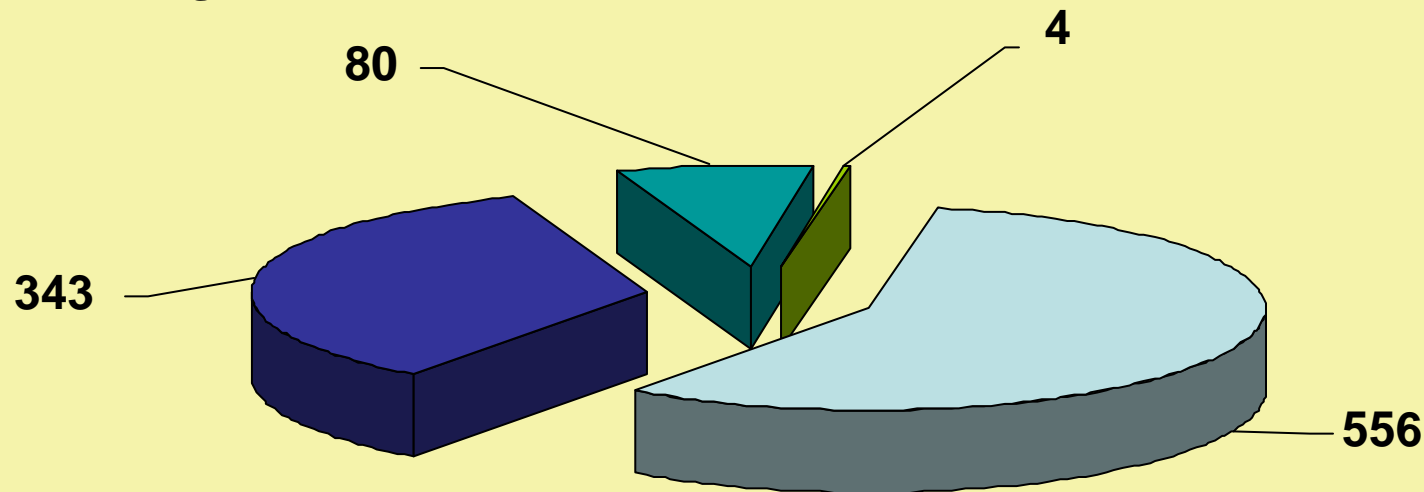


**CMS Enforcement Statistics Report**  
**Open and Closed Cases by Type**  
**As of August 30, 2007**

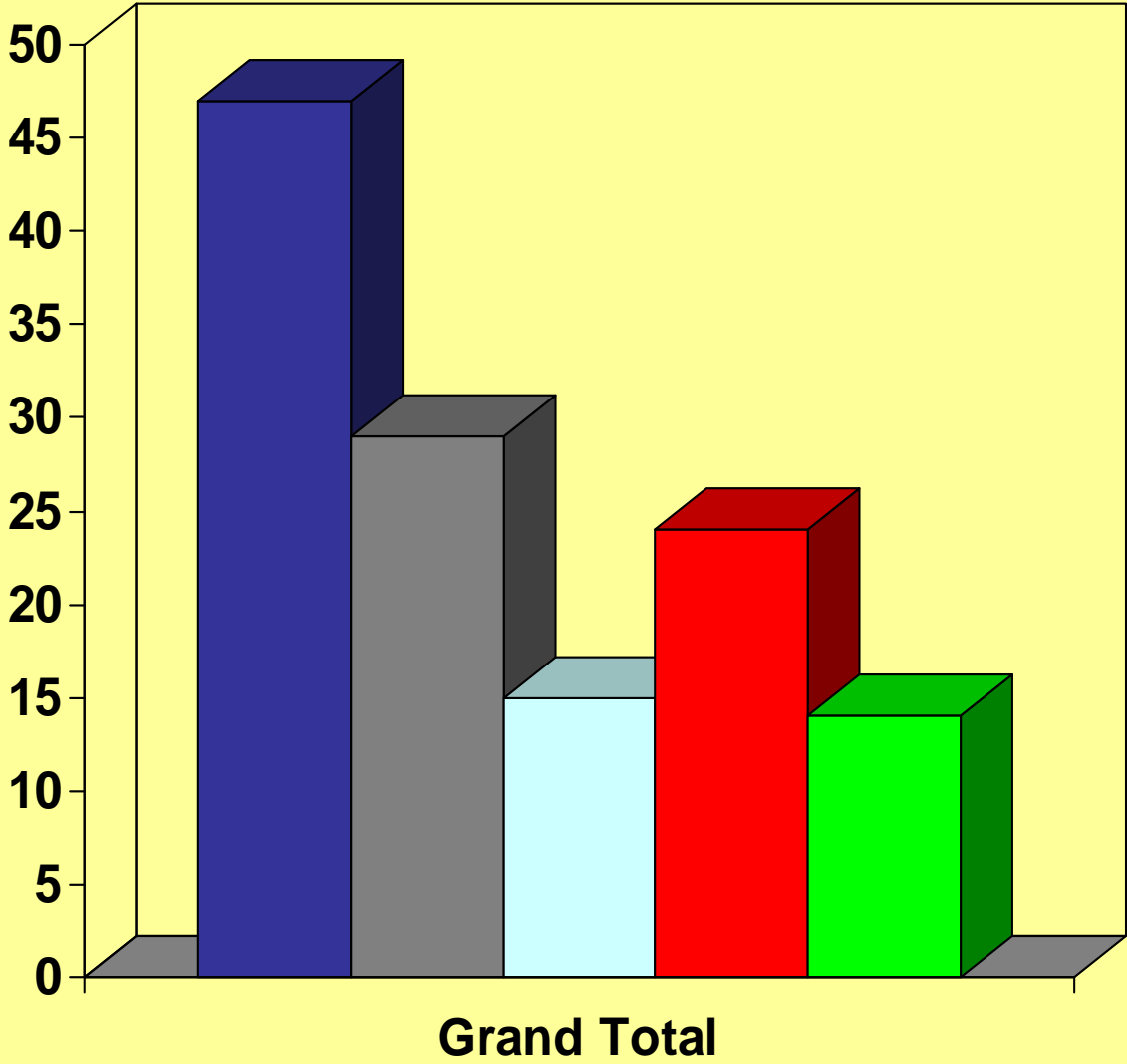


<b>Complaint Type</b>	<b>Total</b>	<b>Open</b>	<b>Closed</b>
Transactions and Code Sets (TCS)	556	86	470
Security	343	145	198
National Provider Identifier (NPI)	4	1	3
Other- Includes invalid and test cases	80	8	72
<b>Total</b>	<b>983</b>	<b>240</b>	<b>743</b>

**Open** – Outstanding issues remain. Entity may be under a corrective action plan or additional information from either the complainant, the filed against entity, or both is being sought.

**Closed** – No further action required. All issues have been sufficiently resolved. Please note that 39 of the 198 security cases have been closed via corrective actions.

# Summary of Most Common Security Complaints



- 164.310(b) Workstation Use
- 164.310(d)(1) Device and Media Controls
- 164.312(a)(1) Access Control
- 164.312(d) Person or Entity Authentication
- 164.312(e)(1) Transmission Security