

Medicaid Provider Enrollment Requirements

This is a list of resources for Medicaid and Children’s Health Insurance Program (CHIP) providers and other health care professionals, their administrative office staff members, and personnel at State Medicaid agencies and CHIP agencies. Providers may find this resource guide useful when considering whether to enroll in a State’s Medicaid program or CHIP. State Medicaid and CHIP program integrity staff may find it useful when considering enrollment issues. This is not intended to be a complete list.

Centers for Medicare & Medicaid Services

- Affordable Care Act Program Integrity Provisions—Guidance to States—Section 6501—Termination of Provider Participation Under Medicaid if Terminated Under Medicare or Other State Plan. CPI-CMCS Informational Bulletin. (2012, January 20). <https://www.medicaid.gov/Federal-Policy-Guidance/downloads/CIB-01-20-12.pdf>
- Best Practices for Medicaid Program Integrity Units’ Collection of Disclosures in Provider Enrollment. (2010, August). <https://www.cms.gov/FraudAbuseforProfs/Downloads/bppedisclosure.pdf>
- Frequent Findings Toolkits for Program Integrity Reviews. <https://www.cms.gov/Medicare-Medicaid-Coordination/Fraud-Prevention/FraudAbuseforProfs/frequent-findings-toolkits-121714.html>
- Medicare, Medicaid, and Children’s Health Insurance Programs; Additional Screening Requirements, Application Fees, Temporary Enrollment Moratoria, Payment Suspensions and Compliance Plans for Providers and Suppliers; Proposed Rule. 75 Fed. Reg. 58203. (2010, September 23). <https://www.gpo.gov/fdsys/pkg/FR-2010-09-23/pdf/2010-23579.pdf>



- Medicare, Medicaid, and Children’s Health Insurance Programs; Additional Screening Requirements, Application Fees, Temporary Enrollment Moratoria, Payment Suspensions and Compliance Plans for Providers and Suppliers; Final Rule. 76 Fed. Reg. 5862. (2011, February 2). <https://www.gpo.gov/fdsys/pkg/FR-2011-02-02/pdf/2011-1686.pdf>
- Medicare, Medicaid, and Children’s Health Insurance Programs; Provider Enrollment Application Fee Amount for Calendar Year 2016. 80 Fed. Reg. 75680 (2015, December 3). <https://www.gpo.gov/fdsys/pkg/FR-2015-12-03/pdf/2015-30686.pdf>
- Medicare, Medicaid, and Children’s Health Insurance Programs: Announcement of the Implementation and Extension of Temporary Moratoria on Enrollment of Part B Non-Emergency Ground Ambulance Suppliers and Home Health Agencies in Designated Geographic Locations and Lifting of the Temporary Moratoria on Enrollment of Part B Emergency Ground Ambulance Suppliers in All Geographic Locations. 81 Fed. Reg. 51116 (2016, August 3). <https://www.gpo.gov/fdsys/pkg/FR-2016-08-03/pdf/2016-18381.pdf>
- Medicaid Program Integrity: Toolkits to Address Frequent Findings: 42 C.F.R. [§] 455.104, Disclosures of Ownership and Control. <https://www.cms.gov/Medicare-Medicaid-Coordination/Fraud-Prevention/FraudAbuseforProfs/Downloads/fftoolkit-ownership-control.pdf>
- Medicaid Provider Enrollment Compendium. (2016, March 21). <https://www.medicaid.gov/affordablecareact/provisions/downloads/mpec-032116.pdf>
- State Medicaid Director Letter 08-003. (2008, June 12). <https://downloads.cms.gov/cmsgov/archived-downloads/SMDL/downloads/SMD061208.pdf>
- State Medicaid Director Letter 09-001. (2009, January 16). <https://downloads.cms.gov/cmsgov/archived-downloads/SMDL/downloads/SMD011609.pdf>
- Sub Regulatory Guidance for State Medicaid Agencies (SMA): Revalidation (2016-001). (2016, January 11). <https://www.medicaid.gov/affordablecareact/provisions/downloads/revalidation-2016-001.pdf>
- Sub Regulatory Guidance for State Medicaid Agencies (SMA): Fingerprint-based Criminal Background Checks (FCBC) (2016-002). (2016, January 11). <https://www.medicaid.gov/affordablecareact/provisions/downloads/fcbc-2016-002.pdf>

U.S. Department of Health and Human Services, Office of Inspector General

- List of Excluded Individuals/Entities (LEIE). <https://exclusions.oig.hhs.gov/>
- Memorandum Report: Excluded Medicaid Providers: Analysis of Enrollment. (2010, May 19). <https://oig.hhs.gov/oei/reports/oei-09-08-00330.pdf>
- Medicaid: Vulnerabilities Related to Provider Enrollment and Ownership Disclosure. (2016, May). <https://oig.hhs.gov/oei/reports/oei-04-11-00590.pdf>
- A Roadmap for New Physicians: Avoiding Medicare and Medicaid Fraud and Abuse. https://oig.hhs.gov/compliance/physician-education/roadmap_web_version.pdf
- Updated Special Advisory Bulletin on the Effect of Exclusion From Participation in Federal Health Care Programs. (2013, May 8). <https://oig.hhs.gov/exclusions/files/sab-05092013.pdf>

U.S. Government Accountability Office

- High-Risk Series: An Update. (2015, February). <http://www.gao.gov/assets/670/668415.pdf>

Statutes

Social Security Act

- Agreements with Providers of Services; Enrollment Processes. § 1866. https://www.ssa.gov/OP_Home/ssact/title18/1866.htm
- Exclusion of Certain Individuals and Entities from Participation in Medicare and State Health Care Programs. § 1128. https://www.ssa.gov/OP_Home/ssact/title11/1128.htm
- State Plans for Medical Assistance. § 1902. https://www.ssa.gov/OP_Home/ssact/title19/1902.htm

Other Statutes

- Patient Protection and Affordable Care Act, Pub. L. No. 111–148, 124 Stat. 119. (2010). <https://www.gpo.gov/fdsys/pkg/PLAW-111publ148/pdf/PLAW-111publ148.pdf>
- Improper Payments Information Act of 2002, Pub. L. 107–300, 116 Stat. 2350 (2002). <https://www.gpo.gov/fdsys/pkg/PLAW-107publ300/pdf/PLAW-107publ300.pdf>

U.S. General Services Administration

- System for Award Management (SAM) Advanced Search—Exclusions Database. <https://www.sam.gov>

Code of Federal Regulations

- Screening Levels for Medicaid Providers. 42 CFR § 455.450. http://www.ecfr.gov/cgi-bin/retrieveECFR?gp=1&SID=a968d63a94bc98bd958a2cbba9ee555c&ty=HTML&h=L&mc=true&r=SECTION&n=se42.4.455_1450
- Scope and Effect of Exclusion, 42 CFR § 1001.1901. <http://www.ecfr.gov/cgi-bin/retrieveECFR?gp=1&SID=06b6bb590676527583a92970b81bd35a&ty=HTML&h=L&r=SECTION&n=42y5.0.2.5.2.4.32.2>
- Termination or Denial of Enrollment, 42 CFR § 455.416. http://www.ecfr.gov/cgi-bin/retrieveECFR?gp=1&SID=6fb7d46c1c9c227d417c964f13900354&ty=HTML&h=L&r=SECTION&n=se42.4.455_1416
- Disclosure by Providers and State Medicaid Agencies. 42 C.F.R. § 1002.3. http://www.ecfr.gov/cgi-bin/retrieveECFR?gp=1&SID=3f38b79b30e505a77e45e9f5849f95a6&ty=HTML&h=L&r=SECTION&n=se42.5.1002_13
- Revalidation of Enrollment. 42 C.F.R. § 455.414. http://www.ecfr.gov/cgi-bin/retrieveECFR?gp=1&SID=eb20a579c71d6ae520cf7479a4506c6f&ty=HTML&h=L&mc=true&r=SECTION&n=se42.4.455_1414

State Materials

New York

- N. Y. Comp. Codes R. & Regs. tit. 18, § 504.5. Denial of an Application. [https://govt.westlaw.com/nycrr/Document/I50d103becd1711dda432a117e6e0f345?viewType=FullText&originationContext=documenttoc&transitionType=CategoryPageItem&contextData=\(sc.Default\)](https://govt.westlaw.com/nycrr/Document/I50d103becd1711dda432a117e6e0f345?viewType=FullText&originationContext=documenttoc&transitionType=CategoryPageItem&contextData=(sc.Default))

South Carolina Department of Health and Human Services

- Medicaid Bulletin. (2012, December 3). <https://www.scdhhs.gov/press-release/provider-enrollment-and-screening>
- Healthy Connections Medicaid. Medicaid Targeted Case Management (MTCM) Moratorium. <https://www.scdhhs.gov/press-release/medicaid-targeted-case-management-mtcm-moratorium>
- Provider Enrollment Manual. (2013, July 1). <https://www.scdhhs.gov/internet/pdf/manuals/Provider%20Enrollment/Section%202.pdf>

Texas Health and Human Services Commission

- 1 Tex. Admin. Code § 352.9. Screening Levels. [http://texreg.sos.state.tx.us/public/readtac\\$ext.TacPage?sl=T&app=9&p_dir=N&p_rloc=159833&p_tloc=&p_ploc=1&pg=15&p_tac=&ti=1&pt=15&ch=352&rl=21](http://texreg.sos.state.tx.us/public/readtac$ext.TacPage?sl=T&app=9&p_dir=N&p_rloc=159833&p_tloc=&p_ploc=1&pg=15&p_tac=&ti=1&pt=15&ch=352&rl=21)
- 1 Tex. Admin. Code § 371.1005. Disclosure Requirements. [http://texreg.sos.state.tx.us/public/readtac\\$ext.TacPage?sl=R&app=9&p_dir=&p_rloc=&p_tloc=&p_ploc=&pg=1&p_tac=&ti=1&pt=15&ch=371&rl=1005](http://texreg.sos.state.tx.us/public/readtac$ext.TacPage?sl=R&app=9&p_dir=&p_rloc=&p_tloc=&p_ploc=&pg=1&p_tac=&ti=1&pt=15&ch=371&rl=1005)

Materials Available on the Internet

To see the electronic version of this resource guide and the other products included in the “Medicaid Provider Enrollment” Toolkit, posted to the Medicaid Program Integrity Education page, visit <https://www.cms.gov/Medicare-Medicaid-Coordination/Fraud-Prevention/Medicaid-Integrity-Education/edmic-landing.html> on the CMS website.

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