2026 Qualified Health Plan Enrollee Experience Survey (QHP Enrollee Survey)
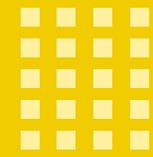
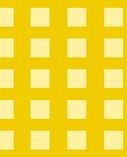Data Submission Training

February 26, 2026

Agenda

1. Welcome

2. 2026 QHP Enrollee Survey Data Submission Timeline and Overview

3. Data Submission Process

4. QHP Enrollee Survey Website Demonstration

Welcome

2026 QHP Enrollee Survey Data Submission Timeline and Overview

2026 QHP Enrollee Survey Data Submission Timeline

Data Submission Tasks	Date
Test Data Submission • Vendors submit at least one unencrypted test ZIP file containing files for two reporting units, to include at least 100 records between the two reporting units. • Vendors submit the files via the QHP Enrollee Survey website (https://qhpsurvey.cms.gov).	April 8–10, 2026
Final Data Submission • Vendors submit all final data files via the QHP Enrollee Survey website (https://qhpsurvey.cms.gov) by 11:59 p.m. ET on May 15, 2026.	May 8–15, 2026
Data Resubmission • Vendors resubmit data files within 3 business days upon request, if needed.	May 18–20, 2026

Submit 2026 Test File(s): April 8–10, 2026

- The test data submission period enables vendors and the Project Team to:

  – Test data submission protocols.

  – Confirm appropriate coding of survey variables.

  – Make any necessary adjustments prior to the beginning of the data submission period.

- Vendors **must** submit **at least one** unencrypted test ZIP file containing CSV files for at least two reporting units via the QHP Enrollee Survey website. The test ZIP file must:

  – Be submitted by **11:59 p.m. ET on April 10, 2026**.

  – Include one CSV file per reporting unit.

  – Include at least 100 records between the two reporting units.

Submit 2026 Test File(s): April 8–10, 2026 (Cont'd)

- During test data submission, vendors may submit files that include more than 100 records and/or submit multiple test files, if desired.

- After submitting test data, vendors must email one data submission summary log per submission to QHP_Survey@air.org.
  - The Project Team will send the summary log template to vendors ahead of the test data submission period.
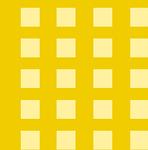
2026 Final Data Submission: May 8–15, 2026

- Vendors submit final data files via the [QHP Enrollee Survey website](#).

- Vendors **must** include responses from mail, internet, and telephone surveys received through May 1, 2026, in final data files.

  – If vendors are still fielding the survey after May 1, they must accept and process data for all mail and internet surveys received through the end of the protocol (Day 73).

- Vendors should review the "Code and Submit Data" section of the QHP Enrollee Survey: Technical Specifications for 2026 to ensure accurate coding of all variables in final data files.

2026 Final Data Submission: May 8–15, 2026 (Cont'd)

- All final data files must be submitted to the QHP Enrollee Survey website between **May 8, 2026, and 11:59 p.m. ET on May 15, 2026**.
  - There are no exceptions to the deadline.
  - Vendors are strongly encouraged to submit data files early in the data submission period to ensure the files pass the website validations by the deadline.
  - Limited technical assistance is available after 5:00 p.m. ET.
  - Files received late will be excluded from the Quality Rating System (QRS) and public reporting.

Submit Revised Data Files: May 18–20, 2026

- After close of the final data submission window, the Project Team performs additional reviews to identify potential final data file errors, including validation of the Reporting Unit IDs for which the vendor is authorized.

- If errors are identified, vendors must log in to the QHP Enrollee Survey website and resubmit file(s) within 3 business days of request.

# 2026 Data Submission Process

QHP Enrollee Survey Website Overview

- Vendors securely submit test and final data to CMS via QHP Enrollee Survey website.

- Prior to the data submission period, the Project Team will:

  - Notify survey vendors when QHP Enrollee Survey website registration opens.

  - Provide a detailed user guide with instructions for accessing the website.

- New users must register an account in CMS's Identity Management (IDM) system and request the QHP Survey Vendor role to access the website.

- Existing users can sign into the website using the ID and password associated with their previously registered IDM account.

- Notify the Project Team of any login issues at QHP_Survey@air.org.

Accessing the QHP Enrollee Survey Website: New Users

- New users must:

    - Register a CMS Identity Management (IDM) account at https://home.idm.cms.gov/.

        » Note: New QHP Enrollee Survey website users with an existing CMS IDM account should request the QHP Survey Vendor role through their existing IDM account.

    - Provide required information (e.g., full legal name, DOB, SSN, and personal phone number)

        » CMS uses information provided for the purposes of identity verification via the Experian identity verification system **only.**

        » This information is kept private and is not shared with any federal or private agency.

    - Enroll in secure multi-factor authentication such as Okta Verify or Google Authenticator.

    - Log in to the IDM account and request the "QHP Survey Vendor" role.

    - After receiving a confirmation email that the role request is approved, sign in to the QHP Enrollee Survey website.

Signing into the QHP Enrollee Survey Website

Visit **https://qhpsurvey.cms.gov/**. Click "Sign in" in the upper right-hand corner.
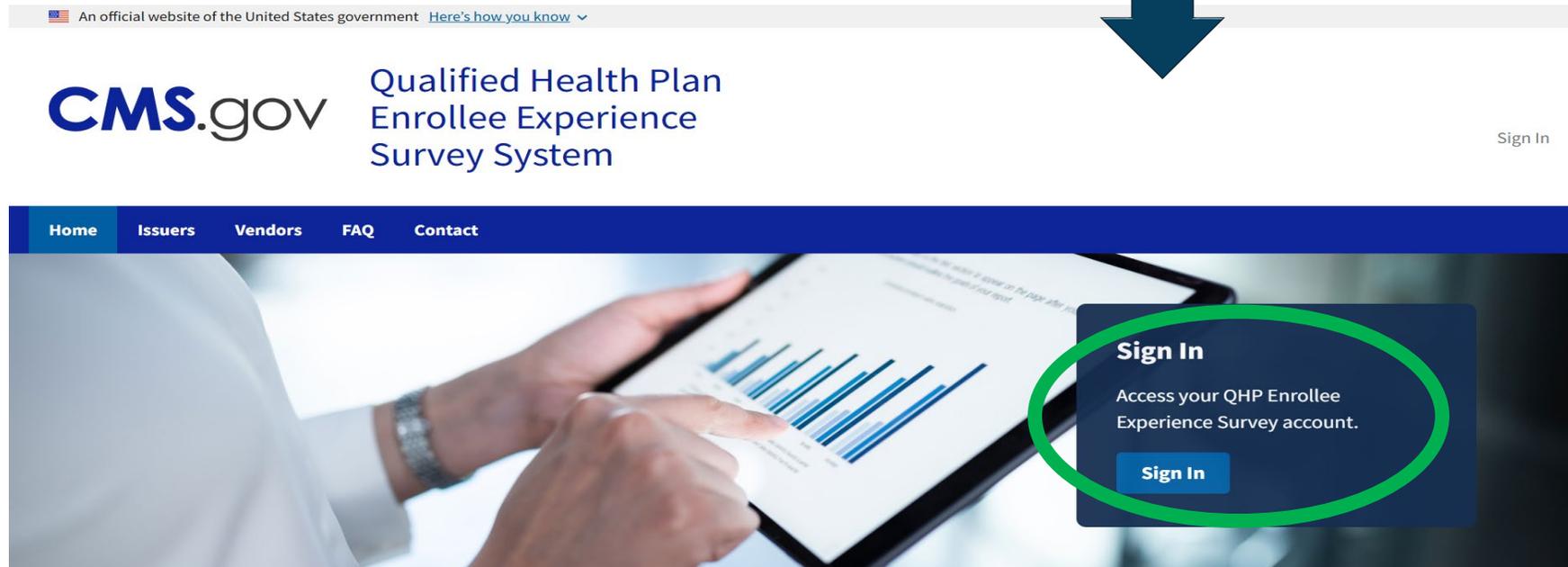
Signing into the QHP Enrollee Survey Website

Enter the CMS IDM username and password you created during the account registration phase, agree to the terms and conditions, and click Sign In.

QHP Enrollee Survey Website Vendor Dashboard

The vendor dashboard displays vendor contact information, provides an interface to upload survey files, and gives the user the ability to view uploaded vendor submissions.

To upload survey files, review the naming convention requirements in the blue box.

Upload CSV files individually or upload multiple CSV files in a ZIP file.

Note. ZIP files must contain properly named CSV files; the system will reject an entire ZIP file if any CSV files it contains violate the naming convention.

Uploaded files will appear in the Uploads window. If you have uploaded a file that does not appear in the window, please click Refresh.

Data Dictionary

- When submitting test and final data files, vendors must adhere to the data dictionary file layout (Appendix F of the [2026 Technical Specifications](#)), which specifies required data points, acceptable answers, and valid values.

- The following updates were made to the data dictionary for 2026:
  - Revised "Name_Health_Plan" field to note vendors must truncate responses to 250 characters or less
  - Noted new notes for Internet_Browser, Internet_Opt_In, Internet_Device_Type, and Response_Time

Data Dictionary: Use of Unknown values

- Vendors encountering the "unknown" value for the variables Internet_Browser, Internet_Device_Type, Internet_Opt_In, and Response_Time, must:

  » Notify the Project Team via the QHP_Survey@air.org

  » Obtain approval **before** the use of the "unknown" value during vendor's internal review process or by no later than **May 1, 2026**

  » The Project Team will inform vendors of its decision within 3 business days

Data Dictionary: New Variables

- Inclusion of three new variables:

Field	Description	Valid Values
SF_Has_Claim	Enrollee had at least one claim or encounter with the QHP issuer during the measurement year.	1 = Yes 2 = No 9 = Missing
SF_Has_PCP	Enrollee had an assigned primary care provider during the measurement year.	1 = Yes 2 = No 9 = Missing
SF_Has_Specialist	Enrollee had at least one visit with a specialty care doctor during the measurement year.	1 = Yes 2 = No 9 = Missing

File Specifications

- All data must be reported at the person level and include:
  - All required variables associated with survey administration.
  - Final Disposition codes.
  - Survey responses.
- Submitted data files must include **all** sampled enrollees (respondents and nonrespondents), regardless of final disposition.
- All data included in the CSV files submitted during final data submission are considered final unless the Project Team requests revisions.

File Specifications (Cont'd)

- Vendors should upload a ZIP file containing one CSV file for each reporting unit.

- Do NOT combine reporting units.

- Do NOT password protect the files.

- ZIP files may contain multiple CSV files from multiple QHP issuers.

- Vendors should email the Project Team one data submission summary log per ZIP file *and* include the date the file was uploaded to the website.

Naming Conventions

- To assist with tracking of multiple submissions, vendors **must** use the following naming convention for ZIP files: <VendorName>_Submission_<Letter>.zip
  - For example, the first ZIP file would be <VendorName>_Submission_A. zip, and the second ZIP file would be <VendorName>_Submission_B.zip, etc.
  - The Project Team will provide instructions for the required format of vendor name ahead of data submission.
- Individual CSV files **must** use the following naming convention: <Reporting Unit ID>.csv
  - For example, the file name must be 12345-MD-PPO.csv if a vendor is submitting data for reporting unit 12345-MD-PPO.

Naming Conventions (Cont'd)

- Misnamed files will be rejected and sent back to vendors for resubmission.

- Files with the same name overwrite previous submissions. Only the most recent file is retained.

- Vendors must email the Project Team (QHP_Survey@air.org) with one data submission summary log per ZIP file and date file was uploaded to the website.

- Data submission summary log naming convention: <VendorName>_Submission_<Letter>_Log.xlsx.

  – For example, the data submission summary log associated with the first ZIP file would be <VendorName>_Submission_A_Log.xlsx, etc.

Display of Validation Results

- Validation results will be displayed on the vendor dashboard within the QHP Enrollee Survey website, which will show the following information:
  - Name of file
  - Status of submission
    - » File Error – Initial Automated Validation Failed
    - » Pending Validation – Pending Project Team Validation
    - » Failed Validation – Project Team Validation Failed
    - » Passed Validation – Validation Passed/File Accepted
  - Submission type
  - Date submitted

Data Submission Process

1. Vendors submit data.
    a. Log in to QHP Enrollee Survey website.
    b. Upload ZIP and CSV files.
    c. Data file to be submitted by **11:59 p.m. ET on May 15, 2026**.
    d. Email the Project Team (QHP_Survey@air.org) with one data submission summary log per ZIP file *and* date file was uploaded to the website.
2. Initial automated validation checks are performed.
    a. Conduct malware scan.
    b. Ensure that files comply with the data submission layout.
    c. Ensure ZIP and CSV files comply with naming conventions.
    d. Notify vendor of submission status for each file and any changes to submission status.
3. Project Team analyst conducts review.
    a. Review data file content and summary log to identify any potential anomalies (see details in appendices).
    b. If needed, vendors resolve any issues and resubmit data within the specified timeframe.

Data Submission Process (Cont'd)

4. Submission is completed.

    a. Data files pass all quality checks.

    b. The Project Team accepts the files.

5. Data are resubmitted.

    a. The Project Team performs additional reviews to identify potential systemic issues.

    b. If the Project Team identifies errors, vendors receive a Primary Data Validation Report and must resubmit data within 3 business days.

    c. Data must be resubmitted and accepted by **11:59 p.m. ET on May 20, 2026**.

6. Vendors are notified.

    a. The QHP Enrollee Survey website will notify vendors via email when the status of a submitted file changes.

    b. Vendors can log into the website to view the details of the file status change.

Primary Validation Findings

- Vendors will receive a notification indicating a change in file status.
  - Fail
    - » Fatal discrepancies are identified.
    - » Vendors must resubmit the failed data file within 3 business days.
  - Pass With Review
    - » Warning discrepancies are identified; a review is required.
    - » Vendors must confirm with the Project Team via email whether (a) the responses are marked appropriately or (b) the vendor plans to resubmit the file.
  - Pass
    - » No further action is required.

Note. Appendix A (Fatal Primary Data Validation Responses) of this presentation includes all QA checks, fields being reviewed, and Fail responses. Appendix B (Warning Primary Data Validation Responses) of this presentation includes warning responses.

Post Submission

- Post-submission checks look across reporting units to identify errors or deviations for vendors' submitted files.

- After May 20, 2026, the Project Team may ask vendors additional questions or may ask them to resubmit data files.

  – Vendors will have 3 business days to resubmit data files upon request.

- Vendors should use the final data files at the close of data submissions for non-CMS reporting.

  – Vendors must provide a disclaimer on each report explaining that survey results may not be the finalized results used to generate CMS official scores for the QHP Enrollee Survey.

Data Submission Process Summary

- File submission requirements:
  - Vendors submit all final data files via the [QHP Enrollee Survey website](#).
  - Survey data files should be in CSV format.
    - » Vendors can upload ZIP files with multiple CSV files (one CSV file per reporting unit).
    - » Vendors may upload multiple ZIP files.
  - Vendors should email one data submission summary log per ZIP file to QHP_Survey@air.org, specifying the date the file was uploaded to the QHP Enrollee Survey website.
- File submission window:
  - Test data submission period: **April 8–10, 2026**.
  - Data submission period: **May 8–15, 2026**.
  - Data resubmission period: **May 18–20, 2026**.

Data Submission Process Summary (Cont'd)

- Vendor notifications:
  - Vendors will receive an email from QHP_Survey@air.org to confirm data receipt and to notify them whether the data passed the verification process.
  - If the Project Team finds errors, vendors will receive an email with a Primary Data Validation Report.
- Vendors must resubmit failed data files until they pass validation.
  - Resubmitted files overwrite the previously submitted files.

Data Submission Technical Support

- The Project Team will provide vendors with:
  - Slides from today's presentation
  - QHP Enrollee Survey Website Vendor User Guide
- Additional information is available in the QHP Enrollee Survey: Technical Specifications for 2026.
- Questions? Contact the QHP Enrollee Survey Help Desk (QHP_Survey@air.org).

QHP Enrollee Survey Website Demonstration

Questions?
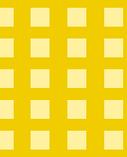
Appendix A: Fatal Primary Data Validation Responses

Fatal Primary Data Validation Responses

Quality Assurance Check	Reviewed Field(s)	Fail Response
Were all the files submitted named correctly?	NA	Vendor will receive an email informing them that their data submission files are incorrectly named.
Do the values for sex, age, and missing counts in the data submission package align with the information provided in the data submission summary log?	NA	Vendor will receive an email informing them that their data submission files are not in line with what was indicated on the data submission summary log.
Were files submitted for each Reporting_Unit_ID listed on the data submission summary log?	NA	Vendor will receive an email informing them that the package did not include the same reporting units listed on their data submission summary log.
Does Reporting_Unit_ID match the Reporting Unit in the final data submission list (i.e., QHP Master List) and is it in the correct format (i.e., 12345-TX-PPO)?	Reporting_Unit_ID	The Reporting_Unit_ID is not in the correct format.
Does the Issuer_ID match what is listed on the data submission summary log?	Issuer_ID	The Issuer_ID does not match the Issuer_ID in the data submission summary log.
Does the product in Product_Type match the product in Reporting_Unit_ID	Product_Type; Reporting_Unit_ID	The product in the Product_Type field does not match the product in the Reporting_Unit_ID field.
Is the value for n_s less than or equal to the value for n_fr?	n_s; n_fr	The n_s value is not less than or equal to n_fr.

Fatal Primary Data Validation Responses (Cont'd)

Quality Assurance Check	Reviewed Field(s)	Fail Response
Are there any records submitted with a clearly invalid plan alias in the field Name_Health_Plan that are assigned a disposition code of Complete/Partially Complete (I10, M10, T10, I31, M31, T31)?	Name_Health_Plan	The following Case IDs appear to have an invalid plan alias in Q2 but are assigned a disposition code of Complete/Partially Complete: XXX, XXX, XXX.
Is the value n_s less than or equal to M?	n_s; M	This submission included invalid values for n_s. The n_s field must be less than or equal to M.
If a record is assigned a Final_Disposition of X35, is there a corresponding Bad_Address_Flag or Bad_Telephone_Flag?	Bad_Address_Flag; Bad_Telephone_Flag; Final_Disposition	There were XX records submitted with a Final_Disposition of X35 that did not include a corresponding value of 1 for either the Bad_Address_Flag or Bad_Telephone_Flag. These include Case IDs: XXX, XXX.
If a record is assigned a Final_Disposition associated with an internet survey (I10, I31), is there a corresponding Web_Entry_Flag?	Final_Disposition; Web_Entry_Flag	There were XX records submitted with a Final_Disposition of I10 or I31 that did not include a corresponding value of 1 for the Web_Entry_Flag. These include Case IDs: XXX, XXX.
Do the fields Issuer ID, QHP_State, and Product_Type match the field Reporting_Unit ID?	Issuer_ID; QHP_State; Product_Type; Reporting_Unit_ID	This submission included responses for the fields Issuer_ID, QHP_State, Product_Type that do not align with the Reporting_Unit_ID.

Fatal Primary Data Validation Responses (Cont'd)

Quality Assurance Check	Reviewed Field(s)	Fail Response
Was the file submitted in the correct format?	All fields	The following fields were included as part of your submission but are not part of the data dictionary: XXX, XXX, XXX. The following fields were not found in your submission but are required as stated in the data dictionary: XXX, XXX.
If a survey is assigned a Final_Disposition of complete (I10, M10, T10), were at least 10 key items answered?	Final_Disposition	XX records were submitted with a Final_Disposition associated with a complete survey (I10, M10, T10) but had fewer than 10 key items answered.
If a survey is assigned a Final_Disposition of Partial Complete (I31, M31, T31), were between 1 and 9 key items answered?	Final_Dispostion	XX records were submitted with a Final_Disposition associated with a Partial Complete survey (I31, M31, T31) but did not have between 1 and 9 key items answered. These include Case IDs: XXX, XXX.
Was an invalid response submitted for any field?	All fields	The field XXX included records with the following invalid response values: XX, XX, XX. These include Case IDs: XXX, XXX.
Were "Mark one or more" questions correctly coded (i.e., Hispanic [64], Race [65] and Help [Q67] questions are only assigned a value of -3 = Blank/Nonresponse/No Answer if all Hispanic/Race/Help questions are missing)?	Hispanic (Q64-1–Q64-5) Race (Q65-1–Q65-14) Help (Q67-1–Q67-5)	XX records were submitted with a code of -3 for Hispanic, Race, or Help survey questions; however not all questions in the series were missing. These include Case IDs: XXX, XXX.

Fatal Primary Data Validation Responses (Cont'd)

Quality Assurance Check	Reviewed Field(s)	Fail Response
Is the Reporting_Unit_ID present on the final QHP list?	Reporting_Unit_ID	The Reporting_Unit_ID was not included on the final QHP list.
Were any duplicate records submitted?	NA	This submission included XX duplicate records. These include Case IDs: XXX, XXX.
Were there any duplicate Case_IDs within the Reporting_Unit_ID?	Reporting_Unit_ID; Case_ID	This submission included XX duplicate Case IDs. These include Case IDs: XXX, XXX.
Were any records submitted with blank values? *Note.* This only applies to when a submitted Field is blank; it does not apply to the "missing" responses.	NA	This submission included XX records with blank values.
Are there any records submitted for enrollees under the age of 18 as of December 31, 2025?	Enrollee_Age	This submission included XX records submitted for enrollees under the age of 18 as of December 31, 2025. These include Case IDs: XXX, XXX.
Are there any Proxy values that are invalid for mail and internet complete or partially complete surveys (i.e., Proxy must equal 2 for Final_Disposition = M10, M31, I10, I31)?	Proxy; Final_Disposition	This submission included XX records with Proxy values other than 2 for mail and internet complete or partially complete surveys (M10, M31, I10, I31). These include Case IDs: XXX, XXX.

Fatal Primary Data Validation Responses (Cont'd)

Quality Assurance Check	Reviewed Field(s)	Fail Response
Are there any Proxy values that are invalid for telephone complete or partially complete surveys (i.e., Proxy cannot equal 2 for Final_Disposition = T10, T31)?	Proxy; Final_Disposition	This submission included XX records with Proxy values equal to 2 for telephone complete or partially complete surveys (T10, T31). These include Case IDs: XXX, XXX.
Was the vendor approved to field the survey in Chinese?	Survey_Language; Survey_Vendor_Name	This submission included XX records with a Survey_Language of 3=Chinese. These include Case IDs: XXX, XXX.
If Final_Disposition is I10 or I31, are there any records that include a value of 3=Chinese for Survey_Language?	Survey Language; Final_Disposition	This submission included XX records that had a value of 3=Chinese for Survey_Language when the Final Disposition was I10 or I31.
Does the Product_Type match the name in the data submission file?	Product_Type	The Product_Type in this submission is not in alignment with the data submission file name.
Does the Survey_Mode field align with the mode included in the Final_Disposition field?	Survey_Mode; Final_Disposition	The following Case IDs have responses for the Survey_Mode field that do not align with the mode included in the Final_Disposition field: XXX, XXX.
If the Bad_Email_Flag field is endorsed (i.e., 1) is the Email_Address_Flag field also endorsed (i.e., 1)?	Bad_Email_Flag; Email_Address_Flag	XX records were submitted with a value of 1 for Bad_Email_Flag that did not include a corresponding value of 1 for Email_Address_Flag. These include Case IDs: XXX, XXX.

Fatal Primary Data Validation Responses (Cont'd)

Quality Assurance Check	Reviewed Field(s)	Fail Response
Do records with nonresponse Final_Disposition codes (X20, X22, X24, X32, X33, X35, X43) have survey data included?	Final_Disposition; In_Health_Plan; Got_HP_Info_Web; Got_Info_Pay_Serv; Got_Info_Pay_RX; Got_Info_Needed_CS; HP_Forms_Easy; HP_Not_Pay_Services; HP_Thought_Pay; Delay_Care_Cost; Delay_RX_Cost; Know_Ins_Terms; Know_Using_Ins; Rate_HP; Got_Care_Quick; Got_Appt_Quick; Num_Visits_Office; Num_Visits_Doc; Got_Specialist_Care	The following Case IDs have responses for survey fields that do not align with a Final_Disposition field equal to nonresponse (i.e., X20, X22, X24, X32, X33, X35, X43): XXX, XXX.
Does a record with a value of 1 for the Web_Entry_Flag field also have a value of 1 through 4 for the Internet_Opt_In field?	Web_Entry_Flag; Internet_Opt_In	XX records were submitted with a value of 1 for the Web_Entry_Flag field that did not have a corresponding value of 1 through 4 for the Internet_Opt_In field. These include Case IDs: XXX, XXX.

Fatal Primary Data Validation Responses (Cont'd)

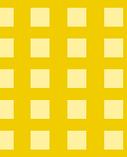| Quality Assurance Check	Reviewed Field(s)	Fail Response
Do all records include the same value(s) for fields collected at the reporting unit level?	QHP_Issuer_Name; Product_Type, Issuer_ID, QHP_State; Reporting_Unit_ID; n_s; n_fr; M; Total_Enrollment; Collection_Start_Date; Collection_End_Date	The value(s) for the following fields are not the same for all individuals within the reporting unit: XXX, XXX, XXX.
Are all Completed and Partially Complete surveys (i.e., Final_Disposition = I10, I31, M10, M31, T10, T31) assigned a valid Date_Complete (i.e., MMDDYY)?	Date_Complete; Final_Disposition	The value for the Date_Complete field is invalid for the following Case IDs: XXX, XXX.
Do records with a Final_Disposition of I10 or I31 have an Internet_Opt_In value of 1, 2, or 3?	Internet_Opt_In; Final_Disposition	The following Case IDs have responses for the Internet_Opt_In field other than 1, 2, or 3 for Final_Dispositions responses of I10 or I31. These include Case IDs: XXX, XXX.
Is the vendor authorized to field the survey for the Reporting_Unit_ID?	Reporting_Unit_ID; Survey_Vendor_Name	Vendor was not authorized to field the survey for Reporting_Unit_ID.
Is the value for n_s less than or equal to the value for sample size submitted in the packing slip and Oversight Report 3?	n_s	The n_s align with what was submitted as part of the sampling frame.

Fatal Primary Data Validation Responses (Cont'd)

Quality Assurance Check	Reviewed Field(s)	Fail Response
Does a record with a Final_Disposition of I10, I31, M10, M31, T10, or T31 have a value of 1 or 2 for the Mixed_Mode field ?	Mixed_Mode; Final_Disposition	XX records were submitted with a Final_Disposition of Complete or Partially Complete (i.e., I10, I31, M10, M31, T10, T31) without a value of 1 or 2 for Mixed Mode. These include Case IDs: XXX, XXX.
Does a record with a Final_Disposition code of either partially complete or complete internet or phone survey (i.e., I10, I31, T10, or T31) also include a valid value for the Response_Time?	Response_Time; Final_Disposition	XX records had a Final_Disposition of Complete or Partially Complete Internet or phone survey (i.e., I10, I31, T10, T31) without a value for Response_Time. These include Case IDs: XXX, XXX.
Is Total_Enrollment greater than 500?	Total_Enrollment	The Total Enrollment for the Reporting Unit is not greater than 500. Reporting Units must have 500 enrollees as of January 1, 2026.
Do records with a final disposition of complete or partially complete telephone survey have a survey round value of T1, T2, T3, T4, T5, T6, or TN?	Survey_Round; Final_Disposition	XX records had a Final_Disposition of Complete or Partially Complete telephone survey (i.e., T10,T31) but did not have a value of T1,T2,T3, T4, T5,T6, or TN for Survey_Round. These include Case IDs: XXX, XXX.
Do records with a Final_Disposition of Complete or Partially Complete telephone survey have a survey round value of T1, T2, T3, T4, T5, T6, or TN?	Survey_Round; Final_Disposition	XX records had a Final_Disposition of Complete or Partially Complete telephone survey (i.e., T10, T31) but did not have a value of T1, T2, T3, T4, T5, T6, or TN for Survey_Round. These include Case IDs: XXX, XXX.

Fatal Primary Data Validation Responses (Cont'd)

Quality Assurance Check	Reviewed Field(s)	Fail Response
Do records with a Final_Disposition of Complete or Partially Complete mail survey have a survey round value of M1 or M2?	Survey_Round; Final_Disposition	XX records had a Final_Disposition of Complete or Partially Complete mail survey (i.e., M10, M31) but did not have a value of M1 or M2 for Survey_Round. These include Case IDs: XXX, XXX.
Do records with a Final_Disposition of Complete or Partially Complete internet survey have a survey round value of IN?	Survey_Round; Final_Disposition	XX records had a Final_Disposition of Complete or Partially Complete internet survey (i.e., I10, I31) but did not have a value of IN for Survey_Round. These include Case IDs: XXX, XXX.
Do records with a Final_Disposition other than Complete or Partially Complete mail, telephone, or internet survey have a survey round value of NC?	Survey_Round; Final_Disposition	XX records had a Final_Disposition other than Complete or Partially Complete mail, telephone, or internet survey (i.e., X20, X40, X43, X22, X24, X32, X33, X34, or X35) but did not have a value of NC for Survey_Round. These include Case IDs: XXX, XXX.
Do records with a Survey_Round value of T1, T2, T3, T4, T5, or T6 have a Final_Disposition of Complete or Partially Complete telephone survey?	Survey_Round; Final_Disposition	XX records had a Survey_Round response of T1, T2, T3, T4, T5, T6, or TN but did not have a Final_Disposition of Complete or Partially Complete telephone survey (i.e., T10, T31).

Fatal Primary Data Validation Responses (Cont'd)

Quality Assurance Check	Reviewed Field(s)	Fail Response
Do records with a Survey_Round value of M1 or M2 have a Final_Disposition of Complete or Partially Complete mail survey?	Survey_Round; Final_Disposition	XX records have a Survey_Round response of M1 or M2 but do not have a Final_Disposition of Complete or Partially Complete mail survey (i.e., M10, M31).
Do records with a Survey_Round value of IN have a Final_Disposition of Complete or Partially Complete internet survey?	Survey_Round; Final_Disposition	XX records have a Survey_Round response of IN but do not have a Final_Disposition of Complete or Partially Complete internet survey (i.e., I10, I31).
Do records with a Survey_Round value of NC have a Final_Disposition other than Complete or Partially Complete internet, telephone, or mail survey?	Survey_Round; Final_Disposition	XX records have a Survey_Round response of NC but do not have a Final_Disposition other than Complete or Partially Complete telephone survey (i.e., X20, X40, X43, X22, X24, X32, X33, X34, or X35).

Appendix B. Warning Primary Data Validation Responses

Warning Primary Data Validation Responses

Quality Assurance Check	Reviewed Field(s)	Fail Response
Do any records have a value greater than 9 for K?	K	XX records were submitted with K greater than 9. These include Case IDs: XXX, XXX. Please confirm the accuracy of these values.
If the value of Medicaid_Expansion is 1, is the Variant_ID between 31 and 36?	Medicaid_Expansion; Variant_ID	This submission included XX records where the Medicaid_Expansion is 1 but the Variant_ID is not between 31 and 36 (i.e., not associated with QHPs offering coverage to Medicaid Expansion enrollees). These include Case IDs: XXX, XXX.
Does the enrollee response for the Age field align with the Enrollee_Age in the sampling frame? *Note.* This review allows for ±1 year difference.	Age, Enrollee_Age	More than 5% of Case IDs have responses for the Age field that do not align with the Enrollee_Age field in the sampling frame. These include Case IDs: XXX, XXX.
Does the enrollee response for the Sex field align with the Enrollee_Sex field in the sampling frame?	Enrollee_Sex; Sex	More than 5% of Case IDs have responses for the Sex field that do not align with the Enrollee_Sex field in the sampling frame. These include Case IDS: XXX, XXX.
If the Bad_Address_Flag field is endorsed (i.e., 1), is the Final_Disposition not equal to M10 or M31?	Bad_Address_Flag; Final_Disposition	XX records were submitted with a value of 1 for Bad_Address_Flag that had a Final_Disposition of M10 or M31. These include Case IDs: XXX, XXX.

Warning Primary Data Validation Responses (Cont'd)

Quality Assurance Check	Reviewed Field(s)	Fail Response
If the Bad_Telephone_Flag field is endorsed (i.e., 1), is the Final_Disposition not equal to T10 or T31?	Bad_Telephone_Flag; Final_Disposition	XX records were submitted with a value of 1 for Bad_Telephone_Flag that had a Final_Disposition of T10 or T31. These include Case IDs: XXX, XXX.
Do records with an Internet_Opt_In value of 1, 2, or 3 have a Final_Disposition of I10, I31, or Ineligible/Blank Survey?	Internet_Opt_In; Final_Disposition	The following Case IDs have responses for the Internet_Opt_In field other than 1, 2, or 3 for Final_Dispositions responses of I10 or I31. These include Case IDs: XXX, XXX.
Do records with a response for Internet_Device_Type between 1 and 5 have with a Final_Disposition code of I10, I31, or Ineligible/Blank Survey?	Internet_Device_Type; Final_Disposition	The following Case IDs have responses for the Internet_Device_Type field (i.e., 1, 2, 3, 4, or 5) that do not have a Final_Disposition field equal to I10 or I31: XXX, XXX.
Do records with a response for the Internet_Device_Type field of 6 have a Final_Disposition of M10, M31, T10, T31 or Ineligible/Blank Survey?	Internet_Device_Type; Final_Disposition	The following Case IDs have responses for the Internet_Device_Type field (i.e., 6) that do not have a Final_Disposition field equal to M10, M31, T10, or T31: XXX, XXX.
Do records with a response for the Internet_Browser field between 1 and 6 have a Final_Disposition of I10 or I31 or Ineligible/Blank Survey?	Internet_Browser; Final_Disposition	The following Case IDs have responses for the Internet_Browser field (i.e., 1, 2, 3, 4, 5, or 6) that do not have a Final_Disposition field equal to I10 or I31: XXX, XXX.

Warning Primary Data Validation Responses (Cont'd)

Quality Assurance Check	Reviewed Field(s)	Fail Response
Do records with a response for the Internet_Browser field of 9 have a Final_Disposition of X20, X22, X24, X32, X33, X35, or X43?	Internet_Browser; Final_Disposition	The following Case IDs have responses for the Internet_Browser field (i.e., 9) that do not have a Final_Disposition field equal to X20, X22, X24, X32, X33, X35, or X43: XXX, XXX.
Do records with a response for the Internet_Browser field of 7 have a Final_Disposition of M10, M31, T10, T31, or Ineligible/Blank Survey?	Internet_Browser; Final_Disposition	The following Case IDs have responses for the Internet_Browser field (i.e., 7) that do not have a Final_Disposition field equal to M10, M31, T10, or T31: XXX, XXX.
Does a record with a value of 1 or 2 for the Mixed_Mode field also have a partially complete or complete mail, Internet, or phone survey (i.e., Final_Disposition = I10, I31, M10, M31, T10, or T31) or Ineligible/Blank Survey?	Mixed_Mode; Final_Disposition	XX records were submitted with a value of 1 or 2 for Mixed Mode that did not include a Final_Disposition of Complete or Partially Complete (i.e., I10, I31, M10, M31, T10, or T31). These include Case IDs: XXX, XXX.
Does a record with a value between 1 to 4 for the Survey_Mode field also have a final disposition code of either partially complete or complete mail, Internet or telephone survey (i.e., I10, I31, M10, M31, T10, or T31) or Ineligible/Blank Survey?	Survey_Mode; Final_Disposition	XX records were submitted with a value of 1 through 4 for Survey_Mode that did not include a Final_Disposition of partially complete or complete mail, Internet or phone survey. These include Case IDs: XXX, XXX.

Warning Primary Data Validation Responses (Cont'd)

Quality Assurance Check	Reviewed Field(s)	Fail Response
If a survey has at least 10 key items answered, is the Final_Disposition either Complete (I10, M10, or T10) or Ineligible/Blank Survey?	Final_Disposition	XX records were submitted with at least 10 key items answered, but that had a Final_Disposition other than Complete (I10, M10, or T10) or Ineligible. These include Case IDs: XXX, XXX.
Does the sample frame variable meet the minimum completeness thresholds?	QHP_Issuer_Name; Product_Type, Issuer_ID, QHP_State; Reporting_Unit_ID; Total_Enrollment; Enrollee_Age; Enrollee_Sex; Metal_Level; Variant_ID; Spoken_Language_Preference; Written_Language_Preference; APTC_CSR; Plan_Marketing_Name; Plan_Name_Fill; Reporting_Status;	The following sample variables did not meet the minimum completeness thresholds specified in the QHP Enrollee Survey Technical Specifications: XXX, XXX.

Warning Primary Data Validation Responses (Cont'd)

Quality Assurance Check	Reviewed Field(s)	Fail Response
Do records with blank (-3) responses to In_Health_Plan and Name_Health_Plan have a final disposition coded other than X40 Ineligible?	In_Health_Plan; Name_Health_Plan	XX records had no response to In_Health_Plan and Name_Health_Plan but had Final_Disposition of X40. These include Case IDs: XXX, XXX.
Do records with a final disposition of completed internet or telephone survey have a response time less than 2 minutes?	Response_Time; Final_Disposition	XX records had a Final_Disposition of complete internet or telephone survey (I10, T10) with a response time less than 2 minutes. These include Case IDs: XXX, XXX.