

CHANGES WITH THE SEPTEMBER 2000 UPDATE OF THE RAI

This document is named MdsC0900.pdf and is available on the HCFA world wide web site at:

<http://www.hcfa.gov/medicaid/mds20/man-form.htm>

This document describes (1) MDS Form Changes Implemented in the September 2000 Update and (2) Minor Revisions in the September 2000 Update (from the Draft August 2000 update).

A Draft, August 2000 update of the MDS 2.0 had previously been posted on the HCFA world wide web site. This draft version will not implemented. Instead, the September 2000 update will be implemented on September 1, 2000. The September 2000 update includes a few revisions to the Draft, August 2000 update.

MDS Form Changes Implemented in the September 2000 Update

The September 2000 update of the MDS Version 2.0 includes several form changes from the prior 1/30/98 Version of the MDS 2.0. These form changes are in keeping with the new MDS Correction Policy, and with the federal requirements, 42 CFR (h) (i) (1) and (2), for individual staff completing any portion of the RAI to sign and certify the accuracy of the portion(s) they completed. The revised forms will be implemented on September 1, 2000. At that time, facilities must adopt the revised forms and instructions for use. RAI form revisions include the following:

- The label of MDS Item AA9 is revised, and formal attestation language has been added above the signature lines. The identical change was made to Item AA9 as it appears on all tracking forms (The Basic Assessment Tracking form, the Discharge Tracking form and the Reentry Tracking form). Upon implementation of the revised forms, Item AA9 will be used to record signatures and dates of completion, as well as attestation of accuracy, for all parts of MDS full assessments, quarterly assessments, and tracking forms, from section AA and A through V. With implementation of the revised forms, signatures should be included at Item AA9 for these sections of the MDS, including sections T, U and V. States that have specified a Section S for use by facilities in the State may opt to require signatures for Section S at Item AA9. To accommodate the increased number of signatures that will likely be recorded at this Item, additional signature lines were added to Item AA9 on all tracking forms. With this revision, all signatures attesting to the accuracy of all MDS sections AA and A through V are consolidated at Item AA9.
- With consolidation of signatures for accuracy at Item AA9, section R has been simplified by removal of Items R2 c - h. That is, signatures for individual assessors (MDS Items R2 c - h) on the full MDS, and all federal standard Quarterly MDS assessment forms, have been omitted. Note that Items R2 a - b remain on the assessment forms. Items R2 a - b require the signature and date that the RN

Assessment Coordinator certifies overall completion of all portions of the MDS Sections A - R included in the record. The RN Assessment Coordinator signs and dates at Items R2 a - b when all other assessors have finished their portions of MDS Sections A - R. In Items R2 a - b, the RN Assessment Coordinator is not attesting to the accuracy of the portions of the assessment that were completed by other health professionals. Upon implementation of the revised forms, signatures and dates of individual staff certifying completion and accuracy of Items in Sections A - R should be recorded at Items AA9 a - I on the Basic Assessment Tracking form. Completion dates in AA9 a - I for sections A - R should be earlier than or the same as the overall completion date for these sections at R2 b.

- Section AD on the Background (Facesheet) Information form has been revised to also include the formal attestation language certifying the accuracy of the information on this form.
- A new, one-page MDS Correction Request Form is being implemented as part of the federally mandated RAI, to accommodate new MDS Correction Policy. The MDS Correction Request Form contains the minimum amount of information necessary to locate erroneous MDS records that reside in the MDS database at the State. Due to enhanced MDS record edits and rejections implemented with Correction Policy, the need for corrections, and for the use of the MDS Correction Request Form, should be rare. A temporary version of the Correction Request Form, labeled "Washington Pilot 10/14/1999", was implemented with the new MDS Correction Policy on May 22, 2000. Upon implementation of the September 2000 update of the MDS Version 2.0, facilities must switch from the temporary "Washington Pilot 10/14/1999" version of the MDS Correction Request Form to the September 2000 version, which includes formal attestation language and accompanying signature lines.
- The date at the bottom of all forms included in the federally mandated RAI is revised to reflect the current September 2000 update of the MDS version 2.0.

Minor Revisions in the September 2000 Update (from the Draft August 2000 Update)

The following minor revisions were made:

- Two revisions (highlighted below) were made in the attestation language in MDS Item AA9 on the Basic Assessment Tracking Form, the Discharge Tracking Form, and the Reentry Tracking Form; also in MDS Section AD on the Background (Facesheet) Information form; and also in MDS Item AT7 on the Correction Request Form:

Draft August 2000 Update language:

"...this information was collected in accordance with **all** Medicare and Medicaid requirements. I understand that this information is used as a basis for ensuring that **patients** receive appropriate and quality care,..."

September 2000 Update revised language:

"...this information was collected in accordance with **applicable** Medicare and Medicaid requirements. I understand that this information is used as a basis for ensuring that **residents** receive appropriate and quality care,..."

- The label for MDS Item R2 on the Full Assessment Form, the MDS Quarterly Assessment Form, the MDS Quarterly Assessment Form (Optional Version for RUG-III), and the MDS Quarterly Assessment Form (Optional Version for RUG-III 1997 Update) was revised as follows:

Draft August 2000 Update label:

"SIGNATURES OF PERSONS COMPLETING THE ASSESSMENT:"

September 2000 Update revised label:

"SIGNATURE OF PERSON COORDINATING THE ASSESSMENT:"

- The date at the bottom of all forms included in the federally mandated RAI is revised to reflect the current September 2000 update of the MDS version 2.0.