DEPARTMENT OF HEALTH & HUMAN SERVICES Centers for Medicare & Medicaid Services

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Center for Clinical Standards and Quality/Quality, Safety & Oversight Group

Ref: Admin Info: 20-06-CLIA EXPIRED

DATE: December 4, 2025

TO: State Survey Agency Directors

FROM: Director, Quality, Safety & Oversight Group

SUBJECT: EXPIRED: CMS SARS-CoV-2 Laboratory Testing Comparison

Memo Information:

Memo expiration date: 2025-12-04 Original release date: 2020-05-21

Memorandum Summary

- CMS is committed to taking critical steps to ensure America's clinical laboratories can respond to the threat of the 2019 Novel Coronavirus (COVID-19) and other respiratory illnesses to ensure patient health and safety.
- Laboratories need a Clinical Laboratory Improvement Amendments (CLIA) certificate to perform severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) testing. Under CLIA, laboratories are prohibited from testing human specimens for the purpose of diagnosis, prevention, treatment, or health assessment without a valid CLIA certificate. This also applies to facilities not typically considered to be laboratories that are performing SARS-CoV-2 testing.
- This guidance is a part of the Centers for Medicare & Medicaid Services (CMS) effort to clarify:
 - The types of severe acute respiratory syndrome coronavirus 2
 (SARS-CoV-2) testing and whether the tests are being offered under an
 Emergency Use A20-Xuthorization (EUA) issued by FDA or as described in
 FDA's COVID-19 Test Guidance
 - The CLIA certifications under which each test can be performed
 - o An explanation of requirements under each testing scenario
 - Updated information for Medicare beneficiaries on testing services and coverage

Background:

CMS is committed to taking critical steps to ensure America's clinical laboratories can respond to the threat of COVID-19 and other respiratory illnesses to protect patient health and safety. The intent of the CLIA program is to ensure that test results provided to individuals and their health care providers are accurate and reliable.

Laboratories need a CLIA certificate to perform SARS-CoV-2 testing. Under CLIA, laboratories are prohibited from testing human specimens for the purpose of diagnosis, prevention, treatment,

or health assessment without a valid CLIA certificate. Clinical laboratories and facilities such as academic laboratories, research laboratories, pharmacies, physician offices, urgent care clinics, and veterinary laboratories need CLIA certification to perform SARS-CoV-2 testing on human specimens. This guidance is part of the CMS effort to clarify the types of SARS-CoV-2 testing, whether the tests are being offered under an EUA issued by FDA or as described in FDA's COVID-19 Test Guidance, the CLIA certifications and requirements under which testing can be performed, and information for Medicare beneficiaries on testing services and coverage.

Guidance:

This guidance is intended to clarify the different types of testing available for laboratories, whether the tests are being offered under an EUA issued by FDA or as described in FDA's COVID-19 Test Guidance for these tests systems, and the CLIA certificates under which testing can be performed. As of today, there are two different types of SARS-CoV-2 testing. One type is molecular, which detects nucleic acid from SARS-CoV-2. The other type is serology, or antibody testing, which measures SARS-CoV-2 antibodies present in the blood. There is a third type of SARS-CoV-2 test which detect antigens present in the blood. As of today, no antigen tests for SARS-CoV-2 have been authorized by FDA. Such tests will be added to the FDA website when authorized.

Currently, COVID-19 tests are being offered that have been FDA authorized under section 564 of the Federal Food, Drug, and Cosmetic Act (FD&C Act) (these are listed on the FDA website here.) or under the policies outlined in the FDA's Policy for Diagnostic Tests for Coronavirus Disease-2019 ("COVID-19 Test Guidance"). This document discusses policies applicable to testing for COVID-19, including Laboratory Developed Tests (LDTs). "FDA notification" means that the laboratory or manufacturer has provided FDA with notification that it has validated its test as described in the policies outlined in FDA's COVID-19 Test Guidance and is now listed on the FDA website here.

Further, an explanation of covered testing services for beneficiaries and payment rates for SARS-CoV-2 tests under Medicare is included with this information.

CMS has received many questions about which assays can be performed under which type of CLIA certificate. This document delineates which assays offered can be performed by laboratories under each of the CLIA Certificate types. CLIA has four different certificate types, which are Certificate of Waiver, Certificate of Provider-Performed Microscopy, Certificate of Compliance, and Certificate of Accreditation. The required certificate type depends on whether the test was issued an EUA, and if so, the authorized settings included in the Emergency Use Authorization (EUA).

For information about CMS' response to the COVID-19 public health emergency, please refer to our CMS QSO 20-21-CLIA guidance document and CMS CLIA COVID-19 FAQs

Contact: For questions or concerns relating to this memorandum, please contact LabExcellence@cms.hhs.gov

Effective Date: Immediately. Please communicate to all appropriate staff within 30 days.

/s/
David R. Wright
Director, Quality, Safety & Oversight Group

Attachments: CMS COVID-19 Testing Infographic

Resources to Improve Quality of Care:

Check out CMS's new Quality in Focus interactive video series. The series of 10–15 minute videos are tailored to provider types and aim to reduce the deficiencies most commonly cited during the CMS survey process, like infection control and accident prevention. Reducing these common deficiencies increases the quality of care for people with Medicare and Medicaid. Learn to:

- Understand surveyor evaluation criteria
- Recognize deficiencies
- Incorporate solutions into your facility's standards of care

See the Quality, Safety, & Education Portal Training Catalog, and select Quality in Focus

Receive email notification for memos:

Get guidance memos issued by the Quality, Safety and Oversight Group by going to <u>CMS.gov page</u> and entering your email to sign up. Check the box next to "CCSQ Policy, Administrative, and Safety Special Alert Memorandums" to be notified when we release a memo.

TYPE OF SARS-CoV-2 TESTING

FDA AUTHORIZATION/ **NOTIFICATION**

CLIA CERTIFICATES UNDER WHICH TESTING CAN BE PERFORMED

REQUIREMENTS



TEST KITS

Molecular tests detect nucleic acid from SARS-CoV-2

Test authorized under EUA for point-of-care (deemed Waived) May be performed under all certificate types

 Perform testing as per Manufacturer's Instruction (MI)

Perform Quality Control as per MI

• No personnel requirements



Serology tests detect SARS-CoV-2 antibodies present in the blood

Test authorized under EUA for high and/or moderate complexity

FDA notified,** but test is not

FDA authorized under EUA

O Certificate of Compliance O Certificate of Accreditation Must meet requirements for **Moderate** or **High Complexity Testing**, depending upon test complexity or setting, as authorized in EUA



Antigen tests detect SARS-CoV-2 antigens present in the blood

Required certificate type depends on authorized settings included

Authorization (EUA)

in Emergency Use

Test not authorized under

O Certificate of Compliance O Certificate of Accreditation Must meet requirements for **High Complexity Testing (regardless of** whether manufacturer intends for test

to be point-of-care/waived)

EUA and FDA NOT notified

Email: FDA-COVID-19-Fraudulent-Products@fda.hhs.gov



LABORATORY DEVELOPED TESTS (LDTs)

In vitro diagnostic test that is designed, manufactured and used within a single laboratory; can be a molecular, serology, or antigen test

LDT authorized under EUA

O Certificate of Compliance O Certificate of Accreditation Must meet requirements for **High Complexity Testing**

FDA notified, ** but LDT not authorized under EUA

O Certificate of Compliance Certificate of Accreditation Must meet requirements for **High Complexity Testing**

LDT authorized by State Authority through a State Approved Program Certificate of Compliance Certificate of Accreditation Must meet requirements for **High Complexity Testing**

LDT not authorized under EUA or State Authority and **FDA NOT NOTIFIED**

Email: FDA-COVID-19-Fraudulent-Products@fda.hhs.gov



AT-HOME

At-Home Specimen Collection and Testing

Home specimen collection or home testing is not permitted unless explicitly authorized under EUA

*Laboratories and facilities such as academic laboratories, research laboratories, pharmacies, and veterinary laboratories would need CLIA certification to perform SARS-CoV-2 testing
**FDA notified means that the laboratory or manufacturer has notified FDA as described in FDA's COVID-19 Test Guidance and is now listed on the FDA website here

Page 1 of 3

// CMS ACTIONS TO EXPAND SARS-CoV-2 TESTING



WHERE MEDICARE BENEFICIARIES CAN GET TESTED







// MEDICARE PAYMENT FOR LAB SERVICES

MEDICARE PAYMENT FOR LAB SERVICES