user for additional information.
03-04-2014 15:53:09	A Follow-On FDR has been added to the system for contract H3204.
03-04-2014 15:53:15	A Follow-On FDR has been added to the system for contract H3204.

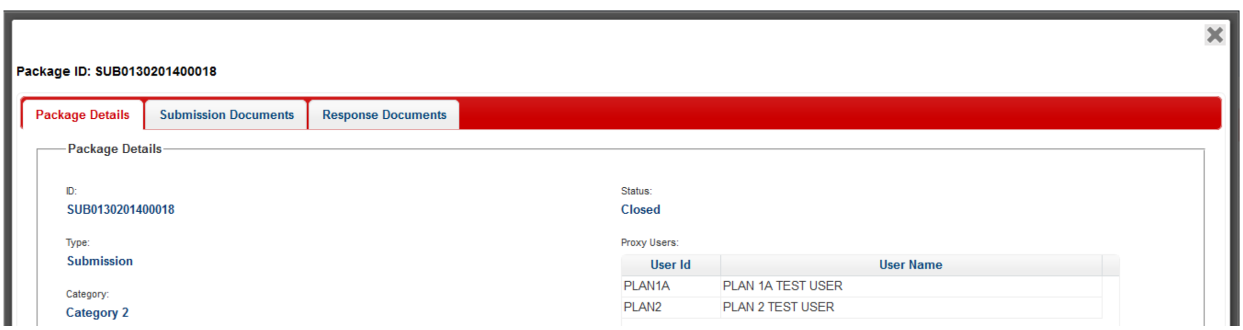
3. **Action**: To view the document select the checkbox next to that Action. For this example, select the Error Report. Click ***View Selected Action***.

Figure 79: View Response Documents Through Actions



Note: Here if the document is not added on the package for example Follow-On FDR, then the document will be displayed to the user.

Figure 80: View Response Documents – View Response Documents Tab

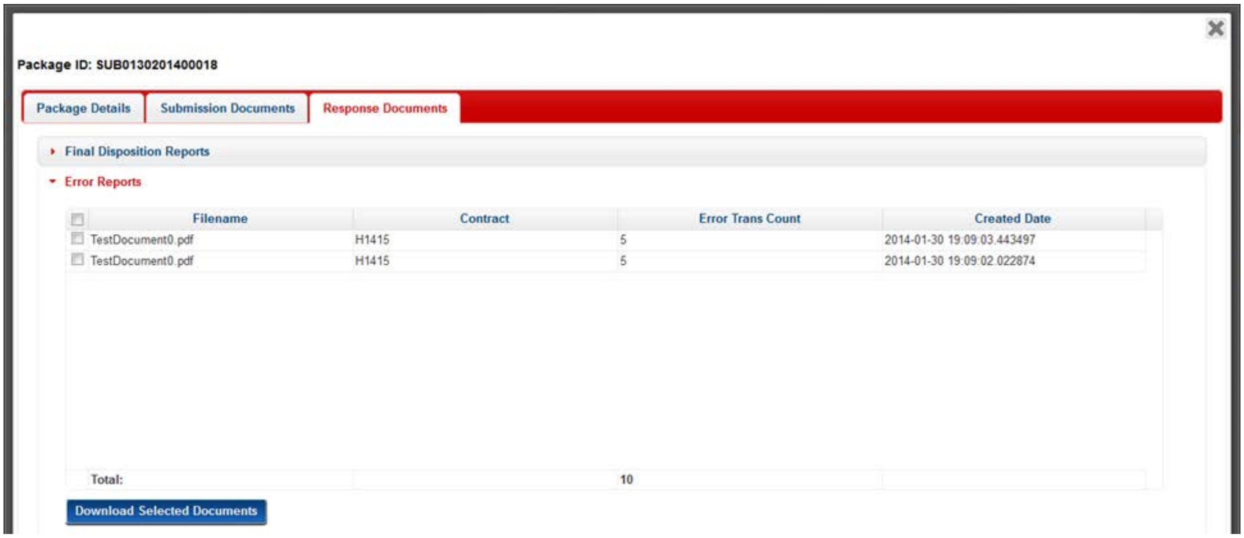


4. **Action**: Click the ***Response Documents*** tab to view the documents added by RPC.
5. **Action**: Expand the ***Error Reports*** to view the list of documents.

Figure 81: View Response Documents – Expand Lists

The user will be able to view all the error report documents added by the RPC.

Figure 82: View Response Documents – List of Error Reports



6. **Action**: To open and view the documents double-click on the document to view it. Once open, you can download the document to the local computer by clicking the Save icon.

4.3.10 Search and View Response Documents

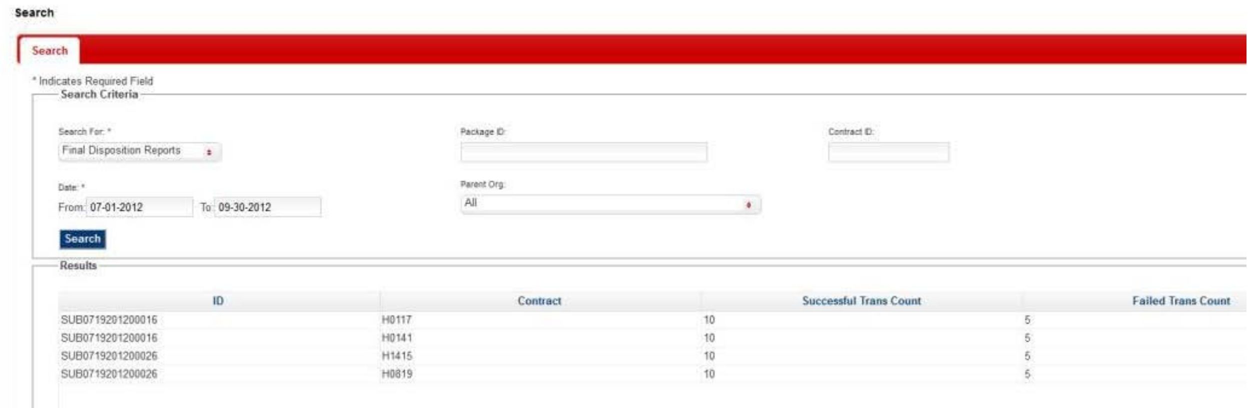
The Search feature in the eRPT application can also be used for searching the following types of documents:

- FDRs
- Follow-on FDRs
- Error Reports
- Letters

In this section, we will discuss the steps to search and view documents.

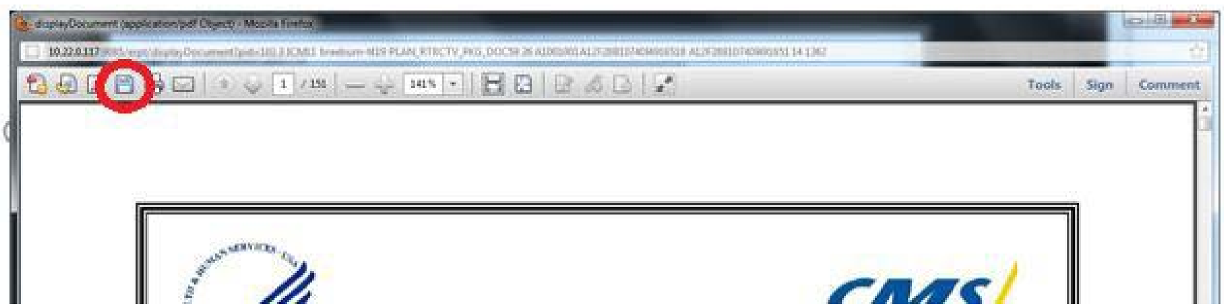
1. **Action**: Login to the eRPT application.
2. **Action**: Click **Search**.
3. **Action**: Enter the search criteria to retrieve the response documents:
    a. **Search For** – Select ***Final Disposition Reports*** from the drop-down.
    b. **Date**:
        i. **From** – Enter the beginning date for search.
        ii. **To** – Enter the end date for search.
    c. **Package ID** – For this example, leave it blank.
    d. **Parent Organization** – Select ***All*** from the drop-down.
    e. **Contract ID** – For this example, leave it blank.
4. **Action**: Click ***Search***. The results meeting the criteria will be displayed in the ***Results*** grid.

Figure 83: Search and View Response Documents



5. **Action**: Double-click the document in the result grid to view it. The document will open. Download the document to the local computer by clicking the Save icon.

Figure 84: Search and View Response Documents – Download Open Document



4.3.11 Add Response Documents to a Review Package

Responses to Review Packages include Plan documentation supporting EDV Review Requests or other Reviews designated by CMS. In the following section we will discuss how an eRPT Plan User can:

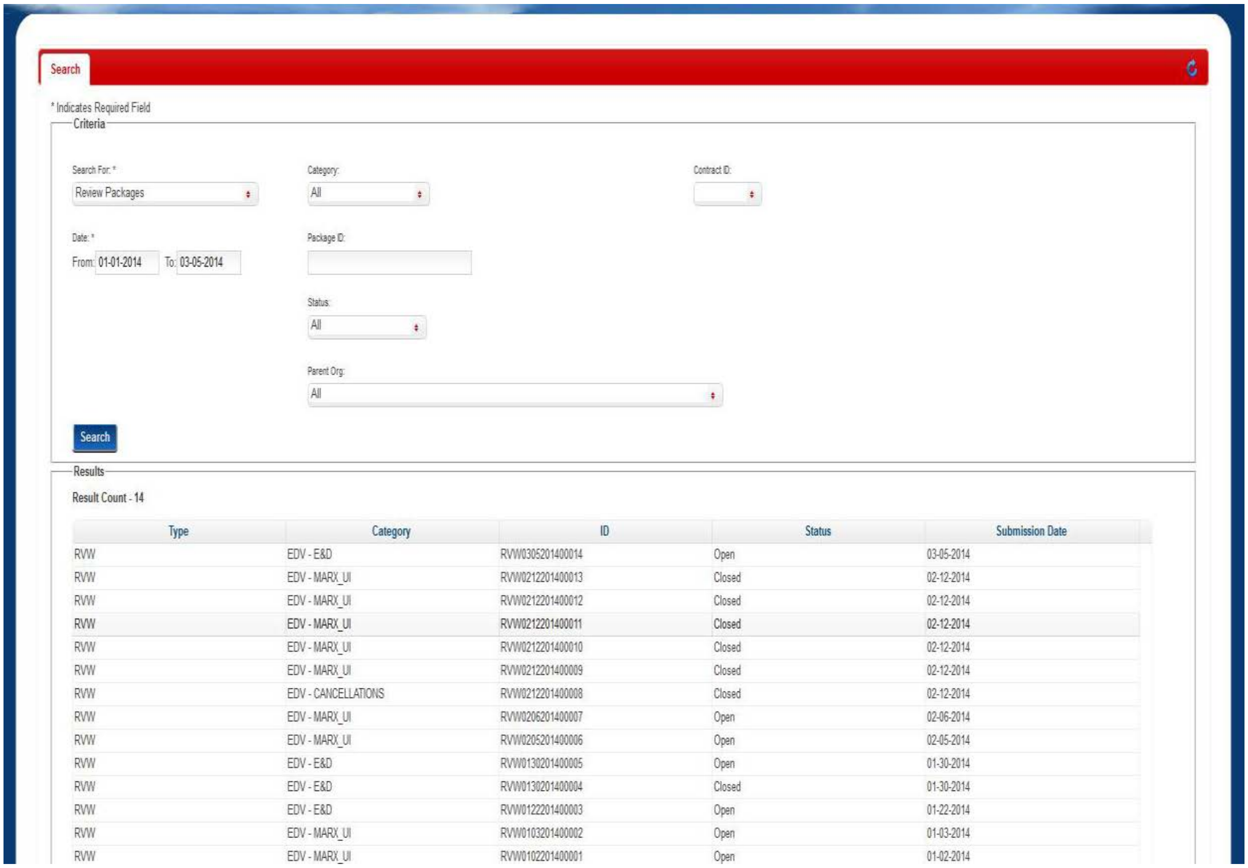
- Search for Review Packages
- Access Review Packages via Actions
- Complete Review Packages with Response Documents

4.3.11.1 Search for Review Packages

1. **Action**: Login to the eRPT application.
2. **Action**: Select Search on top right corner of the screen.
3. **Action**: Enter following search criteria to perform the search:
   a. **Search For** – Select ***Review Package*** from the drop-down.
   b. **Date** – Select the date range for the search. Use the date available in the notification to determine the date range for the Review Package.
   c. **Package ID** – Package ID can be found from notifications.
   d. **Category** – Select the appropriate Category code, available in the notifications.
   e. **Status** – Select ***Open*** from the drop-down.
   f. **Parent Org** – Lists all the Parent Organizations.
   g. **Contract ID** – An optional field. Enter the Contract ID.

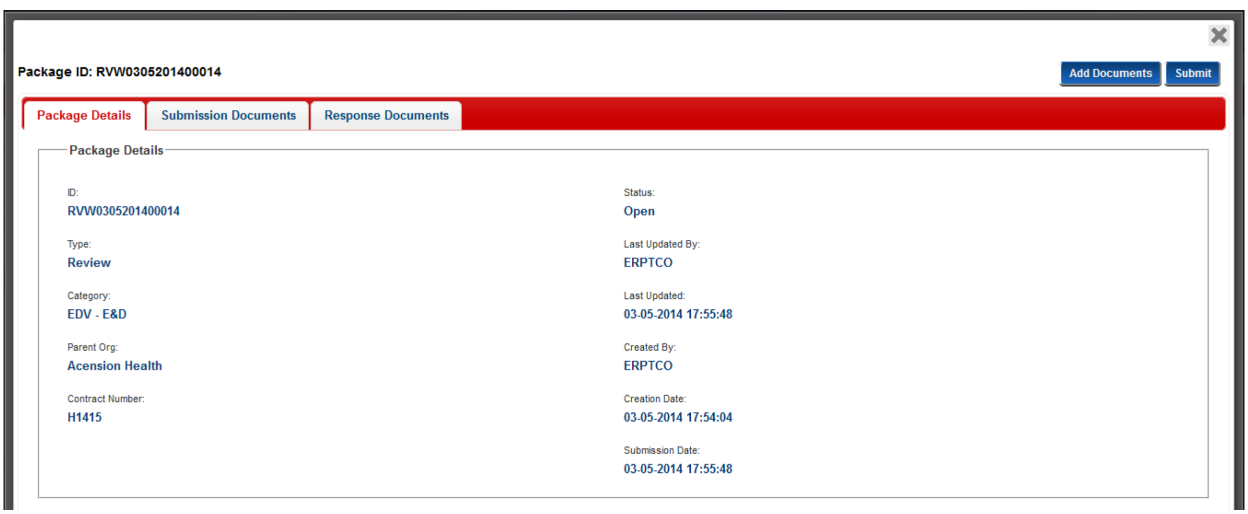
4. **Action**: Click ***Search***. The results will be displayed in the ***Results*** grid.

Figure 85: Search Review Package



5. **Action**: Double-click the EDV Review Package in the search results to open the Package.

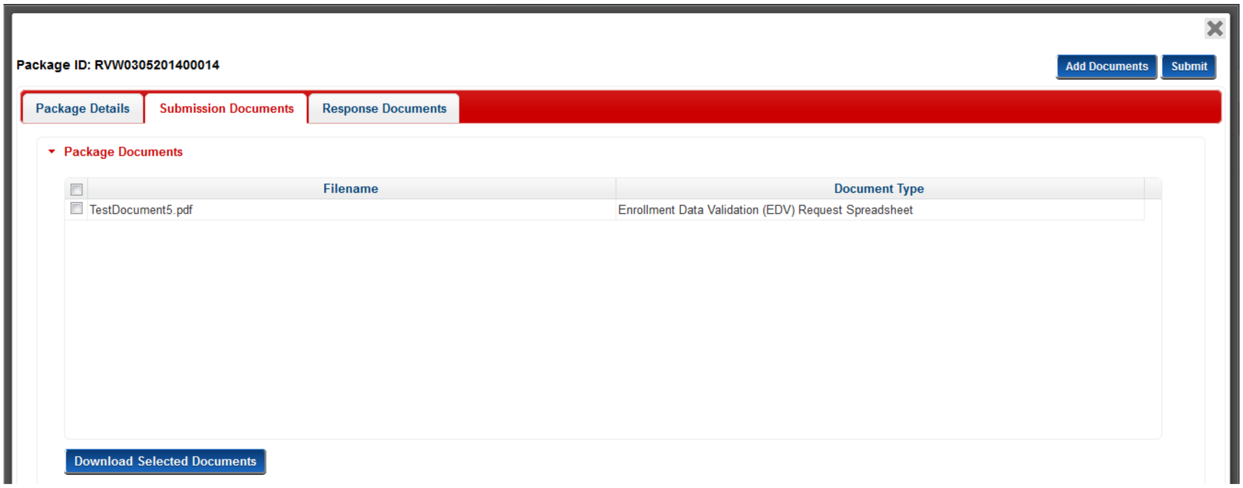
Figure 86: Search Review Package – EDV Review Package



6. **Action**: Click the ***Submission Documents*** tab. Expand the Package Document to view the documents submitted by the RPC.
7. Once the downloading action is completed the documents submitted by RPC will be displayed.

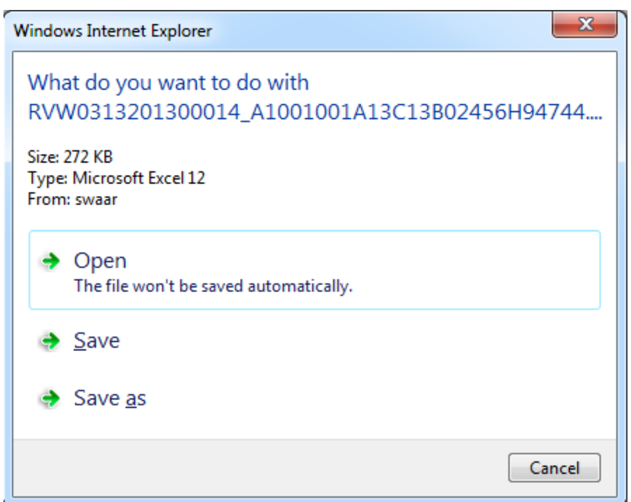
Note: Depending on the internet speed and size of the document users may see a downloading action. Please wait for the downloading action to be completed to view the documents.

Figure 87: Search Review Package – View Submission Documents



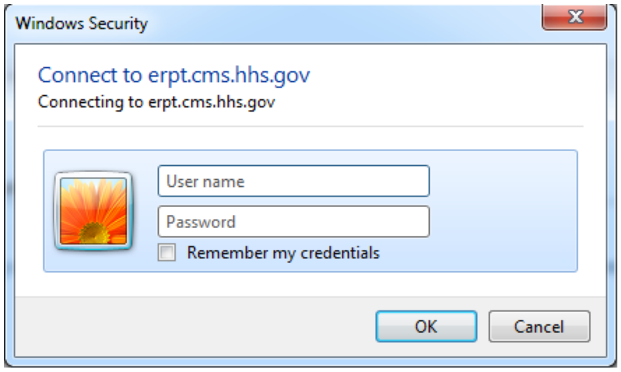
8. **Action**: Double-click a document to view it. Depending on the browser the user may see a Windows Internet Explorer pop-up window.

Figure 88: Search Review Package – Windows Internet Explorer Pop-Up



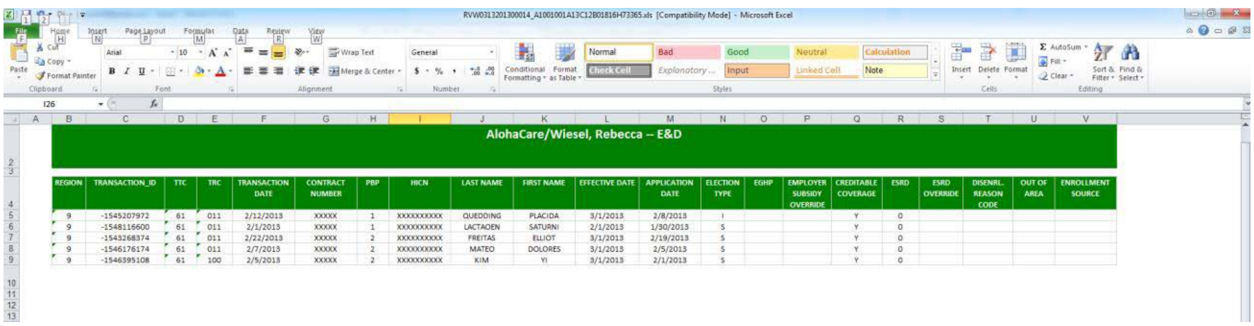
9. **Action**: Click ***Open***.

Figure 89: Search Review Package – Windows Security Pop-Up



10. **Action**: Depending on the browser the user may see the Windows Security pop up. Click **Cancel**.

Figure 90: Search Review Package – View Document

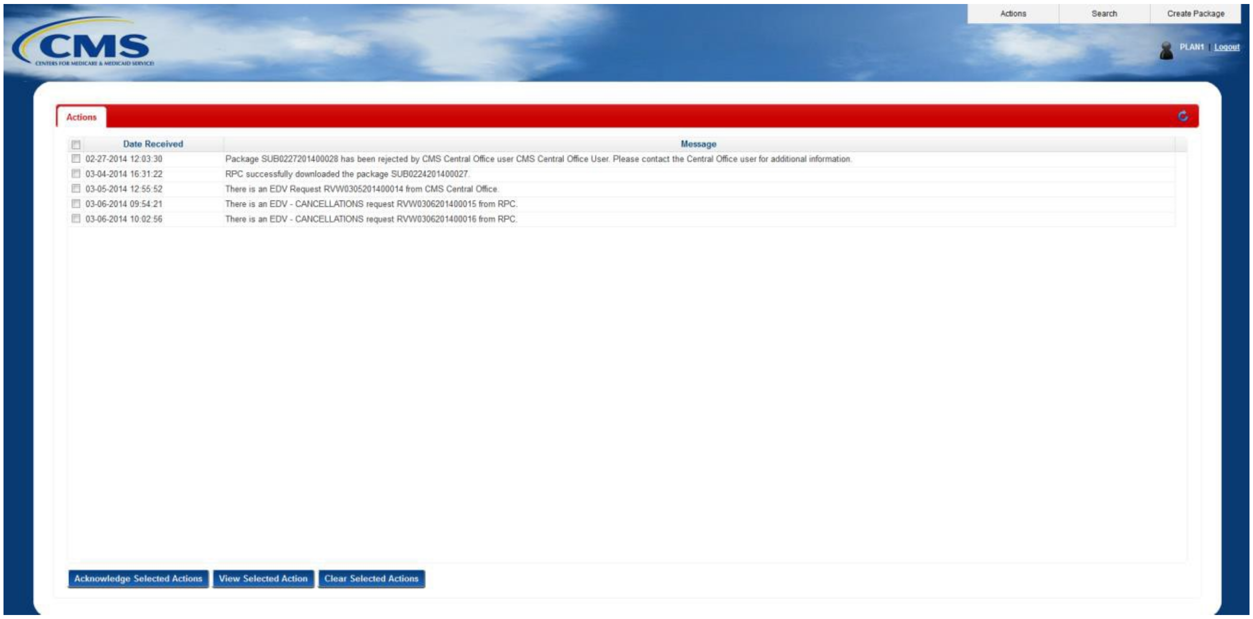


11. Download the document to the local computer using ***Save As***.

4.3.11.2 Access Review Packages via Notifications

1. **Action**: Login to the eRPT application.
2. Any Actions will be the landing page for a user with open Actions.

Figure 91: Access Review Package via Actions



3. **Action**: Select the checkbox of the notification you would like to view and click **View Selected Action**.

Figure 92: Access Review Package via Actions – Select and View

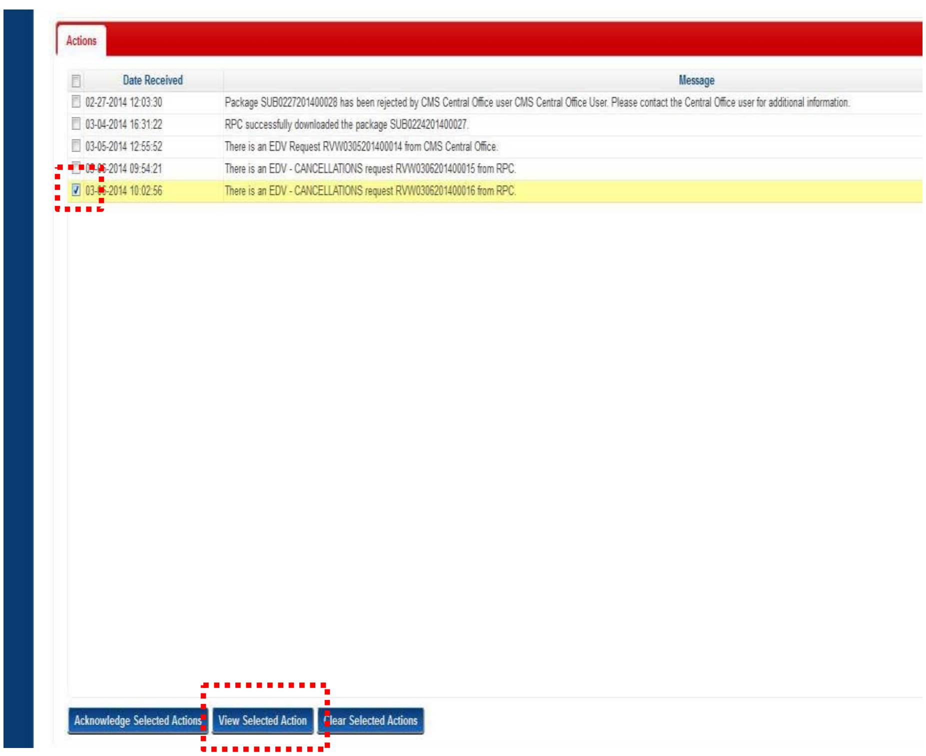
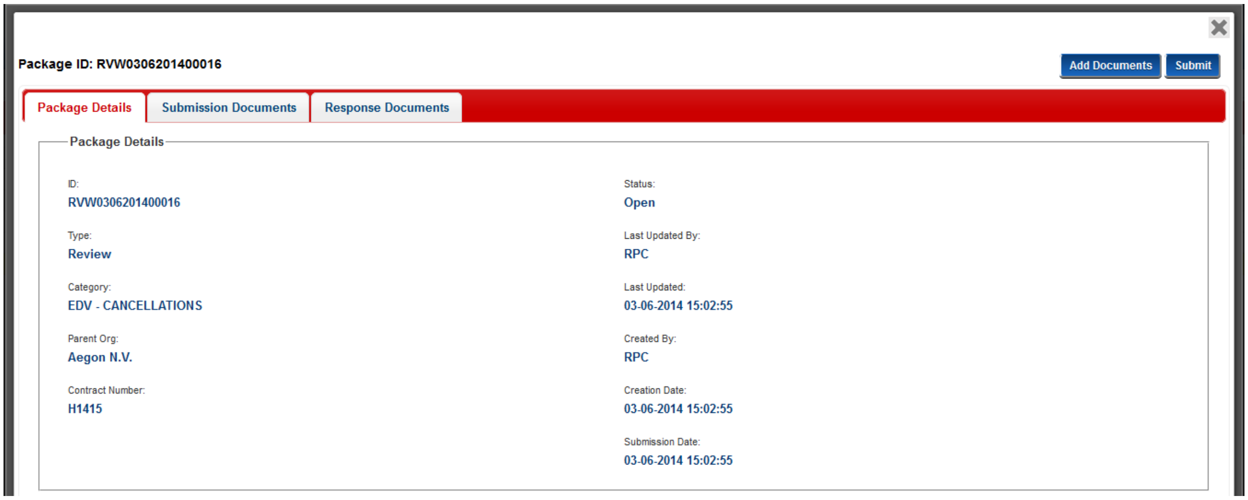
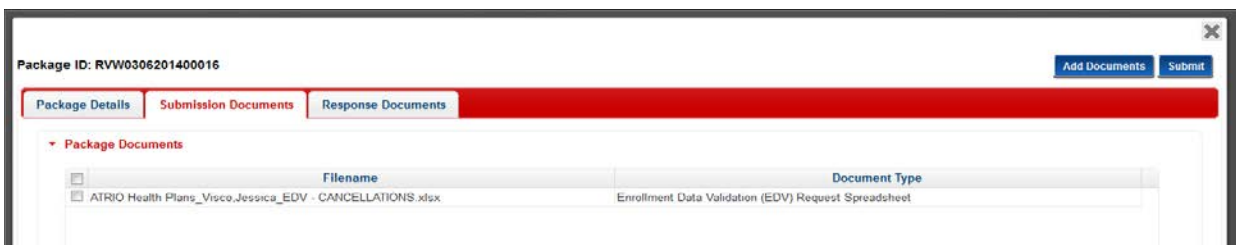


Figure 93: Access Review Package via Actions –View the Package



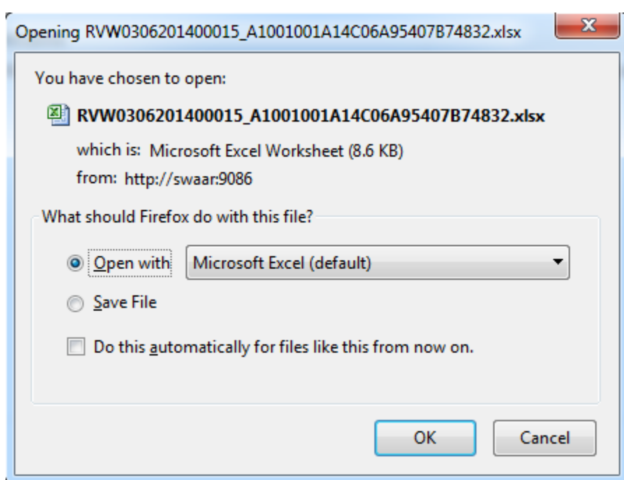
4. **Action**: Click the ***Submission Documents*** tab. Expand the Package Documents to view documents submitted by the RPC. Depending on the internet speed and size of the document, users may see a downloading action. Once the downloading action is complete, the documents submitted will be seen.

Figure 94: Access Review Package via Actions –View the Submission Documents



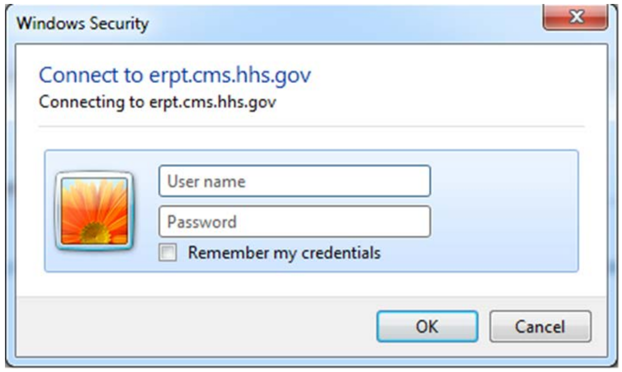
5. **Action**: Double-click a document to view it.
6. Depending on the browser a user may see a file open pop-up dialogue. If seen, click **Open**.

Figure 95: Access Review Package via Actions –File Open Dialogue



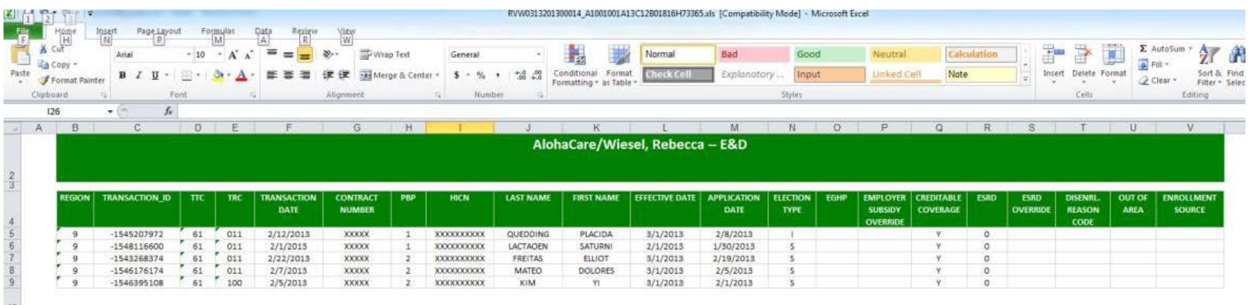
7. Depending on the browser a user may see a Windows Security pop-up. If seen, click **Cancel**.

Figure 96: Access Review Package via Actions – Windows Security Pop-Up



The document will open for viewing.

Figure 97: Access Review Package via Actions – Open Review Document

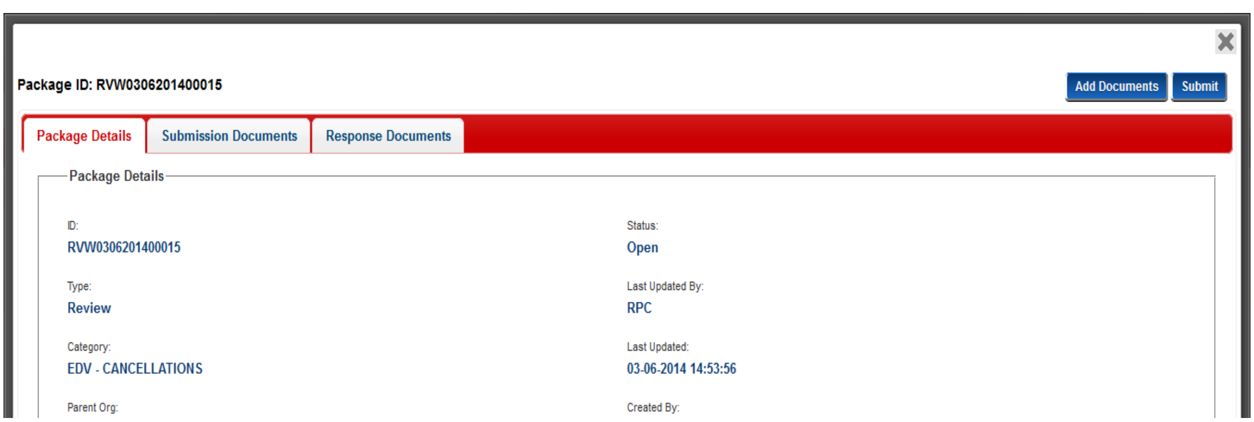


8. **Action**: To save the document to local repository, click **File** > **Save As**. The user can also click ***Download Selected Documents*** to save the documents from the list.

4.3.11.3 Complete Review Package with Response Documents

1. **Action**: Search for Review Package by following the Steps in Section 4.3.11.1.
2. **Action**: Double-click the Package to open and view it.

Figure 98: Complete Review Package with Reponses Documents



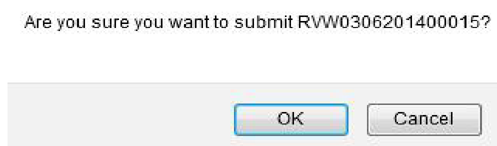
3. **Action**: Click ***Add Documents*** from the top right of the screen.

4. **Action**: Add documents as noted in Section 4.3.2.

Note: The eRPT Plan Users will not need to upload the EDV Validation Spreadsheet that they received from the RPC.

5. **Action**: Click ***Submit*** if you have completed adding all the documents or select **Finished Adding Docs** to switch to View Mode.
6. Action: Once the user clicks Submit, the Submit verification message will be displayed.

Figure 99: Complete Review Package with Reponses Documents – Submit Verification



7. **Action**: Click ***OK***. The Package status will be updated to ***Completed*** and will be available for RPC to download and process.

Note: If the package was submitted by **mistake** and the users had additional documents to upload, they can contact the MAPD Help Desk at mapdhelp@cms.hhs.gov or 1-800-927-8069 to reopen the review package.

4.4 Actions

Actions are messages sent to users to notify them about an action that has been completed on the Package. Actions are created within the eRPT application when:

- A Response document is added by the RPC for the eRPT Plan User or for CMS RO User to review.
- A Category 3 Submission Package is rejected by the CMS RO User.
- If a CMS CO User deletes a Package created by the eRPT Plan User.
- When RPC downloads the package.

The following table lists all the notifications that a user can receive based on the RPC response:

Table 2: eRPT Actions

Message	Description
RPC Download a Submission or Transaction Inquiry or EDV	RPC successfully downloaded package <XXX>.
Package Delete Notification	The package <XXX> has been deleted by CMS Central Office user "CMS Central Office User Name." Please contact the user if you have any questions.
Package Reject Notification	The package <XXX> has been rejected by "Package Approver Name." Please refer to Package Rejection notes for any clarification.
FDR Uploaded	There is FDR(s) uploaded by RPC for Package <XXX>.
RPC Inquiry Response	There is an Inquiry Response uploaded by RPC for Package <XXX>.
Error Report Uploaded	There is an Error Report uploaded by RPC for Package <XXX>.
EDV Request	There is an EDV - <EDV Request Description> Request "xxxx" from RPC.
Follow-on FDR Notification	A Follow-On FDR has been added to the system for contract <XXX>.

In the following sub-sections we will discuss:

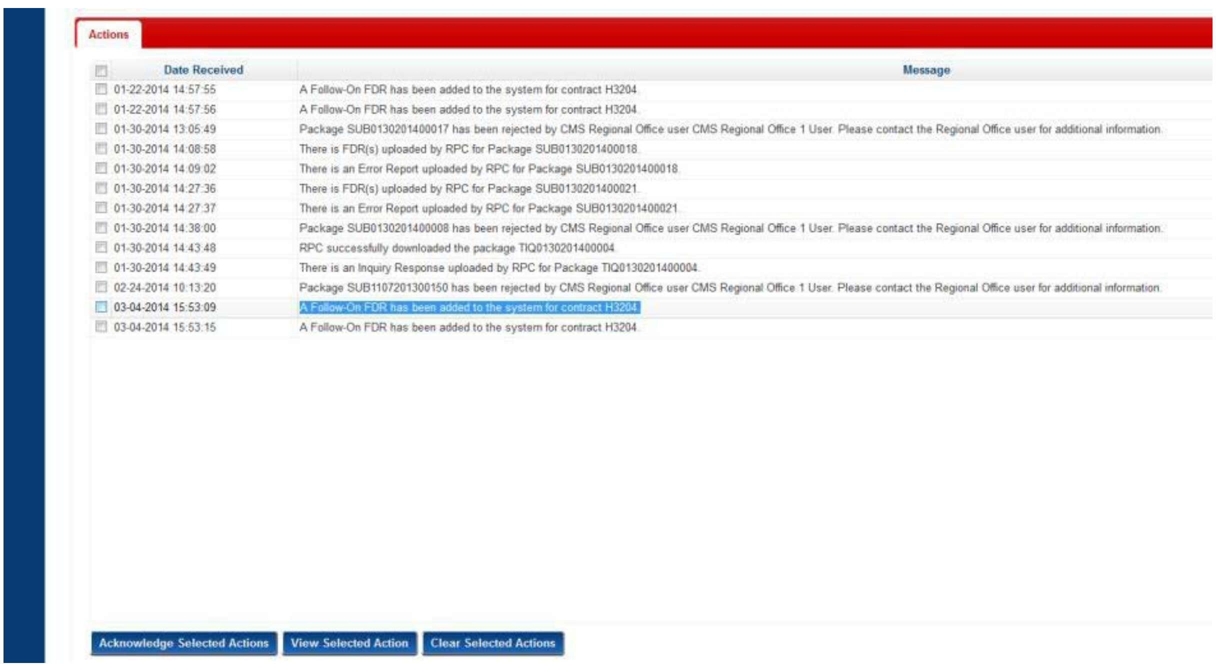
- Acknowledge Selected Actions
- View Selected Actions
- Clear Selected Actions

4.4.1 View Selected Actions

The view selection actions button is used to view the package or document that is associated with the action. In this section, we will walk through the steps on how to use view selected action button.

1 **Action**: Login to the eRPT application.
2 The Actions will be the landing page for the users.

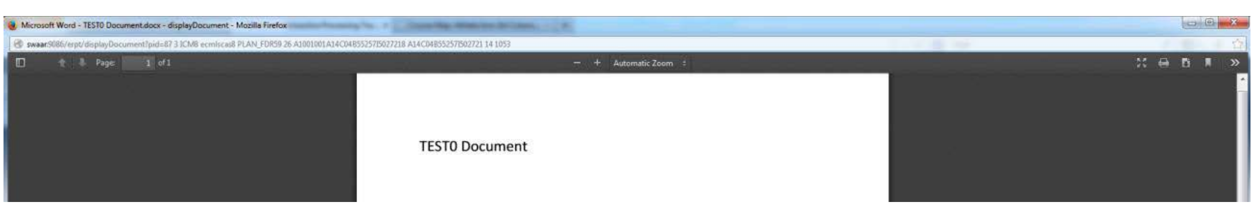
Figure 100: View Actions



3 **Action**: Click the checkbox of the action that you want to view as show below:

Note: The user can also double-click on the actions row to view the package or document associated with the action. Depending on the type of action the user will be displayed with either the document or package. For this example: the action selected was for Follow On FDR, the document will be displayed.

Figure 101: View Actions – View Follow On FDR

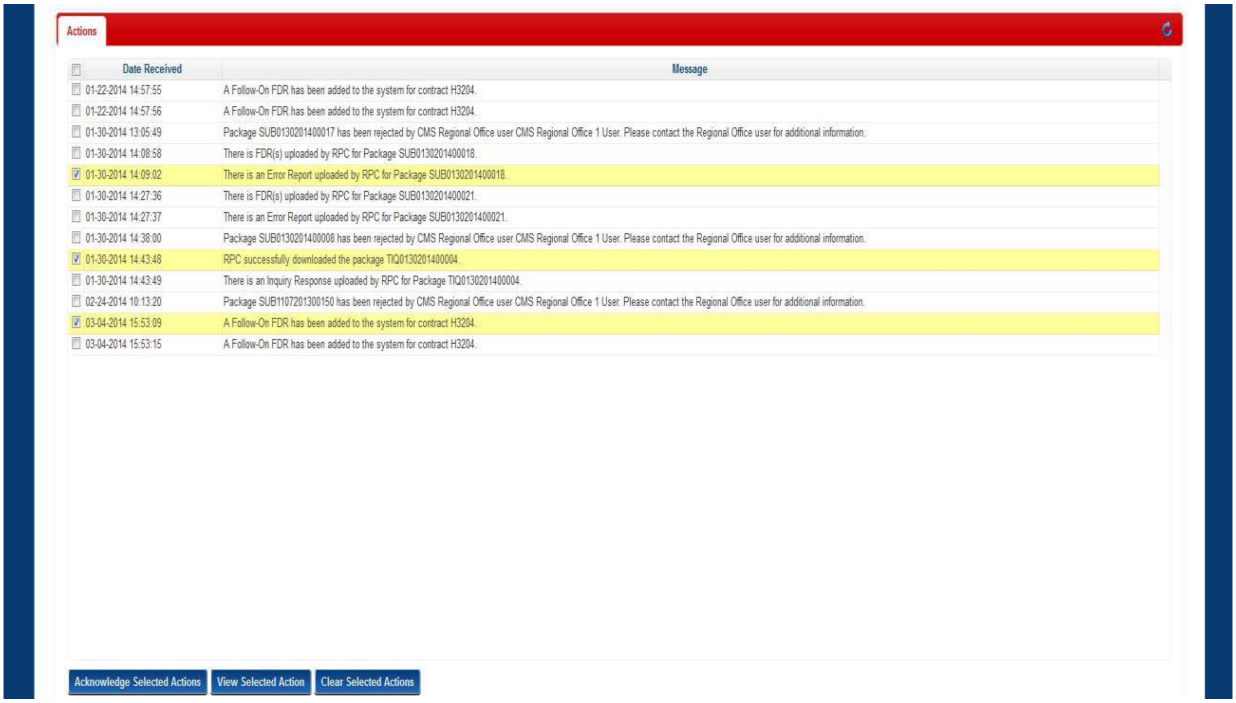


4.4.2 Acknowledge Selected Actions

The **Acknowledge Selected Actions** button is used to mark an action as completed. The user can select one to many notifications to mark them as acknowledged:

1. **Action**: Login to the eRPT application.
2. The Actions will be the landing page for the users and all the actions belonging to the current user will be displayed.
3. **Action**: Click the checkbox of the notification/action you want to acknowledge.

Figure 102: Acknowledge Selected Actions



4. **Action**: Click ***Acknowledge Selected Actions***. The messages will disappear from the **Actions** tab.

4.4.3 Clear Selected Actions

If the user decides not to acknowledge the actions, click **Clear Selected Actions** to uncheck all items.

4.5 Convert 'XLSM' Document to 'XLS'

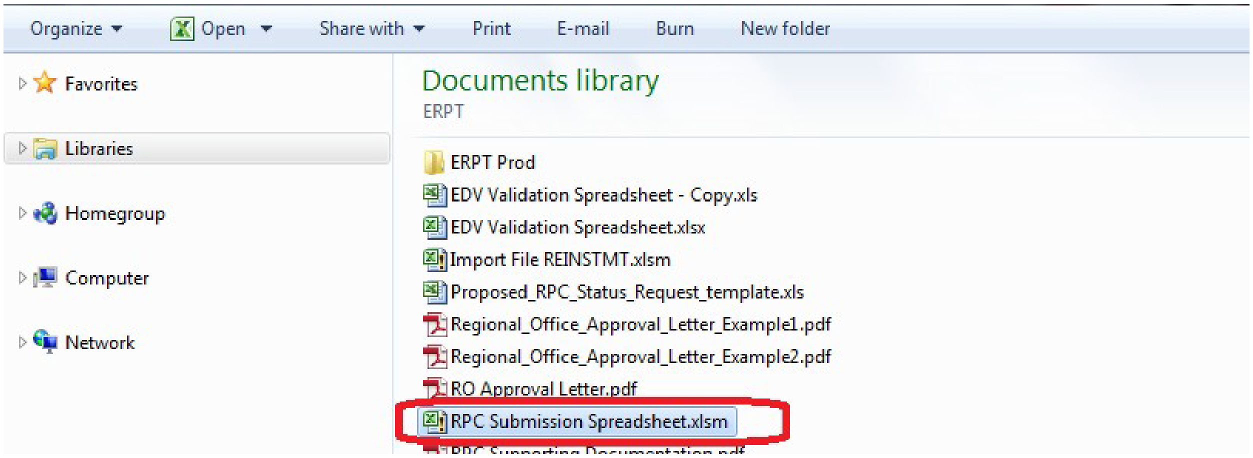
In the eRPT application a user can upload documents which are available only in the following formats:

- PDF documents - Documents with.pdf extension.
- Excel documents - Documents with .XLS or .XLSX extension.

In this section, we will discuss how documents with unsupported excel formats like 'XLSM' can be converted to acceptable formats to upload in the eRPT application. For our example, we will discuss how to convert the RPC submission spreadsheet that is available on the Reed &

Associates website in 'XLSM' format to 'XLS' format. An ‘XLSM’ document can be identified by its extension. This type of document will have the extension “.XLSM” as shown in Figure 94.

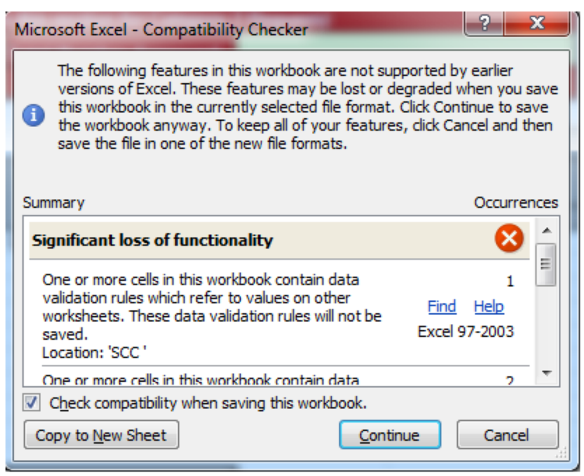
Figure 103: XLSM Documents



Note: Please convert the RPC Submission Spreadsheet document to XLS after it is been completed with all the required information and validated using the validation function available within the spreadsheet.

1 **Action**: Locate the complete RPC Submission Spreadsheet on your local directory.
2 **Action**: Open the RPC Submission Spreadsheet by double clicking on the document.
3 **Action**: Click **File ->Save As**.
4 **Action**: Select **Excel 97-2003 Workbook (*.XLS)** from Save as type dropdown.
5 **Action**: Click **Save**.
6 **Action**: The following Microsoft Excel – Compatibility Checker will be displayed to the user. Click ***Continue***.

Figure 104: Convert XLSM Document – Compatibility Checker

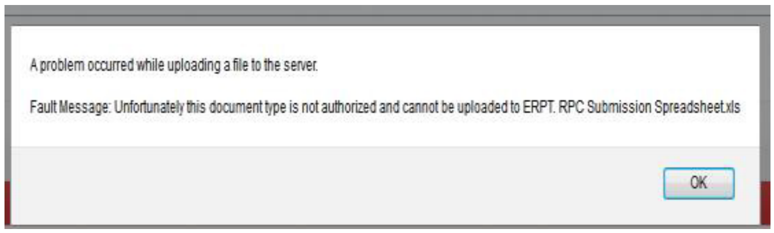


7 A new copy of RPC Submission Spreadsheet will be created in the ‘XLS’ format. A copy of RPC Submission Spreadsheet in ‘XLSM’ format will also be available to the users.

Note: This document is ready to be uploaded via the eRPT application for your Submission Package. Please make sure to follow the steps provided in the above section to convert all ‘XLSM’ documents. If the documents are not converted using other steps there is a tendency for the documents to get corrupted and the user will not be able to upload the documents via the eRPT application

If the documents are corrupted during conversion the user will receive the following error message during upload.

Figure 105: Convert XLSM Document – Error



Note: This conversion should not modify any information that has already been added in your RPC Submission Spreadsheet. If you experience any issues, please contact the MAPD Help Desk at mapdhelp@cms.hhs.gov or 1-800-927-8069.

5. Troubleshooting & Support

Reference the below information should an error occur during usage of the eRPT system.

5.1 Error Messages

Based on the error message the user should contact the System Administrator. The System Administrator will be the MAPD HelpDesk. The user will need to create a ticket with the help desk. The user will need to provide the following information when reporting an issue,

- Error Message
- Package ID
- Steps followed to create the issue

5.2 Special Considerations

None.

5.3 Support

Table 3: Support Points of Contact

Contact	Org.	Phone	Email	Role	Responsibility
MAPD HelpDesk	CMS	1-800-927-8069	mapdhelp@cms.hhs.gov	Help desk support	MAPD Help Desk can be contacted to report following issues: 1. Unable to Create a package 2. Unable to Update a package 3. Unable to upload documents on a package. 4. Unable to find a package.

Contact	Org.	Phone	Email	Role	Responsibility
					5. Unable to a find a response document (FDR, Error Report etc.). 6. Unable to find an approval letter. 7. Unable to search for response documents and approval letter. 8. Unable to view rejection notes. 9. Unable to download documents from the package. 10. Unable to delete documents on a package. 11. Unable to a delete a package. 12. Unable to find a review package / the user has not received a notification for EDV. 13. Unable to upload documents on a review package. 14. Unable to determine the status of the package. 15. Reopen a Review Package. 16. Unable to access
RPC Client Services	Reed and Associates	402-315-3660	clientservices@reedassociatescpas.com	RPC Help Desk Support	RPC Client Services can be contacted to report following issues: 1. The package is closed and it is missing FDR or Error Report for transactions. 2. Need explanation on FDR Disposition Code. 3. Not sure on what the Category Code selection should be for a Package.

Appendix A: User Access

Table 4: Submission Package

User Group	Create	View	Update	Delete (Soft)	Search	Add Docs	Comments
The Plans	X	*X	X*	*X	*X	X	All eRPT Plan Users having an EIDM ID and an approved eRPT Plan User role in EIDM will have access to create a Package, specific to those contracts the user has access to. Only a Package Creator will be able to Read, Update, Delete, and Search a Package. • Limited View Access - A Plan user can only view the submission Packages that were created by the Plan User. • Limited Update access - The Plan user can update only a draft submission Package that was created by the Plan User. • Limited Delete access - The Plan user can delete only a draft submission Package that was created by the respective Plan user. • Limited Search access - The Plan user can only search for a Package that was created by the user. • * Limited Add/Upload documents - The Plan user can Add/Upload documents to a submission Package that was created by the Plan user. *Note: Asterisk means the user will have limited access to the functionality.*
Plan Package Creator	X	X	X	X	X	X	Package Creator will be able to Read, Update, Delete, and Search and add documents to a Package. • View Access - A Plan user can only view the submission Packages that were created by the Plan User. • Update access - The Plan user can update only a draft submission Package that was created by the Plan User. • Limited Delete access - The Plan user can delete only a draft submission Package that was created by the Plan user. • Search access - The Plan user can only search for a Package that was created by the Plan user. • Add/Upload documents - The Plan user can Add/Upload documents to a Draft Submission Package that was created by the Plan user. Note: Asterisk means the user will have limited access to the functionality.

Table 5: EDV Review Packages

User Group	Create	View	Update	Delete (Soft)	Search	Add Docs	Comments
The Plans		*X	*X			*X	Users restricted by Contract #. All eRPT Plan Users having an EIDM ID will have access to upload documents for their respective Contract EDV/PayVal Review. • Limited Update access - The Plan user belonging to the contract will be able to mark the Package as complete. • Limited View Access - A Plan user can only view Packages belonging to their contracts. • Limited Add/Upload documents - A Plan user can Add/Upload only response documents to a review Packages that were submitted to them. Note: Asterisk means the user will have limited access to the functionality.

Table 6: Transaction Inquiry Packages

User Group	Create	View	Update	Delete (Soft)	Search	Add Docs	Comments
The Plans	X	*X	*X	*X	*X	*X	All eRPT Plan Users having an EIDM ID and an approved eRPT Plan User role in EIDM will have access to create a Package, specific to those contracts the user has access to. Only a Package Creator will be able to Read, Update, Delete, and Search a Package. • Create Access – A Plan user has complete access to create a package. • Limited Read Access - A Plan user can only view the transaction inquiry Package that was created by that Plan user. • Limited Update access - The Plan user can update only a draft transaction inquiry Package that was created by that Plan user. • Limited Delete access - The Plan user can delete only a draft transaction inquiry Package that was created by that Plan user. • *Limited Search - The Plan user can only search for a Package that was created by the Plan user • * Limited Add/Upload documents - The Plan user can Add/Upload documents to a transaction inquiry Package that was created by that Plan user. Note: Asterisk means the user will have limited access to the functionality.

User Group	Create	View	Update	Delete (Soft)	Search	Add Docs	Comments
Package Creator	X	X	X	*X	X	X	Package Creator will be able to Read, Update, Delete, and Search and add documents to a Package. • View Access - A Plan user can only view the submission Packages that were created by the Plan User. • Update access - The Plan user can update only a draft submission Package that was created by the Plan User. • Limited Delete access - The Plan user can delete only a draft submission Package that was created by the Plan user. • Search access - The Plan user can only search for a Package that was created by the Plan user. • Add/Upload documents - The Plan user can Add/Upload documents to a Draft Submission Package that was created by the Plan user. Note: Asterisk means the user will have limited access to the functionality.

Appendix B: Package Status

A package can be tracked in the eRPT application by referring to the status of the package. Following are the status values and descriptions of the statuses that are supported in the eRPT application.

Note: The status value on a package is dependent on the Package Type and Package Category.

Table 7: Package Status

Package Status	Package Description
Draft	When a package is created but not yet submitted to the eRPT application.
Pending RO Approval	When a package is submitted by the Plan Users but waiting for the Regional Office (RO) Approval Letter from the Regional Office Account Manager. This status is applicable only for Category 3 -> Submission Package
Open	When a submission package is submitted to eRPT and ready for the Retroactive Processing Contractor (RPC) to download or when a review package is uploaded for a Plan User to respond.
Completed	When a review package is submitted by the Plan User with all the response documents.
Downloading	When the RPC is downloading the package.
In Process	When the RPC is processing the package.
Closed	When a retroactive package processing has been completed by the RPC the package status will be marked has closed.
Deleted	When a retroactive package is deleted by the CO User the package status will be marked has deleted.

Appendix C: Document Response Selections

The following table lists the selections that will be available for users under Submission Documents and Response Documents tab.

Table 8: Document Selection

Type of User	Package Type – Category Code	Submission Documents	Response Documents
Plan User	Submission Package – Category 2	• Package Documents	• Final Disposition Reports • Error Reports
Plan User	Submission Package – Category 3	• Package Documents • Regional Office Approval Letter	• Final Disposition Reports • Error Reports
Plan User	Submission Package – Special	• Package Documents	• Final Disposition Reports • Error Reports
Plan User	Submission Package – Resubmission	• Package Documents	• Final Disposition Reports • Error Reports
Plan User	Transaction Inquiry Package	• Package Documents	• Package Documents
Plan User	Review Package	• Package Documents	• Package Documents
CMS RO/CO	Submission Package – Category 2	• Package Documents	• Final Disposition Reports • Error Reports
CMS RO/CO	Submission Package – Category 3	• Package Documents • Regional Office Approval Letter	• Final Disposition Reports • Error Reports
CMS RO/CO	Submission Package – Category CTM	• Package Documents • Regional Office Approval Letter	• Final Disposition Reports • Error Reports
CMS RO/CO	Submission Package – Special	• Package Documents	• Final Disposition Reports • Error Reports
CMS RO/CO	Submission Package – Resubmission	• Package Documents	• Final Disposition Reports • Error Reports
CMS RO/CO	Submission Package – Payment Validation	• Package Documents	• Final Disposition Reports • Error Reports
CMS RO/CO	Transaction Inquiry Package	• Package Documents	• Package Documents
CMS RO/CO	Review Package	• Package Documents	• Package Documents

Appendix D: Documents Required

The following table lists the documents that are required and can be submitted during Package creation and submission.

Note: Please refer RPC SOP on the website regarding the documentation and the documentation format that needs to be submitted for package.

Table 9: Document Selection

Package Type	Documents	eRPT Document Type Value
Submission Package – Category 2	• Cover Letter (PDF file) • Spreadsheet (XLS or XLSX file) • Supporting documents (PDF file(s))	• RPC Cover Letter • RPC Submission Spreadsheet • RPC Supporting Documentation
Submission Package – Category 3	• Cover Letter (PDF file) • Spreadsheet (XLS or XLSX file) • Supporting documents (PDF file(s)) • Approval Letter	• RPC Cover Letter • RPC Submission Spreadsheet • RPC Supporting Documentation • Approval Letter
Submission Package – Category CTM	• Cover Letter (PDF file) • Spreadsheet (XLS or XLSX file) • Supporting documents (PDF file(s)) • Approval Letter	• RPC Cover Letter • RPC Submission Spreadsheet • RPC Supporting Documentation • Approval Letter
Submission Package – Special	• Cover Letter (PDF file) • Spreadsheet (XLS or XLSX file) • Supporting documents (PDF file(s)) Note: Uploading documents to Special Submission Package is optional.	• RPC Cover Letter • RPC Submission Spreadsheet • RPC Supporting Documentation
Submission Package – Resubmission	• Cover Letter (PDF file) • Spreadsheet (XLS or XLSX file) • Supporting documents (PDF file(s))	• RPC Cover Letter • RPC Submission Spreadsheet • RPC Supporting Documentation
Submission Package – Payment Validation	• Cover Letter (PDF file) • Spreadsheet (XLS or XLSX file) • Supporting documents (PDF file(s))	• RPC Cover Letter • RPC Submission Spreadsheet • RPC Supporting Documentation
Transaction Inquiry Package	• Inquiry Request form (XLS or XLSX file)	• RPC Transaction Inquiry Request
Review Package	• EDV Validation Spreadsheet (XLS or XLSX file) • Supporting documents (PDF file(s))	• RPC Supporting Documentation

Appendix E: Acronyms

Table 10: Acronyms

Acronym	Literal Translation
CMS	Centers for Medicare & Medicaid Services
CO	Central Office
CTM	Complaint Tracking Module
CTS	Content Transport Service
ECM	Enterprise Content Management
EDV	Enrollment Data Validation
EIDM	Enterprise Identity Management
eRPT	Electronic Retroactive Processing Transmission
ESRD	End Stage Renal Disease
FDR	Final Disposition Report
HPMS	Health Plan Management System
ID	Identifier
LIS	Low Income Subsidy
MA	Medicare Advantage
MAO	Medicare Advantage Organization
MAPD	Medicare Advantage Prescription Drug
MA-PDP	Medicare Advantage Prescription Drug Plan
MARX	Medicare Advantage Prescription Drug System
MMP	Medicare-Medicaid Plans
MMR	Monthly Membership Report
NPAR	Network Patient Activity Report
PACE	Program for All-Inclusive Care for the Elderly
PAY VAL	Payment Validation
PBP	Plan Benefit Package
PDP	Prescription Drug Plan
RAC	Residence Address Change
RO	CMS RO
RO	Regional Office
RPC	Retroactive Processing Contractor
SOP	Standard Operating Procedure
TRR	Transaction Reply Report
UI	User Interface
XLC	eXpedited Life Cycle

Appendix F: Glossary

Table 11: Glossary

Term	Definition
Contract ID	A unique five-character alphanumeric identifier assigned by CMS's Health Plan Management System (HPMS) and Medicare Drug and Health Plan Contract Administration Group (MCAG) to qualifying organizations approved to offer Medicare Advantage health and cost plans. Medicare Advantage contract numbers are prefixed with the following alphabetic characters identifying the type of product offered or the type of organization approved to offer a particular health care plan and are followed by 4-digits: H or 9 = Local Managed Care Contractors R = Regional Managed Care Contractors S = Medicare Prescription Drug Plans F = Fallback Plans For example, Hxxxx where xxxx=the assigned 4-digit number.
Error Reports	A list identifying the specific transaction requests within the RPC Submission Spreadsheet submitted by a MA, MAPD, and PDP sponsoring organizations which were not properly uploaded into the RPC system. The report is returned to the submitter for resubmission to the RPC.
FDR	A report indicating the CMS processing status of each transaction request previously submitted in the RPC Submission Spreadsheet.
Follow-on Final Disposition Report	A report indicating the CMS processing status for RPC initiated transactions. These submissions are a result of RPC's inability to process due to CMS system errors; corrective actions performed by the RPC; or an action directed by a CMS Regional or Central Office user. The transactions on these reports may have originated from multiple package submissions and may be a follow-up response to the Plan's initial RPC Submission Spreadsheet.
MARx	Medicare Advantage Prescription Drug System, the name for the current application that processes enrollment and beneficiary-level payments for Medicare Advantage and Part D.
Notification	A system message triggered by a workflow or processing event that is displayed to the user. The message typically instructs the user to take some form of action or informs the user that a specific processing event has occurred.
Parent Organization	Parent Organizations are the entity which oversees the various approved Plans.
The Plans	The eRPT user groups from Medicare Advantage (MA), PDP, Cost Plans, or PACE organization who submit beneficiary enrollment/disenrollment change requests via eRPT application for processing.
Response Documents	The Documents that are added to the package by the RPC user.
Retroactive Processing Contractor (RPC)	The Medicare contractor responsible for processing retroactive Medicare Advantage (MA) and Prescription Drug Plan (PDP) beneficiary enrollment/disenrollment change requests submitted by plan/sponsors.

Term	Definition
Review Package	The EDV review process performed by the RPC consists of a monthly sample review of enrollment related transactions submitted to CMS. All organizations that submit activity via the MARx UI, or batch-submitted actions will be selected for review. The RPC will request supporting documentation for the transactions selected within the monthly EDV sample set. The monthly sample review will be for the previous month's activity in MARx reported on each organization's Transaction Reply Request (TRR). Upon receipt of the documentation, the RPC shall review the documentation submitted for the sampled transactions to verify the documentation provided by the organization supports the transaction submitted to CMS. The RPC will report all audit findings to the appropriate CMS RO Account Manager for final review and to address any follow-up needed on negative findings.
Submission Documents	These are the documents that are added to the package during creation and also during package processing by the CMS RO user.
Submission Package	Retroactive enrollment-related transactions submitted by a Plan to RPC such as Enrollments, Disenrollments, Reinstatements, LIS Deeming Updates, and Medicaid and SCC changes. Submissions are further classified by the following types: 1. Category 2 - Timely retroactive enrollment transactions (including Payment Validation Adjustments and Caseworker Approved CTM Cases) that may be submitted to the RPC without additional RO approval. Please refer RPC's SOP on their website for the types of retroactive transactions that do not require RO Approval. 2. Category 3 - Untimely (i.e. current calendar month minus 3 months or more) or other retroactive transactions that may be submitted to the RPC requiring RO approval prior to submission. Please refer RPC's SOP on their website for the types of retroactive transactions that require RO Approval. 3. Compliant Tracking Module (CTM) - A retroactive request submitted by a Plan in order to address a complaint filed by a Medicare beneficiary or their caregiver via the Health Plan Management System Complaint Tracking Module (HPMS CTM). 4. Payment Validation (PayVal) - The Retroactive Processing Contractor (RPC) monthly review of a set of sample payments which consists of randomly selected transactions submitted directly to CMS through MARx post MARx R&M release. Upon receipt of the documentation, the RPC shall review the documentation submitted for the sampled transactions to verify the documentation provided by the organization supports the transaction submitted to CMS. 5. Resubmission - Timely retroactive enrollment transactions (including Payment Validation Adjustments and Caseworker Approved CTM Cases) that have been previously submitted but not processed as requested by (NPAR) by the RPC and do not require additional RO approval for processing. 6. Special - A customized user Package submitted by the CMS Central Office Staff or Plan Users (with CMS approval) to RPC. 7. Resubmission - Timely retroactive enrollment transactions (including Payment Validation Adjustments to verify the documentation provided by the organization supports the transaction submitted to CMS. 8. Resubmission - Timely retroactive enrollment transactions (including Payment Validation Adjustments and Caseworker Approved CTM Cases) that have been previously submitted but not processed as requested by (NPAR) by the RPC and do not require additional RO approval for processing. 9. Special - A customized user Package submitted by the CMS Central Office Staff or Plan Users (with CMS approval) to RPC.

Term	Definition
Submitting Organization	An organization with the authorized capability of submitting packages/inquires to eRPT.
Transaction Inquiry Package	These packages are created to launch specific inquiries for packages within eRPT.
Transaction Reply Code (TRC)	Codes used to explain what action MARx took in response to new information from CMS systems or in response to input from Plans, CMS, or other users.
User Interface	The mechanism by which the user will view, update packages in the Retroactive Process in eRPT.
Web Service	The mechanism by which the RPC will communicate with the eRPT system.

Appendix G: Referenced Documents

Table 12: Referenced Documents

Document Name	Document Location and/or URL	Issuance Date
eRPT Requirements Document	V1.3(1)	11/07/2013
eRPT R3M2 Requirements Document	ECM-016-B0001_R3M2_RD-v1.5 Final	02/05/2016
eRPT Application Integration Technical Requirements	EIDM eRPT Application Integration Technical Requirements	11/27/2015

Appendix H: Record of Changes

Table 13: Record of Changes

Version#	Date	Author/Owner	Description of Change
3.0	02/09/2016	Anand Srinivasan	▪ Updated with the EIDM process flow to inform the eRPT Plan User the steps involved in registering and requesting access to eRPT application role and contracts within EIDM. ▪ Updated for appropriate use of Acronyms throughout the document and the corresponding Acronyms table. • Updated the User roles names to be consistent throughout the document (eRPT Plan User, CMS CO and CMS RO Users), where applicable.
3.1 FINAL	02/19/2016	Faye Newsham	PSO Approved to baseline,
3.2 FINAL	07/08/2016	Anand Srinivasan	Updated for Section 3.3.2 User Replacement.

Appendix I: Approvals

The undersigned acknowledge that they have reviewed the eRPT Plan User Manual and agree with the information presented within this document. Changes to this eRPT Plan User Manual will be coordinated with, and approved by, the undersigned, or their designated representatives.

Signature: ______________________ Date: ______________

Print Name: Gloria Barretto

Title: ECM Project Manager

Role: Submitting Organization Approval Authority

Signature: ______________________ Date: ______________

Print Name: Crystal Myers

Title: ECM GTL

Role: CMS Approving Authority

Signature: ______________________ Date: ______________

Print Name: Andrea Hamilton

Title: eRPT Business Owner

Role: CMS Approving Authority