



**Open Payments Change Summary:
Program Year 2016 and Onwards
Submission Data Mapping Document**

Centers for Medicare & Medicaid Services

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Version 2.2

OPEN PAYMENTS

CREATING PUBLIC TRANSPARENCY
INTO INDUSTRY-PHYSICIAN
FINANCIAL RELATIONSHIPS

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1 Introduction

This document lists the changes made to the Submission Data Mapping Document and/or CSV templates (also known as sample files), since their last publication.

These changes are being provided to applicable manufacturers and applicable group purchasing organizations to aid in data collection activities for Program Years 2016 and onwards. The changes described in this document should **not** be implemented for the Program Years 2013 – 2015.

This document is organized into the following sections:

- General updates;
- Changes to the four tabs of the Submission Data Mapping Document (General Payments tab, Research Payments tab, Physician Ownership tab, and Allowed Special Characters); and
- Changes to CSV templates/sample files.

To understand changes to the Submission Data Mapping Document, follow the steps below:

1. In this document, note which tab in the Submission Data Mapping Document is affected. Then, note the Data Element Name and the Data Element Number (DE#) for a specific entry. The change to the data element is listed below the Data Element Name and Data Element Number.
2. In the Submission Data Mapping Document, select the affected tab and then find the corresponding Data Element Number in Column A. The Data Element Name is listed in Column B.
3. Once you have located the Data Element Name and Data Element Number in the Submission Data Mapping Document, you can match it to the update noted in this document.

2 Changes Made in January 2020

Changes to the Submission Data Mapping Document

This section of the Change Summary Document lists the changes that are being made to the Submission Data Mapping Document in January 2020. This will create version 2.2 of the Submission Data Mapping Document, as shown in its Revision Log tab.

This section of the Submission Data Mapping Document should be used when submitting payments or other transfers of value for Program Year 2016 and onwards. To submit payments or other transfers of value for a previous program year, refer to the Program Years 2013 – 2015 Data Submission Data Mapping Document.

2.1.1 General Updates

- The Submission Data Mapping Document and Change Summary File on the CMS Open payments Resources page are renamed as below:
 - PY 2016 and Onwards Submission Data Mapping Document [XLSX]
 - Change Summary: PY 2016 and Onwards Submission Data Mapping Document [PDF]

2.1.2 Changes to the General Payments (Non-Research) Tab

- Data Element Name: Recipient Zip Code (DE#17)
 - Updated validation rules (Column H) to include: 5-digit ZIP code entered must be a valid US ZIP Code and must be within the boundaries of the State (DE# 16) entered
- Date Element Name: Recipient Country (DE#18)
 - Updated validation rules (Column H) to include: "US" or "United States" must be entered when Covered Recipient Type (DE#6) is "2" = Teaching Hospital
- Date Element Name: Name of Associated Covered Drug or Biological (DE#30)
 - Updated validation rules (Column H) to include: Validated against the CMS approved Drug Names and National Drug Code (NDC) dataset
- Date Element Name: Associated Drug or Biological NDC (DE#31)
 - Updated validation rules (Column H) to include: Validated combination of Name of Associated Covered Drug or Biological (DE#30) and Associated Drug or Biological NDC (DE#31) against the CMS approved Drug Names and National Drug Code (NDC) dataset
- Date Element Name: City of Travel (DE#40)
 - Updated Field Size (Column G) to read: ≤40 Char

2.1.3 Changes to the Research Payment Tab

- Data Element Name: Recipient Zip Code (DE#18)
 - Updated validation rules (Column H) to include: 5-digit ZIP code entered must be a valid US ZIP Code and must be within the boundaries of the State (DE#17) entered
- Date Element Name: Recipient Country (DE#19)
 - Updated validation rules (Column H) to include: "US" or "United States" must be entered when Covered Recipient Type (DE#6) is "2" = Teaching Hospital
- Date Element Name: Name of Associated Covered Drug or Biological (DE#31)
 - Updated validation rules (Column H) to include: Validated against the CMS approved Drug Names and National Drug Code (NDC) dataset
- Date Element Name: Associated Drug or Biological NDC (DE#32)
 - Updated validation rules (Column H) to include: Validated combination of Name of Associated Covered Drug or Biological (DE#31) and Associated Drug or Biological NDC (DE#32) against the CMS approved Drug Names and National Drug Code (NDC) dataset
- Data Element Name: Principal Investigator Zip Code (DE#54, 70, 86, 102, 118)
 - Either exactly 5 or exactly 9 numeric digits, 5-digit ZIP code must be a valid US ZIP Code and must be within the boundaries of the corresponding Principal Investigator State (DE#53, 69, 85, 101, 117) entered

2.1.4 Changes to the Physician Ownership Tab

- Data Element Name: Recipient Zip Code (DE#14)
 - Updated validation rules (Column H) to include: 5-digit ZIP code must be a valid US ZIP Code and must be within the boundaries of the Ownership/Investment Physician's State (DE#13) entered

2.1.5 Allowed Special Characters Tab

No changes were made to the Allowed Special Characters tab.

3 Changes Made to CSV Templates

- The CSV sample files to be used for submitting payments are renamed on the CMS Open payments Resources page as below:
 - PY 2016 and Onwards CSV Sample File: General Payments [CSV]
 - PY 2016 and Onwards CSV Sample File: Research Payments [CSV]
 - PY 2013 - Onwards CSV Sample File: Physician Owner/Investor [CSV]
- The CSV templates to be used for submitting payments are renamed within the Open payments system Resources tab as below:
 - PY 2016 and Onwards CSV Template File: Research Payments [CSV]
 - PY 2016 and Onwards CSV Template File: General Payments [CSV]
 - PY 2013 and Onwards CSV Template File: Physician Owner/Investor [CSV]