

## CMS Data Use Agreement (DUA) Form References

Last updated December 5, 2013

1. Researchers, excluding requests for Limited Data Set (LDS) data or Disproportionate Share Hospital (DSH) data, may obtain assistance in preparing CMS DUA forms from the Research Data Assistance Center (ResDAC) via:

Website            [www.resdac.org](http://www.resdac.org)  
e-Mail             [resdac@umn.edu](mailto:resdac@umn.edu)  
Telephone        1-888-973-7322

2. CMS data protection requirements from the DUA forms, section 7:
  - a. Office of Management and Budget (OMB) *Circular No. A-130, Appendix III – Security of Federal Automated Information Systems* [http://www.whitehouse.gov/omb/circulars\\_a130](http://www.whitehouse.gov/omb/circulars_a130)
  - b. Federal Information Processing Standards (FIPS) 200, *Minimum Security Requirements for Federal Information and Information Systems* <http://csrc.nist.gov/publications/fips/fips200/FIPS-200-final-march.pdf>
  - c. National Institute of Science and Technology (NIST), *Special Publication 800-53, Recommended Security Controls for Federal Information Systems* <http://nvlpubs.nist.gov/nistpubs/SpecialPublications/NIST.SP.800-53r4.pdf>
3. To report a suspected data breach involving CMS personally identifiable information (PII) including data from LDS files, within one (1) hour of **any suspected** breach, contact the CMS IT Service Desk:

(410) 786-2580  
1-800-562-1963  
[cms\\_it\\_service\\_desk@cms.hhs.gov](mailto:cms_it_service_desk@cms.hhs.gov)