



# **Home Health Templates and Clinical Data Elements (CDEs)**

## **Provider Compliance Group Special Open Door Forum**

March 1, 2018

3:00-4:00 pm Eastern Time

Conference Call

Participant Dial-In Number: 1-(800)-837-1935

Conference ID: 5379007

Melanie Combs-Dyer

Director, Provider Compliance Group

Center for Program Integrity

Centers for Medicare and Medicaid Services

# Medical Review Documentation Compliance and Technology Contract

- Customer Value Partners  
(CVP) Fairfax, VA 22030  
[www.cvpcorp.com](http://www.cvpcorp.com)
  - Tasked with providing services to facilitate health care providers' medical record documentation and Medicare contractors' review of medical record documentation.
  - Mechanism:
    - Templates and Clinical Data Elements to assist providers in improving documentation.
- Participating CVP technical support for this SODF:
  - Dr. Mark Pilley, Medical Director, CVP
  - Robert Dieterle, CEO EnableCare, LLC

# Agenda

---

- Introduction and Background – Melanie Combs-Dyer
- Overview of Templates and Clinical Data Elements – Robert Dieterle
- Q&A – Melanie Combs-Dyer  
Robert Dieterle  
Dr. Mark Pilley

# Background on Home Health Improper Payment

- Cert report: (FY) 2017
- Home Health Improper Payment Rate: 32.3 %
  - Due to Incomplete Documentation: 89%
- Total Improper payment: 6.1 billion dollars

In fiscal year (FY) 2017, the Comprehensive Error Rate Testing (CERT) program reported an improper payment rate of 32.3 percent for home health claims. Of the CERT-reviewed claim lines in error, approximately 89.0 percent were found to have errors related to insufficient documentation with a majority of these errors resulting from a lack of documentation in medical records to justify Medicare coverage. The total projected improper payments for these claims was over 6.1 billion dollars.

# Home Health Templates and Clinical Data Elements (CDEs)

The draft Home Health Templates and the associated Clinical Data Elements (CDEs) are available on the CMS.gov website which can be accessed through the link below:

<https://www.cms.gov/Research-Statistics-Data-and-Systems/Computer-Data-and-Systems/Electronic-Clinical-Templates/Other-Benefit-Templates/Other-Templates-home-health.html>

- Home Health Plan of Care / Certification
  - CDEs Draft R1.0b 9/5/2017
  - Template Draft R1.0b 9/5/2017
- Home Health Services Face-to-Face Encounter
  - CDEs Draft R1.0c 2/14/2018
  - Template Draft R1.0d 2/14/2018

Also available:

CMS presentation slides to be used in support of the Special Open Door Forum conference call, “Home Health Templates and Clinical Data Elements (CDEs)”, held on March 1, 2018; 3:00-4:00 pm Eastern Time.

# Home Health Templates and Clinical Data Elements (CDEs)

## What are Clinical Data Elements?

Definitions of the content of individual “fields” in a template

### Each CDE has the following characteristics:

- Unique identification (e.g. PDN01, PND02)
- Name (e.g. Patient Name, Date of Birth)
- Data type (e.g. text, date, number, value from a set)
- Selection type (e.g. single, multiple)
- Value Set (list of allowed selections) (e.g. Items to orders, diagnoses)

## Example Clinical Data Elements (CDEs)

### PBD: Patient/Beneficiary Demographics

**PBD1:** Patient's first name, last name and middle initial (text).

**PBD2:** Patient's date of birth (date: MM/DD/YYYY).

**PBD3:** Patient's Gender (Single selection from the value set: M/F).

**PBD4:** Patient's Medicare ID (Medicare ID format and check digit).

### PND: Provider/NPP Demographics

**PND1:** Provider or Allowed NPP first name, last name, middle initial and suffix (text).

**PND2:** Provider NPI (Numeric with check digit).

**PND3:** Provider Telephone Number (xxx-xxx-xxxxx ext xxxx).

**PND4:** Provider Direct address (Direct address).

# Home Health Templates and Clinical Data Elements (CDEs)

## Use of Color and Font for Templates and CDEs

- 1) CDEs in black Calibri are required
- 2) CDEs in *burnt orange Italics Calibri* are required if the condition is met
- 3) CDEs in *blue Times New Roman* are recommended but not required
- 4) CDEs in *purple Tahoma* are required for certification and, where noted, for recertification



# Home Health Services F2F Encounter Template

- Guidance
- Template (sections) (note: CDEs are defined for all “fields”)
  - Patient Information
  - Encounter Information *including use of HHA records*
  - Diagnoses
  - Chief Complaint and related past medical history and *procedures*
  - Medications and Allergies
  - *Review of Systems*
  - *Pain Assessment*
  - *Physical Examination*
  - Assessment
  - *Homebound assessment*
  - Treatment Plan
  - *Orders*
  - *Attestations*
  - *Signatures*

# Home Health Plan of Care / Certification Template

- Template (sections) (note: CDEs are defined for all “fields”)
  - Patient Information
  - F2F and Encounter Information (*various requirements*)
  - Diagnoses
  - Medications and Allergies
  - Functional Assessment
  - DME and supplies / Safety Measures / Nutritional Requirements
  - Prognosis
  - Orders
  - Goals/rehabilitation potential/discharge plans
  - Subsequent episode
  - Signature
  - Date of receipt of signed POC



# Questions?

# Home Health Templates and Clinical Data Elements (CDEs)

---

General Comments/Recommendations?

*Feedback and suggestions on the templates can be sent  
to: [clinicaltemplates@cms.hhs.gov](mailto:clinicaltemplates@cms.hhs.gov)*