

# **April CMS and ONC eHealth Vendor Workgroup**

April 30, 2015  
12:00 PM EDT

Agenda Item	Speaker(s)
Division of Health IT Initiatives (DHIT) Update <ul style="list-style-type: none"> <li>• CMS at HIMSS15</li> <li>• Stage 3 NPRM</li> <li>• Stage 1 and 2 Modifications NPRM</li> </ul>	Rob Anthony, DHIT, CMS
ONC Update - 2015 Edition Health Information Technology Certification Criteria NPRM	Elise Anthony and Michael Lipinski, ONC
National Standards Group (NSG) Update <ul style="list-style-type: none"> <li>• ICD-10 Urgency Messaging</li> <li>• ICD-10 at HIMSS</li> <li>• ICD-10 Social Media Rally</li> </ul>	Shana Olshan, NSG, CMS
Inpatient Quality Reporting (IQR) Update	Stephanie Wilson Health Services Advisory Group, on behalf of CMS
Joint Commission Update	Patty Craig and Mitra Biglari, Joint Commission
Questions	All

Rob Anthony

# **DIVISION OF HEALTH IT INITIATIVES (DHIT) UPDATE**

# CMS at HIMSS15 – PowerPoints Posted

- Sunday, April 12 - [CMS on Federal Quality Reporting](#)
- Monday, April 13
  - [CMS EHR Incentive Programs Overview](#)
  - [CMS Meaningful Use Stage 3 Requirements](#)
- Tuesday, April 14
  - [CMS Quality Strategy](#)
  - [CMS Quality Reporting Update](#)
- Wednesday, April 15
  - [CMS Future Directions in Quality Measurement](#)
  - [CMS Meaningful Use Stage 3 and ONC 2015 Edition Certification Criteria Changes](#)
  - [Improving Health Care Delivery through Collaboration with Lean Tools](#)



## Disclaimer

- CMS must protect the rulemaking process and comply with the Administrative Procedure Act. During the rulemaking process, CMS can only present the information that is in the NPRM as it is contained in the NPRM. CMS cannot interpret that information, nor clarify or provide any further guidance.
- CMS cannot address any comment suggestion or statement made by anyone attending the presentation or consider any such comment or suggestion in the rule writing process.
- Please submit comments through the formal process outlined in the Federal Register.

## Goals of Stage 3 Proposed Provisions

1

Provide a flexible, clear framework to simplify the meaningful use program and reduce provider burden

2

Ensure future sustainability of Medicare and Medicaid EHR Incentive Programs

3

Advance the use of health IT to promote health information exchange and improved outcomes for patients

## Stage 3 NPRM Improves Outcomes

Stage 3 NPRM focuses on objectives which support advanced use of EHR technology and quality improvement

Health information exchange objectives improve outcomes by:

- Ensuring providers caring for same patient are sharing info with one another
- Providing patients with easy access to health info
- Fostering data collection in sharable format across multiple health care organizations
- Supporting learning health system through sharing of common clinical dataset and expanding types of registries to which hospitals and providers can report

## Stage 3 NPRM Increases Flexibility

To make the EHR Incentive Programs more flexible, the Stage 3 NPRM:

- Establishes a single, aligned reporting period for all providers-entire calendar year (Medicaid exception)
- Allows providers the option to start Stage 3 in either 2017 or 2018 (required in 2018)
- Simplifies reporting requirements by allowing flexible measures under:
  - health information exchange
  - consumer engagement
  - public health reporting

## Stage 3 NPRM Eases Burden

To reduce the reporting burden, the proposed rule:

- ✓ Reduces number of objectives to 8
- ✓ Includes single set of measures slightly tailored for EPs and hospitals
- ✓ Removes redundant measures or measures that received widespread adoption
- ✓ Realigns reporting period into one for all providers (hospitals to participate on calendar instead of fiscal year)
- ✓ Aligns quality data reporting; focuses on electronic submission

## Reporting Period

- Full calendar year reporting period beginning in 2017
- CQM reporting in coordination with quality reporting programs

## Goals of 2015-2017 Proposed Provisions

1

Align with Stage 3 proposed rule to achieve overall goals of programs

2

Synchronize reporting period objectives and measures to reduce burden

3

Continue to support advanced use of health IT to improve outcomes for patients

## **Modifications to Meaningful Use in 2015 through 2017 NPRM**

### **Proposed rule for Medicare and Medicaid EHR Incentive Programs:**

- Streamlines program by removing redundant, duplicative and topped out measures
- Modifies patient action measures in Stage 2 objectives related to patient engagement
- Aligned reporting period with full calendar year
- Changes EHR reporting period in 2015 to 90-day period to accommodate modifications

## Submitting Comments

### 1. Electronically:

- Modifications in 2015-2017:

<http://www.regulations.gov/#!submitComment;D=CMS-2015-0045-0001>

- Stage 3 : <http://www.regulations.gov/#!submitComment;D=CMS-2015-0033-0002>

### 2. By regular mail

### 3. By express or overnight mail

### 4. By hand or courier



# 2015 Edition Proposed Rule

## Modifications to the

### ONC Health IT Certification Program and the

### 2015 Edition Health IT Certification Criteria

Michael L. Lipinski, Director, Division of Federal Policy and Regulatory Affairs

- **An Open and Accessible ONC Health IT Certification Program**
- **2015 Edition – Goals, Key Proposals & Draft Test Procedures**
- **Modifications to the ONC Health IT Certification Program**
- **Certification to the 2015 Edition Use Cases (MU & Beyond)**
- **Public Comment**

# **An Open and Accessible ONC Health IT Certification Program**

- **Current:** Prior editions were adopted with a specific focus on the EHR Incentive Programs
- **Proposed:** A more accessible ONC Health IT Certification Program supportive of:
  - Diverse health IT systems, including but not limited to EHR technology (“Health IT Module” instead of “EHR Module”)
    - Remember that there is no “Complete EHR” certification to the 2015 Edition or future editions
  - Health IT across the care continuum, including long-term and post acute care settings

# Supporting the Broader Care Continuum: How Would It Work?

## The Past (2011 and 2014 Editions)

- ONC included **policy** that supported the EHR Incentive Programs in its previous Editions
  - Defined the Certified EHR Technology (CEHRT) definition on behalf of CMS
  - Required “meaningful use measurement” criteria
  - Specified the minimum number of clinical quality measures developers must certify to in order to participate in the EHR Incentive Programs
  - Specified criteria as “ambulatory” or “inpatient”

## The Proposed Future (2015 and Future Editions)

- ONC does not include **policy** to support the EHR Incentive Programs in its Editions
  - Each program sets its own requirements (e.g., CMS defines the CEHRT definition in its rule)
  - ONC’s Health IT Certification Program is “agnostic” to settings and programs, but can support many different use cases and needs
  - This allows ONC’s Health IT Certification Program to support multiple program and setting needs, for example:
    - EHR Incentive Programs
    - Long-term and post-acute care
    - Chronic care management
    - Behavioral health
    - Other public and private programs

**A number of programs currently use or are proposing to use the ONC Health IT Certification Program. Here are a few:**

- Physician Self-Referral Law exception and Anti-kickback Statute safe harbor for certain EHR donations
- CMS chronic care management services
- Department of Defense Healthcare Management System Modernization Program
- The Joint Commission for participation as ORYX vendor – eCQMs for hospitals

# **2015 Edition**

# **Goals, Key Proposals &**

# **Draft Test Procedures**

# Overview of the 2015 Edition Proposed Rule

- Supports HHS-wide goals to achieve better care, smarter spending, and healthier people
- Builds on the foundation established by the 2011 and 2014 Editions and addresses stakeholder feedback
- Supports health IT components necessary to establish an interoperable nationwide health information infrastructure
- Incorporates changes designed to foster innovation, support interoperability across the care continuum, open new market opportunities, and provide more provider and patient choices in electronic health information access and exchange

# 2015 Edition Broad Health IT Goals

**INTEROPERABILITY**

**ACCESS**

**USER/MARKET RELIABILITY**

**SUPPORTING THE CARE CONTINUUM**

# 2015 Edition

## Specific Health IT Goals

**Improve Interoperability**

**Facilitate Data Access  
and Exchange**

**Ensure  
Privacy and Security  
Capabilities**

**Improve Patient Safety**

**Reduce Health Disparities**

**Improve the Reliability  
and Transparency of  
Certified Health IT**

**Use the ONC Health IT  
Certification Program to  
Support the Care Continuum**

**Support Stage 3 of the EHR  
Incentive Programs**



New and updated vocabulary and content standards for the structured recording and exchange of health information

- 2015 Base EHR definition
- Common Clinical Data Set
- Other use cases too! For example:
  - Public Health
  - Lab Interoperability

**Improve Interoperability**

- Focuses, at a minimum, on the functionalities that all users of certified Health IT should possess
- Ensuring that the minimum functionalities required by the HITECH Act remain in the Base EHR Definition
- The requirements can be met using a combination of certified Health IT Modules



**Facilitate Data Access  
and Exchange**

**Improve Patient Safety**

# 2015 Base EHR Definition

\* red = new to the Base EHR Definition

\*\* privacy and security removed – now conditional certification requirements

Base EHR Capabilities	Certification Criteria
Includes patient demographic and clinical health information, such as medical history and problem lists	Demographics § 170.315(a)(5) Problem List § 170.315(a)(7) Medication List § 170.315(a)(8) Medication Allergy List § 170.315(a)(9) <b>Smoking Status § 170.315(a)(12)</b> <b>Implantable Device List § 170.315(a)(20)</b>
Capacity to provide clinical decision support	Clinical Decision Support § 170.315(a)(10)
Capacity to support physician order entry	Computerized Provider Order Entry (medications, laboratory, or diagnostic imaging) § 170.315(a)(1), (2) or (3)
Capacity to capture and query information relevant to health care quality	Clinical Quality Measures (CQMs) – record and export § 170.315(c)(1)
Capacity to exchange electronic health information with, and integrate such information from other sources	Transitions of Care § 170.315(b)(1) Data Portability § 170.315(b)(6) <b>Application Access to Common Clinical Data Set § 170.315(g)(7)</b> Direct Project § 170.315(h)(1) or Direct Project, Edge Protocol, and XDR/XDM § 170.315(h)(2)

# Common Clinical Data Set

- Propose to rename the “Common MU Data Set.” This has no substantive impact for certification to the 2014 Edition.
- It includes key health data that should be accessible and available for exchange
- Data according to specified vocabulary standards and code sets, as applicable

Patient name	Lab tests
Sex	Lab values/results
Date of birth	Vital signs
Race	Procedures
Ethnicity	Care team members
Preferred language	Immunizations
Problems	Unique device identifiers for implantable devices
Smoking Status	Assessment and plan of treatment
Medications	Goals
Medication allergies	Health concerns

## ONC Interoperability Roadmap Goal

**2015-2017**

Send, receive, find and use a **common clinical data set** to improve health and health care quality.

# The Common Clinical Data Set and the Consolidated CDA

<b>Patient name</b>	<b>Lab tests</b>
<b>Sex</b>	<b>Lab values/results</b>
<b>Date of birth</b>	<b>Vital signs</b>
<b>Race</b>	<b>Procedures</b>
<b>Ethnicity</b>	<b>Care team members</b>
<b>Preferred language</b>	<b>Immunizations</b>
<b>Smoking Status</b>	<b>Unique device identifiers for implantable devices</b>
<b>Problems</b>	<b>Assessment and plan of treatment</b>
<b>Medications</b>	<b>Goals</b>
<b>Medication allergies</b>	<b>Health concerns</b>



**The Consolidated CDA is the “suitcase” for exchanging data. It can carry any of the data in the Common Clinical Data Set. The data included depends on the need (e.g., EHR Incentive Programs requirements).**

# Common Clinical Data Set *In Action*

**Transition of care for  
a single patient**



**Data portability for  
multiple patients**



**Improve Interoperability**

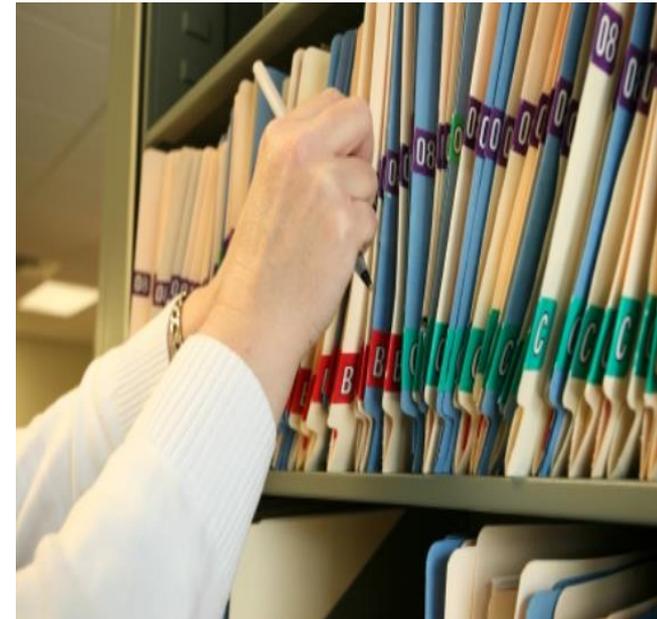
**Facilitate Data Access  
and Exchange**

- Consolidated CDA Release 2.0
- Testing a Health IT Module to both releases of the Consolidated CDA (Release 1.1 and 2.0) for creation and receiving
- Rigorous testing to ensure that a Health IT Module can: identify valid C-CDA templates; use correct vocabulary standards; detect errors in document, sections, and entry templates; and perform XDM processing
- As we did with the 2014 Edition Release 2, we propose certification for sending and receiving consistent with the Edge Protocol
- Patient matching data with constraints

**Improve Interoperability**

**Facilitate Data Access  
and Exchange**

- Common Clinical Data Set + Other Data
- User-enabled creation of an export summary or summaries
- Formatted to the Consolidated CDA 2.0 for document-template types (CCD, Consultation Note, History and Physical, Progress Note, Care Plan, Transfer Summary, and Referral Note (+ Inpatient - Discharge Summary))
- Configuration (Timeframe, Event, and Location)



**Improve Interoperability**

**Facilitate Data Access  
and Exchange**

# Application Access to the Common Clinical Data Set (CCDS)

- Technology certified to this criterion will have to demonstrate a functioning API that can respond to requests for each individual data category included in the CCDS as well as a request for all of the data in CCDS at one time (formatted in Consolidated CDA 2.0 standard)
- In the **2015 Base EHR Definition**, thus it is required for providers participating in Stage 3 of the EHR Incentive Programs
- It's also part of the **View, Download, and Transmit to 3<sup>rd</sup> Party** criterion, enabling patient access
- This proposed capability is meant to represent a floor, not a ceiling



Improve Interoperability

Facilitate Data Access  
and Exchange

## Requirements

- 1) Security -- developer demonstrates a trusted connection can be established between source system's API and other software
- 2) Patient selection – means for an application to query for a patient's record
- 3) Data -- scope is limited to the data in CCDS per patient and a "get"/read-oriented request. Must support:
  - Data-category request (response format in XML/JSON)
  - All data request (response format in accordance with the Consolidated CDA)
- 4) Documentation
  - Must include accompanying documentation on technical implementation requirements
  - Must include terms of use, including developer agreements

## Request for Comment

- 1) How to foster an open ecosystem around APIs
- 2) Whether additional API capabilities should be required for certification
- 3) Should the C-CDA 2.0 creation capability be limited to the CCD document template

**Improve Interoperability**

**Facilitate Data Access  
and Exchange**

- Patient Matching
- Record and exchange Unique Device Identifiers
- Safety-enhanced Design
  - A conditional certification requirement for an expanded set of certification criteria compared to the 2014 Edition
  - Health IT developers must submit information about the user-centered design processes used and applied
- Quality Management System (QMS)
  - A mandatory requirement for certification of a Health IT Module to the 2015 Edition
  - Health IT developers must identify the QMS used to develop, test, implement, and maintain capabilities of certified technology.
  - The identified QMS system must be:
    - Compliant with one established by the federal government, or
    - Mapped to one or more QMS established by the federal government or standards development organizations
  - Attesting that a QMS was not used is no longer permitted



# Addressing Health Disparities

Proposed Certification Criteria Capabilities	What the Capabilities Provide
<b>More granular recording and exchange of patient race and ethnicity</b>	Allows providers to better understand health disparities based on race and ethnicity, and improve patient care and health equity.
<b>Recording social, psychological, and behavioral data (e.g., education level, stress, depression, alcohol use, sexual orientation and gender identity)</b>	Allows providers and other stakeholders to better understand how this data can affect health, reduce disparities, and improve patient care and health equity
<b>Exchange of sensitive health information (data segmentation for privacy)</b>	Allows for the exchange of sensitive health information (e.g., behavioral health, substance abuse, and genetic information), in accordance with federal and state privacy laws, for more coordinated and efficient care across the continuum.
<b>Accessibility of health IT</b>	<ul style="list-style-type: none"><li>• More transparency on the accessibility standards used in developing health IT</li><li>• Compatibility of certified health IT with accessibility technology (e.g., JAWS text-to-speech application)</li><li>• Web content accessibility for viewing capability of VDT</li></ul>

**Reduce Health Disparities**

- **ONC has released draft test procedures for the proposed 2015 Edition health IT certification criteria**
  - Gives more transparency to the testing and certification processes
  - Health IT developers and all stakeholders have “early access”
- **Outcome-based test procedures**
  - Streamlined test procedure format focuses on outcomes
  - Promotes more innovation through less prescriptive testing
- **Public comment**
  - The comment period for the 2015 Edition Draft Test Procedures is **March 20th, 2015 through June 30th, 2015**
  - To review and comment, visit: <http://healthit.gov/policy-researchers-implementers/2015-edition-draft-test-procedures>

# Modifications to the ONC Health IT Certification Program

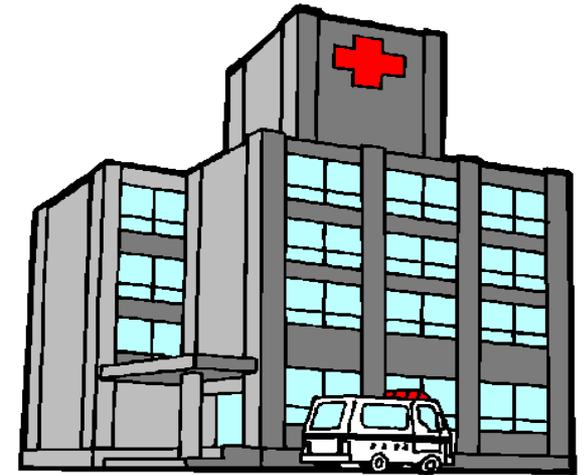
# Privacy and Security Certification Approach

- A Health IT Module would need to meet applicable privacy and security certification criteria depending on the other capabilities included in the Health IT Module
- Removes the responsibility from the provider to ensure that they possess technology certified to all the necessary privacy and security criteria



**Ensure Privacy and Security Capabilities**

- New requirements for “in-the-field” surveillance under the ONC Health IT Certification Program
- ONC-ACBs should ensure that certified Health IT Modules can perform certified capabilities in a production environment (when implemented and used)
  - Reactive surveillance
  - Randomized surveillance
- Enhanced surveillance of mandatory transparency requirements



Improve the Reliability  
and Transparency of  
Certified Health IT

Improve Patient Safety

- **ONC-ACBs must ensure health IT developers disclose:**
  - Broader and more detailed information than is currently required in the 2014 Edition.
  - Additional types of costs users may incur to implement or use health IT for any purpose within the scope of its certification (not just for achieving MU objectives).
  - Potential limitations (including contractual restrictions) that would limit a user's ability to implement or use health IT for any purpose within the scope of its certification.
- **Health IT developers will be required to attest to voluntarily providing this information:**
  - To customers, prospective customers, and any other person who asks for it (e.g., professional associations representing providers).
  - To do so timely, in plain writing, and in sufficient detail.

**Improve the Reliability and Transparency of Certified Health IT**



- Converting the CHPL to an open data file to make the reported product data (e.g., test results) more accessible for product analysis
- Propose to require that ONC-Authorized Certification Bodies (ONC-ACBs) report an expanded set of information in the open data file for increased product transparency

**Improve the Reliability and Transparency of Certified Health IT**

# Certification to the 2015 Edition Use Cases (MU & Beyond)

Certification Program Requirements		Proposed 2015 Edition criteria pointed to by CMS for MU 3 & to implement statute (Base EHR definition) <b>(n=37)</b>		Available proposed 2015 Edition criteria for certification <b>(n=19)</b>
Criteria proposed as always required for 2015 Edition certification <b>(n=2)</b>	Criteria proposed as conditional for 2015 Edition certification depending on capabilities in scope <b>(n= 10)</b>			
<b>Quality Management System - (g)(4)</b>	<b>Authentication, Access Control, Authorization- (d)(1)</b>	<b>CPOE Medications (a)(1)</b>	Patient-specific Education Resources - (a)(17)	Vital Signs, BMI, and Growth Charts - (a)(6)
<b>Accessibility-Centered Design-(g)(8)</b>	<b>Auditable Events and Tamper-resistance- (d)(2)</b>	CPOE Laboratory (a)(2)	Patient Health Information Capture – (a)(19)	<b>Image results - (a)(13)</b>
	<b>Audit Report(s) - (d)(3)</b>	<b>CPOE Diagnostic Imaging (a)(3)</b>	Implantable Device List - (a)(20)	<b>Patient List Creation - (a)(16)</b>
	<b>Amendments - (d)(4)</b>	Drug-drug, Drug-allergy Interaction Checks for CPOE – (a)(4)	Transitions of Care – (b)(1)	<b>eMAR- (a)(18)</b>
	<b>Automatic Access Time-out - (d)(5)</b>	Demographics -- (a)(5)	Clinical Information Reconciliation and Incorporation – (b)(2)	Social, Psychological, and Behavioral Data - (a)(21)
	<b>Emergency Access-(d)(6)</b>	<b>Problem List – (a)(7)</b>	E-Rx - (b)(3)	Decision Support – knowledge artifact - (a)(22)
	<b>End-User Device Encryption-(d)(7)</b>	<b>Medication list – (a)(8)</b>	Data Portability – (b)(6)	Decision Support – service - (a)(23)
	<b>Integrity - (d)(8)</b>	<b>Medication Allergy List – (a)(9)</b>	CQM – record and export - (c)(1)	Incorporate Laboratory Tests and Values/Results – (b)(4)
	<b>Safety Enhanced Design - (g)(3)</b>	CDS – (a)(10)	CQM – import and calculate – (c)(2)	Transmission of Laboratory Test Reports – (b)(5)
	<b>Consolidated CDA Creation Performance – (g)(6)</b>	Drug-formulary and Preferred Drug List Checks –(a)(11)	<b>CQM – report (c)(3)</b>	DS4P – send (b)(7)
	<b>Green = new to the 2015 Edition</b>	<b>Smoking Status - (a)(12)</b>	VDT - (e)(1)	DS4P – receive (b)(8)
<b>Light Blue = criteria in the “available” column previously adopted in an edition to support MU1/MU2</b>	<b>Family Health History (a)(14); or Family Health History – Pedigree (a)(15)</b>	<b>Secure messaging - (e)(2)</b>	Care Plan - (b)(9)	
<b>Red Font = “unchanged” criteria (eligible for gap certification)</b>	Transmission to Immunization Registries (f)(1)	Transmission to PHA – case reporting (f)(5)	CQM filter - (c)(4)	
	Transmission to PHA – syndromic surveillance (f)(2)	Transmission to PHA – antimicrobial use and resistance reporting (f)(6)	<b>Accounting of Disclosures – (d)(9)</b>	
	Transmission to PHA – reportable laboratory tests and values/results (f)(3)	Transmission to PHA – health care surveys (f)(7)	Accessibility technology compatibility (g)(5)	
	Transmission to Cancer Registries (f)(4)	Automated Numerator Recording - (g)(1) or Automated Measure Calculation - (g)(2)	<b>SOAP Transport and Security Specification and XDR/XDM for Direct Messaging – (h)(3)</b>	
<b>Blue Font = “minimally revised” criteria</b>	<b>Application Access to Common Clinical Data Set – (g)(7)</b>	<b>Direct Project (h)(1) or Direct Project, Edge Protocol, and XDR/XDM (h)(2)</b>	Healthcare Provider Directory – query request (h)(4)	
<b>Black Font/Gray Background = “revised” criteria</b>			Healthcare Provider Directory – query response (h)(5)	
			Electronic Submission of Medical Documentation– (i)(1)	

# Certification Responsibilities for Health IT Developers

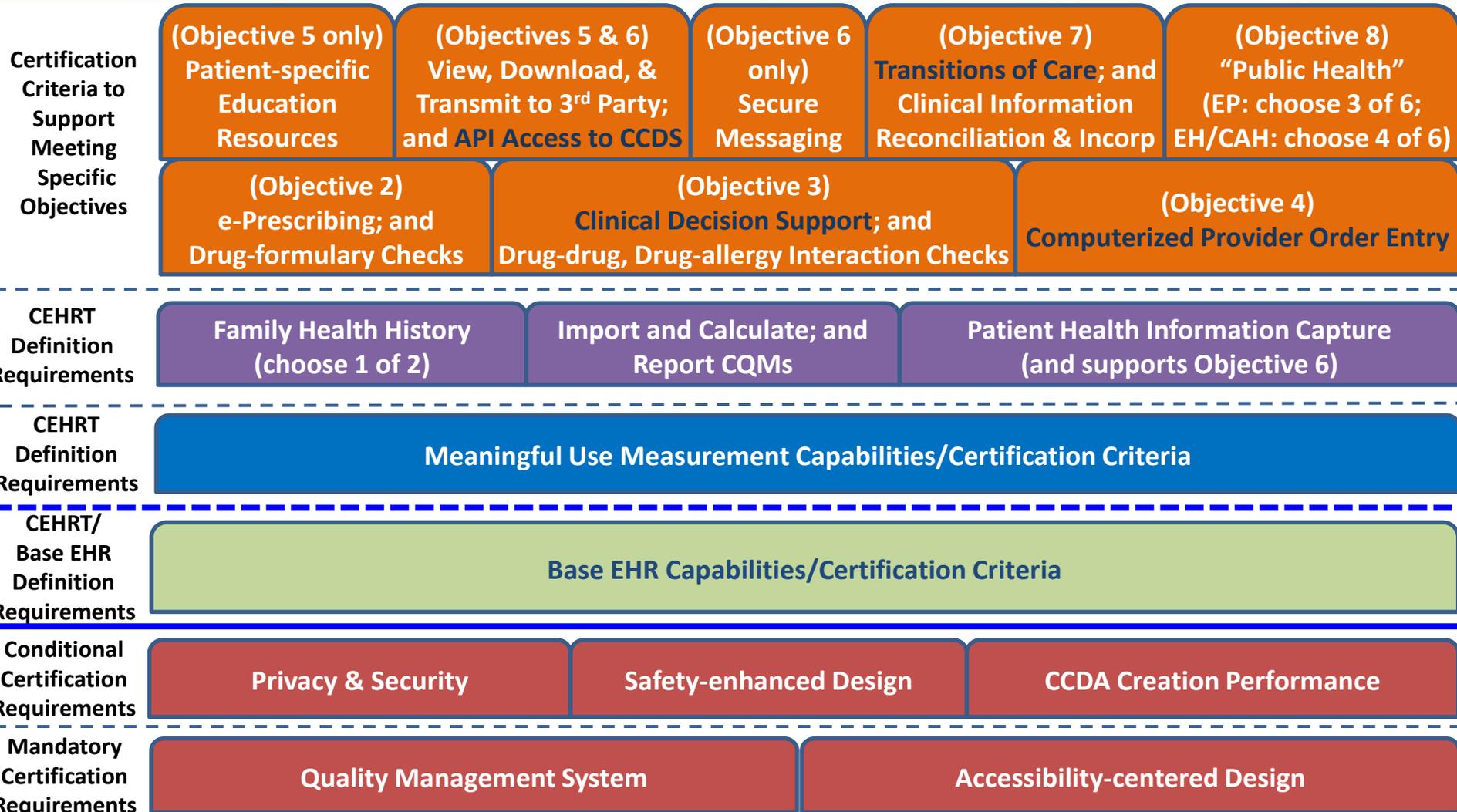
IF you seek product certification to the following:	THEN your product will <u>always</u> need to be certified to:	AND will also need to be certified to:
Any clinical criterion in 45 CFR 170.315(a)	<ul style="list-style-type: none"> <li>The privacy &amp; security (P&amp;S) criteria at § 170.315(d)(1)-(d)(7)</li> <li>Quality management system (QMS) at § 170.315(g)(4)</li> <li>Accessibility-centered design (ACD) at § 170.315(g)(8)</li> </ul>	Safety-enhanced design (SED) at § 170.315(g)(3) if you seek certification to any one of the following criteria: <ul style="list-style-type: none"> <li>§ 170.315(a)(1)-(10), (18), (20), (22), and (23)</li> </ul>
Any care coordination criterion in 45 CFR 170.315(b)	<ul style="list-style-type: none"> <li>The P&amp;S criteria at § 170.315(d)(1)-(d)(3) and (d)(5) - (d)(8)</li> <li>QMS at § 170.315(g)(4) and ACD at (g)(8)</li> </ul>	SED at § 170.315(g)(3) if you seek certification to any one of the following criteria: <ul style="list-style-type: none"> <li>§ 170.315(b)(2)-(b)(4)</li> </ul> Consolidated CDA performance at § 170.315(g)(6) if you seek certification to any one of the following criteria: <ul style="list-style-type: none"> <li>§ 170.315(b)(1), (2), (6), (7), and (9)</li> </ul>
Any clinical quality measures criterion in 45 CFR 170.315(c)	<ul style="list-style-type: none"> <li>The P&amp;S criteria at § 170.315(d)(1)-(d)(3)</li> <li>QMS at § 170.315(g)(4) and ACD at (g)(8)</li> </ul>	N/A
Any privacy and security criterion in 45 CFR 170.315(d)	<ul style="list-style-type: none"> <li>QMS at § 170.315(g)(4)</li> <li>ACD at § 170.315(g)(8)</li> </ul>	N/A
Any patient engagement criterion in 45 CFR 170.315(e)	<ul style="list-style-type: none"> <li>The P&amp;S criteria at § 170.315(d)(1)-(d)(3), (d)(5), and (d)(7)</li> <li>QMS at § 170.315(g)(4) and ACD at (g)(8)</li> </ul>	Consolidated CDA performance at § 170.315(g)(6) if you seek certification to § 170.315(e)(1)
Any public health criterion in 45 CFR 170.315(f)	<ul style="list-style-type: none"> <li>The P&amp;S criteria at § 170.315(d)(1)-(d)(3) and (d)(7)</li> <li>QMS at § 170.315(g)(4) and ACD at (g)(8)</li> </ul>	N/A
45 CFR 170.315(g)(1) or (2)	<ul style="list-style-type: none"> <li>QMS at § 170.315(g)(4)</li> </ul>	N/A
45 CFR 170.315(g)(7)	<ul style="list-style-type: none"> <li>QMS at § 170.315(g)(4) and ACD at (g)(8)</li> </ul>	Consolidated CDA performance at § 170.315(g)(6)
Any transport methods and other protocols criterion in 45 CFR 170.315(h)	<ul style="list-style-type: none"> <li>The P&amp;S criteria at § 170.315(d)(1)-(d)(3)</li> <li>QMS at § 170.315(g)(4) and ACD at (g)(8)</li> </ul>	Transitions of care at § 170.315(b)(1) if you seek certification to § 170.315(h)(1)
Any administrative criterion in 45 CFR 170.315(i)	<ul style="list-style-type: none"> <li>The P&amp;S criteria at § 170.315(d)(1)-(d)(3) and (d)(5)-(d)(8)</li> <li>QMS at § 170.315(g)(4) and ACD at (g)(8)</li> </ul>	Consolidated CDA performance at § 170.315(g)(6) if you seek certification to § 170.315(i)(1)

# Proposed EHR Incentive Programs

## Stage 3 Meaningful Use Objectives

- **Objective 1:** Protect Patient Health Information
- **Objective 2:** Electronic Prescribing
- **Objective 3:** Clinical Decision Support
- **Objective 4:** Computerized Provider Order Entry
- **Objective 5:** Patient Electronic Access to Health Information
- **Objective 6:** Coordination of Care through Patient Engagement
- **Objective 7:** Health Information Exchange
- **Objective 8:** Public Health and Clinical Data Registry Reporting

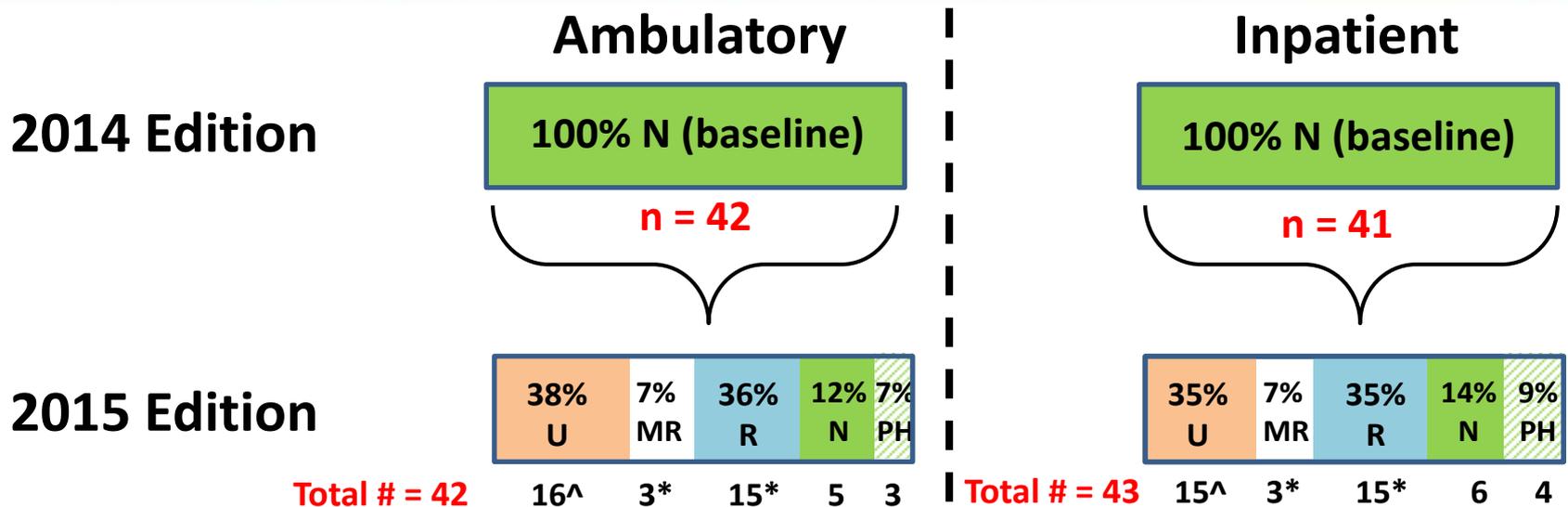
# Certified Health IT Module(s) to Support the EHR Incentive Programs Stage 3



Support Stage 3 of the EHR Incentive Programs

# What is Minimally Required for Stage 3?

## 2014 Edition vs. Proposed 2015 Edition



### Bottom Line

- 45% of criteria are unchanged or minimally revised for the ambulatory setting.
- 42% of criteria are unchanged or minimally revised for the inpatient setting.
- Only need to do ~60% of the proposed 2015 Edition criteria to participate in Stage 3.
- The total minimum number of criteria needed to participate in Stage 3 remains the same for EPs and almost the same for EHs/CAHs as compared to Stage 2.

➤ **Note:** This analysis does not account for potential exclusions

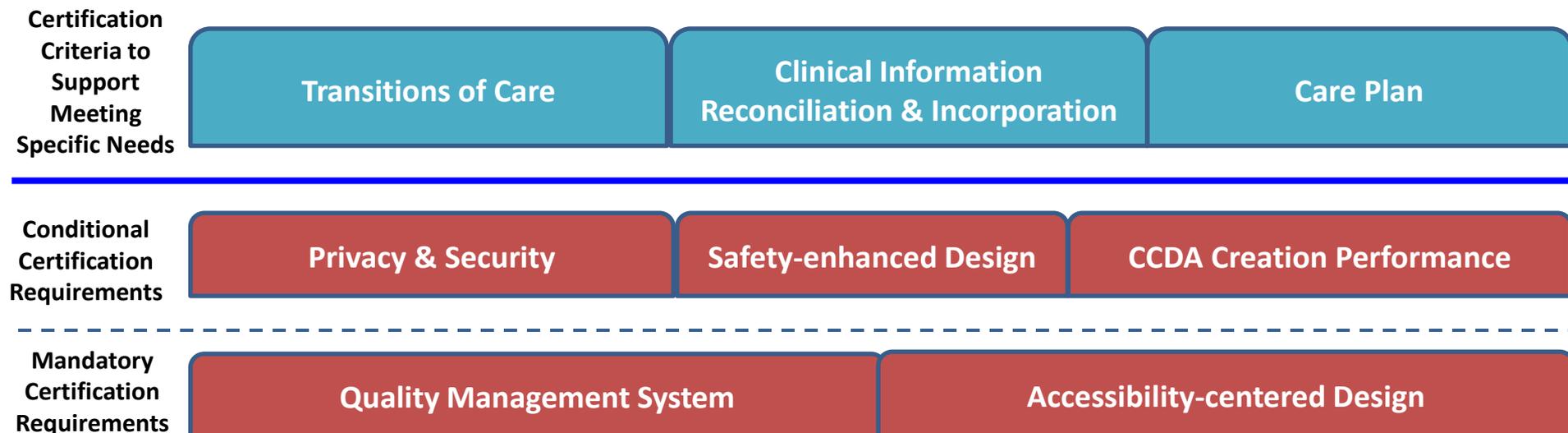
U = Unchanged criteria  
MR = Minimally revised criteria  
R = Revised criteria  
N = New criteria

PH = Public health criteria (new and revised. EPs choose 3 of 6 measures and EHs/CAHs choose 4 of 6 measures.

^ Includes the "QMS" criterion, which may be revised for some health IT developers

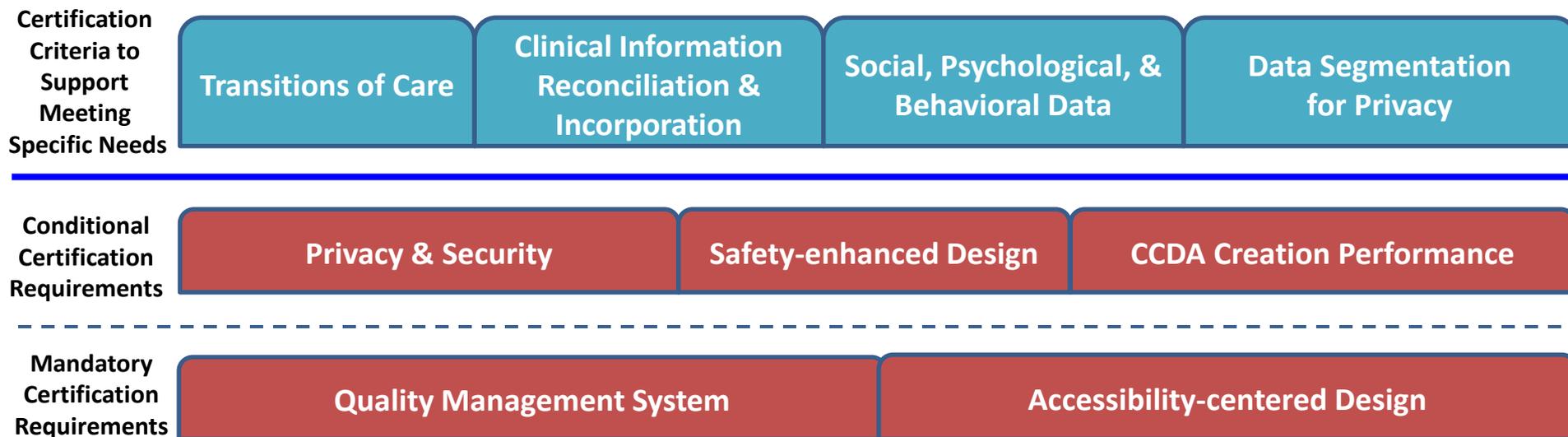
\* Depends on which family health history criterion is chosen (SNOMED CT or pedigree)

## Long-Term Post-Acute Care Certification (example only)



Use of the ONC Health IT Certification Program  
to Support the Care Continuum

## Behavioral Health Certification (example only)



Use of the ONC Health IT Certification Program  
to Support the Care Continuum

# Public Comment

# When and How to Comment

- ONC published the 2015 Edition Proposed Rule in the Federal Register on **March 30, 2015**
- The comment period is open until **May 29, 2015**
- You can review the proposed rule and comment here:  
[http://www.regulations.gov/#!documentDetail;D=HHS\\_FRDOC\\_0001-0572](http://www.regulations.gov/#!documentDetail;D=HHS_FRDOC_0001-0572)
- To assist in commenting on the rule, ONC provides a:
  - Microsoft Word version of the rule  
([http://www.healthit.gov/sites/default/files/2015\\_editionnprm\\_ofr\\_disclaimer\\_3-20-15.docx](http://www.healthit.gov/sites/default/files/2015_editionnprm_ofr_disclaimer_3-20-15.docx)); and
  - Public Comment Template  
([http://www.healthit.gov/sites/default/files/2015editionnprm\\_public\\_comment\\_template\\_4-1-15\\_final508.docx](http://www.healthit.gov/sites/default/files/2015editionnprm_public_comment_template_4-1-15_final508.docx))

- Press release: [http://www.healthit.gov/sites/default/files/HHS Proposes Rules Path Inop FINAL FORMATTED.docx](http://www.healthit.gov/sites/default/files/HHS_Proposes_Rules_Path_Inop_FINAL_FORMATTED.docx)
- Fact sheet: [http://www.healthit.gov/sites/default/files/ONC-Certification-Program-2015-Edition FactSheet.pdf](http://www.healthit.gov/sites/default/files/ONC-Certification-Program-2015-Edition_FactSheet.pdf)
- ONC regulations: <http://www.healthit.gov/policy-researchers-implementers/standards-and-certification-regulations>

# QUESTIONS?

Shana Olshan

# **ICD-10 UPDATE**

# Urgency Messaging



STAY ON THE ROAD TO  
**ICD-10**  
OCT 1, 2015

## STEPS TO HELP YOU TRANSITION

The ICD-10 transition will affect every part of your practice, from software upgrades, to patient registration and referrals, to clinical documentation and billing.

CMS can help you prepare. Visit [www.cms.gov/ICD10](http://www.cms.gov/ICD10) to find out how to:

- Make a Plan—Look at the codes you use, develop a budget, and prepare your staff
- Train Your Staff—Find options and resources to help your staff get ready for the transition
- Update Your Processes—Review your policies, procedures, forms, and templates
- Talk to Your Vendors and Payers—Talk to your software vendors, clearinghouses, and billing services
- Test Your Systems and Processes—Test within your practice and with your vendors and payers

**Now is the time to get ready.**  
[www.cms.gov/ICD10](http://www.cms.gov/ICD10)






## Get Ready for ICD-10 Now

April 2015

### The ICD-10 compliance date is **OCTOBER 1, 2015**

- The ICD-10 transition will affect every part of your practice, from software upgrades, to patient registration and referrals, to clinical documentation and billing.
- The Centers for Medicare & Medicaid Services (CMS) has developed resources to help you complete five important steps for a successful transition.



**Five Steps to Transition to ICD-10**

1. Make a Plan
2. Train Your Staff
3. Update Your Processes
4. Talk to Your Vendors and Payers
5. Test Your Systems and Processes

- 1. Make a Plan**  
An up-to-date ICD-10 action plan is vital to a successful transition. Make sure that you identify the areas where you need to focus your efforts. If you do not have an action plan in place, visit the "Road to 10" tool on the ICD-10 website to make one. An action plan provides a complete road map, and can help you create a catch-up plan.
- 2. Train Your Staff**  
In order to successfully transition to ICD-10 on October 1, you and your staff will need to understand how ICD-10 will affect your practice. CMS tools and resources are available to help your team prepare.
- 3. Update Your Processes**  
A good way to prepare for ICD-10 is to review how your practice uses ICD-9. This review will identify what processes to update for ICD-10.
- 4. Talk to Vendors and Payers**  
Continue coordinating with software vendors, clearinghouses, and billing services to make sure that their systems can accept and process the new code set. If you have practice management or EHR software, check with your vendor to make sure it has been updated for ICD-10.
- 5. Test Your Systems and Processes**  
Testing ICD-10 claims is one of the best ways to make sure your practice is ready to use ICD-10. Start with internal testing to confirm you can build an ICD-10 claim from a start to finish. Then, coordinate with your payers to send test claims using ICD-10. To help you prepare, CMS offers both acknowledgment and end-to-end testing. Check with your Medicare Administrative Contractors (MACs) for details.

**Stay on the Road to ICD-10**  
at [www.cms.gov/ICD10](http://www.cms.gov/ICD10)

CMS has developed a variety of resources to help you and your practice get ready. From videos to webinars to fact sheets, the CMS ICD-10 website will help you get up to speed. For up-to-date news and information about ICD-10, sign up for CMS ICD-10 Industry Email Updates and follow us on Twitter.




## CMS ICD-10 Resources

### Visit [www.cms.gov/ICD10](http://www.cms.gov/ICD10) for these resources and more

- ICD-10 Basics**
  - The ICD-10 Transition: An Introduction
  - ICD-10 Basics for Medical Practices
  - ICD-10 Basics for Small and Rural Practices
  - ICD-10 Basics for Physicians
  - The ICD-10 Transition: Focus on Non-Covered Entities
- Communicating About ICD-10**
  - Talking to Your Vendors About ICD-10: Tips for Medical Practices
  - Questions to Ask Your Systems Vendors about ICD-10
  - The Role of Clearinghouses in ICD-10
  - Talking to Your Customers About ICD-10: Tips for Software Vendors
- Road to 10**  
Available on the Provider Resources page of [www.cms.gov/ICD10](http://www.cms.gov/ICD10), the "Road to 10" tool is an online resource built with the help of providers to help small medical practices jumpstart their ICD-10 transition. It includes specialty references, webcast series, and the capability to build tailored ICD-10 action plans.
- ICD-10 Videos**  
CMS has posted two animated shorts to the [CMSHHS.gov YouTube channel](http://www.CMSHHS.gov/YouTube) that explain key ICD-10 concepts.
  - "Introduction to ICD-10 Coding" gives an overview of ICD-10's features and explains the benefits of the new code set in terms accessible to consumers and providers alike.
  - "ICD-10 Coding and Diabetes" uses diabetes as an example to show providers how the code set captures important clinical details.
- ICD-10 Email Update Messages**  
CMS distributes regular ICD-10 Email Update messages with information about ICD-10 focused on CMS news and practical tips and resources.
- Medscape CME/CE Resources**  
Medscape videos and an expert column offer an overview of ICD-10 for small practices. Continuing medical education (CME) and nursing continuing education (CNE) credits are available to providers who complete the resources. Anyone with a free Medscape account can receive a certificate of completion.

**Stay up to date on ICD-10:**

-  Sign up for Email Update messages on the CMS ICD-10 website
-  Follow us on Twitter: @CMSGov

**The ICD-10 compliance date is October 1, 2015**

# ICD-10 at HIMSS15

**Sign up for ICD-10 Social Media Rally Now**

[cms.gov/icd10](http://cms.gov/icd10)

**Spread the Word**  
I'm on the #RoadtoICD10  
Get ready for October 1, 2015. #ICD10  
[cms.gov/icd10](http://cms.gov/icd10)

**Hear the Roar**  
April 16, 2015 at 8:00 am CDT

HIMSS 15 CMS

*ICD-10 Social Media Rally Notice*



Stay on the Road to  
**ICD-10**  
**OCT 1, 2015**  
Visit [WWW.CMS.GOV/ICD10](http://WWW.CMS.GOV/ICD10)

**Now**  
is the time to  
**get ready**

*Banner*



*ICD-10 Coding And Diabetes Video*

# ICD-10 Social Media Rally

**1,093 supporters** pledged that they are on the road to ICD-10

**On the Road to ICD-10**  
by The Centers for Medicare and Medicaid Services (CMS) category: **Health**

**"I'm on the #RoadtoICD10. Get ready for October 1, 2015. #ICD10"**  
<http://thndr.it/1loHGIH>

**SUPPORTERS**  
**1,093** of 100  
1093% of goal supported

**SOCIAL REACH**  
**477,677**  
People

**TIME LEFT**  
**Complete**  
Ends Apr 16, 8:00 AM CDT

 The Centers for Medicare and... FOLLOW THE ORGANIZER AMBER 4/7

**TRENDING CAMPAIGNS**

**2**



**On the Road to ICD-10**  
by The Centers for Medicare and Medicaid Services (CMS)

**1090 % supported** | **477,255** social reach | **0** days left.

To view the Thunderclap Page Visit: <http://bit.ly/1DgDbwo>



# **Hospital Inpatient Quality Reporting (IQR) Program Update**

**Stephanie Wilson, MBL**  
**eCQM Lead, Inpatient Value and Incentives Support Contract**

**April 30, 2015**



# Agenda



- *Centers for Medicare & Medicaid Services (CMS) eCQM Receiving System Update*
- *Inpatient Prospective Payment System (IPPS) Proposed Rule*
- *2016 Supplementary Implementation Guide (IG) Review and Feedback*
- *How to Get Involved*
- *Upcoming Events*
- *Contact Information*

# Hospital eCQM Receiving System Update

- Quality Reporting Document Architecture (QRDA) Category I, Release 2 files, test and production, can be submitted and validated against 2015 CMS QRDA constraints.
- The “Denominator Declaration” and “Intent to Submit” screens are also available in the *QualityNet Secure Portal*.

# Hospital eCQM Receiving System Update

- In order to submit files, hospitals must:
  - Contact *QualityNet* to set-up a *QualityNet* account (new users only);
  - Have the Electronic Health Record (EHR) Data Upload Role applied to their *QualityNet* account; and,
  - Submit **test** and **production** files through the *QualityNet Secure Portal*.
- Hospitals using vendors have two options. They can:
  1. Log in to *QualityNet* and authorize the vendor to submit data on their behalf; or
  2. Have the vendor use a test CCN of 800860 to submit test files.

# Hospital eCQM Receiving System Update

- There are errors with three *Feedback* category reports in the Hospital eCQM Receiving System:
  1. Submission Summary Report
  2. Submission Detail Report
  3. eCQM Submission and Performance Feedback Report
- The issue for all three reports:
  - Improper data is displaying on the reports in the *feedback* category; as a result, the reports have been disabled and are not currently available in the *feedback* category.
- Resolution/Workaround:
  - These reports are available through the *submission detail* reports category.
  - A fix will be in place for the reports in the *feedback* category by July 2015.

# Hospital eCQM Receiving System Update

The following resources are available to assist hospitals in submitting successful production and test files:

- 2015 CMS Supplementary QRDA Implementation Guide  
[www.cms.gov/Regulations-and-Guidance/Legislation/EHRIncentivePrograms/Downloads/QRDA\\_EP\\_HQR\\_Guide\\_2015.pdf](http://www.cms.gov/Regulations-and-Guidance/Legislation/EHRIncentivePrograms/Downloads/QRDA_EP_HQR_Guide_2015.pdf)
- HL7 Implementation Guide for QRDA Cat I R2  
[http://www.hl7.org/implement/standards/product\\_brief.cfm?product\\_id=35](http://www.hl7.org/implement/standards/product_brief.cfm?product_id=35)
- April 2014 version of the electronic clinical quality measure (eCQM) specifications  
[www.cms.gov/Regulations-and-Guidance/Legislation/EHRIncentivePrograms/eCQM\\_Library.html](http://www.cms.gov/Regulations-and-Guidance/Legislation/EHRIncentivePrograms/eCQM_Library.html)



# IPPS Proposed Rule

- The IPPS proposed rule was displayed on April 17, 2015.
- The Public Comment Period will end on June 16, 2015.
- eCQM information can be found on pages 1077–1190.
- Comments can be submitted to [www.regulations.gov](http://www.regulations.gov).

# 2016 CMS Supplementary Implementation Guide: Draft

- A draft version of the 2016 QRDA Implementation Guide for Eligible Professionals (EP) programs and Hospital Quality Reporting (HQR) has been posted to Jira for review.
  - QRDA Issue Tracker:  
<https://jira.oncprojectracking.org/browse/QRDA-197>
- Comments and suggestions can be added and will be reviewed prior to posting the finalized guide in July.
- The Comment Period closes today, Thursday, April 30, 2015.

# How to Get Involved: Submitting eCQM Data

CMS strongly encourages vendors and hospitals to continue working toward successful submission of eCQM data by:

- Submitting test files through the CMS eCQM Receiving System (*QualityNet Secure Portal*)
- Signing-up for the Hospital Reporting EHR ListServe and participating in training opportunities:  
[www.qualitynet.org/dcs/ContentServer?pagename=QnetPublic/ListServe/Register](http://www.qualitynet.org/dcs/ContentServer?pagename=QnetPublic/ListServe/Register)



# How to Get Involved: Pre-Submission Validation Application Pilot

- The Pre-Submission Validation Application (PSVA) is being developed to allow users to:
  - Validate QRDA Category I files in real time
  - Correct errors prior to submission
- PSVA also supports the submission of data files to the CMS hospital eCQM receiving system directly from the tool
- The PSVA Pilot is currently scheduled for July 2015

# How to Get Involved: PSVA Requirements

- Requirements for participating in the PSVA pilot include a hospital's ability to:
  - Create QRDA Cat I files based on the HL7 base standard for QRDA
  - Download and install the PSVA on the facility's infrastructure
  - Attend a 30-minute PSVA Pilot Participant Information Session in June 2015
  - Attend two 30-minute PSVA Pilot Feedback sessions in July 2015
  - Record and submit feedback on the use of PSVA tool
  - Complete testing by July 31, 2015
- Facilities interested in participating are requested to contact Stephanie Wilson by email at [stephanie.wilson@hcqis.org](mailto:stephanie.wilson@hcqis.org)

# Upcoming Events

## National Provider Call

**Subject:** Medicare Acute Care Quality and Reporting Programs

**Presented by:** MLN Connects

**Date:** May 12, 2015

**Registration:**

<http://www.cms.gov/Outreach-and-Education/Outreach/NPC/National-Provider-Calls-and-Events-Items/2015-05-12-Acute-Care.html?DLPage=1&DLSort=0&DLSortDir=descending>

## CMS Webinar Presentation

**Subject:** The IPPS Proposed Rule

**Presented by:** Cindy Tourison

**Date:** May 29, 2015

**Registration:** Details forthcoming

# Thank You!

## Stephanie Wilson – IQR eCQM Program Support

- [stephanie.wilson@hcqis.org](mailto:stephanie.wilson@hcqis.org)

## eCQM General Program Questions

- <https://cms-ip.custhelp.com>
- 866.800.8765 or 844.472.4477, 7 a.m.–7 p.m. CT, Monday–Friday (except holidays)

This material was prepared by the Inpatient Value, Incentives, and Quality Reporting Outreach and Education Support Contractor, under contract with the Centers for Medicare & Medicaid Services (CMS), an agency of the U.S. Department of Health and Human Services. HHSM-500-2013-13007I, FL-IQR-Ch8-04222015-03





# **Update on The Joint Commission's ORYX 2015 Flexible Options**

**April 30, 2015**

**Patty Craig, MS MIS  
Associate Project Director  
Division of Healthcare Quality Evaluation  
The Joint Commission**

# 2015 Flexible Options

- ▶ Option 1
  - 6 core chart-based measure sets
  - Continue with full year of quarterly reporting
- ▶ Option 2
  - 6 core eCQM sets
  - Submit 1Q, 2Q, and/or 3Q 2015 data
- ▶ Option 3
  - 6 unique core measure sets – combination of chart-based and eCQM data

# eCQM Measure Selections as of 4/29/2015

- 59 organizations have selected to submit 2015 eCQM data to The Joint Commission

eCQM Set	% of organizations
eED	95%
eVTE	51%
eSTK	37%
ePC	22%
eSCIP	14%
eAMI	2%
eCAC	2%

# eCQM Measure Selections as of 4/29/2015

▀ eCQM set combinations selected most often:

eCQM set combinations	% of organizations
eED only	39%
eED, eSTK, eVTE	17%
eED, ePC, eVTE	10%
eED, eVTE	8%

# eCQM Measure Selections as of 4/29/2015

- Associated eCQM and chart-based measure sets selected most often:

Measure Sets	% of organizations
ED	25%
STK	24%
VTE	17%



# **The Joint Commission's Supplemental Material QRDA Category I Implementation Guide 2015 Discharge Data - 2014 eCQM**

**April 30, 2015**

**Mitra Biglari**

**Project Director**

**Data Receipt Applications**

**Division of Healthcare Quality Evaluation**



# TJC Guide Posted

- TJC requires the same requirements as given in the CMS supplemental document
- TJC Guide only points to the differences or extra requirements.
- Posted on **Performance Measurement System Extranet Track (PET)**
- **Manuals and Guides tab → eCQM Documentation page → eHCD section**



# Important Bullets from IG

- Providing guidance on reporting TJC required data such as HCO-ID and Vender tracking-ID
- Listing PHI data and their xPaths, which will be rejected if value is submitted (tags with no value are allowed)
- Providing guidance on reporting Measure Result/Outcome, if submitted



# Important Bullets from IG

- Requires the TJC document template OID in General Header section
- Adding TJC template ID at Measure Section, if reporting results.
- Adding *entryRelationship* section to the Measure Reference Section under component/observation to link the measure result to specific episode of care (EOC)



# Important Bullets from IG

- TJC requires 1 file per patient per reporting period
- Reporting Periods: 1Q, 2Q, 3Q 2015
- All Inpatient EOCs for that period must be reported
- All eCQMs applicable to the patient data, must be reported in the file
- All data required to calculate applicable eCQMs must be reported in the file



# Important Bullets from IG

- TJC allows resubmission to update a submitted file.
- Resubmitted file will overwrite the original file based on key elements identifying the patient
- The new file **MUST** contain all the data, and not just corrected data
- If no exact matching patient exist, a new record is created



# Important Bullets from IG

- No delete is allowed at this time
- Take special care to submit correct key elements and overwrite with correct keys to prevent submitting incorrect number of patient cases in eHCD system
- Key elements identifying a patient record are: Reporting Period, HCO-ID, Vendor-tracking-ID and vendor id

# Important Bullets from IG

- Mismatch between submitted eHCD data and ePop data will be tracked with Data Quality process
- For more information on reporting populations size and denominator size for eCQMs to TJC refer to ePopulation (ePop) documentations posted on PET.
- Submitting ePop data is required for all selected eCQMs in ORYX Measure Selection system



# Quick Glance on TJC IG

# QUESTIONS?