Office of Financial Management/Financial Services Group

April 5, 2011

<u>Implementation of Medicare Secondary Payer Mandatory Reporting Provisions in
Section 111 of the Medicare, Medicaid, and SCHIP Extension Act of 2007</u>
(See 42 U.S.C. 1395y(b)(7) & (8))

ALERT: Upgrade of Query Files and HEW Software to ASC X12 270/271 Version 5010A1 as of January 1, 2012

This ALERT notifies all Section 111 Responsible Reporting Entities (RREs) of the schedule to upgrade the Eligibility Inquiry/Response transaction set used for Section 111 query files and the HIPAA Eligibility Wrapper (HEW) translation software, to the ASC X12 270/271 Version 5010A1. Currently, these transactions are exchanged using Version 4010A1. This upgrade affects all Section 111 query files exchanged with CMS' Coordination of Benefits Contractor (COBC). Since differences between the use of Versions 4010A1 and 5010A1 for Section 111 query files are minor, the adoption of Version 5010A1 should not be difficult.

- RREs using the HEW software will be required to upgrade to a new version of the HEW software (Version 3.0.0) by January 1, 2012.
  - A copy of the new PC/server Version 3.0.0 of the HEW software will be available for download on the Section 111 COB Secure Web site (COBSW) on October 1, 2011 at www.section111.cms.hhs.gov.
  - RREs using the mainframe version of the HEW may request a copy of new HEW Version 3.0.0 from their COBC EDI Representative as of October 1, 2011.
  - The layouts for the flat file input and output for HEW Version 3.0.0 will be the same as those used for HEW Version 2.0.0 as documented in appendices of the current versions of the Section 111 User Guides.
  - HEW Versions 1.2.0 and 2.0.0 will be discontinued as of January 1, 2012 and may no longer be used as of that date by Section 111 RREs.
- Section 111 X12 270/271 companion guides for those RREs using their own X12 translator software will be published by July 1, 2011.
- Test and production query files may be submitted using Version 5010A1 (and HEW Version 3.0.0) as of October 1, 2011.
- Test and production query files may continue to be submitted using Version 4010A1 (and HEW Versions 1.2.0 and 2.0.0) through December 31, 2011.
- The COBC will return the same version number on the 271 as submitted by the RRE on the 270. If an RRE submits Version 4010A1 and the file is accepted and processed, the COBC will return Version 4010A1. If an RRE submits Version 5010A1 and the file is accepted and processed, the COBC will return Version 5010A1. RREs must use the same version of the HEW software to process the query response files as they used to submit the query input files.
- The COBC will not accept files submitted using Version 4010A1 after December 31, 2011.
- Testing of the new version is recommended but not required.
- **RREs must upgrade to ASC X12 270/271 Version 5010A1 (or use HEW Version 3.0.0) no later than January 1, 2012.**

- Query files submitted on or after January 1, 2012 under Version 4010A1 (or created using HEW Versions 1.2.0 and 2.0.0) will be rejected with a severe error and not processed. Version 4010A1 query files (or query files created using HEW Versions 1.2.0 and 2.0.0) submitted and accepted by December 31, 2011, but processed on or after January 1, 2012 will be returned using Version 4010A1.
- The Section 111 User Guides will be updated to include information regarding this change.

Section 111 X12 270/271 and HEW Software Upgrade to Version 5010	
Timeframe	**Activity**
July 1, 2011	• Section 111 ASC X12 270/271 Companion Guides for Version 5010A1 will be published for those RREs using their own X12 translators
October 1, 2011	• Mainframe and PC/Server versions of HEW software Version 3.0.0 for Version 5010A1 of ASC X12 270/271 available for all RREs
October – December 2011	• Testing Period • Version 5010A1 of X12 270/271 accepted for test and production Section 111 query files • Version 4010A1 continues to be accepted for test and production files • New version of HEW software (3.0.0) may be used • Old versions of the HEW software (2.0.0 and 1.2.0) may be used
January 1, 2012	• All RREs must upgrade to Version 5010A1 for both test and production Section 111 query files • Version 3.0.0 of the HEW software must be used (by RREs not using their own X12 translator) • Prior versions of HEW software (2.0.0 and 1.2.0) may no longer be used • Query files submitted under Version 4010A1 no longer accepted