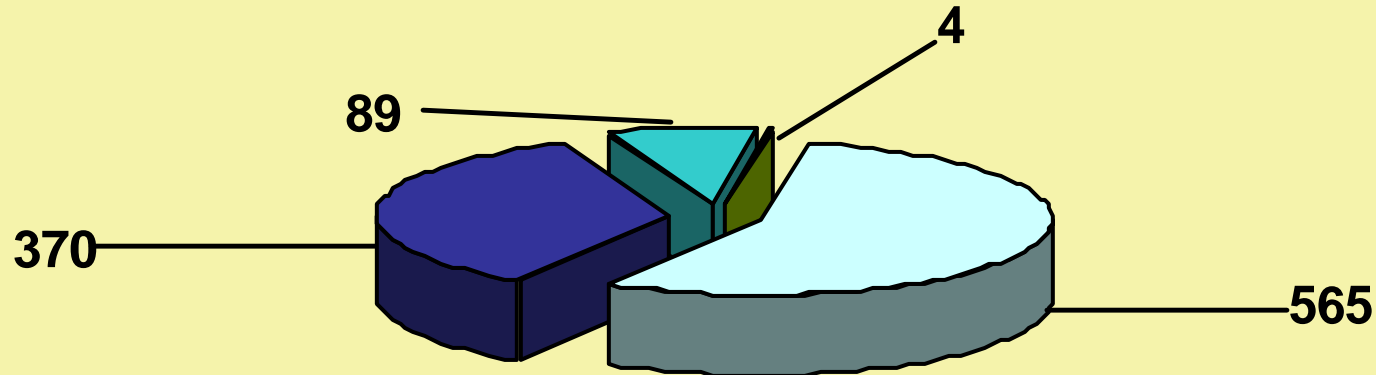


**CMS Enforcement Statistics Report**  
**Open and Closed cases by type**  
**As of October 31, 2007**

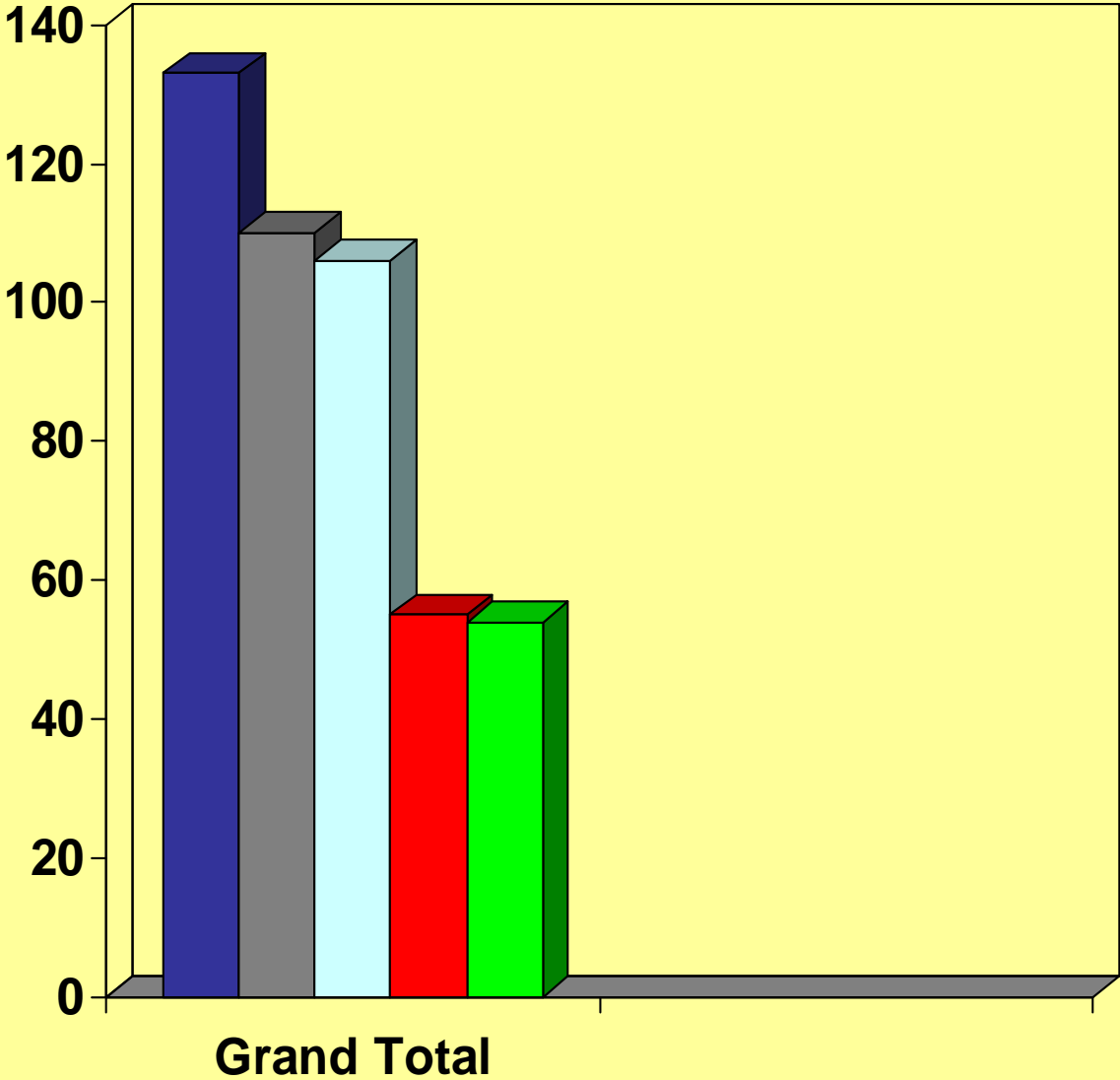


Complaint Type	Total	Open	Closed
Transactions and Code Sets (TCS)	565	92	473
Security	370	140	230
National Provider Identifier (NPI)	4	0	4
Other- Includes invalid and test cases	89	10	79
<b>Total</b>	<b>1028</b>	<b>242</b>	<b>786</b>

**Open** – Outstanding issues remain. Entity may be under a corrective action plan or additional information from either the complainant, the filed against entity, or both is being sought.

**Closed** – No further action required. All issues have been sufficiently resolved. Please note that 39 of the 230 security cases have been closed via corrective actions.

# Summary of Most Common Security Complaints



- 164.308(a)(4)(i) Information Access Management
- 164.308(a)(5)(i) Security Awareness and Training
- 164.312(a)(1) Access Control
- 164.310 (b) Workstation Use
- 164.310 (d)(1) Device and Media Controls