

*Department of Health and Human Services  
Centers for Medicare & Medicaid Services  
Center for Medicare & Medicaid Innovation  
Grants to Support the Historically Black Colleges and Universities Health Services  
New Announcement  
Catalog of Federal Domestic Assistance (CFDA) No. 93.779*

**Fiscal Year 2011**

**Funding Opportunity Number: CMS-110-11-001  
Competition ID: CMS-110-11-001-012527**

**Grants.gov Application Due Date: June 21, 2011**

**Mailed Application Due Date: June 21, 2011**

Letters of Intent Due Date: May 27, 2011

Anticipated Award Date: September 2011

Project Period: Two years

**Authority: *Section 1110 Social Security Act***

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## **EXECUTIVE SUMMARY**

### **HISTORICALLY BLACK COLLEGES AND UNIVERSITIES HEALTH SERVICES RESEARCH GRANT ANNOUNCEMENT**

The Centers for Medicare & Medicaid Services (CMS) is announcing the availability of funds under its grant program to assist Historically Black Colleges and Universities (HBCUs) in conducting health services and health disparities research for 2011. The purpose of the grant program is to support researchers in implementing health services research activities to meet the needs of diverse CMS beneficiary populations. The goals of the grant program are to: 1) encourage HBCU health services researchers to pursue research issues which impact the Medicare, Medicaid, and CHIP programs, 2) assist CMS in implementing its mission focusing on health care quality and improvement for its beneficiaries, 3) assist HBCU researchers by supporting extramural research in health care capacity development activities for the African American communities, 4) increase the pool of HBCU researchers capable of implementing the research, demonstration, and evaluation activities of CMS, 5) promote research that will be aimed at developing a better understanding of health care services pertained to African Americans, and 6) assist in fostering interuniversity communication and collaboration regarding African American health disparity issues.

This grant program is consistent with Executive Order 13532 - White House Initiative on HBCUs, Promoting Excellence, Innovation, and Sustainability at HBCUs. The Initiative is housed in the Department of Education. It directs executive departments, agencies, and offices, the private sector, educational associations, philanthropic organizations, and other partners to increase the capacity of HBCUs to provide the highest-quality education to a greater number of students, and to take advantage of these institutions' capabilities in serving the Nation's needs through the following five core tasks: 1) strengthening the capacity of HBCUs to participate in Federal programs; 2) fostering private-sector initiatives and public-private partnerships while promoting specific areas and centers of academic research and programmatic excellence throughout HBCUs; 3) improving the availability, dissemination, and quality of information concerning HBCUs to inform public policy and practice; 4) sharing administrative and programmatic practices within the HBCU community; and 5) exploring new ways of improving the relationship between the Federal Government and HBCUs.

Funding is available for grants to implement research related to health care delivery and health financing issues affecting African American communities, including issues of access to health care, utilization of health care services, health outcomes, quality of services, cost of care, health disparities, socio-economic differences, cultural barriers, and activities related to health screening, prevention, outreach, and education.

To be eligible for grants under this program, an organization must be an HBCU and meet one of the following three requirements: 1) offer a Ph.D. or Master's Degree Program in one or more of the following disciplines - Allied Health, Gerontology, Health Care Administration, Health Management, Nursing, Pharmacology, Public Health, Public Policy, Social Work; or 2) have a School of Medicine; or 3) a member of the National HBCU Network for Health Services and Health Disparities.

# **U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES**

## **Centers for Medicare & Medicaid Services**

### **Center for Medicare & Medicaid Innovation**

#### **HISTORICALLY BLACK COLLEGES AND UNIVERSITIES HEALTH SERVICES RESEARCH GRANT ANNOUNCEMENT**

##### **I. FUNDING OPPORTUNITY DESCRIPTION:**

###### **1. Introduction**

The Centers for Medicare & Medicaid Services (CMS) is announcing the availability of funds under its grant program to assist Historically Black Colleges and Universities (HBCUs) in conducting health services research in Fiscal Year 2011. This announcement seeks competitive applications for small applied research projects that relate to identifying and evaluating solutions for eliminating health disparities among the African American population. Additionally, the project should enhance the capacity of HBCUs to successfully compete for CMS research and program funds in the future. HBCUs are expected to become involved in the design, implementation, and operation of research projects that address health care issues such as financing, delivery, access, quality, and barriers affecting the African American community. CMS is seeking these types of research projects because of its belief that HBCUs play a pivotal role in finding solutions to the many difficult health issues that have a significant impact on the health of African Americans. The unique expertise, knowledge, reputation, and sensitivity that HBCU investigators can bring to the design, implementation, and operation of such research will be a key to advancing the national, state and local agenda of eliminating health disparities.

The President's Board of Advisors on HBCUs supports strengthening the educational capacity of HBCUs through various mechanisms. This grant program is consistent with the Executive Order - White House Initiative on HBCUs, Promoting Excellence, Innovation, and Sustainability at HBCUs. Each executive department and agency designated by the Secretary of Education shall prepare an annual plan (agency plan) of its efforts to strengthen the capacity of HBCUs through increased participation in appropriate Federal programs and initiatives. Each agency plan shall proposed efforts to: 1) establish how the department or agency intends to increase the capacity of HBCUs to compete effectively for grants, contracts, or cooperative agreements and to encourage HBCUs to participate in Federal programs; 2) identify Federal programs and initiatives in which HBCUs may be either underserved or underused as national resources; and 3) encourage public-sector, private-sector, and community involvement in improving the overall capacity of HBCUs. CMS's HBCU Health Services Research Grant Program complies with the Executive Order. This program is one strategy to increase the participation, promotion, and professional development of HBCU investigators in health services, health disparities, and health equity research.

## **2. CMS/HBCUs Partnership**

CMS is committed to developing a partnership with HBCUs to achieve the goals of the President's Executive Order. CMS wants to ensure that HBCUs receive opportunities to compete for its extramural research funds and gain a better understanding of its research interests. This announcement is related to the priority area of CMS's research programs for health care and financing issues in the African American community. The announcement meets the Healthy People 2020 goal of eliminating disparities and addresses the Healthy People 2020 focus area of health communication.

## **3. Detailed Purposes of Grant Program**

The purpose of this announcement is to support HBCU researchers in carrying out health services and health disparities research activities to meet the needs of diverse CMS beneficiary populations. The goals of the HBCU Health Services Research Grant Program are to:

- Encourage HBCU health services researchers to pursue research issues which impact the Medicare, Medicaid, and CHIP programs;
- Assist CMS in implementing its mission focusing on health care quality and improvement for its beneficiaries;
- Assist HBCU researchers by supporting extramural research in health care capacity development activities for the African American community;
- Increase the pool of HBCU researchers capable of implementing the research, demonstration, and evaluation activities of CMS;
- Promote research that will be aimed at developing a better understanding of health care services pertained to African Americans; and
- Assist in fostering interuniversity communication and collaboration regarding African American health disparity issues.

## **4. Health Issues of Concern**

CMS is interested in the following types of applications:

- a) Applications that address research on disseminating information and improving health related attitudes, knowledge, beliefs, and practices related to the following six health priority conditions:
  - 1) Diabetes
  - 2) Cancer Screening and Management
  - 3) Cardiovascular Disease
  - 4) HIV/AIDS (Among Medicare or Medicaid Beneficiaries)

- 5) Adult and Childhood Immunizations
- 6) Infant Mortality

Other Population Specific Health Concerns:

- 1) Asthma
- 2) Obesity
- 3) Mental Health

b) Applications to research the effectiveness of programs designed to:

- 1) Increase disease management, self management, disease prevention, and health promotion.
- 2) Increase the use of preventive services (for example – colorectal, mammography, and prostate screening; children with asthma who are Medicaid recipients).
- 3) Improve health outcomes among Medicare and/or Medicaid beneficiaries as these issues relate to the six health priority conditions and other population specific health concerns listed above.
- 4) Remove barriers and improving access to health services.
- 5) Reduce health disparities and socioeconomic differences in health services.
- 6) Increase the efficient utilization of health services.
- 7) Improve quality of care.
- 8) Reduce health care costs.

**All applications should describe the research to be conducted with relevance to the CMS Medicare, Medicaid, and CHIP programs and which area of Healthy People 2020 is served by this project.**

## **5. Types of Studies**

CMS is interested in supporting the following three types of studies:

- 1) **Educational Intervention Studies.** These studies will inform at-risk populations about certain health problems especially as they relate to African Americans. A follow-up plan should be developed to determine the effectiveness of the intervention. An example might include developing an educational program to enhance the awareness, knowledge, and understanding of African Americans about prevention, treatment, services, and/or strategies for accessing the health care system. The project should reach a minimum of **350** participants.
- 2) **Developmental Intervention Studies.** These studies will develop promising new approaches to reducing disease, encouraging changes in health behavior, and promoting health among African Americans. For example, using computers to disseminate health-related information or testing the effectiveness of a health

hotline in reducing health disparities. The project should reach a minimum of **350** participants.

- 3) **Evaluation Intervention Studies.** These studies will support the evaluation of existing intervention strategies using previously validated instruments, data sources, and methods to assess comparative effectiveness for prevention, treatment, services, and/or strategies for accessing the health care system. The purpose is to inform decision makers about which interventions are most effective in addressing African American health issues. Examples may include evaluating current interventions for diabetes, cancer screening and management, cardiovascular disease, obesity, and HIV/AIDS.

## **II. AWARD INFORMATION**

### **1. Type of Award**

The funds awarded for this grant program come from federal educational grants. CMS anticipates awarding up to five (5) institutions provided in the form of a grant. The number of grants depends on the availability of funds and the technical quality of the applications. CMS strongly encourages collaboration with an HBCU and/or community/health organization. If an application was submitted in response to a prior year's announcement under this grant program, but was not funded, **a new application must be submitted.** In an effort to achieve that objective, awards to principal investigators (PI) will be limited to a maximum of two. If you received two or more grants in the past, you will be unable to apply for this application. However, you may serve as a consultant on an application.

### **2. Duration of Award**

The total project period for applications submitted in response to this announcement may not exceed two years. The total project period will include two 12-month budget periods.

### **3. Amount to Be Awarded**

Eligible HBCUs may request \$100,000 per year for up to two years for a variety of health services research projects.

### **4. Schedule of Processing**

- Grants.gov Application Deadline – June 21, 2011
- Mailed Application Deadline – June 21, 2011
- Technical Panel Review – July/August 2011
- CMS Review - September 2011
- Announcement of Awards - September 2011

### **III. ELIGIBILITY INFORMATION**

#### **1. Eligible Applicants**

An HBCU must meet **one** of the following three requirements in order to qualify for funding under this grant program:

- 1) Offer a Ph.D. or Master's Degree Program in one or more of the following disciplines:
  - Allied Health
  - Gerontology
  - Health Care Administration
  - Health Management
  - Nursing
  - Pharmacology
  - Public Health
  - Public Policy
  - Social Work; **or**
- 2) Have a School of Medicine; **or**
- 3) Be a member of the National HBCU Network for Health Services and Health Disparities.

#### **2. Cost Sharing/Matching**

CMS' grant authority under section 1110 of the Social Security Act requires cost-sharing by applicants. To comply with this requirement, CMS is requiring that applicants provide cost-sharing equal to at least one percent of the amount of the award. This cost-sharing requirement may be satisfied through in-kind contributions. **Applications that do not include Cost Sharing will be returned to the applicant without further review.**

#### **3. Foreign and International Organizations**

Foreign and International Organizations are not eligible to apply.

#### **4. Faith Based Organizations**

Faith Based Organizations are not eligible to apply.

### **IV. APPLICATION AND SUBMISSION INFORMATION**

#### **1. Address to Request Application Package**

Application Standard Forms can be found at <http://www.cms.hhs.gov/GrantOpportunities/>. You may request a mailed application as well as electronic. The applicant must submit the application electronically through grants.gov **AND** mail an original (bound), two copies (unbound), and a CD of the complete application to the CMS Grants Management Specialist listed below:

Linda Gmeiner  
Centers for Medicare & Medicaid Services  
Office of Acquisition and Grants Management  
C2-21-15 Central Building  
7500 Security Boulevard  
Baltimore, MD 21244-1850

**PLEASE NOTE: In mid June, the Grants Office will be moving to the address 7111 Security Boulevard, Baltimore, Maryland 21244-1850, but at this time the mail stop has not been determined. Applicants will be notified of the mail stop as soon as that information becomes available.**

A complete electronic application package, including all required forms, is available at <http://www.grants.gov>. Standard application forms and related instructions are available online at <http://www.cms.hhs.gov/GrantOpportunities/> and <http://www.ssa.gov/oag/grants/current/opdr-07-1/add1%20assurances.doc>.

**For assistance with the grants.gov online process including but not limited to the registration process, technical difficulties with completing the application, and password retrieval, please contact Grants.gov directly at 1-800-518-4726 or [support@grants.gov](mailto:support@grants.gov).**

## **2. Content and Form of Application Submission**

The investigator must complete and submit an application package. If an application was submitted in response to a prior year's solicitation under this grant program, but was not funded, a **new application must be submitted**. The narrative portion of the application should not exceed 25 typewritten double-spaced pages. Times New Roman with 12 Font should be used. While additional documentation may also be submitted, such materials should be limited to information relevant to the specific scope and purpose of the proposed project. Each application received from an eligible institution will be reviewed for merit by a panel of technical experts. Since CMS anticipates a large number of applications for each panel member to review, it is important that your application be concise, yet thorough.

The following items **should not be included in the Project Narrative portion of the application**, and, therefore, should not be included in the 25 page limit:

- Standard Forms from the Application Forms Kit;
- Applicant's Title Page and Cover letter;
- Letters of Agreement or Support;
- Resumes;
- Table of Contents;

- Project abstract;
- Budget Narrative/Justification;
- Other Support Documents;
- Budget Forms; and
- Appendices

**a) Required Contents of the Application**

**A complete proposal should consist of the following documents organized in the sequence indicated. Please ensure that the project narrative is page-numbered (1-25).**  
The sequence is:

- Standard Forms from the Application Forms Kit;
- Applicant's Title Page and Cover Letter;
- A letter of endorsement from the President or an other official from the Institution;
- Budget Forms (424A);
- Budget Narrative/Justification;
- Table of Contents;
- Project Abstract;
- Project Narrative;
- Required Appendices - Attachments (e.g., Biographical Sketches and Resumes, Project Workplan, Letter of Agreement or Support, Memorandum of Understanding, Institutional Review Board Information; and
- Supporting documentation

**b) Cover Letter**

The letter must include the principal investigator name; brief description of the proposed project; targeted population; and contact information for your organization (**name, phone number, fax, and e-mail address**). The letter must also include the names of all institutions collaborating in the project and indicate that the applicant institution has clear authority to perform the proposed activities and is capable of implementing this project.

**c) Application Forms**

The following Standard Forms (SF) must be completed with an original signature:

**SF-424:** Official Application for Federal Assistance

**SF-424A:** Budget Information Non-Construction

**SF-424B:** Assurances - Non-Construction Programs

**SF LLL:** Disclosure of Lobbying Activities

**Additional Assurances Certifications:**

**Key Contacts Form-** please identify the Principal Investigator and fiscal person who is responsible for completing financial reports (i.e. SF-425 and PSC 272)

**Applicants may obtain copies of these forms directly from the CMS Web site at: <http://www.cms.hhs.gov/GrantOpportunities/> with the exception of the Key Contacts form which is available on grants.gov.**

#### **d) Project Abstract**

The application should include a single space (one-page) abstract. The abstract should address:

- 1) Statement of the problem - Describe what the investigator wants to do and why - e.g. the problem that is being addressed – background, significance, need, project purpose, goals and objectives, and relevance to CMS mission/programs (for example, Medicare, Medicaid, or CHIP).
- 2) Methodology of the proposed project - How does the investigator intend to implement the project?
- 3) Ability to implement the research project - Discuss the implementation strategy and management plan (workplan).
- 4) Institutional structure and capabilities - Does the institution have the structure and capacity to conduct the research project?
- 5) Collaboration with a HBCU, and/or community-based/health organization, faith-based organization - Describe the type and degree of collaboration.

#### **e) Project Narrative**

The 25-page project narrative should provide a concise and complete description of the proposed project. It should contain the information necessary for the review panelists to fully understand the project. It should cover all aspects of the project requirements (see criteria for writing the project narrative, page 14).

#### **f) Budget Narrative/Justification and Resources**

The applicant should provide a **detailed breakdown** of the aggregate numbers for the budget recorded on Standard Form 424 (SF 424) “Application for Federal Assistance,” including allocations for each major set of activities or proposed tasks. The proposed budget justification must clearly describe each cost element in the related budget category. The budget must clearly identify what funds will be administered directly by the lead agency and what will be subcontracted to other partners. The designated lead agency is solely responsible for the fiscal management of the project.

The total budget for each award should include **both direct and indirect costs**. The total indirect costs **should not exceed 10%** of the entire budget.

### **g) Appendices**

- Key Staff Qualifications - Include a biographical sketch or resume of key staff members describing their qualifications. This form can be found at: [http://www.cms.hhs.gov/GrantOpportunities/Downloads/01\\_biosketch.pdf](http://www.cms.hhs.gov/GrantOpportunities/Downloads/01_biosketch.pdf)
- Project Workplan/Timeline - Include a detailed project workplan and timeline.
- Letters of Agreement, Endorsement, or Support - Provide endorsements from collaborating organizations outlining their contributions, roles, and responsibilities relative to the project and commitments that have been pledged for the proposed project. Include individual letters of support, as appropriate.
- Memoranda of Understanding - Include documentation reflecting the collaborative relationships between relevant institutions.
- Institutional Review Board Approval - Include the outcome of the request for Institutional Review Board approval.

### **h) Supporting Documentation**

**NOTE: If the application does not comply with the guidelines in this announcement (proper format, table of contents, executive summary, etc.) it will not be reviewed.**

Acceptable applications, i.e., those that meet the above criteria, will be reviewed using the procedures as described.

### **3. Submission Dates and Times**

You must submit your application electronically through Grants.gov by June 21, 2011. Applications submitted through Grants.gov constitute electronically signed applications. The registration and E-Authorization process establishes the Authorized Organization Representative (AOR). When you submit the application through Grants.Gov, the name of your representative on file will be inserted into the signature line of the application. Applicants must register the individual who is able to make legally binding commitments for the applicant organization as the AOR.

The applicant must submit the application electronically through grants.gov **AND** mail an original (bound), two copies (unbound), and a CD of the complete application to the CMS Grants Management Specialist. Hard copies of the original application should be signed by the university President or other official having authority to legally bind the institution to the performance of a grant. **The original should be bound and the two copies should be unbound. Please do not use staples. Send a CD Rom with the complete application.** Mail the original, two copies of the application, and a CD Rom to:

Centers for Medicare & Medicaid Services  
Office of Acquisition and Grants Management  
Division of Research Contracts and Grants

Attn: Ms. Linda Gmeiner  
Mail Stop C2-21-15  
7500 Security Boulevard  
Baltimore, Maryland 21244-1850

**PLEASE NOTE: In mid June, the Grants Office will be moving to the address 7111 Security Boulevard, Baltimore, MD 21244-1850, but at this time the mail stop has not been determined. Applicants will be notified of the mail stop as soon as that information becomes available.**

The mailed application shall be received on or before June 21, 2011

**or**

Hand deliver the original and two copies of the application by **close of business 5:00 p.m. (EST)** on **Tuesday, June 21, 2011** to the above location.

To expedite the receipt of your application, you are strongly urged to send it by Federal Express or express mail. All applications must be received on or before **Tuesday, June 21, 2011**. Upon receipt of an application, the OAGM will email a notification of receipt to the institution. If an institution fails to receive the notification of receipt within 15 days from the date of mailing the application, the institution should call Ms. Linda Gmeiner at 410-786-9954.

**Late applications:** Any application that does not meet the above criteria will be deemed a “late application.” Those institutions submitting a late application will be notified that the application was not considered in the competition.

### **Letter of Intent**

Applicants are encouraged to submit a Letter of Intent (LOI). However, LOIs are not required and an applicant’ submission or failure to submit a LOI has no bearing on the scoring of applications received. LOIs enable CMS to better plan for the application review process.

Prospective applicants choosing to submit an LOI are asked to submit by May 27, 2011. The LOI should include a title and description of the proposed project, address, and telephone number of the investigator(s), the identities of other key personnel, and the names of participating institutions. The LOI should not exceed one page. The information received from the LOI allows CMS to estimate the potential review workload and facilitates planning for the review process.

The LOI should be faxed to Dr. Richard Bragg, project officer at 410-786-5534.

### **4. Intergovernmental Review**

This grant is not subject to Executive Order 12372 concerning “Intergovernmental Review of Federal Programs.”

## 5. Funding Restrictions

### a) Grant funds may be used for any of the following:

- Personnel costs, which may include project support staff and contracts for collaboration.
- Costs of data collection and transmission.
- Travel costs as they pertain to the administration and conduct of the grant.
- Training cost for program participants.
- Indirect costs should not exceed 10% of the entire budget.

### b) Grant funds may not be used for any of the following:

- To provide direct services to individuals except as explicitly permitted under the grant announcement.
- To match any other Federal funds.
- To provide services, equipment, or supports that are already the legal responsibility of another party under Federal law.

## 6. Other Submission Requirements

Dun and Bradstreet Number – On October 1, 2003, applicants were required to have a Dun and Bradstreet (DUNS) number to apply for a grant or cooperative agreement from the Federal Government. The DUNS number is a nine-digit identification number, which uniquely identifies business entities. Obtaining a DUNS number is easy and there is no charge. To obtain a DUNS number, access the following Website: [www.dunandbradstreet.com](http://www.dunandbradstreet.com) or call 1-866-705-5711. This number should be entered in the block with the applicant's name and address on the cover page of the application (Item 5 on the Form SF-424, Application for Federal Assistance), with the annotation "DUNS" followed by the DUNS number that identified the applicant. The name and address in the application should be exactly as given for the DUNS number.

## 7. CCR Requirements

The applicant must also register in the Central Contractor Registration (CCR) database in order to be able to submit the application. Information about CCR is available at <http://www.ccr.gov>. The central contractor registration process is a separate process from submitting an application. Applicants are encouraged to register early. In some cases, the registration process can take approximately two weeks to be completed. Therefore, registration should be completed in sufficient time to ensure that it does not impair your ability to meet required submission deadlines. You will be able to submit your application online anytime after you receive your E-Authorization.

## V. APPLICATION REVIEW INFORMATION

### 1. Criteria

We will use the following criteria to evaluate all applications for inclusion in the program. The total score for the criteria is 100 points.

To assist applicants in preparing the application and to aid the technical panel in its review, the narrative portion of the application should be written using the following format:

- Statement of the Problem
- Methodology
- Ability to Implement the Research Project
- Institutional Structure and Capabilities
- Collaboration with an HBCU and/or Community-Based/Health Organization, Faith-Based Organization.

The panel reviews will score the application based on the following:

**a) Statement of the Problem**

The application must demonstrate that the applicant has a thorough understanding of the specific health problem(s) within the targeted population and the strategies required to address the problem(s) identified. The applicant should clearly describe the proposed project explaining what the researcher plans to do and why. This section of the application must describe the:

- Background, significance, and need for the project
- Project purpose, goals, and objectives
- Relevance of the project to CMS's mission/programs. How the project will improve the overall health outcomes and quality of care, reduce health disparities, and achieve savings for the targeted population (Medicare, Medicaid, or CHIP programs).
- Supporting research materials/Review of Literature

**Panel scoring: 20 Maximum Points**

**b) Methodology**

The applicant should explain how the research team intends to implement the project. The applicant should make a complete and concise presentation of the methodology that will be implemented in this project. The proposal should provide clear and convincing evidence and supporting materials that are appropriate for the project that are likely to improve quality of care and reduce health disparities for the targeted population. Any innovative features of the proposed project should be highlighted. The application must include:

- Study design and intervention strategies (**include in the appendix brief samples of focus group guides and questionnaires to be used**)
- Hypotheses/research questions
- Data collection and data analysis plan, as appropriate

- Targeted population and setting
- Expected outcomes
- A plan for evaluation of the project
- Information about the Institutional Review Board (IRB) Approval (**include in appendix a copy of the letter of approval or the information to be submitted to the IRB**)

**Panel scoring: 30 Maximum Points**

**c) Ability to Implement the Research Project**

The applicant should provide detailed information to demonstrate their technical understanding and capability of performing the requirements of the project, including:

- A detailed implementation strategy and plan that includes a management plan (workplan) describing tasks, responsible individuals, timelines, and costs. **A timetable of not more than 24 months with specific key actions and milestones should be included in the appendix.**
- The capabilities/responsibilities of all personnel and a description of how the personnel will be organized, to whom they will report, and their role in accomplishing the goals and objectives and components of the project.

**Panel scoring: 20 Maximum Points**

**d) Institutional Structure and Capabilities**

The applicant should demonstrate clear and convincing evidence that the institution has the organizational infrastructure and management capacity to conduct the research project effectively, including:

- Evidence of the availability and adequacy of the facilities, equipment, and financial management systems to conduct the project
- A plan for budget and performance monitoring - (**How does the PI plan to monitor the budget?**)
- Protocols to guide the administrative aspects of the project - (**How does the PI plan to interact with the Office of Sponsored Programs, Budget Office, Contract Office to administer the project?**)

**Panel scoring: 15 Maximum Points**

**e) Collaboration with an HBCU and/or Community Based/Health Organization, Faith Based Organization**

The applicant should describe the types and degrees of the collaborative relationships supporting the proposed research project that have been established or that may be established between the applicant HBCU and other HBCUs or community

organizations. This description should include specific information about the roles and responsibilities of each collaborator on the project. Letters of support from collaborating organizations outlining their contributions, roles, and responsibilities relative to the research project should be included in the application. **Include letters of support in the appendix.** Collaborating organizations may include the following:

- Community-Based Health Organizations
- Faith-Based Organizations
- Social Organizations
- State/Territorial Offices of Minority Health

### **Panel scoring: 15 Maximum Points**

#### **2. Review and Selection Process**

An independent review will be conducted by a panel of experts. The panel will be convened during the summer of 2011. The panel will conduct an independent, objective review of all responsive applications. The panelists will assess each application based on the review criteria to determine the merits of the proposal and the extent to which it furthers the purposes of the research program. The panelists' comments and recommendations will be condensed into a summary statement that will assist CMS in making the final award decisions. CMS will use the information to judge the likelihood that the project will be successfully implemented and will have tangible, beneficial outcomes.

#### **3. Anticipated Announcement and Award Dates**

The applicant will receive written notification of the award decision. Awards will be made to successful applicants before September 30, 2011.

## **VI. AWARD ADMINISTRATION INFORMATION**

### **1. Award Notices**

The award decisions will be made by the Director and Senior staff members, Center for Medicare & Medicaid Innovation, Centers for Medicare & Medicaid Services, after consideration of the comments and recommendations of the technical review panelists and availability of funds. CMS reserves the right to determine which qualified applications will receive funding under this program. Technical assistance will be made available post award to the grantee.

The successful applicant will receive an official Notice of Award (NOA) signed by the CMS Grants Management Officer, OAGM, that will set forth the amount of the award and other pertinent information, including the specific terms and conditions of the award required for the fulfillment of the grant. The NOA is a legal document issued to notify the grantee that the award has been approved and that funds are now available for draw down from the HHS Payment Management System. The successful applicant will be required, within 30 days of receipt of the NOA, to sign and return a signature page included at the end of the terms and conditions that

demonstrates agreement to accept the grant and its terms and conditions. An unsuccessful applicant will receive a letter normally mailed out at the same time as the awards are issued, but at least within 30 days afterwards with a copy to the Grants Office.

## **2. Administrative and National Policy Requirements**

General Terms and Conditions for these grants are available for reference on our website at <http://www.cms.hhs.gov/ResearchDemoGrantsOpt/>. In addition, to the general terms and conditions, **applicants should be aware that they will also be required to comply with special terms and conditions that will apply specifically to their grant proposal.** These special terms and conditions are used to clarify particular grant activities and ensure that grant funding is being used appropriately.

All Grantees receiving awards under this grant program must meet the requirements of:

Title VI of the Civil Rights Act of 1964;  
Section 504 of the Rehabilitation Act of 1973;  
The Age Discrimination Act of 1975;  
Hill-Burton Community nondiscrimination provisions; and  
Title II, Subtitle A, of the Americans with Disabilities Act of 1990.

## **3. Reporting**

- a) Grantees must agree to fully cooperate with any Federal evaluation of the program and provide reports at the intervals listed in the terms and conditions of award, and a final report at the end of the grant period in a form prescribed by CMS (including the SF-425 “Federal Financial Report” form). These reports will be designed to outline how grant funds were used and to describe program progress, as well as barriers and measurable outcomes. CMS will provide a format for reporting in the terms and conditions.
- b) In order for CMS to monitor grantees efforts toward reaching the goals of the grant program, grantees must agree to provide CMS with information it may require to assess the functioning and effectiveness of the program and to ensure that the grant monies are expended for the purposes for which they were awarded. The grantee must submit the following required reports throughout the period of performance: 1) quarterly progress reports, 2) annual report, and 3) final report. CMS will provide the format for these reports in the terms and conditions.

## **VII. AGENCY CONTACTS**

Questions concerning this grant program are encouraged. Requests to clarify any issues from potential applicants are welcome. Please contact:

Richard Bragg, Ph.D.  
Project Officer

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Direct inquiries regarding grant procedures, fiscal matters, or guidance in completing the application forms to:

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Office of Acquisition and Grants Management  
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## VIII. OTHER INFORMATION

**Meetings** - Applicants must include in their application provision for attendance of up to two project members at two research meetings sponsored by CMS.

**Limitation** - One major objective of the HBCU Health Services Research Grant Program is to “increase the pool of HBCU researchers available to implement the research, demonstration, and evaluation activities of CMS.” In an effort to achieve that objective, awards to principal investigators (PI) will be limited to a maximum of two. If you received two or more grants in the past, you will be unable to apply for this application. However, you may serve as a consultant on an application.

**Published Reports** - If your application is awarded, the **grantee must submit an article to a refereed journal** describing the findings of the study. All published reports, both formal and informal, should acknowledge grant support with the following footnote "This project was supported with funding from the Centers for Medicare & Medicaid Services." The article must also state the following: *The statements contained in this report are solely those of the authors and do not necessarily reflect the views or policies of the Centers for Medicare & Medicaid Services. The grantee assumes responsibility for the accuracy and completeness of the information contained in this report.* **Before submitting a manuscript or a publication, the principal investigator must consult with the CMS Project Officer. When a manuscript resulting from this grant is accepted for publication, the principal investigator must promptly notify the CMS Project Officer of its acceptance and the date it is scheduled to be published.** The awardee is also required to participate in CMS sponsored research conferences. At these conferences, the awardee will present preliminary and/or final results of his/her study.