

Cost-Sharing Reduction Reconciliation Data Submission - Data File Error Code List (April 2021)

| Error Code Identifier | Error Code Description | Does Error Cause File Rejection? | Detailed Error Code Description |
|------------------------------|--|---|---|
| CSRIFIL01 | Field count is not 28 or 17 | Y | This is a file format issue. The data file will be rejected if this error is triggered. If you are unable to resolve this error, please email csrreconquestions@cms.hhs.gov with the subject: "[HIOSID_ErrorCode] [Message Description]", for example, "[12345_CSRIFIL01] File Format Matching still throwing error". |
| CSRIFIL02 | File does not start with 01 or 02 or 03 | Y | This is a file format issue. The data file will be rejected if this error is triggered. If you are unable to resolve this error, please email csrreconquestions@cms.hhs.gov with the subject: "[HIOSID_ErrorCode] [Message Description]". |
| CSRIFIL03 | No 01 Records in the file | Y | This is a file format issue. The data file will be rejected if this error is triggered. If you are unable to resolve this error, please email csrreconquestions@cms.hhs.gov with the subject: "[HIOSID_ErrorCode] [Message Description]". |
| CSRIFIL05 | No 03 Records in the file | Y | This is a file format issue. The data file will be rejected if this error is triggered. If you are unable to resolve this error, please email csrreconquestions@cms.hhs.gov with the subject: "[HIOSID_ErrorCode] [Message Description]". |
| CSRIFIL06 | 01 - Trading Partner ID does not meet format requirements | Y | The data element does not meet the format requirements. The data element is a text field that must be a minimum of 5 characters and a maximum of 10 characters. CMS will reject the file if this error is triggered. If you are unable to resolve this error, please email csrreconquestions@cms.hhs.gov with the subject: "[HIOSID_ErrorCode] [Message Description]". |
| CSRIFIL07 | 01 - TPID and HIOSID not valid combination | Y | CMS validates the TPID and HIOS ID combination using reference data. CMS will reject the file if this error is triggered. If you are unable to resolve this error, please email csrreconquestions@cms.hhs.gov with the subject: "[HIOSID_ErrorCode] [Message Description]". |
| CSRIFIL08 | 01 - Issuer State Code does not meet format requirements | N | The data element does not meet the format requirements. The data element is a text field that must be 2 characters in length. CMS will accept and process the file, and send an error in the error report, if this error is triggered. |
| CSRIFIL09 | 01 - Invalid HIOS ID | Y | CMS validates the existence of the HIOS ID in reference data based on the benefit year. CMS will reject the file if this error is triggered. If you are unable to resolve this error, please email csrreconquestions@cms.hhs.gov with the subject: "[HIOSID_ErrorCode] [Message Description]". |
| CSRIFIL10 | 01 - HIOS ID does not meet format requirements | Y | The data element does not meet the format requirements. The data element is a numeric field that must be 5 digits in length. The data file will be rejected if this error is triggered. CMS will reject the file if this error is triggered. If you are unable to resolve this error, please email csrreconquestions@cms.hhs.gov with the subject: "[HIOSID_ErrorCode] [Message Description]". |
| CSRIFIL13 | 01 - Invalid Benefit Year | Y | Benefit Year should be 2019 or 2020. CMS will reject the file if this error is triggered. |
| CSRIFIL14 | 01 - Total Actual CSR Amount does not meet format requirements | Y | The data element does not meet the format requirements. The data element is a numeric field that must be a minimum of 4 and a maximum of 12 digits. The precision is restricted to 2 decimal places ("0.00"). CMS will reject the file if this error is triggered. Note: Treatment of Negative amounts are allowable. |
| CSRIFIL17 | 01 - Invalid Reconciliation Methodology | Y | Issuers must use the standard methodology for reconciliation of benefit year 2017 and beyond. Refer to the Manual for Reconciliation of the Cost-Sharing Reduction Component of Advance Payments, available on the CCIO website, if you have any questions. CMS will reject the file if this error is triggered. This field is case insensitive. |
| CSRIFIL18 | 01 - Invalid Acquisition | N | The accepted values for this field are Y or N. This field is case insensitive. CMS validates against CMS records of acquisitions that have occurred during the benefit year. CMS will accept and process the file, and send an error in the error report, if this error is triggered. |
| CSRIFIL19 | 01 - Acquisition Effective Dates does not meet format requirements | N | The data element does not meet the format requirements. The data element is a numeric field with a minimum of 0 and maximum of 8 digits, for example in the MMDDYYYY format. CMS will accept and process the file, and send an error in the error report, if this error is triggered. |
| CSRIFIL20 | 01 - Acquired or Acquiring Issuers does not meet format requirements | N | The data element does not meet the format requirements. The issuer should enter the HIOS ID of the acquired or acquiring issuer. The data element is a text field with a minimum of 0 and maximum of 5 characters. CMS will accept and process the file, and send an error in the error report, if this error is triggered. |
| CSRIFIL21 | 01 - Invalid Merger | N | The accepted values for this field are Y or N. This field is case insensitive. CMS validates against CMS records of mergers that have occurred during the benefit year. CMS will accept and process the file, and send an error in the error report, if this error is triggered. |
| CSRIFIL22 | 01 - Merger Issuer does not meet format requirements | N | The data element does not meet the format requirements. The issuer should enter the HIOS ID of the other issuer involved in the merger. The data element is a text field with a minimum of 0 and a maximum of 5 characters. CMS will accept and process the file, and send an error in the error report, if this error is triggered. |
| CSRIFIL23 | 01 - Merger Effective Dates does not meet format requirements | N | The data element does not meet the format requirements. The data element is a numeric field with a minimum of 0 and maximum of 8 digits, in the format of MMDDYYYY. CMS will accept and process the file, and send an error in the error report, if this error is triggered. |
| CSRIFIL24 | 01 - Total Number Of CSR Variant Plans Per HIOS ID does not meet format requirements | Y | The data element does not meet the format requirements. The data element must be an integer with a minimum of 1 and maximum of 100 digits. CMS will reject the file if this error is triggered. |

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| CSRIFIL69 | 01 - Total Number of Exchange Subscriber IDs does not equal number of Policy Detail (03) Records | Y | The number of Exchange Subscriber IDs in CSR variant plans for this HIOS ID must equal the number of Policy detail (03) records. The data element is an integer with a minimum of 1 and a maximum of 100 digits. CMS will reject the file if this error is triggered. |
| CSRIFIL68 | 01 - Not Matching the Total CSR Amount in the Issuer Summary (01) with CSR amounts in the policy detail level (03) | N | The sum of the CSR Provided in the Policy Detail (03) records does not match the Total Actual CSR Amount at the Issuer (01) record level. The sum of the CSR Provided in the Policy Detail (03) records should equal the Total Actual CSR Amount at the Issuer (01) record level. CMS will accept and process the file but send an error in the error report, if this error is triggered. |
| CSRIFIL70 | 01 - Total Number of CSR variant plans Per HIOS ID must match the count of unique CSR variant plans in Policy Detail (03) records | Y | The count of plans must match the number of CSR variant plans in Policy Detail (03) records for the HIOS ID. |
| CSRIFIL50* | 03 - Invalid Exchange Assigned Subscriber ID Lookup (FEPS) | Y* | CMS validates against the FEPS enrollment data for Federally-Facilitated Marketplace plans only (include leading zeroes if applicable). CMS will accept and process the file but send an error in the error report, if this error is triggered for less than 50% of the Policy Detail (03) records in the file. If 50% or more of the Policy Detail (03) records in the file have an invalid Exchange Assigned Subscriber ID, CMS will reject the file. |
| CSRIFIL57* | 03 - Invalid Value in Total Allowed Costs For EHB | Y* | The data element does not meet the format requirements. The data element is a numeric field with a minimum of 4 and maximum of 12 digits. The precision is restricted to 2 decimal points (0.00). If less than 50% of Policy Detail (03) records fail this validation, CMS will accept and process the file but send an error in the error report. If 50% or more of Policy Detail (03) records fail this validation, CMS will reject the file. |
| CSRIFIL59* | 03 - Invalid Value in Amount the Issuer Paid | Y* | The data element does not meet the format requirements. The data element is a numeric field with a minimum of 4 and maximum of 12 digits. The precision is restricted to 2 decimal points 0.00. If less than 20% of Policy Detail (03) records fail this validation, CMS will accept and process the file but send an error in the error report. If 20% or more of Policy Detail (03) records fail this validation, CMS will reject the file. |
| CSRIFIL61* | 03 - Invalid Value in Amount the Enrollee(s) Paid | Y* | The data element does not meet the format requirements. The data element is a numeric field with a minimum of 4 and maximum of 12 digits. The precision is restricted to 2 decimal points 0.00. If less than 20% of Policy Detail (03) records fail this validation, CMS will accept and process the file but send an error in the error report. If 20% or more of Policy Detail (03) records fail this validation, CMS will reject the file. |
| CSRIFIL63* | 03 - Invalid Value in Amount the enrollee(s) would have paid under the standard plan. | Y* | The data element does not meet the format requirements. The data element is a numeric field with a minimum of 4 and maximum of 12 digits. The precision is restricted to 2 decimal points 0.00. If less than 20% of Policy Detail (03) records fail this validation, CMS will accept and process the file but send an error in the error report. If 20% or more of Policy Detail (03) records fail this validation, CMS will reject the file. |
| CSRIFIL64* | 03 - Invalid Value in CSR provided | Y* | The data element does not meet the format requirements. The data element must be in the format 0.00. Negative amounts are permitted. If less than 5% of Policy Detail (03) records fail this validation, CMS will accept and process the file but send an error in the error report. If 5% or more of Policy Detail (03) records fail this validation, CMS will reject the file. |
| CSRIFIL67* | 03 - Invalid QHPID, plan identifier provided by the issuer for a specific coverage year. | Y* | QHP ID must be a valid plan identifier provided by the issuer for a specific coverage year. CMS only recognizes QHP IDs that had enrollments for the applicable benefit year. If less than 20% of Policy Detail (03) records fail this validation, CMS will accept and process the file but send an error in the error report. If 20% or more of Policy Detail (03) records fail this validation, CMS will reject the file. |
| CSRIFIL71 | 03 - Exchange-assigned Policy Start Date does not meet format requirements | N | The data element does not meet the format requirements. The data element is a numeric field that must be 8 digits in length, for example, in the format of MMDDYYYY. CMS will accept and process the file, and send an error in the error report, if this error is triggered. |
| CSRIFIL72 | 03 - Exchange-assigned Policy End Date does not meet format requirements | N | The data element does not meet the format requirements. The data element is a numeric field that must be 8 digits in length, for example, in the format of MMDDYYYY. CMS will accept and process the file, and send an error in the error report, if this error is triggered. |
| NOTES | | | |
| 1. "Y" = CMS will reject the entire file if the validation fails. | | | |
| * These error codes trigger a file rejection if a percentage of records over a certain threshold fail the validation criteria, as described in column D. | | | |
| 2. "N" = CMS will not reject the entire file if the validation fails, rather CMS will accept and process the issuer's submission. The error code is a warning to the issuer about potential discrepancies in the data submitted. | | | |
| 3. Error codes CSRIFIL50 and CSRIFIL67 will only cause a file rejection if a percentage of Policy Detail (03) records over a certain threshold (described above) within the file fail the validation. Regardless of whether CMS rejects the file, CMS will not count CSR Provided for Policy Detail (03) records that have an invalid Exchange Assigned Subscriber ID and/or invalid QHP ID. | | | |