Quality Payment

2017 Medicare Shared Savings Program
Accountable Care Organization (ACO)
Guide:
Enterprise Identity Data Management (EIDM)
Account and Role Set Up



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Introduction

If your ACO is participating in the Medicare Shared Savings Program (Shared Savings Program) for performance year 2017, then you must set up the necessary Enterprise Identity Management (EIDM) accounts and roles to enter and submit quality data through the CMS Web Interface (CMS WI) and access its Merit-based Incentive Payment System (MIPS) performance feedback. When available, the CMS WI and MIPS performance feedback will be accessible through the Quality Payment Program (QPP) Portal that will be available on the QPP website at qpp.cms.gov and announced through the ACO Spotlight Newsletter.

This ACO guide describes EIDM roles for the ACO Security Official and the ACO's Web Interface Submitter only.

EIDM accounts will allow your ACO to:

- Access the QPP Portal qpp.cms.gov;
- Access the CMS WI to download your Beneficiary Sample prior to the CMS WI data submission period;
- Access the CMS WI training environment;
- Enter and submit quality data via the CMS WI during the submission period to fulfill program requirements for complete and accurate reporting.
- Access the ACO's MIPS performance feedback and payment adjustment information; and
- Submit a targeted review request on qpp.cms.gov

Groups and individual practitioners participating in an ACO should refer to the MIPS EIDM User Guide for guidance on creating group or individual EIDM roles.

EIDM Accounts and Roles Overview

In order to report CMS WI data and access its MIPS performance feedback, each ACO must have individuals with the ACO Security Official (ACO SO) and Web Interface Submitter roles within the EIDM **Physician Quality and Value Programs Application**. In order to access the EIDM Physician Quality and Value Programs Application to request the ACO SO and Web Interface Submitter roles, individuals will need to first create an EIDM account. The table below provides important information describing each role needed for CMS WI quality reporting and to access MIPS performance feedback.

Role	Responsibilities	Approval
ACO Security Official (ACO SO)	User must be from the ACO and approves Web Interface Submitter ole requests by EIDM account holders for their organization. The ACO SO validates the users who can access the CMS WI and report quality data. The ACO SO has access to the CMS WI to download the Beneficiary Sample, participate in the training environment, enter and submit quality data, and generate reports. The ACO SO can also access the ACO's MIPS performance feedback and payment adjustment information, and submit a targeted review request on qpp.cms.gov. *All users must be in the United States of America.	Requests may be automatically approved in the system when requesting the ACO SO role. Individuals have 3 attempts to submit their ACO SO role request with accurate information. After 3 failed attempts, the request will be sent to the QPP Service Center for manual approval. Please contact the QPP Service Center at qpp@cms.hhs.gov for assistance. ACOs may have more than 1 ACO SO. We recommend having more than 1 ACO SO is out of the office or unable to approve WI Submitters.

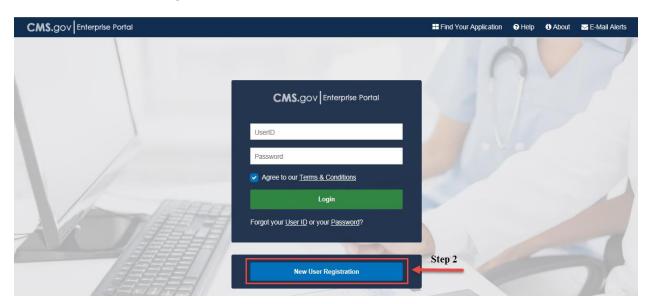
Role	Responsibilities	Approval
Web Interface Submitter	User has access to the CMS WI, through the QPP Portal, to download the Beneficiary Sample, participate in the training environment, enter and submit quality data, and generate reports. The Web Interface submitter can also access the ACO's MIPS performance feedback and payment adjustment information, and submit a targeted review request on qpp.cms.gov.	The ACO SO must approve Web Interface Submitter requests.
	Note: An organization must have an ACO Security Official before a user can request the Web Interface Submitter role. To determine the ACO's Security Official, please contact the QPP Service Center at 1-866-288-8292 / TTY 877-715-6222 or by email at qpp@cms.hhs.gov. You will need to provide the ACO's Primary TIN and Legal Business Name. *Third party vendors may be a Web Interface Submitter, but all users must	
	Interface Submitter, but all users must be in the United States of America.	

How to Register and Create EIDM Accounts

If you already have an active EIDM account, then you do not need to set up a new EIDM account. Please note screenshots are taken from a test environment and may not display exactly what you see on your screen.

Steps for Creating a New EIDM Account:

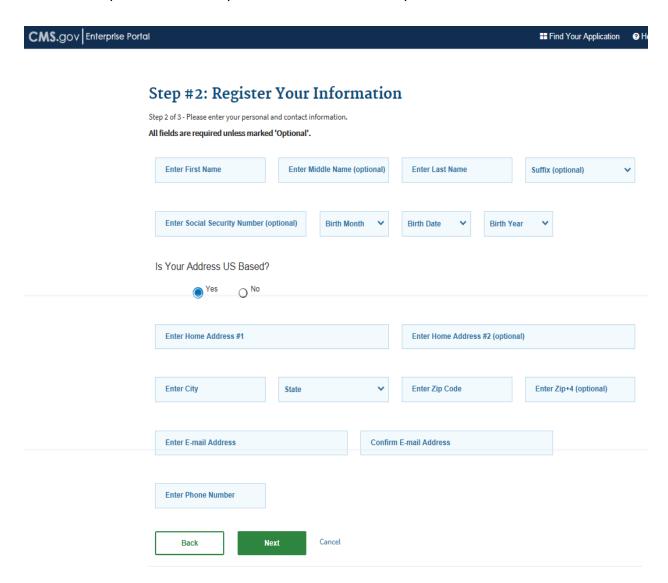
- Navigate to https://portal.cms.gov/.
 The CMS Enterprise Portal page is the same website used to access the ACO Portal, but your CMS user ID for the ACO Portal will not give you the access you need to request roles. Please create an EIDM account if you do not have one or use your existing EIDM account to request the necessary roles.
- 2. Select the 'New User Registration' link.



3. Select **Physician Quality and Value Programs** application from the dropdown menu and agree to the terms and conditions.



4. The 'Register Your information' page is displayed. Provide the information requested on the 'Register Your Information' page. The fields with an asterisk (*) are required fields and have to be completed. After all required information has been provided, select 'Next' to continue.



NOTE: You may select '**Cancel**' at any time to exit out of the user ID registration process. All information provided, and any changes made, will not be saved.

After providing the required information on the 'Register Your Information' page, the 'Create User ID, Password & Security' page is displayed.

- 5. **Create and enter a user ID** of your choice and based on the requirements for creating a user ID.
- 6. **Create and enter a password** of your choice. Enter the same password for 'Confirm Password'. The passwords must match before you can continue.

NOTE: Please follow the following rules for setting up a user ID and password:

- **USER ID:** Your user ID must: Be a minimum of 6 and a maximum of 72 alphanumeric characters Contain at least 1 letter Cannot contain your Social Security Number (SSN) or any 9 consecutive numbers Allowed special characters are dashes (-), underscores (_), apostrophes ('), and periods (.), followed by alphanumeric characters.
- Note: Do not use the @ symbol when creating your User ID.
- Password: Your password must be a minimum of 8 and a maximum of 20 characters long. It must contain at least 1 letter, 1 number, 1 uppercase letter, and 1 lowercase letter. It cannot contain your user ID.
- 7. In the 'Select Security Questions and Answers' section, select a question of your choice and enter the answer you want to be saved with the question. Repeat for questions 2 and 3.

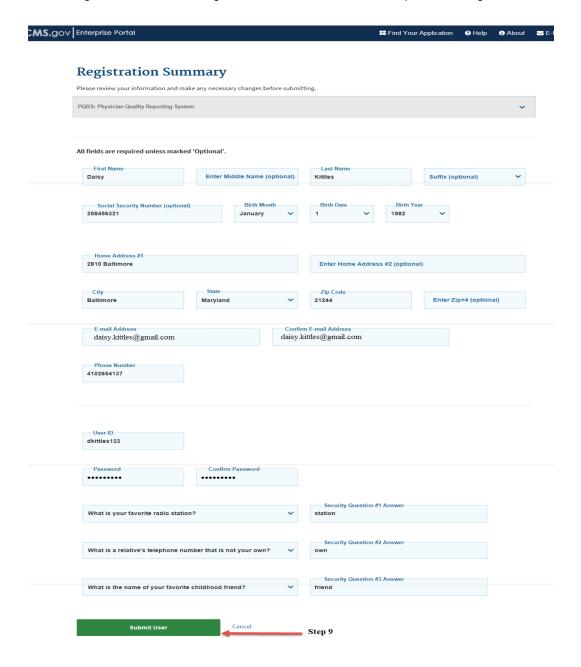
Step #3: Create User ID, Password & Security

Step 3 of 3 - Please create User ID and Password, Select security questions and provide answers.

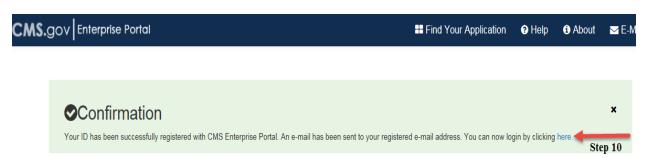
Step 5 Enter User ID Step 7 Enter Password Enter Confirm Password Step 6 Select Security Question #1 Enter Security Question #1 Answer Select Security Question #2 Enter Security Question #2 Answer Select Security Question #3 Enter Security Question #3 Answer Next Cancel Back Step 8

NOTE: You may select '**Cancel**' at any time to exit out of the user ID registration process. All information provided, and any changes made, will not be saved.

- 8. Select 'Next' and you will be directed to Registration Summary page.
- 9. The **Registration Summary** page is displayed, review your information and make necessary changes before submitting. Select **Submit User** to complete the registration.



10. **Confirmation** message is displayed with information that your ID has been successfully registered with CMS Enterprise Portal and e-mail has been sent to your registered e-mail address. Select 'here' to login to CMS Enterprise Portal.



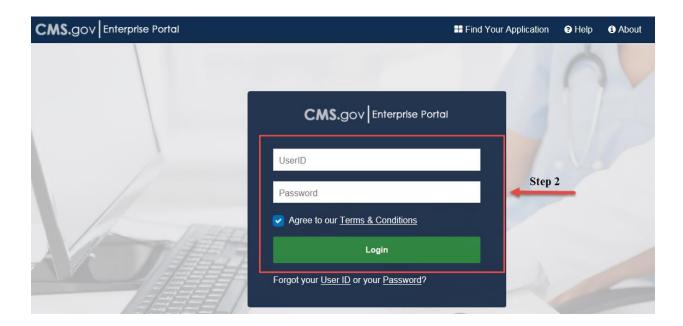
How to Set Up ACO Security Official (SO) Role

After successfully creating an EIDM user ID and password you must set up an ACO SO. If you were previously an ACO SO and maintained an active EIDM account, you can check the status of your role using the instructions provided in the section titled, "How to Check Your Role Status." Please note screenshots are taken from a test environment and may not display exactly what you see on your screen.

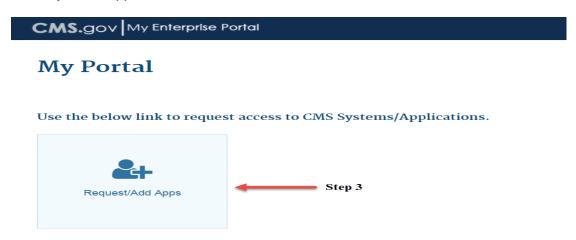
Important: You will not be able to log into the QPP Portal if your username includes an @ symbol. Please contact the Quality Payment Program by phone at 1-866-288-8292 / TTY 877-715-6222 or by email at gpp@cms.hhs.gov for assistance.

Steps to Create a New ACO SO Role:

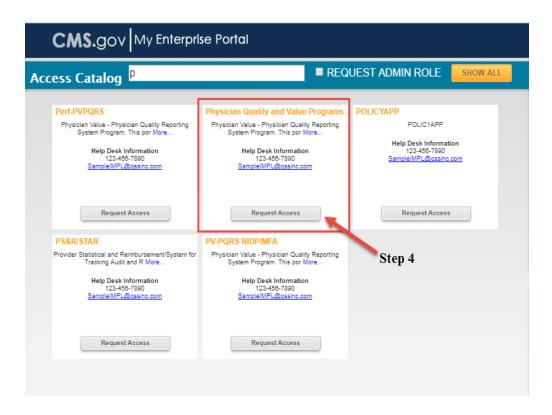
- 1. Navigate to https://portal.cms.gov. The CMS Enterprise Portal home page is displayed.
- 2. Once on the page, enter your user ID and password and agree to Terms and Conditions by clicking the checkbox.



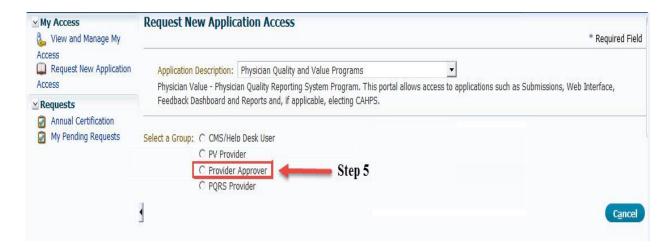
The 'My Portal' page is displayed. Select the 'Request/Add Apps' link to request access to CMS Systems/Applications.



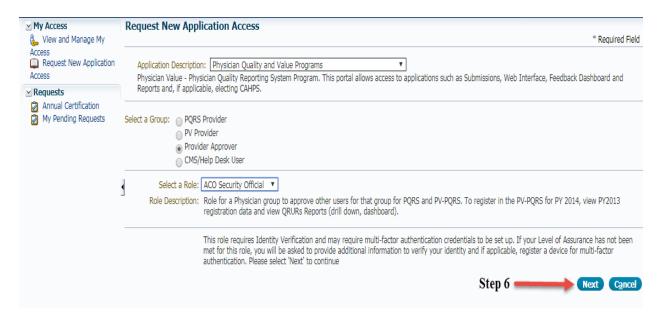
4. For the PQRS and the PV-PQRS Applications; scroll down to the 'Physician Quality and Value Programs' domain and select 'Request Access.'



5. At the top of the next screen, the Physician Quality and Value Programs Domain will be auto-populated. Under 'Select a Group', select 'Provider Approver.'

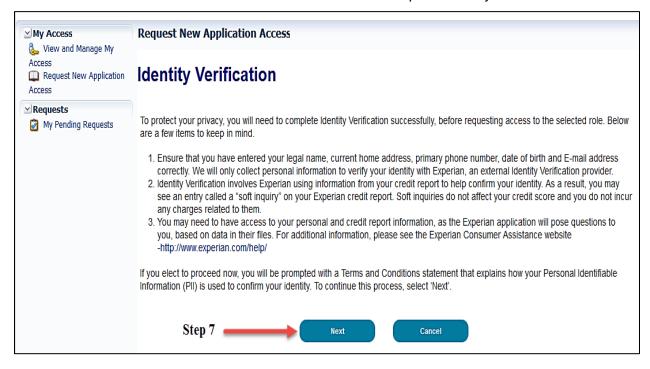


6. Select the appropriate 'Approver Role' which is the 'ACO Security Official', then select 'Next'.



7. Select 'Next' to complete the 'Identity Verification' section. The Identity Verification process will only be completed the first time a user requests a role in the Physician Quality and Value Programs domain in EIDM. If the Identity Verification has been completed, users can skip to step 17 to request additional roles.

NOTE: Users must be in the United States of America to complete Identity Verification.



8. Read the Terms and Conditions. Select the 'I agree to the terms and conditions' checkbox and then select 'Next'. 'Next' will be enabled only after checking the 'I agree to the terms and conditions' checkbox.

Request New Application Access

Terms and Conditions

OMB No. 0938-1236 | Expiration Date: 04/30/2017 | Paperwork Reduction Act

Protecting Your Privacy

Protecting your Privacy is a top priority at CMS. We are committed to ensuring the security and confidentiality of the user registering to EIDM. Please read the CMS Privacy Act Statement, which describes how we use the information you provide.

Personal information is described as data that is unique to an individual, such as a name, address, telephone number, Social Security Number, and date of birth (DOB). CMS is very aware of the privacy concerns around PII data. In fact, we share your concerns. We will only collect personal information to verify your identity. Your information will be disclosed to Experian, an external authentication service provider, to help us verify your identity. If collected, we will validate your Social Security Number with Experian only for the purposes of verifying your identity. Experian verifies the information you give us against their records. We may also use your answers to the challenge questions and other PII to later identify you in case you forget or misplace your User ID /Password.

HHS Rules Of Behavior

We encourage you to read the <u>HHS Rules of Behavior</u>, which provides the appropriate use of all HHS information technology resources for Department users, including Federal employees, contractors, and other system users.

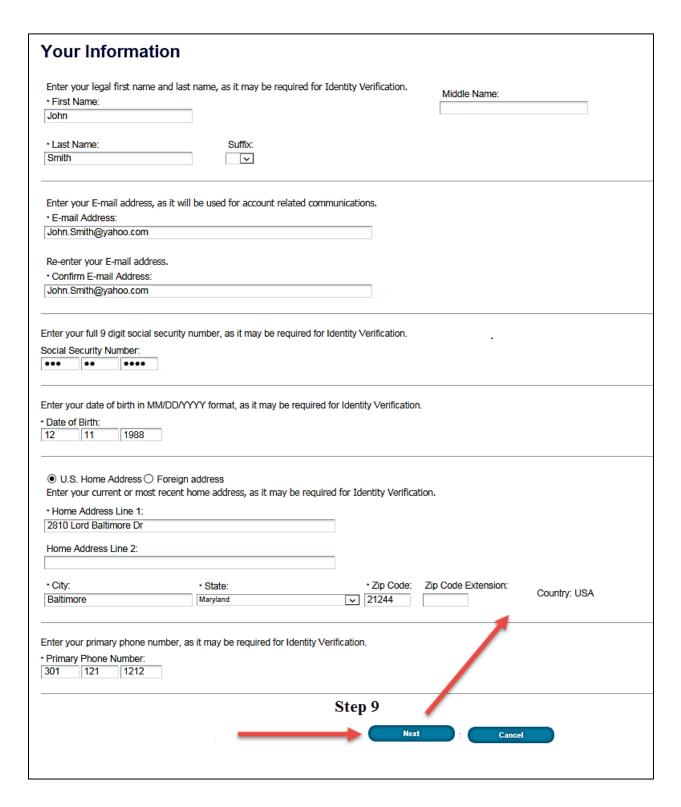
I have read the HHS Rules of Behavior (HHS RoB), version 2010-0002.001S, dated August 26 2010 and understand and agree to comply with its provisions. I understand that violations of the HHS RoB or information security policies and standards may lead to disciplinary action, up to and including termination of employment; removal or debarment from work on Federal contracts or projects; and/or revocation of access to Federal information, information systems, and/or facilities; and may also include criminal penalties and/or imprisonment. I understand that exceptions to the HHS RoB must be authorized in advance in writing by the OPDIV Chief Information Officer or his/her designee. I also understand that violation of laws, such as the Privacy Act of 1974, copyright law, and 18 USC 2071, which the HHS RoB draw upon, can result in monetary fines and/or criminal charges that may result in imprisonment.

Identity Verification

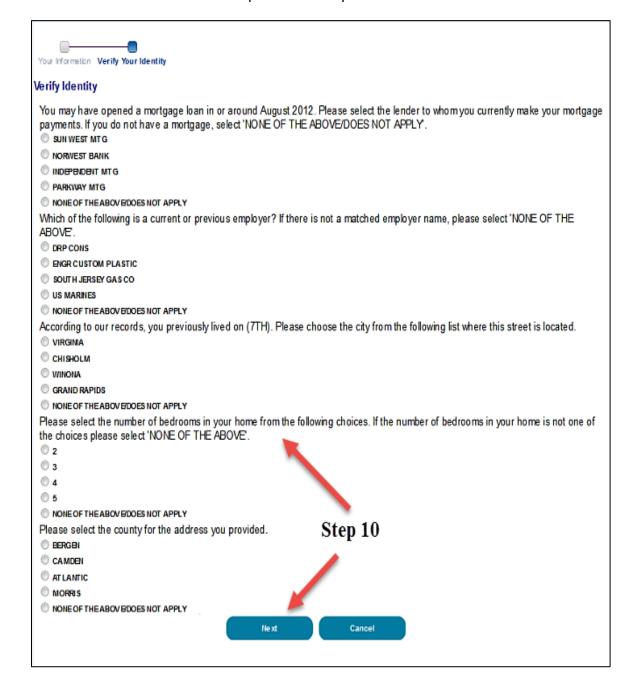
I understand that the identity proofing services being requested are regulated by the Fair Credit Reporting Act and that my explicit consent is required to use these services. I understand that any special procedures established by CMS for identity proofing using Experian have been met and the services requested by CMS to Experian will be used solely to confirm the applicant's identity to avoid fraudulent transactions in the applicant's name.



Enter the required information under 'Your Information' section. Select 'Next' when complete.



10. Select an answer to each question under 'Verify Identity'. Select 'Next' after providing an answer to each question. 'Verify Identity' question information is provided from Experian in association with the SSN Number provided in step 9.



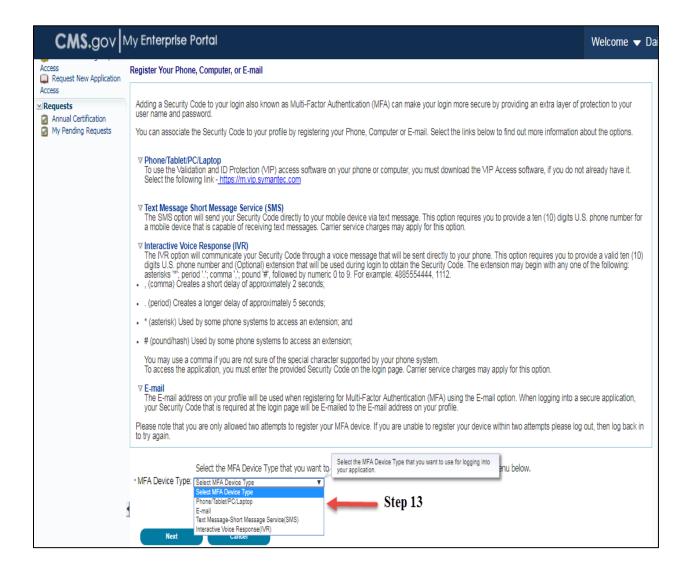
11. Remote Identity Proofing is now complete. Select 'Next' to proceed to the 'Multi-Factor Authentication Registration' process.



12. Select 'Next' to begin registration for 'Multi-Factor Authentication Information' process.

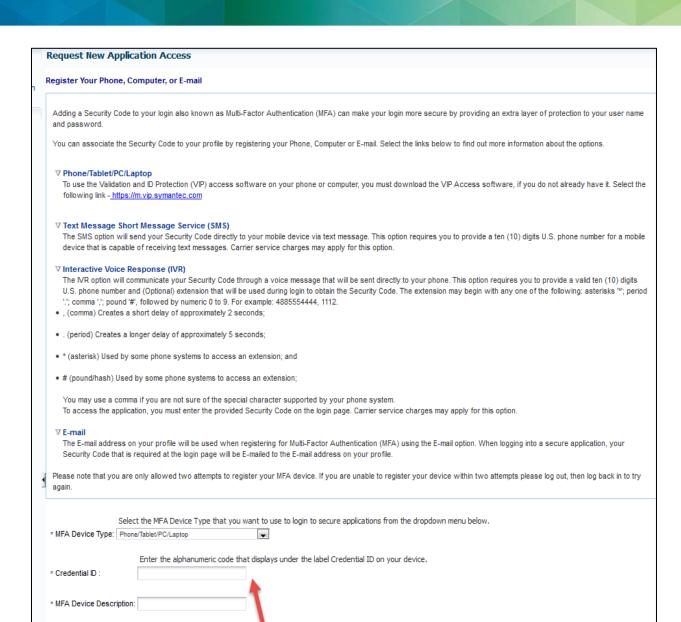


13. Read the **Register Your Phone, Computer, or E-mail** notification and then select an option from the '**Credential Type**' drop-down menu.



- 14. (a) If selecting **Phone/Tablet/PC/Laptop** as Credential Type, the following required information fields will be displayed: **NOTE:** If you intend to use the VIP access software on your mobile device or computer, you must download the VIP software.
 - Credential ID
 - Credential Description
 - (b) f selecting **E-mail One Time Password** (OTP) as Credential Type, the following required information fields will be displayed:
 - o E-mail
 - Credential Description
 - (c) If selecting **Text Message Short Message Service** (SMS) as Credential Type, the following required information fields will be displayed:
 - Phone Number
 - Credential Description
 - (d) If selecting **Interactive Voice Response (IVR)** as Credential Type, the following required information fields will be displayed:
 - o Phone Number
 - Credential Description

After providing the required information, select 'Next'.



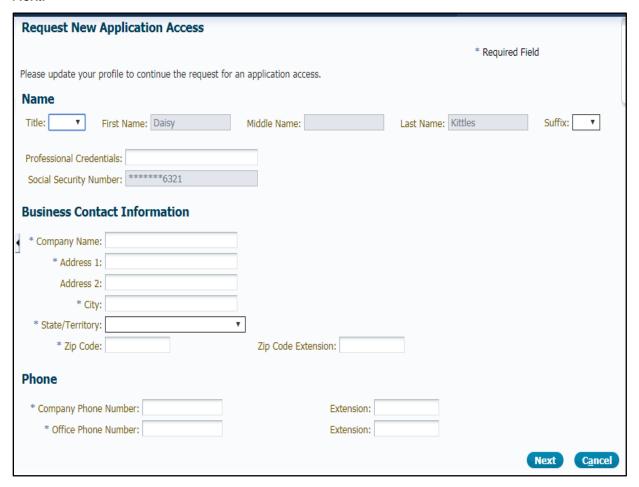
Step 14

Next

15. Registration for the **Multi-Factor Authentication** is now complete. Select '**Next**' to proceed to request the role.



16. MFA is now complete and Business Contact Information screen is displayed. Enter the required information under Business Contact Information and Phone section and Select Next.

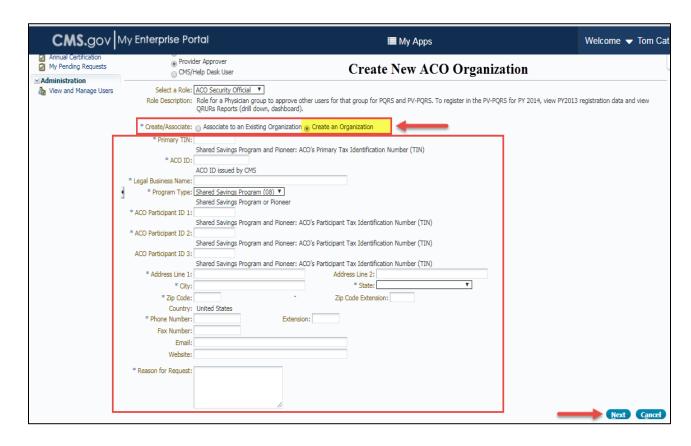


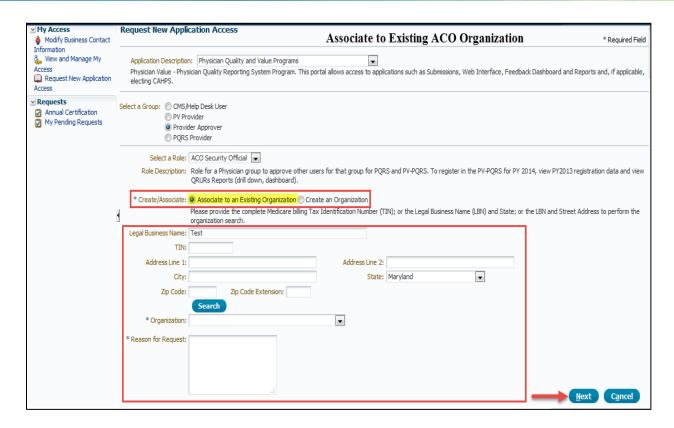
17. To create your ACO Security Official:

- Select 'Create an Organization' (screen shot 'Create New ACO Organization' on page 21) if you are registering your first ACO Security Official on behalf of your ACO.
- If your ACO has already set up the first ACO Security Official role and would like to request additional ACO Security Officials, then please select 'Associate to an Existing Organization' (screen shot 'Associate to Existing ACO Organization' on page 22).
- Complete the required information for 'Create an Organization' or enter the search criteria and select the appropriate organization for 'Associate to an Existing Organization'. Once the form has been completed, including entering a 'Reason for Request', select 'Next'.

NOTE: You must use the ACO's Primary TIN, the CMS ACO ID, and at least 2 participant TINs for setting up your ACO Security Official role.

<u>Single TIN Shared Savings Program ACOs</u>: If your ACO is a single TIN ACO, then due to limited data available, your ACO must be routed to the QPP Service Center for manual approval. Your ACO SO submission will be routed to the QPP Service Center and you will receive a tracking number. Updates to your role request status will be provided via email. For support and questions, the QPP Service Center can be reached at 1-866-288-8292 or qpp@cms.hhs.gov (Business hours are Monday-Friday from 7am to 7pm Central Time).





NOTE: Please use the ACO's Legal Business Name and the ACO's Primary TIN when completing the Legal Business Name and TIN fields, respectively.

NOTE: Make sure that the search criteria entered is accurate. If the organization is unable to be found, contact the QPP Service Center for assistance.

When associating to an existing organization, the request will be sent to the ACO Security Official for approval. ACO SOs creating an organization who are participating in the Shared Savings Program be approved in the system without being routed to the QPP Service Center, if all data entry matches CMS records and your ACO is not a single-TIN ACO.

18. Review the entire request to confirm all of the data was entered accurately. If the information is accurate, select 'Submit'. If a change needs to be made, select 'Edit' and make the appropriate changes.

19. A tracking number will be displayed on screen, select '**ok**'. The tracking number is also sent via email to the requestor. This tracking number should be retained until the requested role has been applied to the account.



NOTES: The ACO SO who created the organization is the approver for subsequent ACO SOs associating to the organization.

- The approver (ACO SO) will receive an email notifying them of the request for an ACO SO associating to the organization for approval.
- The approver (ACO SO) will need to log into the CMS Enterprise Portal to approve or reject the request.

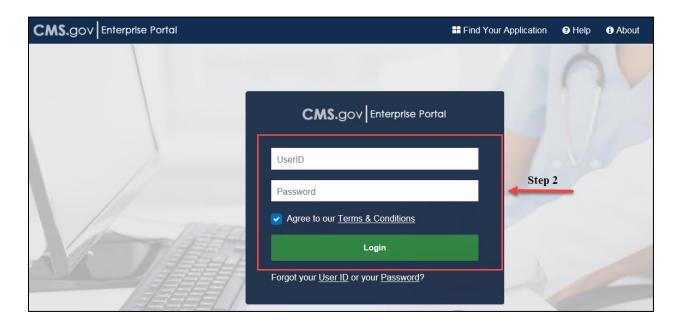
The notification of approval, denial, or other requests will be sent to the role requestor's email address on file for the request.

How to Set Up the Web Interface Submitter Role

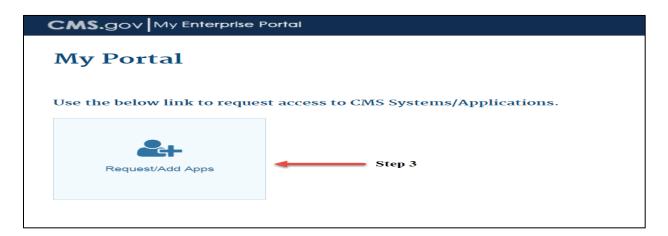
After an ACO SO role has been created and approved, a Web Interface Submitter Role must be established. The Web Interface Role cannot be set up until there is at least one ACO SO role set up.

Steps to Create a Web Interface Submitter Role:

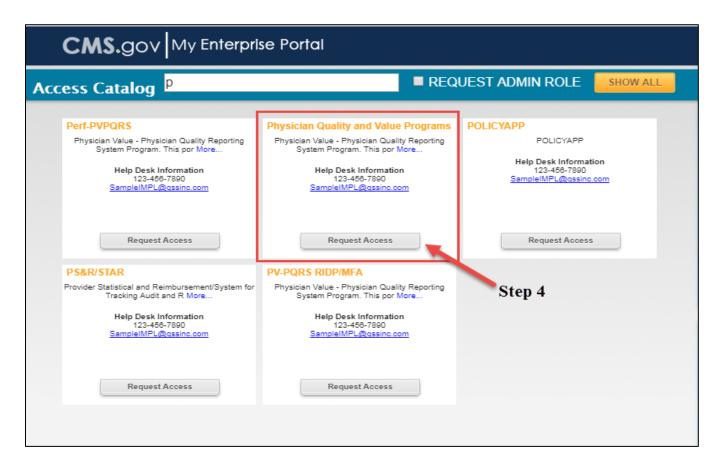
- 1. Navigate to https://portal.cms.gov. The CMS Enterprise Portal page is displayed.
- 2. Once on the page, enter your user ID and password and agree to Terms and Conditions by clicking the checkbox.



The 'My Portal' page is displayed. Select the 'Request/Add Apps' link to request access to CMS Systems/Applications.



4. For the PQRS and the PV-PQRS Applications; scroll down and select 'Request Access' for the 'Physician Quality and Value Programs' application.



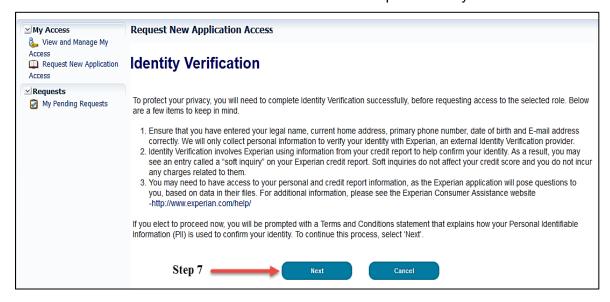
5. The Physician Quality and Value Programs Domain will be auto-populated. Under 'Select a Group', select 'PQRS Provider.'

6. Select 'Web Interface Submitter' under 'Select a Role' from the drop-down menu.

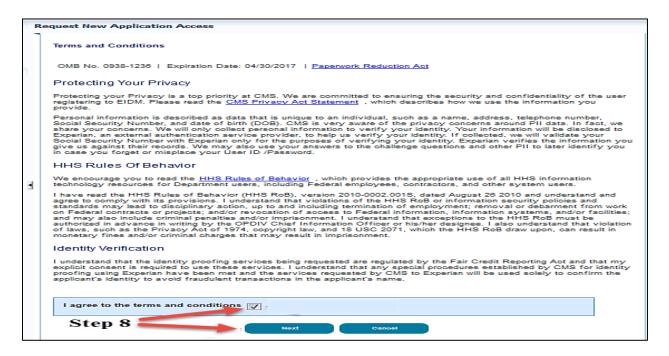


7. Select 'Next' to complete the 'Identity Verification' section. The Identity Verification process will only be completed the first time a user requests a role in the Physician Quality and Value Programs domain in EIDM. If the Identity Verification has been completed, users can skip to step 17 to request additional roles.

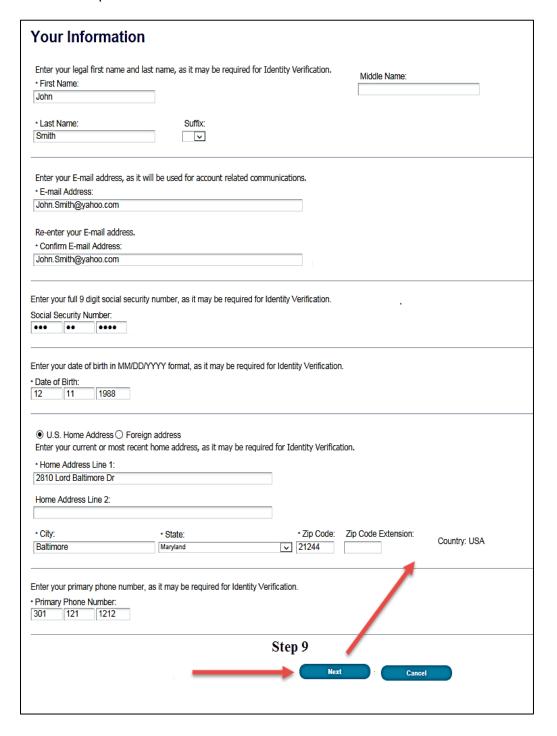
NOTE: Users must be in the United States of America to complete Identity Verification.



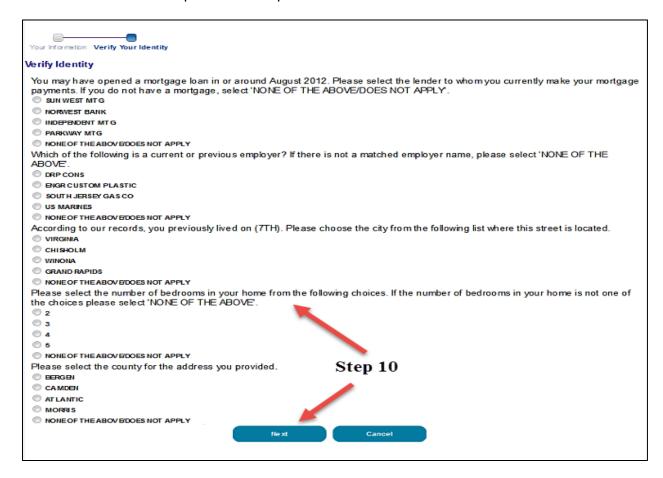
8. Read the Terms and Conditions. Select the 'I agree to the terms and conditions' checkbox and then select 'Next'. 'Next' will be enabled only after checking the 'I agree to the terms and conditions' checkbox



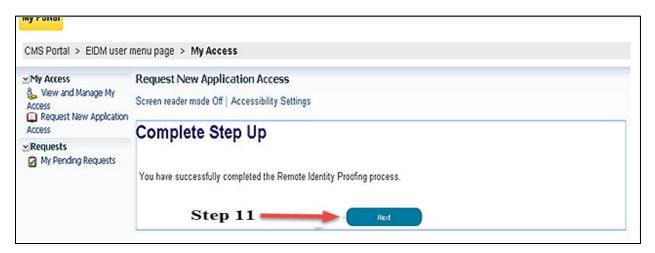
9. Enter the required information under Your Information section. Select 'Next' when complete.



10. Select an answer to each question under 'Verify Identity'. Select 'Next' after providing an answer to each question. 'Verify Identity' question information is provided from Experian in association with the SSN provided in step 9.



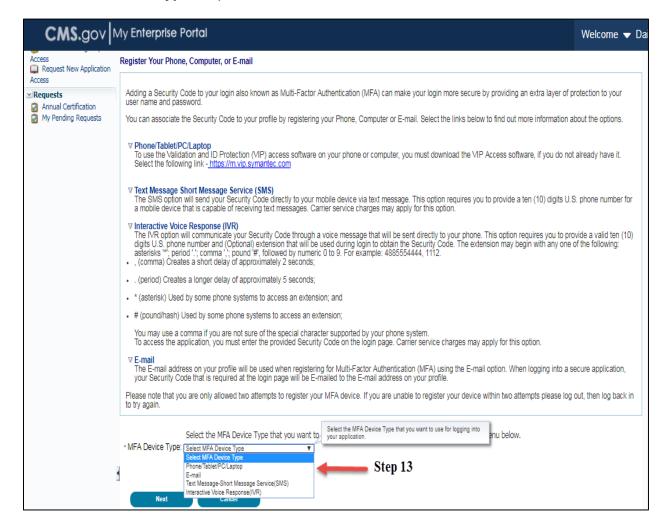
11. Remote Identity Proofing is now complete. Select 'Next' to proceed to the 'Multi-Factor Authentication Registration' process.



12. Select 'Next' to begin registration for 'Multi-Factor Authentication Information' process.



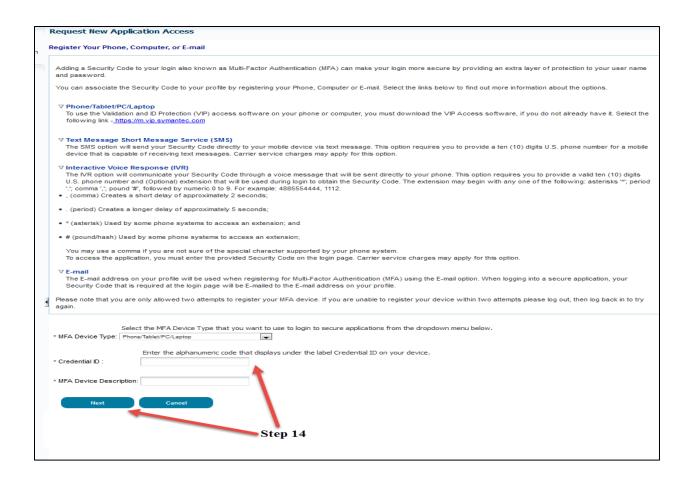
13. Read the **Register Your Phone, Computer, or E-mail** notification and then select an option from the '**Credential Type**' drop-down menu.



- 14. (a) If selecting **Phone/Tablet/PC/Laptop** as Credential Type, the following required information fields will be displayed:
 - Credential ID
 - o Credential Description
 - (b) If selecting **E-mail One Time Password (OTP)** as Credential Type, the following required information fields will be displayed:
 - o E-mail
 - Credential Description
 - (c) If selecting **Text Message Short Message Service (SMS)** as Credential Type, the following required information fields will be displayed:
 - Phone Number
 - Credential Description
 - (d) If selecting **Interactive Voice Response (IVR)** as Credential Type, the following required information fields will be displayed:
 - o Phone Number
 - o Credential Description

NOTE: If you intend to use the VIP access software on your mobile device or computer, you must download the VIP software.

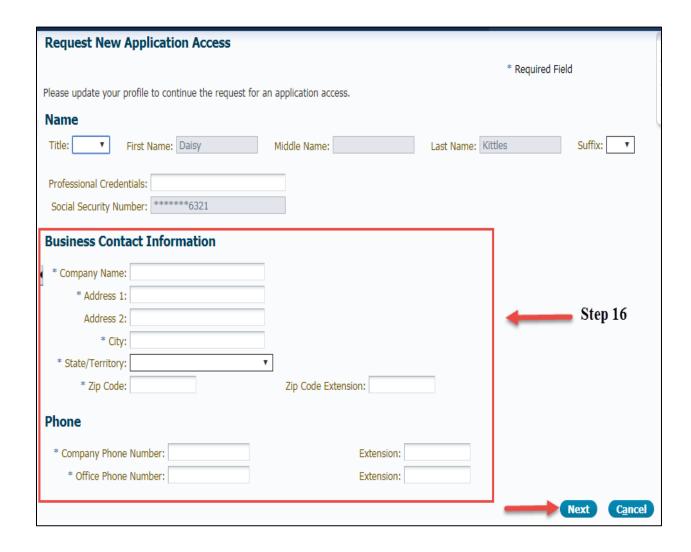
After providing the required information, select 'Next'.



15. Registration for the **Multi-Factor Authentication** is now complete. Select '**Next**' to proceed to request the role.

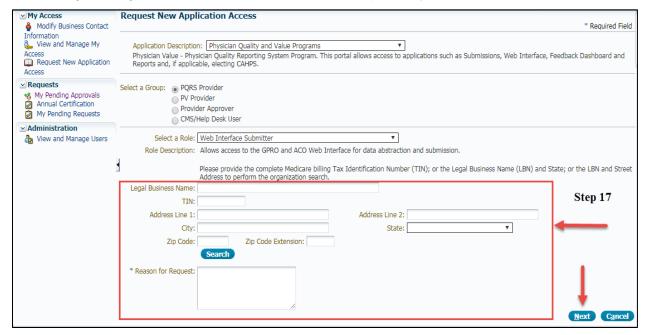


16. Enter required **Business Contact Information**. Once the required information has been entered, select '**Next**' to continue.



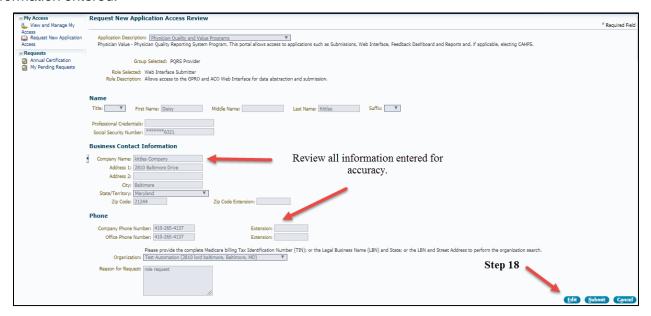
17. Enter the specific criteria to search the existing Organization and select 'Search'. When the desired Organization has been found, associate to it and enter a 'Reason for Request' then select 'Next'.

NOTE: Please use the ACO's Legal Business Name and the ACO's Primary TIN when completing the Legal Business Name and TIN fields respectively.



18. Review the request to confirm the accuracy of the role request and organization affiliation. Select 'Submit' to complete the request or 'Edit' to make any corrections.

NOTE: Information was removed from this screen shot but the user will see all required information entered.



19. Role request acknowledgement provides the tracking number that will also be sent via email to the requestor. Select '**OK**. This tracking number should be retained until the requested role has been applied to the account.



NOTES:

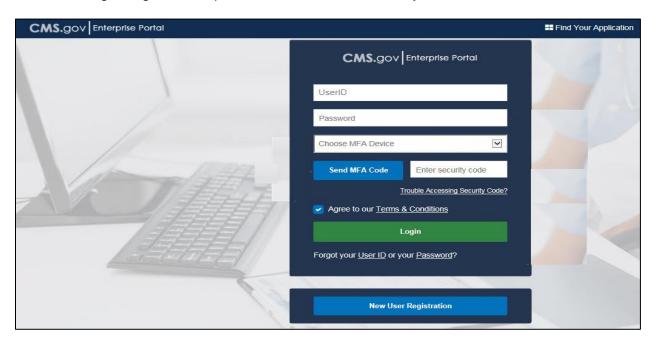
- The above role requests will be directed to the appropriate approver(s), which are the ACO SOs, for the organization to complete the process.
- The approver(s) will receive an email notifying them of the request for approval.
- The approver will need to log into the CMS Enterprise Portal to approve or reject the request.

The notification of approval, denial, or other requests will be sent to the role requestor's email address on file for the request.

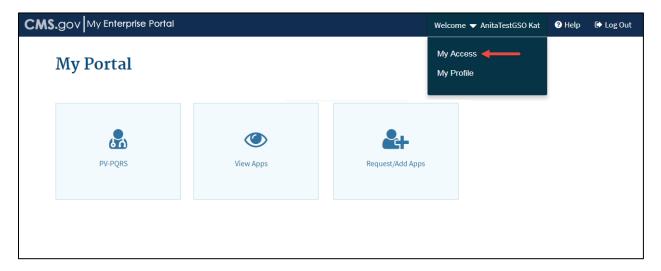
How to Check Your Role Status

Users can check their approved EIDM roles by logging into the CMS Enterprise Portal using their EIDM account and following the steps outlined below. Please note screenshots are taken from a test environment and may not display exactly what you see on your screen.

Login to <u>CMS Enterprise Portal</u> using valid EIDM user ID and password and completing MFA process. As a reminder, this is <u>not</u> the CMS user ID (EUA) that is used for accessing the Shared Savings Program ACO portal or HPMS. You must use your EIDM user ID.



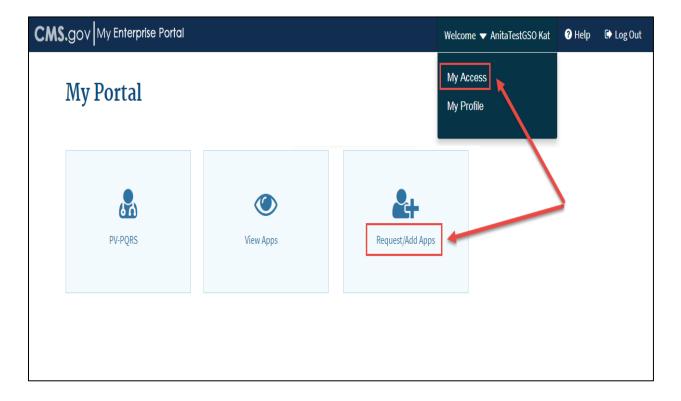
2. After successfully logging into the CMS Enterprise Portal using your EIDM user ID, password, and completing MFA process, **click on Welcome <Your Name>** at the top right of your screen. Once selected, a dropdown will allow you to then **click on My Access**.



3. After selecting My Access, you will be able to view your approved roles. You may also take other actions, such as removing or adding another role. Please note, no single user can be both an ACO Security Official and a Web Interface Submitter.

How to Remove a Role

- 1. Login to CMS Enterprise Portal using your valid EIDM user ID and password.
- 2. Select one of two options:
 - Click on Welcome <your name> at the top right corner of the form. Once selected click on My Access value in the dropdown.
 - o Click on Request/Adds Apps option.



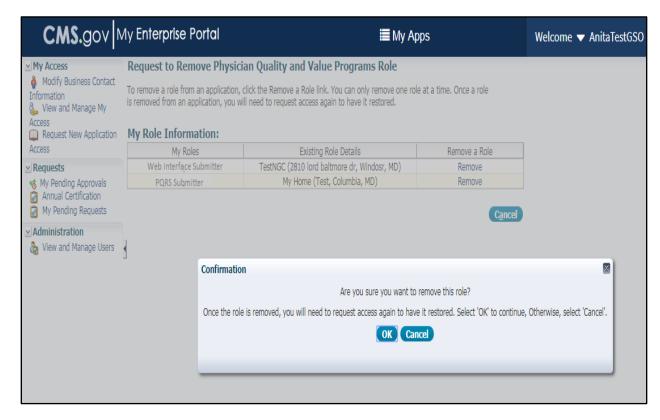
3. The **Available Actions** menu will be displayed on your screen. Click on the **Remove Role** option.



4. The screen will display you user roles. Click on the **Remove** hyperlink that is next to the role you want to remove from your profile.



5. A **Confirmation** pop-up will be displayed. Click on **OK** to confirm role removal. After clicking ok, a confirmation of role removal will be displayed.



Technical Assistance

If you have questions or need further assistance, please contact the QPP Service Center:

- QPP@cms.hhs.gov
- 1-866-288-8292

Business hours are Monday-Friday from 7am to 7pm Central Time.