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MARx Redesign & Modernization

Plan Training Webinar

March 30, 2011



MAPD

Agenda



- Redesign & Modernization (R&M) Introduction
- Current Calendar Month (CCM)
- Single Enrollment Transaction
- Miscellaneous Change Transactions
- Cancellation of Enrollment and Disenrollment
- Report Changes
- New Transaction Reply Codes (TRCs)
- MARx User Interface (UI) Changes

MARx Redesign & Modernization



- MARx R&M implementation - April 2011
- Independent Enrollment Processing
 - Independent of Premium and Payment processing
 - Enrollments will not fail due to Premium and Payment processing failures
 - Premium and Payment data - calculated after beneficiary is successfully enrolled

Current Calendar Month (CCM)

Current Calendar Month (CCM)



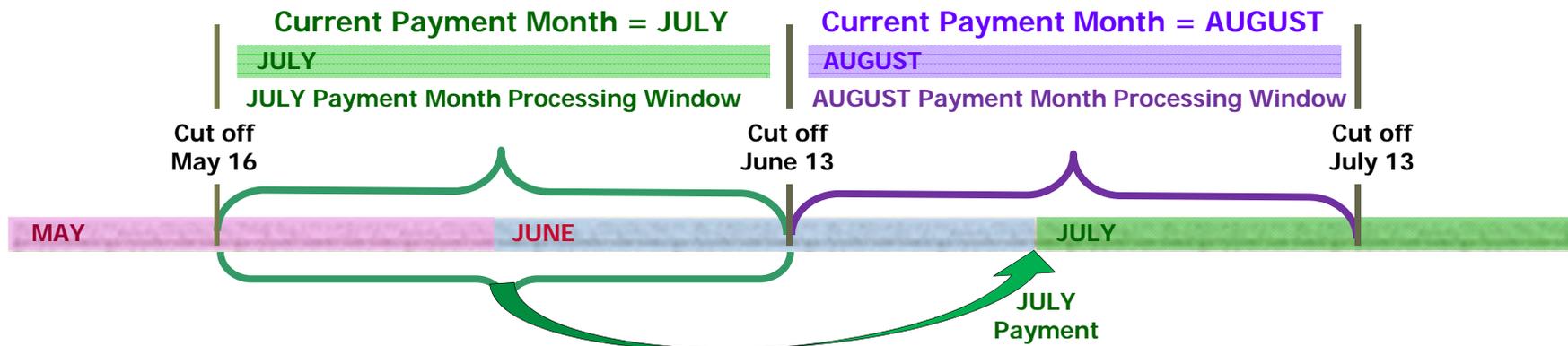
- Enrollment Processing is no longer dependent on the Current Payment Month (CPM)
- Current Calendar Month (CCM)
 - Month during which the enrollment is submitted
 - Used as the header date on submitted batch files
 - All enrollment edits are based on CCM.
 - Header date will stay the same throughout the calendar month.

Note: CPM will still be used when calculating payments and producing reports.

Current Calendar Month



CPM – Still used for payment calculation and reporting

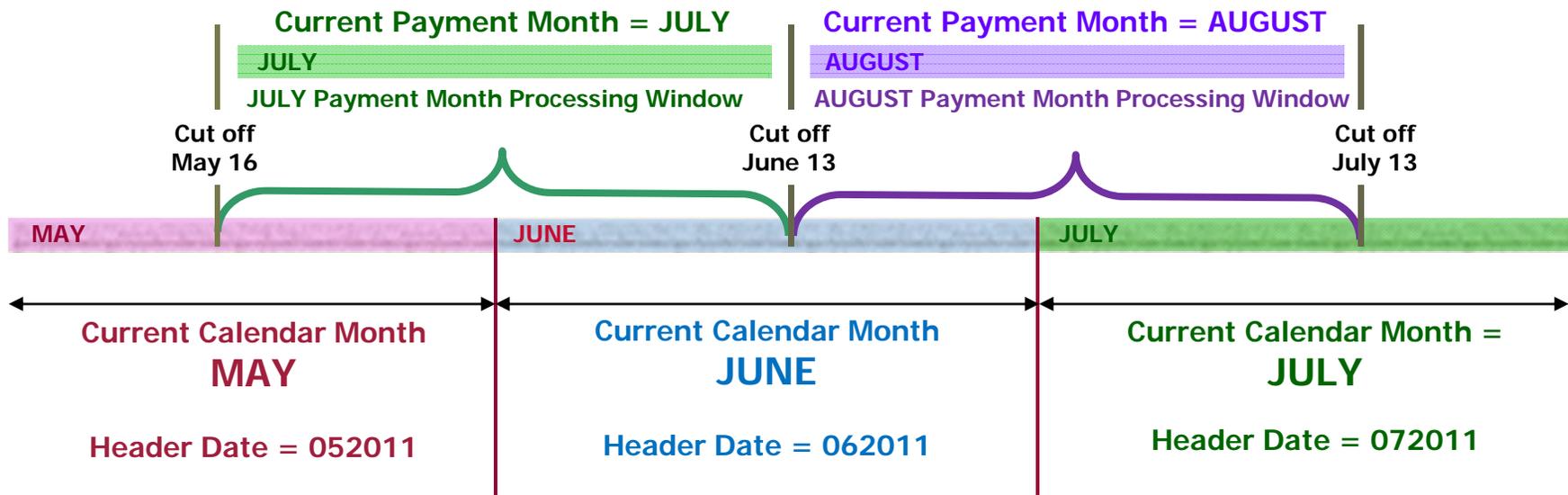


- Cutoff dates still used for CPM
- All transactions received before CPM cutoff are used when calculating that month's payments and/or adjustments.
- Monthly reports based on CPM
- **Example:** Transactions received from May 17 – June 13 are used to generate the Plan's payment for July 1st. **(July CPM)**

Current Calendar Month



CPM – Still used for payment calculation and reporting



CCM – Used for all enrollment processing and for the batch file header date.

CCM from Plan Perspective



- Used as **header date** in transaction batch files
- Enrollments edited and accepted based on CCM
- Enrollments and Disenrollments Effective Dates
 - **CCM – 1 to CCM + 3** (non-EGHP)
 - **CCM – 3 to CCM + 3** (EGHP)

CPM Cutoff Impact



- CPM Cutoff determines when Plans see transactions reflected in their payments and monthly reports.
- Enrollments received **before** payment cutoff (ex. 05/01/11)
 - CCM = 05/2011; CPM = 06/2011
 - Processed based on the current month (05/2011)
 - Part of the Plan's next payment (06/2011)
- Enrollments received **after** payment cutoff (ex. 05/23/11):
 - CCM = 05/2011; CPM = 07/2011
 - CPM changed to July but CCM remains May
 - Processed based on the current month (05/2011)
 - Not reflected in the Plan's payment until 07/2011



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Single Enrollment Transaction

Single Enrollment Transaction



- Current “enrollment” transactions:
 - TC 61 – Enrollment
 - TC 60 – EGHP Retroactive Enrollment
 - TC 62 – Retroactive 1 Month Enrollment
 - TC 71 – PBP Enrollment
- **As of April 2011 Release – Only TC 61 may be submitted**
- TC 60, 62 & 71 will fail – TRC 001 (Invalid Transaction Code)

Even through these TCs are consolidated, Plans will continue to receive the appropriate TRCs for the enrollment action submitted.

Example: TRC 100 – PBP Change Accepted as Submitted

Miscellaneous Change Transactions

Change Transaction Breakout



- Miscellaneous change transactions:
 - TC 72 – 4Rx Data Change
 - TC 73 – Number of Uncovered Months Change
 - TC 74 – EGHP Change
 - TC 75 – Premium Payment Option Change
 - TC 76 – Residence Address Change
 - TC 77 – Segment ID Change
 - TC 78 – Part C Premium Change
 - TC 79 – Part D Opt-Out Change
- Only pertinent fields are required on input records (*see R&M Handbook or PCUG*)

4Rx Data Change (TC 72)



- 4Rx data is specific to a Contract/PBP and date range
- Submitted with Effective date (no end date)
- Effective date must be within an enrollment period for the Contract/PBP
- When there is overlap with an existing 4Rx period for same PBP:

Existing Effective Date	New submitted Effective Date	Relationship (New/Existing)	Action
07/01/11	07/01/11	Same	New 4Rx period will replace existing period
04/01/11	07/01/11	Later	Existing is closed one day prior to new period (06/30/11)
07/01/11	04/01/11	Earlier	New 4Rx period is closed one day prior to existing period (04/01/11 – 06/30/11)

Number of Uncovered Months (NUNCMO) Change (TC 73)



- Submit only if the Plan determines an incremental NUNCMO value needs to change.
- **NEW** – *MARx will automatically reset NUNCMO due to new IEP or Low Income Subsidy (LIS) status.*
- Plans do not submit RESET or UNDO.
- Plans will be notified when an automatic reset has been processed.
 - TRC 290 – *IEP NUNCMO Reset*
 - TRC 295 – *Low Income NUNCMO RESET*
- Plans will receive these stand-alone or with other applicable TRCs.

Number of Uncovered Months Change (TC 73)



Submitting Uncovered Month Changes

- Current Plan can change NUNCMO for:
 - Current Enrollment
 - All prior enrollments (even if enrolled in another Plan)
- Prior Plan
 - Submit change through the Special Batch File Request
 - Beneficiary must be enrolled in submitting Plan as of the header date (CCM) in the submitted file
 - Following three dates must match:
 - Effective date of NUNCMO change transaction
 - Effective date of enrollment
 - Header date on the file (CCM)

Premium Payment Option Change (TC 75)



- Submitted to change a beneficiary from direct bill to withholding or vice versa.
- Only prospective requests
- *Acceptance or rejection of withholding request is based on the CPM, not CCM.*

PPO Option Requests Before & After Payment Cutoff



- Effective date of withholding request must be CPM or later
- Effective dates prior to CPM are always set to direct bill
- Non-EGHP enrollments in May files
 - Header date = 05/2011
 - Effective Dates: 04/01/11 – 08/01/11 (*CCM -1 to CCM +3*)

Submitted Date (Before / After cutoff)	CPM	Effective Date (Relationship to CPM)	Withholding Request Result
05/05/11 (Before)	2011-06	04/01/11 (CPM -2)	Set to direct bill (TRC 144)
05/23/11 (After)	2011-07	04/01/11 (CPM -3)	Set to direct bill (TRC 144)
05/05/11 (Before)	2011-06	05/01/11 (CPM -1)	Set to direct bill (TRC 144)
05/23/11 (After)	2011-07	05/01/11 (CPM -2)	Set to direct bill (TRC 144)
05/05/11 (Before)	2011-06	06/01/11 (CPM)	Accepted
05/23/11 (After)	2011-07	06/01/11 (CPM -1)	Set to direct bill (TRC 144)
05/05/11 (Before)	2011-06	07/01/11 (CPM +1)	Accepted
05/23/11 (After)	2011-07	07/01/11 (CPM)	Accepted
05/05/11 (Before)	2011-06	08/01/11 (CPM +2)	Accepted
05/23/11 (After)	2011-07	08/01/11 (CPM +1)	Accepted

Residence Address Change (TC 76)



- MARx will store Plan-supplied Residence Addresses.
- Reduce need to submit SCC changes to Retroactive Processing Contractor (RPC).
- Residence Address is associated with enrollment in a contract.
- Plan can change beneficiary's Residence Address multiple times while enrolled in the contract.
- State and County Codes for service area determination are calculated from the submitted address.
- Address change may trigger recalculation of Plan's payments.
- UI top banner will include an indicator if Residence Address exists.

Residence Address Change (TC 76)



- Plan can UPDATE or DELETE address periods
- UPDATE = Add, change or end
- DELETE = Remove Residence Address period
- Will only apply to periods when beneficiary is enrolled in the Plan and within submitted date range
- Submitted addresses automatically terminate when beneficiary disenrolls.
- When Plan terminates residence address period, MARx defaults to existing SSA mailing address.

Residence Address Change (TC 76)



Plans will receive TRCs indicating acceptance or rejection.

Acceptance TRCs

265 – Residence Add Change Accepted

282 – Residence Address Deleted

Failure TRCs

258 – Effective Date Invalid for Database Insertion

259 – End Date Invalid for Database Insertion

Informational TRC

154 – Out of Area Status

Rejection TRCs

171 – Record Update Rejected; Invalid Change Effective Date

260 – Bad End Date on Residence Address Change

261 – Incomplete Residence Address information

266 – Unable to Resolve SSA State County Codes

283 – Residence Address Delete Rejected

Timeliness Edits for Plan Change Transactions



Tran Code	Description	Earliest Date	Latest Date	Other
72	4Rx Data Change	<i>Effective date must fall during one of the beneficiary's enrollments in the contract/PBP. There is no future date limitation.</i>		
73	Number of Uncovered Months Change	<i>No timeliness edits. The effective date must match the start date of an enrollment.</i>		<i>Current Plan can submit for the current enrollment and all prior enrollments even if the enrollment was with a different Plan. The beneficiary must be enrolled in the submitting Plan as of the CCM that is in the header record. A prior Plan submitting a NUNCMO update for their enrollment must submit via a Retro file that has a header date during the enrollment in the Prior Plan.</i>
74	EGHP Change	CCM - 1	CCM + 3	
75	Premium Payment Option Change	CPM	CPM +2	<i>Notice that this one is based on the Current Payment Month (CPM).</i>
76	Residence Address Change	<i>No timeliness edits. The effective date must be during an enrollment.</i>		
77	Segment ID Change	CCM - 1 (CCM - 3 for EGHP)	CCM + 3	<i>Normal enrollment transaction range.</i>
78	Part C Premium Change	<i>The effective date must be during an enrollment in the submitting Plan.</i>		
79	Part D Opt-Out Change	<i>No timeliness edits.</i>		

Cancellation of Enrollments and Disenrollments

Cancellation of Enrollment – TC 80



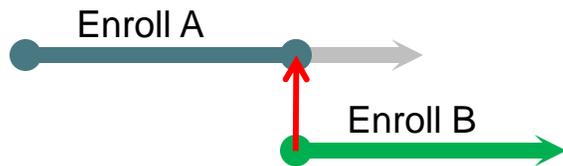
- New transaction type – TC 80
- Cancels an enrollment
- Backs out any election period used for the enrollment being cancelled
- *Disenrollment transaction (TC 51) no longer used to cancel an enrollment. It will be rejected.*
(TRC 292 – Disenrollment rejected, was Cancellation attempt)

Cancellation of Enrollment – TC 80

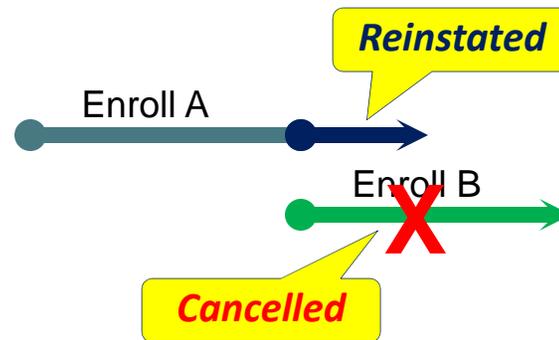


- Reinstatement
 - If the enrollment being cancelled caused an auto-disenrollment from an earlier enrollment, the system attempts to reinstate the earlier enrollment.

Plan B Enrollment creates auto disenrollment from Plan A



Plan B Cancels Enrollment B



Reinstatement Factors



- Factors considered for reinstatement of enrollment
 - *Enrollment is reinstated until any of the following preclude enrollment:*
 - Death of beneficiary
 - Medicare entitlement and Part D eligibility
 - Plan open and active
- Payments and Premiums will be recalculated

Enrollment Cancellation Transaction Rules

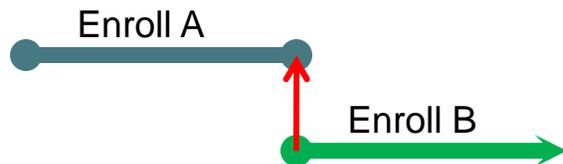


- Effective dates
 - CCM -1 to CCM +3
 - CCM -3 to CCM +3 (EGHP)
- Effective date = effective date of the enrollment being cancelled
- Plans can only cancel their own submitted enrollments
- Plans cannot cancel enrollments initiated by other Plans, CMS, or MARx
- Any prior enrollment that has changed cannot be reinstated
 - Change in start or end dates
 - Change in 4Rx values, etc.

Enrollment Cancellation Example

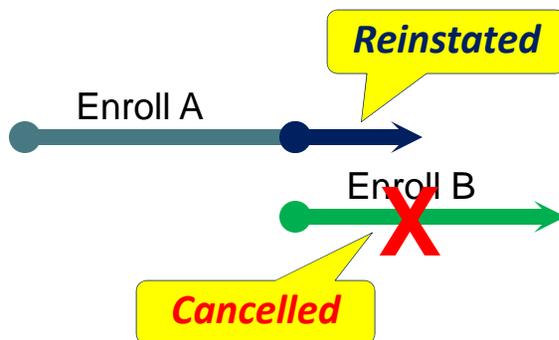


- Initial state – Enrollment A



- When Enrollment B was submitted, Enrollment A received a disenrollment date

Plan B Cancels Enrollment B



Two Steps

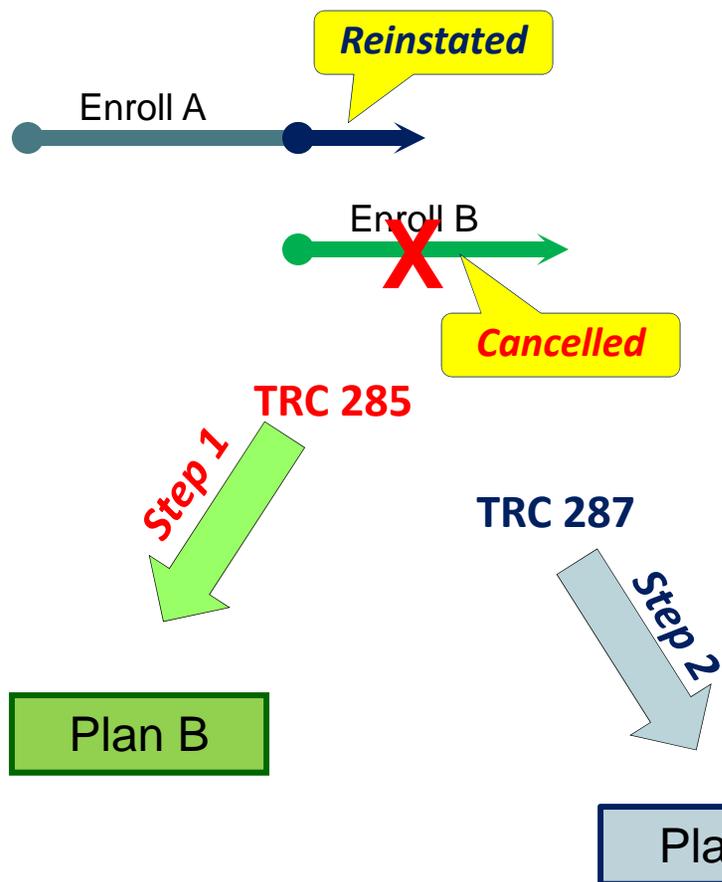
- Step 1 – Enrollment B is cancelled
- Step 2 – Enrollment A is reinstated
 - Disenrollment date is removed
 - or –
 - Disenrollment date is set to previous disenrollment date

***Either step can be accepted or rejected.
A TRC may be generated for each step.***

Enrollment Cancellation Example



Successful Cancellation and Reinstatement



- **Plan B** is notified that the enrollment was successfully cancelled – TRC 285 (*Enrollment Cancellation Accepted*)

- **Plan A** is notified that their enrollment is reinstated – TRC 287 (*Enrollment Reinstated*)

Enrollment Cancellation Rejections



Reasons for rejection of the cancellation part of the transaction (Step 1)

TRC	Description of Rejection Reason
003	Invalid Contract Number
004	Beneficiary Name Required
006	Incorrect Birth Date
007	Invalid Claim Number
008	Beneficiary Claim Number Not Found
009	No beneficiary match
037	Transaction Rejected, Incorrect Effective Date
060	Beneficiary not enrolled
107	Rejected, Invalid or Missing PBP Number
157	Contract Not Authorized for Transaction Code
286	Enrollment Cancellation Rejected <i>(Cancellation was submitted more than one month after the enrollment became active or the enrollment is a Rollover, Auto or Facilitated Enrollment)</i>

Enrollment Cancellation Reinstatement Rejections



Reasons enrollment may not be reinstated
Plan was blocked during part of the reinstatement period
Part of the reinstatement period is outside the contract dates
Something about the enrollment changed since the auto-disenrollment

Since there is no reinstatement, no reinstatement TRC is issued.

Cancellation of Disenrollment – TC 81



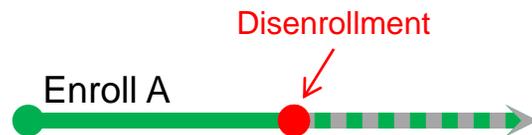
- New transaction type – TC 81
- Plan disenrolls beneficiary from an existing enrollment
- Plan cancels the disenrollment
- Disenrollment date is removed and the enrollment is reopened
- Election period used for the disenrollment being cancelled is backed out.

Disenrollment Cancellation Transaction Rules



- Effective dates
 - CCM -1 to CCM +3
 - CCM -3 to CCM +3 (EGHP)
- Transaction Effective Date must equal the disenrollment Effective Date
- Plans can only cancel their own submitted disenrollments
- Plans cannot cancel disenrollments initiated by other Plans, CMS, or MARx
- Only one contract is involved in disenrollment cancellation
- An enrollment that has had changes subsequent to the disenrollment cannot be reopened.

Disenrollment Cancellation Example



- A disenrollment was submitted for Enrollment A
- An end date was added to the enrollment
- Involves only one plan

Plan B Cancels the Disenrollment and enrollment is reinstated



Step 1 – Cancel disenrollment

Step 2 – Reopen the enrollment

Plan A is notified of success –

- TRC 288 – Disenrollment Cancellation accepted
- TRC 291 – Enrollment reinstated

If either step cannot be done the whole disenrollment cancellation transaction is rejected.

Disenrollment Cancellation Rejections



Disenrollment Cancellation Rejection TRCs

TRC	Description of Rejection Reason
289	Disenrollment Cancellation Rejected <ul style="list-style-type: none">• <i>Did not meet transaction criteria</i>• <i>Reinstated enrollment would conflict with another existing enrollment</i>
284	Cancellation Rejected, Prior Enrollment Changed <ul style="list-style-type: none">• <i>Something about the enrollment changed after the disenrollment was submitted</i>

Cancellation of Auto Disenrollments



- Beneficiary Auto Disenrolled due to date of death (DOD)
- When DOD corrected (removed or changed):
 - System automatically generates a Disenrollment Cancellation (TC 81)
 - Auto Reinstatement occurs if possible
 - TRC 288 – Disenrollment Cancellation Accepted
 - TRC 287 – Enrollment Reinstated
 - Reinstatement does not count against election period limits
- If DOD is *earlier* – disenrollment is adjusted to the earlier date and appropriate TRC code is issued. TRC 018 – Automatic Disenrollment

Report Changes

Batch Completion Status Summary Report (BCSS)



- Restructured
 - Combines previous Status file and BCSS
 - Communicates file transmission statuses
 - Reports transaction processing statistics for the file
 - Transaction Type Counts
 - Accepted, Rejected, Failed Counts
 - Reports records that failed due to formatting issues
 - Includes a copy of the failed record
 - Reports failed TRCs
 - *Does not include daily detail on accepted and rejected transactions*

Batch Completion Status Summary Report (BCSS)



Beginning of Message Text

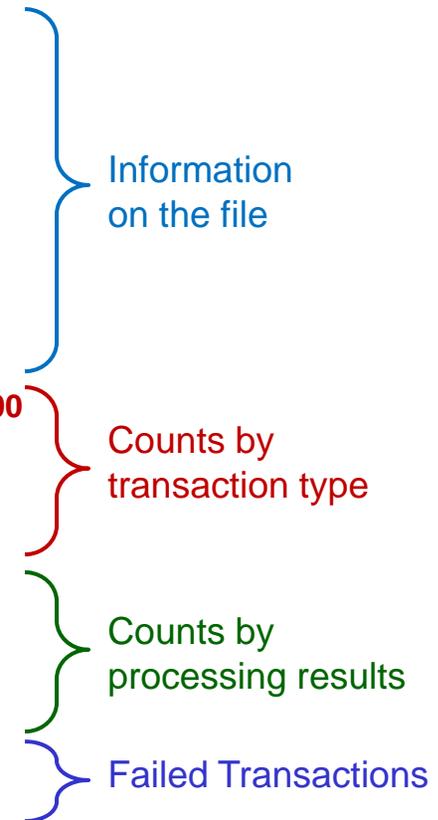
H1 TRANSACTIONS RECEIVED ON 2012-03-27 AT 16.59.49
H2 TRANSACTIONS PROCESSED ON 2012-03-27 AT 17.03.50
H3 ENROLLMENT PROCESSING COMPLETED
H4 HEADER CODE= AAAAAAHEADER
H5 HEADER DATE= 032012
H6 REQUEST ID =
H7 BATCH ID = 0123456789
H8 USER ID = X7YZ

C1 TRAN CNTS1 = 00000043 T01 00000000 T51 00000003 T61 00000009 T 00000000
C2 TRAN CNTS2 = T72 00000010 T73 00000002 T74 00000000 T75 00000006
C3 TRAN CNTS3 = T76 00000005 T77 00000000 T78 00000005 T79 00000001
C4 TRAN CNTS4 = T80 00000001 T81 00000001 T 00000000 TX 00000000

P1 TOTAL TRANSACTIONS PROCESSED= 00000043
P2 TOTAL ACCEPTED TRANSACTIONS = 00000041
P3 TOTAL REJECTED TRANSACTIONS = 00000002
P4 TOTAL FAILED TRANSACTIONS = 00000000

F.....*failed transaction text image*.....*followed by TRCs*

End of Message Text



Failed Transactions



- A transaction is received and is not accepted or rejected but fails because of processing edits.
 - Failed transactions will **NOT** be reported on the TRR
 - Failed transactions will be reported on BCSS
- There are 4 new Failed TRCs for R&M:
 - TRC257 - Invalid DOB
 - TRC258 - Invalid Effective Date
 - TRC259 - Invalid End Date
 - TRC263 - Invalid Application Date

Failed Payment Reply Report (FPRR)



- New monthly data file
- Notifies the Plan if payment calculation for a beneficiary could not be completed
- Separate set of Reply Codes that are specific to the FPRR
 - 000 – No Data to Report
 - 264 – Payment Not Yet Complete
 - 299 – Correction to Previously Failed Payment

Daily Transaction Reply Reporting (TRR)



- Created daily (Monday – Saturday)
- Weekly and Monthly TRR are being discontinued
- Plans receive a TRR daily even if no transactions submitted
- NEW TRC – 000 – No Data to Report
 - No data within the Daily TRR
 - Plans may discard this file
- *First Daily TRR will be available April 19th*

Changes to TRR Reporting



- Daily TRR
- Includes image of Plan-submitted transaction
- Plans receive a TRR even if there is nothing to report
 - TRC000 – No Data to Report
- New Plan Transaction Tracking ID
 - New field optionally submitted by the Plan
 - Included on all replies related to that submitted transaction
 - Allows Plans to tie submitted transactions and replies together
- TRR Fields focused to needs of TRCs
 - Only fields pertinent to the TRC are populated

Populated Fields for all Replies



Standard Fields Populated for all Transaction Replies

- Claim Number
- Surname
- First Name
- Middle Name
- Gender
- Date of Birth
- Record Type
- Contract Number
- State/County
- Transaction Reply Code
- TRC Short Name
- System ID
- Transaction Type Code
- Entitlement Type Code
- Effective Date
- Plan Benefit Package
- Transaction Date
- UI Initiated Change Flag
- Source ID
- UI User Organization
- Segment Number
- Enrollment Source
- Processing Timestamp
- Plan Assigned Transaction Tracking ID

Other Populated TRC Fields



- Dependent on the type of transaction and result
- All fields necessary to communicate the result of the transaction
- Other fields are blank

Transaction Reply Code Changes

New Transaction Reply Codes (TRCs)



Failed TRCs

- **257 – Failed; Invalid DOB** (failed version of TRC 006)
- **258 – Failed; Invalid Effective Date** (failed version of TRC 037 and TRC 051)
- **259 – Failed; Invalid End Date** (new for Residence Address Change)
- **263 – Failed; Invalid Application Date** (failed version of TRC 102)

New Transaction Reply Codes (TRCs)



Residence Address Change TRCs

Acceptance TRCs

265 – Residence Add Change Accepted; New SCC

282 – Residence Address Deleted

Failure TRCs

258 – Effective Date Invalid for database insertion

259 – End Date Invalid for database insertion

Informational TRC

154 – Out of Area Status

Rejection TRCs

171 – Record Update Rejected; Invalid Change Effective Date

260 – Bad End Date on Residence Address Change

261 – Incomplete Residence Address Information

266 – Unable to Resolve SSA State County Codes

283 – Residence Address Delete Rejected

New Transaction Reply Codes (TRCs)



Enrollment Cancellation TRCs

- Existing rejection codes – for transactions not meeting transaction requirements
- 285 – Enrollment Cancellation Accepted
- 286 – Enrollment Cancellation Rejected
- 287 – Enrollment Reinstated, Enrollment Cancellation

New Transaction Reply Codes (TRCs)



Disenrollment Cancellation TRCs

- Existing rejection codes – for transactions not meeting transaction requirements
- 288 – Disenrollment Cancellation Accepted
- 291 – Enrollment Reinstated, Disenrollment Cancellation
- 284 – Cancellation Rejected, Enroll/Disenroll Changed
- 289 – Disenrollment Cancellation Rejected
- 292 – Disenrollment Rejected, Cancellation Attempt

New Transaction Reply Codes (TRCs)



Uncovered Months TRCs

- 290 – IEP NUNCMO Reset
- 295 – Low Income NUNCMO RESET

MARx UI Changes

- Screen Changes - *see the UI Handbook*
- Special Batch File Request Submission
 - Added to ***MCO Representative Transmitter*** role
- New Role – ***MCO Representative with Update***
 - Enter new enrollments
 - Add end date to an enrollment
 - Cancel Enrollment
 - Cancel Disenrollment
 - Update 4Rx information
 - Enter Residence Address

Resources



- MARx R&M Handbook
- MARx UI Handbook
- Plan Communication User Guide (PCUG)

Questions?

